

| <b>Council Agenda Part A (Open Agenda)</b><br><b>08.06.2020</b><br><b>Zoom meeting</b><br><b>4:00pm</b> |  |                               | Page #    |
|---|--|--|-----------|
| <b>1. APOLOGIES</b>   | <b>The Chancellor moves</b> that the apologies, be <b>noted</b> .  |  |           |
| <b>2. DISCLOSURES OF INTEREST BY MEMBERS</b>  | The attention of Members is drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in s175 of the Education Act 1989.  | <b>The Chancellor moves</b> that the disclosures, if any, be noted and the action taken be <b>endorsed</b> .     |           |
| <b>3. HONOURS/AWARDS</b>  | <b>The Queen's Birthday Honours</b><br><br>The Chancellor and Vice-Chancellor will send congratulatory letters to those persons with links to the University.  |  |           |
| <b>4. CONFERMENT OF DEGREES</b>   | In accordance with the provisions of the Conferment of Academic Qualifications and Academic Dress Statute 1992 the Chancellor will confer the degrees listed (as attached) by stating:<br><i>By the authority vested in me by resolution of The University of Auckland Council I, SCOTT ST JOHN, Chancellor, confer the degrees stated upon those who, within their several faculties, have satisfied the requirements of this University.</i> |  | <b>7</b>  |
| <b>5. AWARD OF DIPLOMAS</b>   | In accordance with the provisions of the Conferment of Academic Qualifications and Academic Dress Statute 1992 the Chancellor will award the diplomas listed (as attached) by stating:<br><i>By the authority vested in me by resolution of The University of Auckland Council I, SCOTT ST JOHN, Chancellor, award the diplomas stated to those who, within their several faculties, have satisfied the requirements of this University.</i>   |  | <b>10</b> |
| <b>6. COUNCIL MEETINGS</b>  | <b>6.1 Council, Draft Minutes (Part A), 29.04.2020</b>   | <b>The Chancellor moves</b> that the Minutes (Part A), 29.04.2020 be taken as <b>read</b> and <b>confirmed</b> . | <b>11</b> |

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|   | <p>6.2 <b>Matters arising from the Minutes (Part A), 29.04.2020 not elsewhere on the Agenda</b></p> <p><b>With regards to item 6:</b></p> <ul style="list-style-type: none"> <li>• The response from the University to the media about the accommodation issue has been circulated to Council.</li> <li>• Information about the te Reo Māori app “Te Kūaha” (“The Doorway”) can be accessed via this <a href="#">link</a>.</li> <li>• A summary of the University’s application for the Times Higher Education University Impact Rankings will be made available to Council in due course.</li> </ul> |  |           |
| <b>7. VICE-CHANCELLOR’S REPORT</b>      |   | <p><b>The Chancellor moves</b> that the Vice-Chancellor’s Report be <b>noted</b>.</p>                                      | <b>16</b> |
| <b>8. REPORTS OF COUNCIL COMMITTEES</b> | <p>8.1 <b>AUDIT AND RISK COMMITTEE</b></p> <p>8.1.1 <b>Minutes (Part A), 25.05.2020</b></p>   | <p><b>The Chancellor moves</b> that the Audit and Risk Committee Minutes (Part A), 25.05.2020 be <b>received</b>.</p>      | <b>43</b> |
|   | <p>8.2 <b>CAPITAL EXPENDITURE COMMITTEE</b></p> <p>8.2.1 <b>Minutes (Part A), 22.05.2020</b></p>  | <p><b>The Chancellor moves</b> that the Capital Expenditure Committee Minutes (Part A), 22.05.2020 be <b>received</b>.</p> | <b>45</b> |
|   | <p>8.3 <b>FINANCE COMMITTEE</b></p> <p>8.3.1 <b>Minutes, (Part A), 22.05.2020</b></p>   | <p><b>The Chancellor moves</b> that the Finance Committee Minutes (Part A), 22.05.2020 be <b>received</b>.</p>             | <b>47</b> |
|   | <p>8.4 <b>EQUITY LEADERSHIP COMMITTEE</b></p> <p>8.4.1 <b>Mid-Year Report</b></p>   | <p><b>The Chancellor moves</b> that the Equity Leadership Committee Mid-Year Report be received.</p>                       | <b>52</b> |

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| <p><b>9. SENATE MATTERS</b></p>                             | <p><b>9.1 REPORT OF SENATE, virtual meeting by Zoom webinar, 25.05.2020</b></p> <p>Part Ai, 1 - 4: Reviews to be <b>received</b> by Council<br/>                 Part Aii, 5 – 6: Policy and other matters requiring Council <b>approval</b><br/>                 Part B, 1: Matters for <b>noting</b> by Council<br/>                 Part C, 1-2: Matters handled under Delegated Authority</p> | <p><b>The Chancellor moves</b> that the recommendations in Part A of the Report of Senate, virtual Zoom meeting, 25.05.2020 be <b>adopted</b> and Parts B and C be <b>noted</b></p> | <p><b>55</b></p> |
| <p><b>10. CORRESPONDENCE REFERRED BY THE CHANCELLOR</b></p> | <p>No items received.</p>   |   |                  |
| <p><b>11 OTHER MATTERS FOR DECISION OR NOTING</b></p>       | <p>No items received.</p>   |   |                  |
| <p><b>12. GENERAL BUSINESS</b></p>                          | <p>None</p>   |   |                  |
| <p><b>13. LEAVE OF ABSENCE</b></p>                          | <p>(for the meeting of 27.07.2020)</p>  |   |                  |

## **PUBLIC EXCLUSIONS**

**The Chancellor moves** that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

- |              |  |
|--------------|--|
| Item No. 1.1 | Council Zoom Meeting Minutes (Part B), 29.04.2020  |
| Item No. 2.1 | University of Auckland Animal Ethics Committee Annual report 2019  |
| Item No. 2.2 | University of Auckland Biological Safety Committee Membership Report and revised terms of reference                      |
| Item No. 2.3 | University of Auckland Human Participants Ethics Committee Membership Report   |
| Item No. 2.4 | Audit and Risk Committee, Minutes (Part B), 25.05.2020 and briefing regarding the University Risk Appetite               |
| Item No. 2.5 | Capital Expenditure Committee, Minutes (Part B), 22.05.2020 and Business Case  |
| Item No. 2.6 | Finance Committee, Minutes (Part B), 22.05.2020, Financial Performance and Forecast for 2020 and Treasury Policy Updates |

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Adrienne Cleland, Professors Metson and Morrow, Peter Gudsell, Simon Neale, Pamela Moss, Todd Somerville, Bridget Fitzpatrick and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

**The University of Auckland  
Council 8 June 2020**

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**CONFERMENT OF DEGREES**

**DOCTOR OF PHILOSOPHY**

Chenghao Cai in Computer Science  
Elsie Carlota Jacobson in Biomedical Science  
University Doctoral Scholar  
Gabriel Christoph Spreitzer in Civil Engineering  
Hester Elizabeth Williams in Biological Sciences  
Jamie Bell in Computer Systems Engineering  
Jennifer Margaret Rankine in Education  
University Doctoral Scholar  
Jingchao Jiang in Mechanical Engineering  
Jonathan Ephraim Klawitter in Computer Science  
Liuyang Xiong in Mechanical Engineering  
Nilima Laura Chowdhury in Psychology  
Renfei Ma in Bioengineering  
Roman Teo Oliynyk in Computer Science  
Sheree Ann Trotter in History  
Shoulong Dong in Chemical and Materials Engineering  
Tanya Wright in Psychiatry  
Xinjian Mao in Biomedical Science  
Yuwen Zhang in Bioengineering  
Zhen Liang in Philosophy

**MASTER OF ARTS WITH SECOND CLASS HONOURS FIRST DIVISION**

Liam Andrew Finnigan in Politics and International Relations

**MASTER OF COMMERCE WITH SECOND CLASS HONOURS FIRST DIVISION**

Jianyu Zhao in Finance

**MASTER OF COMMUNITY DANCE WITH FIRST CLASS HONOURS**

Ziyang Wang

**MASTER OF COUNSELLING**

Suhani Darshika Dass

**MASTER OF ENGINEERING PROJECT MANAGEMENT WITH SECOND CLASS HONOURS FIRST DIVISION**

Chen Yiqin

**MASTER OF ENGINEERING STUDIES WITH FIRST CLASS HONOURS**

Yinan Qi in Computer Systems Engineering

**MASTER OF LAWS WITH MERIT**

Alexander Goetz

**MASTER OF MARKETING WITH MERIT**

Linzi Zhang

**MASTER OF PHILOSOPHY**

Yinming Wu

**MASTER OF PROFESSIONAL ACCOUNTING WITH MERIT**

Xiaohan Gao

**MASTER OF PROFESSIONAL STUDIES WITH SECOND CLASS HONOURS FIRST DIVISION**

Yujie Zhang in Data Science

**BACHELOR OF ARTS (HONOURS) WITH SECOND CLASS HONOURS FIRST DIVISION**

Cassandra Fellows in Drama  
Lauren Black in Sociology

**BACHELOR OF ARTS (HONOURS) WITH SECOND CLASS HONOURS SECOND DIVISION**

Judy Lynnette Harris in Screen Production

**BACHELOR OF ENGINEERING (HONOURS) WITH SECOND CLASS HONOURS FIRST DIVISION**

Lucy May McCallum in Chemical and Materials Engineering

**BACHELOR OF LAWS (HONOURS) (CONJOINT)**

Kate Bethany Fletcher

**BACHELOR OF ARTS (CONJOINT)**

Kelly Te-Ataa-Rangi Reuben

**BACHELOR OF COMMERCE (CONJOINT)**

Tingting Yao

**BACHELOR OF LAWS (CONJOINT)**

Luella Martin

**BACHELOR OF SCIENCE (CONJOINT)**

Yiwen He

**BACHELOR OF ARTS**

Hazel Blanche Alima Sarcilla  
Isla Rachel Budge Norman  
Surine Kaur Singh

**BACHELOR OF COMMERCE**

Abbasseh Amelia Motlagh Rowling  
Fan Yuang  
Fan Zhao  
Junqi Wang  
Keying Su  
Rui Xu  
Shayal Nandani Singh  
Xinran Ning  
Yicheng Liu

**BACHELOR OF EDUCATION (TEACHING)**

Tianarangi Annette Matiu

**BACHELOR OF HEALTH SCIENCES**

Kanwar Preet Kaur Kahlon

**BACHELOR OF LAWS**

Kwok Chun Yu

**BACHELOR OF SCIENCE**

Alexander Thomas Rippon  
Caitlan Miranda de Raadt  
Guo Zhenpeng  
Haolin Tang  
Oscar Marijan Muriwai Yukich Clendon  
Wednesday Clementine Davis  
Yung Keng Yii

**BACHELOR OF SPORT, HEALTH AND PHYSICAL EDUCATION**

Alexander Martin Jaffrey

**The University of Auckland**  
**Council Monday 8 June 2020**

**AWARD OF DIPLOMAS**

**POSTGRADUATE DIPLOMA IN COMMERCE**

Sixuan Li in Accounting

**POSTGRADUATE DIPLOMA IN ENGINEERING**

Bakr M Muntasir A J Faez Al-Gailani in Civil Engineering  
Yang Jinhua in Civil Engineering

**GRADUATE DIPLOMA IN TESSOL**

Judith Margrit Clark

**DIPLOMA IN TEACHING (PRIMARY)**

Amanda Jayne Lipanovic

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|---|--|---|
| <b>Council Minutes Part A</b><br><b>(Open Minutes)</b><br><b>29 April 2020</b><br><b>Zoom meeting</b> |  |    |
| <b>PRESENT:</b>   | Mr St John (Chair), Professor Freshwater (Vice-Chancellor), Professor Curtin, Dr Prasad, Mr Daniell, Ms Newsome, Mr Paitai, Ms Quinn, Mrs Dunphy, and Mr Wang, Ms Tarrant and Ms Dawson.           |   |
| <b>IN ATTENDANCE:</b>   | Professors Morrow and Metson; Mrs Cleland, Ms McNaughton, Ms Moss, Mr Gudsell, Ms Fitzpatrick, Mr Elliott and Mrs Verschaeren  |   |
| <b>1. APOLOGIES</b>   | None   |   |
| <b>2. DISCLOSURES OF INTEREST BY MEMBERS</b>  | The attention of Members was drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in s175 of the Education Act 1989. | No further disclosures were made.   |
| <b>3. CONFERMENT OF DEGREES</b>   | With the authority of Council, the Chancellor <b>conferred</b> the degrees as per the schedule provided to the meeting.  |   |
| <b>4. AWARD OF DIPLOMAS</b>   | With the authority of Council, the Chancellor <b>awarded</b> the diplomas as per the schedule provided to the meeting.   |   |
| <b>5. COUNCIL MEETINGS</b>  | 5.1 <b>Council, Draft Minutes (Part A), 16.03.2020</b>   | <b>RESOLVED</b> (Chancellor/Ms Quinn): that the Minutes (Part A) of the Council meeting held on 16.03.2020 be taken as <b>read</b> and <b>confirmed</b> . |
|   | 5.2 <b>Matters arising from the Minutes (Part A), 16.03.2020</b> not elsewhere on the Agenda.  | No matters arising  |

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| <p><b>6. VICE-CHANCELLOR'S REPORT</b></p> | <p>The Vice-Chancellor, Professor Freshwater, presented this item. She highlighted the following:</p> <ul style="list-style-type: none"> <li>• The outstanding achievement of the University to retain the first place in the Times Higher Education University Impact Rankings. It was a significant achievement for the University to retain this position, particularly because of the increased number of Universities who had also made a submission. The Vice-Chancellor acknowledged and congratulated staff involved with this achievement.</li> <li>• Throughout the Report, the work and strategy of the Pro Vice-Chancellor (Pacific), Associate Professor Salesa has now been extensively included into the University's KPIs.</li> <li>• With regards to point 2.9, the Vice-Chancellor commented that it was important to continue engagement with staff around COVID-19 and the preparation for a new strategy. Two well-attended all-staff forums already took place and a third one was scheduled for 30 April. The latter would include an update about the work of the Incident Management Team (IMT), the student engagement and research activities. The all-staff forums would continue to be held virtually until the time when these could take place face to face. Responses to questions asked through these forums that could not be answered directly, were being collated to be included on a dedicated webpage.</li> <li>• The University also held a Postgraduate Forum, hosted by the Deputy Vice-Chancellor (Research), Professor Metson, and the Dean of Graduate Studies, Associate Professor Daley, which was well attended. It allowed the researchers and postgraduate students to think about the possibilities to continue with business continuity in this period of COVID-19 Level 3 and potentially level 2.</li> <li>• With regards to point 7.6, the Vice-Chancellor congratulated the team involved with the launch of the Te Reo Language Plan on 7 April 2020; she also commented that the app related to this Plan would be launched in the near future.</li> <li>• With regards to point 9.4, Accommodation, the Vice-Chancellor commented that there had been incorrect media reports about how the University had continued to charge fees for accommodation vacated by students. In addition, there had been questions from members of the public and parliament on this issue, especially, as the Universities in New Zealand had addressed this in a different way. The University of Auckland had consequently made a clear and detailed response answering all questions raised by media and the Government.</li> <li>• Considering the work currently taking place with regards to planning for the workforce in the future and recognising that staff were concerned about employment security, the Vice-Chancellor explained that during the lockdown no decisions had been made. The University was developing a new strategy about this and it was important that this new strategy would lead the decisions made about the workforce. The University had however received some information from the State Services Commission related to restrictions around pay freezes and a communication about this would be made tomorrow during the all-staff forum.</li> </ul> | <p><b>RESOLVED</b> (Chancellor/Mrs Dunphy): that the Vice-Chancellor's Report be <b>noted</b>.</p> |
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|  | <p>In response to questions of Council, the Vice-Chancellor said that:</p> <ul style="list-style-type: none"> <li>• In the process of developing a new strategy for the University, it was important to engage extensively with staff and students, in a variety of interactive ways (through existing committee structures and informal meetings), thus insuring that all staff and students understand that this strategy was owned and shared by everyone.</li> <li>• The response from the University to the media about the accommodation issue would be circulated to Council.</li> <li>• A summary of the University’s application for the Times Higher Education University Impact Rankings would be made available for Council.</li> <li>• The Te Reo language Plan and the app which would be launched shortly would be made available to Council and the public.</li> </ul>   |  |
| <p><b>7. SENATE MATTERS</b></p>                            | <p><b>7.1 REPORT OF SENATE, e-meeting ARIL 2020</b></p> <p>Part A 1: Policy and other matters requiring Council <b>approval</b><br/> Part B, 1: Matters for <b>noting</b> by Council<br/> Part C, 1-2: Matters handled under Delegated Authority</p> <p>This item was presented by the Deputy Vice-Chancellor (Academic) (DVC(A)), Professor Morrow.<br/> He commented that Council’s approval was sought for four new academic programmes, developed by the Faculty of Business and Economics.<br/> One of these involved changes to the Bachelor of Commerce which included a multidisciplinary core of courses in the first year of the programme and a capstone course in the last year. These changes reflected the outcome of the programme reviews that took place and work undertaken by the Faculty.</p> <p>In response to a question of Council, the DVC(A) explained that the capstone course was a compulsory course in the programme, typically undertaken in the last semester of study; it gave the students the opportunity to reflect on the range of components they studied in the course of the programme. Students had considerable flexibility with regards to the focus of choice in the capstone course.</p> | <p><b>RESOLVED</b> (Chancellor/Mrs Dunphy): that the recommendations in Part A of the Report of Senate, April 2020 e-meeting be <b>adopted</b> and Parts B and C be <b>noted</b></p> |
| <p><b>8. CORRESPONDENCE REFERRED BY THE CHANCELLOR</b></p> | <p>No items received.</p>  |  |

7.1

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| <p><b>9. OTHER MATTERS FOR DECISION OR NOTING</b></p> | <p>No items received.</p>                                 |
| <p><b>10 GENERAL BUSINESS</b></p>                     | <p>None</p>   |
| <p><b>11. LEAVE OF ABSENCE</b></p>                    | <p>(for the meeting of 08.06.2020)<br/>None requested</p> |

7.1

**PUBLIC EXCLUSIONS**

**RESOLVED** (Chancellor/Vice-Chancellor): that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public was excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter considered:

- Item No. 1.1 Council Meeting Minutes (Part B), 16.03.2020
- Item No. 2.1 University of Auckland Animal Ethics Committee Membership Report
- Item No. 2.2 University of Auckland Biological Safety Committee Membership Report
- Item No. 2.3 University of Auckland Human Participants Ethics Committee Membership Report
- Item No. 2.4 Guiding Principles for Conducting Research with Human Participants
- Item No. 4.1 EFTS Forecast 2020-2021
- Item No. 4.2 Amended Shareholder Expectations for UniServices Limited

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.
- iv)

AND THAT Adrienne Cleland, Professors Metson and Morrow, Peter Gudsell, Ms Fitzpatrick, Mr Elliott and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public had been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, was relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons were responsible.

**The meeting closed at 5.35 p.m.**

**The meeting went into Public Excluded session at 4.30 pm**

**Approved as a true and correct record.**

**Scott St John, Chancellor**

**Date**

## VICE-CHANCELLOR'S REPORT TO COUNCIL 8 June 2020

### 1. HEALTH AND SAFETY

| Indicators |                                 | Last Year | Current Year     |        |             |          |
|------------|---------------------------------|-----------|------------------|--------|-------------|----------|
|            |                                 |           | To Date (1 June) |        | End of Year |          |
|            |                                 | Actual    | Target           | Actual | Target      | Forecast |
| 87         | Rates of accidents and injuries | 492       | 250              | n/a    | ≤ 650       | ≤ 650    |

Comment:

There have been no 'notifiable events' since the last report; the number of injuries and incidents reported during lockdown as reduced markedly (and predictably).

An update on developments follows:

#### **Current HSW Issues**

The HSW Team is fully engaged both in supporting staff working remotely and ensuring that those returning to campus can do so safely.

#### **Incident Data**

The remaining information provides detailed health and safety lag indicator statistics for the University during the period 01 January– 30 April 2020. The incident data, including accidents, are presented at University level and broken down by Faculty or Service Division level, where appropriate. Additional Faculty and Division information has been provided in Tables 6 and 7.

**Table 1: 'Notifiable Event' Occurrences by Month**

*\*Usage is based on approximate employee headcount of 5,250 employees and 41,866 students. Serious Harm is a 'notifiable event' reported to WorkSafe New Zealand. Figures have been adjusted to reflect the date of incident occurrence not the date notified, as per previous reports.*

| Notifiable Event | Jan      | Feb      | Mar      | Apr      | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Total    |
|------------------|----------|----------|----------|----------|-----|-----|-----|-----|-----|-----|-----|-----|----------|
| Employee         | 0        | 1        | 0        | 0        |     |     |     |     |     |     |     |     | 1        |
| Student          | 0        | 0        | 0        | 0        |     |     |     |     |     |     |     |     | 0        |
| Contractor       | 0        | 0        | 0        | 0        |     |     |     |     |     |     |     |     | 0        |
| Third Party      | 0        | 0        | 0        | 0        |     |     |     |     |     |     |     |     | 0        |
| <b>Total</b>     | <b>0</b> | <b>1</b> | <b>0</b> | <b>0</b> |     |     |     |     |     |     |     |     | <b>1</b> |

\*Data added in retrospect.

1 Notifiable event for period January to April 2020.

**Table 2: Injuries by Month**

*An Injury is defined as when "an accident has given rise to injury or ill health."*

| Injury       | Jan       | Feb       | Mar       | Apr      | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Total     |
|--------------|-----------|-----------|-----------|----------|-----|-----|-----|-----|-----|-----|-----|-----|-----------|
| Student      | 3         | 3         | 11        | 0        |     |     |     |     |     |     |     |     | 17        |
| Employee     | 13        | 22        | 7         | 1        |     |     |     |     |     |     |     |     | 43        |
| Contractor   | 0         | 0         | 0         | 0        |     |     |     |     |     |     |     |     | 0         |
| Third Party  | 1         | 0         | 0         | 0        |     |     |     |     |     |     |     |     | 1         |
| <b>Total</b> | <b>17</b> | <b>25</b> | <b>18</b> | <b>1</b> |     |     |     |     |     |     |     |     | <b>61</b> |

**Table 3: Incidents–No Injury by Month**

*An Incident is defined as "any unplanned event having a potential for injury, ill health or damage to plant, property, equipment or the environment. This may also be termed a 'near-miss' or 'close call'."*

| Incident     | Jan      | Feb      | Mar      | Apr      | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Total     |
|--------------|----------|----------|----------|----------|-----|-----|-----|-----|-----|-----|-----|-----|-----------|
| Student      | 0        | 1        | 0        | 0        |     |     |     |     |     |     |     |     | 1         |
| Employee     | 4        | 5        | 1        | 0        |     |     |     |     |     |     |     |     | 10        |
| Contractor   | 1        | 0        | 0        | 0        |     |     |     |     |     |     |     |     | 1         |
| Third Party  | 0        | 1        | 0        | 0        |     |     |     |     |     |     |     |     | 1         |
| <b>Total</b> | <b>5</b> | <b>7</b> | <b>1</b> | <b>0</b> |     |     |     |     |     |     |     |     | <b>13</b> |

**Table 4: Treatment Required by Month**

*Table shows treatment only for employees.*

| Treatment         | Jan       | Feb       | Mar      | Apr      | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Total     |
|-------------------|-----------|-----------|----------|----------|-----|-----|-----|-----|-----|-----|-----|-----|-----------|
| No Treatment      | 2         | 4         | 1        | 0        |     |     |     |     |     |     |     |     | 7         |
| First Aid         | 4         | 8         | 3        | 0        |     |     |     |     |     |     |     |     | 15        |
| Medical Treatment | 8         | 9         | 3        | 1        |     |     |     |     |     |     |     |     | 21        |
| Hospital          | 0         | 0         | 0        | 0        |     |     |     |     |     |     |     |     | 0         |
| <b>Total</b>      | <b>14</b> | <b>21</b> | <b>7</b> | <b>1</b> |     |     |     |     |     |     |     |     | <b>43</b> |

**Table 5: Lost Time Injuries by Month**

*Table shows LTI only for employees.*

| Lost Time Injury | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Total |
|------------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-------|
| Number of LTIs   | 0   | 1   | 0   | 0   |     |     |     |     |     |     |     |     | 1     |
| Total Days Off   | 0   | 0   | 0   | 0   |     |     |     |     |     |     |     |     | 0     |

| <b>Employee</b>                       | <b>Notifiable Event</b> | <b>Injury*</b> | <b>Incident</b> | <b>Total</b> |
|---------------------------------------|-------------------------|----------------|-----------------|--------------|
| Academic Services                     | 0                       | 0              | 0               | <b>0</b>     |
| Bioengineering Institute              | 0                       | 1              | 0               | <b>1</b>     |
| Campus Life                           | 0                       | 5              | 0               | <b>5</b>     |
| Chief Digital Officers Office         | 0                       | 0              | 0               | <b>0</b>     |
| Communications & Marketing            | 0                       | 0              | 0               | <b>0</b>     |
| Equity                                | 0                       | 1              | 0               | <b>1</b>     |
| Faculty of Arts                       | 0                       | 0              | 0               | <b>0</b>     |
| Faculty of Business & Economics       | 0                       | 0              | 0               | <b>0</b>     |
| Faculty of Creative Arts & Industries | 0                       | 1              | 0               | <b>1</b>     |
| Faculty of Education & Social Work    | 0                       | 3              | 0               | <b>3</b>     |
| Faculty of Engineering                | 0                       | 0              | 3               | <b>3</b>     |
| Faculty of Law                        | 0                       | 0              | 0               | <b>0</b>     |
| Faculty of Medical & Health Sciences  | 0                       | 8              | 3               | <b>11</b>    |
| Faculty of Science                    | 0                       | 4              | 3               | <b>7</b>     |
| Finance                               | 0                       | 1              | 0               | <b>1</b>     |
| Human Resources                       | 0                       | 3              | 0               | <b>3</b>     |
| Information Technology Services       | 0                       | 2              | 0               | <b>2</b>     |
| Libraries & Learning Services         | 0                       | 3              | 0               | <b>3</b>     |
| Liggins Institute                     | 0                       | 0              | 0               | <b>0</b>     |
| Māori                                 | 0                       | 0              | 0               | <b>0</b>     |
| Org Performance & Improvement         | 0                       | 1              | 0               | <b>1</b>     |
| Planning & Information                | 0                       | 0              | 0               | <b>0</b>     |
| Property Services                     | 1                       | 8              | 0               | <b>9</b>     |
| Research Programmes                   | 0                       | 0              | 0               | <b>0</b>     |
| School of Graduate Studies            | 0                       | 0              | 1               | <b>1</b>     |
| Strategic Engagement                  | 0                       | 0              | 0               | <b>0</b>     |
| Tāmaki                                | 0                       | 0              | 0               | <b>0</b>     |
| UniServices                           | 0                       | 1              | 0               | <b>1</b>     |
| University Management                 | 0                       | 1              | 0               | <b>1</b>     |
| <b>Total</b>                          | <b>1</b>                | <b>42</b>      | <b>10</b>       | <b>53</b>    |

\* Notifiable event is included in the Injury Total.

**Table 6:  
Faculty/Service Division Breakdown Employee**

| <b>Employee</b>                       | <b>Notifiable Event</b> | <b>Injury*</b> | <b>Incident</b> | <b>Total</b> |
|---------------------------------------|-------------------------|----------------|-----------------|--------------|
| Academic Services                     | 0                       | 0              | 0               | <b>0</b>     |
| Bioengineering Institute              | 0                       | 0              | 0               | <b>0</b>     |
| Campus Life                           | 0                       | 1              | 0               | <b>1</b>     |
| Chief Digital Officers Office         | 0                       | 0              | 0               | <b>0</b>     |
| Communications & Marketing            | 0                       | 0              | 0               | <b>0</b>     |
| Equity                                | 0                       | 0              | 0               | <b>0</b>     |
| Faculty of Arts                       | 0                       | 0              | 0               | <b>0</b>     |
| Faculty of Business & Economics       | 0                       | 0              | 0               | <b>0</b>     |
| Faculty of Creative Arts & Industries | 0                       | 0              | 0               | <b>0</b>     |
| Faculty of Education & Social Work    | 0                       | 0              | 0               | <b>0</b>     |
| Faculty of Engineering                | 0                       | 0              | 0               | <b>0</b>     |
| Faculty of Law                        | 0                       | 0              | 0               | <b>0</b>     |
| Faculty of Medical & Health Sciences  | 0                       | 8              | 1               | <b>9</b>     |
| Faculty of Science                    | 0                       | 8              | 0               | <b>8</b>     |
| Finance                               | 0                       | 0              | 0               | <b>0</b>     |
| Human Resources                       | 0                       | 0              | 0               | <b>0</b>     |
| Information Technology Services       | 0                       | 0              | 0               | <b>0</b>     |
| Libraries & Learning Services         | 0                       | 0              | 0               | <b>0</b>     |
| Liggins Institute                     | 0                       | 0              | 0               | <b>0</b>     |
| Māori                                 | 0                       | 0              | 0               | <b>0</b>     |
| Org Performance & Improvement         | 0                       | 0              | 0               | <b>0</b>     |
| Planning & Information                | 0                       | 0              | 0               | <b>0</b>     |
| Property Services                     | 0                       | 0              | 0               | <b>0</b>     |
| Research Programmes                   | 0                       | 0              | 0               | <b>0</b>     |
| School of Graduate Studies            | 0                       | 0              | 0               | <b>0</b>     |
| Strategic Engagement                  | 0                       | 0              | 0               | <b>0</b>     |
| Tāmaki                                | 0                       | 0              | 0               | <b>0</b>     |
| UniServices                           | 0                       | 0              | 0               | <b>0</b>     |
| University Management                 | 0                       | 0              | 0               | <b>0</b>     |
| <b>Total</b>                          | <b>0</b>                | <b>17</b>      | <b>1</b>        | <b>18</b>    |

\* 'Notifiable Event' is included in the Injury Total

**Table 7:  
Faculty/Service Division Breakdown Student**

| <b>Number of Incidents Reported</b> | <b>2019</b> | <b>2020</b> | <b>Trend</b> |
|-------------------------------------|-------------|-------------|--------------|
| Illness                             | 0           | 1           | ↑ 1          |
| Incident – No Injury                | 15          | 13          | ↓ 2          |
| Injury                              | 72          | 61          | ↓ 11         |
| Observation                         | 8           | 2           | ↓ 6          |
| <b>Total</b>                        | <b>95</b>   | <b>77</b>   | <b>↓ 17</b>  |

| <b>Costs for Injuries</b>   | <b>2019</b> | <b>2020</b> | <b>Trend</b>        |
|-----------------------------|-------------|-------------|---------------------|
| <b>Injury Costs for UoA</b> | \$12,882.86 | \$5,310.90  | ↓ <b>\$7,751.96</b> |

## 2. ACCOMPLISHED AND WELL-SUPPORTED STAFF

2.1 The goals of the Academic Leadership Framework project are:

- Clarity and consistency of academic leadership roles, recognition and support
- Delegation framework that supports timely student-centric decisions
- Delegation framework that values academic time and supports effective decision-making.

VCDD has endorsed the generic role descriptions and guidelines for faculty and LSRI leadership roles of Deputy Dean/Directors, the four core Associate Dean/Director roles, Programme leadership and course director roles. Faculties and LSRIIs are currently developing bespoke transition plans with a view to all transition activity being completed by the end of Semester 2 2020.

The Academic Delegations Framework was approved in 2019. A proposal to clarify powers of sub-delegation and to provide clarity of which roles are covered by the term "Senate and its representatives" within the University's statutes and regulations was endorsed by Senate in February 2020 and by Council in March 2020. The DVC (Academic) will shortly publish a detailed Delegations Schedule assigning delegations from the University's statutes and regulations in line with the role descriptions for the approved academic leadership roles.

The Academic Leadership Framework Steering Committee approved the Project Handover Plan on the 19 May 2020. This plan outlines how changes brought about by the project will be rolled out by the faculties (role transition), with support by Business Recovery Programme (BRP) and service divisions, as well as those activities that will be handed over for the service divisions to pick up as business as usual. The project has moved into transitioning and is expected to close out by end of June 2020

2.2 The Business Process Automation (BPA) Project is standardising, improving and automating high-volume processes to improve service quality and speed and to reduce work effort. After the initial benefits release, more processes have been identified for automation including in the Staff Service Centre, the Student Support Centres, Research Operations Centre and Finance. Chatbot design and planning is now underway, with three areas being considered for the pilot. The three areas being evaluated are in the Staff and Academic space for Engineering, Auckland Online, and the Future Contact Centre.

- 2.3 The Office365 deployment, known as Ngā Tira, was accelerated due to Covid-19 with over 2000 staff now moved onto the new platform. Office365 and Teams provide a collaborative environment and uptake has doubled over lockdown, improving the capability of staff to interact with their teams remotely.
  - 2.3.1 The project team is also collaborating with Microsoft and will be commencing preliminary investigation regarding the use of Teams to support teaching and learning in conjunction with existing University tools like Canvas.
- 2.4 A new access-management tool called Grouper was released in May. Benefits of Grouper include improving the efficiency of provisioning and deprovisioning as people join, move around, and leave the University and increased security and management of software usage potentially saving cost for licensing.
- 2.5 Last year, the IT Transformation program launched ConnectEd, a digital learning and skills academy for Digital Services which brings a world of information and online learning and includes a huge range of courses, videos, ebooks and audio-books. During lockdown additional custom channels were created to provide support for staff making rapid working and home life adjustments.
- 2.6 A new VPN (Virtual Private Network) service, FortiClient, was deployed prior to lockdown, to provide capacity to support the volume of expected staff demand. VPN provides a secure connection to the University network from outside by strongly encrypting the data. During lockdown the VPN usage went from 342 to 2800 users.
- 2.7 The Vice-Chancellor hosted two online all staff forums in May; one on 14 May to discuss the development of the Strategic Plan, and the second on 28 May to discuss the University's financial position and staffing options. Both forums had a high level of engagement from staff, with approximately 3000 attendees at each.

**3 ABLE STUDENTS, SUCCESSFUL GRADUATES AND ALUMNI**

| Indicators  |                              | Last Year | Current Year     |        |             |          |
|-------------|------------------------------|-----------|------------------|--------|-------------|----------|
|             |                              |           | To Date (1 June) |        | End of Year |          |
|             |                              | Actual    | Target           | Actual | Target      | Forecast |
| 32&37       | Total EFTS                   | 34,521    | 31,800           | 30,005 | 35,491      | 33,525   |
| 30,31,35,36 | % Research postgraduate EFTS | 8.6%      | 8.3%             | 8.8%   | 8.7%        | 8.7%     |
| 29&34       | % Taught postgraduate EFTS   | 15.9%     | 16.0%            | 15.7%  | 16.7%       | 16.3%    |

Comments on the KPIs:

The EFTS forecast assumes that Semester 2 courses will be delivered face-to face on Campus but that immigration restrictions for International students will remain in place until Summer School 2021. Semester 2 courses will be offered through both face-to-face and online delivery to meet the learning needs of at-risk students and International students unable to gain entry to New Zealand. The University retained many International students in semester 1 courses through study plans and online delivery, to retain them in Semester 2 courses arrangements have been made for Study Centres to be set up in China.

The move to Online course delivery impacted domestic as well as International students. Semester 2 domestic enrolments are currently particularly low relative to the same time in 2019. First year domestic student numbers are especially impacted with Semester 2 enrolments being 9% lower than at this time last year, and close to 20% lower than at the end of 2019. Providing certainty about Semester 2 course delivery and the resumption of face to face teaching is expected to return Semester 2 enrolments to “normal” levels.

On a total level, the forecast for 2020 has not changed. Domestic funded EFTS are forecast to be around 850 EFTS (2.9%) below budget, but around 490 EFTS (1.7%) below 2019 EFTS. International EFTS are expected to be a similar amount below budget (868 EFTS or 15%) and 430 (8%) EFTS below 2019 levels. At an overall level the forecast shows that the University is likely to be close to 1,850 EFTS (5%) below budget and 687 EFTS (2%) below 2019.

Opportunities to increase Domestic EFTS are being explored, the Ministry of Education’s demand forecast has significant growth in University enrolments in 2021. Youth unemployment is a significant factor in the Ministry’s forecast and the enrolment growth suggests that their forecasts are for high youth unemployment over the next two to three years. Opportunities for new graduates to enhance their future employability through postgraduate courses in 2020 and 2021 are a focus.

| Indicators |  | Last Year | Current Year     |        |             |          |
|------------|--|-----------|------------------|--------|-------------|----------|
|            |  |           | To Date (1 June) |        | End of Year |          |
|            |  | Actual    | Target           | Actual | Target      | Forecast |
| 41         | Doctoral theses completions                | 462       | 260              | 192    | 490         | 490      |
| 40         | Masters theses completions (PBRF eligible) | 836       | 380              | 243    | 820         | 820      |

Comments on the KPIs:

The year-end forecast completions have not been adjusted to take account of the changed environment.

New doctoral enrolments are lower than in 2019, with only 255 new students enrolled to date this year. The Immigration constraints have limited the enrolment of new international students and only 100 new doctoral students have enrolled to date this year. At this time in 2019 we had around 150 new International doctoral students.

- 3.1 The Student Wellbeing Team has started a new Facebook page to connect with students and to promote wellbeing initiatives and strategies. This Facebook page allows staff from the Student Wellbeing Team to connect with students on other Facebook platforms like UoA Meaningful Confessions, and Overheard at UoA, offering helpful advice on how best to connect with services, where appropriate.
- 3.2 Sport and Recreation ran a competition to encourage students and staff to keep moving while they work and study off campus. UoA Runs the Country was held from Monday 4 May to Sunday 17 May. A total of 295 runners participated, running a total of 9811 kms.
- 3.3 Goldie Estate will remain closed following the nationwide lockdown, as the vineyard typically shuts down over the July-August period. The vineyard’s wines are still available to purchase through the website.

- 3.4 The Accommodation Team managed a series of virtual events during lockdown, including the annual Green your Room sustainability challenge, Inter-Res Online Gaming: League of Legends, Inter-Res Debating and an Inter-Res Quiz. Resident Advisers also supported students, both in their rooms on campus and those who elected to go home, through one-on-one Zoom calls and floor meetings.
- 3.5 Following the move from in-person to remote engagement with alumni and friends, ARD has created a virtual event series to replace the planned Raising the Bar (RBT) 2020 series. Whereas over the past three years RTB has involved 20 speakers in 10 bars in central Auckland on one night, the pared down virtual offering features six speakers, across six weeks, from home, allowing alumni around the world to take part. The speakers and topics for 'Raising the Bar – Home Edition' are: Professor Tracey McIntosh on imagining a world without prisons (20 May); Associate Professor Siouxsie Wiles on bioluminescence superbugs (27 May); Professor Olaf Diegel on the untapped potential of 3D printing (3 June); Dr Darl Kolb on working from home and 'the new normal' (10 June); Professor Richard Easter on big bangs and black holes (17 June); and Dr Deb Shepherd and Dr Jamie Newth on impact investing (24 June). Hundreds of registrations for talks were received within hours of announcing the virtual event on 12 May.
- 3.6 The Doctoral Candidature Management (DCM) project will deliver a personalised end-to-end online support system for doctoral candidates, providing a world class doctoral experience for our students. The DCM team has continued to make good progress remotely during lockdown. However, Covid-19 Business Continuity Plan priorities have resulted in diversion of key resource to other initiatives, impacting our delivery plans by approximately 1 month. The shift in delivery is compounded by the complex nature of the product and the detailed business requirements and processes needed to support the University's PhD Statute, the statutes for our named doctorates, and CUAP requirements. The anticipated delivery is forecast for late August / early Sept. Further user acceptance testing is anticipated to kick off in July pending delivery of further developments and availability of test resources. Go live and roll out planning is well underway to meet the anticipated launch in late August / September.
- 3.7 Academic Services continues to work closely with all areas of the University to support the Strategic Response Team and the Business Recovery Team in managing the impacts for our students due to the current COVID-19 pandemic. Work has been undertaken to ensure that teaching and assessment can continue, and monitoring has been put in place to ensure that the revised mode of delivery is providing an effective learning experience for our students. In addition to monitoring the tactical solutions put in place in the early stages of the pandemic, we are focusing on business recovery initiatives to minimise the long-term impacts of the pandemic on students and to support recovery of the University's revenue position.
- 3.8 In order to provide the best customer service experience possible to our students during the pandemic, particularly those in other time zones, we have extended the open hours for the Student Contact Centre (SCC). Additional peer support for students studying remotely is being provided through 73 students employed by the Study Buddy programme which is managed through the SCC. The initiative has been received very positively by students, so we are expanding the programme and are working closely with faculties to identify further opportunities to connect more students with our Study Buddies.
- 3.9 Overall engagement levels continue to remain high, with 97.3% of students enrolled in Semester 1 having used Canvas for at least one course within the last 7 days. Engagement levels for students active on Study Plans are even higher, with Canvas engagement at 98% for this remote study cohort. The high levels of engagement are reassuring, particularly for the overseas-based study plan students and provides confidence that we can retain a high proportion of students in future.
- 3.10 Retention for new domestic undergraduate students from 2019 to Semester 1, 2020 is consistent with previous years' results, sitting at 87%. However, retention for first year international undergraduate students from 2019 to 2020 has unsurprisingly declined because of the impacts of COVID-19, being down 6 percentage points from 93% in 2019 to 87%. Supporting increased retention for both domestic and international students is a priority for the Business Recovery Programme.

- 3.11 It is pleasing to see that despite applicant numbers for Semester 2, 2020 currently being down 5% on 2019 for undergraduate, they are 16% up for postgraduate and 6% more offers have been made to undergraduate applicants, and 15% more for postgraduate compared to this time last year. This is only partly due to the number of international deferrals from Semester 1 which are being processed following conversations with students regarding their options.
- 3.12 School leaver fast track offers started during the first week of May, to date 242 domestic offers and 67 international offers have been made. This is the earliest we have started this process and enables us to make more timely offers in the context of a highly competitive environment, as well as providing school leavers with more certainty around their pathway to university. Consideration will be made to what flexibility needs to be applied due to changes to the NCEA assessment, and a potential interim change to the UE Standard which will be announced by NZQA imminently.
- 3.13 International students with offers to programmes in 2020 are also receiving an additional offer for 2021 to ensure they have more options for when they would like to start their studies. An innovative process for making pre-visa offers to doctoral candidates is being trialled, where appropriate, to allow students to start their study overseas.
- 3.14 Academic Services are supporting the Business Recovery Programme with several campaigns, starting with Semester 2 recruitment and promotion of the off-shore learning centres for students in China. Semester 2 recruitment has increased application targets for students transferring from other New Zealand universities, school leavers and those seeking postgraduate study opportunities to enhance their employability as COVID-19 impacts the job market for new graduates. The offer in market while focusing on the quality of our learning experience and outcomes for students, also makes service commitments for 48 hour turn-around for decisions on application for programme and transfer credit, as well as offering up to 300 accommodation scholarships to support students with an immersive campus experience.
- 3.15 The Tertiary Foundation Certificate (TFC) will be offered in Semester Two and over Summer School, with guaranteed entry to Semester One, 2021 programmes for those who successfully pass the Certificate. Thirty accommodation scholarships are being offered to support this TFC initiative, for students who will greatly benefit from living on campus.
- 3.16 The final assessment timetable for Semester One has been released to students. Work is currently underway to make alternative arrangements for 55 students who have four assessments in three (or fewer) days. The SCC will support students through the final assessment period to ensure any issues they encounter with remote assessment can be resolved quickly.
- 3.17 Provision of remote proctored exams is being piloted with the English Language Academy and will inform the future direction of the Secure Digital Assessments project. Procurement and testing of the technical solution for provision of digital assessments is underway, with Quarter Three assessments being targeted for the pilot and further roll out during Semester Two. This is an important step in the provision of digital assessment which will enable us to provide a more consistent examination experience for students, regardless of geographic location.
- 3.18 The student IT relief effort has been coordinated by the Connect team at Digital Services and the Student Contact Centre. During lockdown, the University has loaned more than 430 laptops and 200 devices to students in need, ensuring all students have equal access to devices for virtual lectures and to complete assignments.
- 3.19 The University of Auckland Research Repository, ResearchSpace, is an online archive for the University of Auckland, and contains Full Text Theses and other research outputs. The migration to Amazon's AWS Cloud service was completed successfully, improving access to and support of this archive.
- 3.20 The Autumn Graduation event was postponed due to COVID-19. Nearly 2,000 of the 6,000 students due to graduate applied to have their degrees conferred in their absence so a fast-track automated graduation solution was initiated so the students could have their degrees conferred at the April 29 Council meeting.

- 3.21 The Student Digital Journey (SDJ) programme developed a Future Student Guide to assist prospective students' in selecting their course of study. The Guide is a brand-new digital tool for prospective students, designed with a specific focus on helping high school students (particularly Year 13) navigate the many, often overwhelming, choices available when thinking about their futures. This guide, along with other digital tools, will help prospects consider potential careers, and how to prepare for them.
- 3.22 In response to the Covid-19 lockdown, a pilot to deliver online student assessments was fast-tracked to include 1000 students taking open book assessments by early June.
- 3.23 Delivering the Lecture Theatre recordings during lockdown - as COVID-19 impacted students' ability to travel to New Zealand, the demand to deliver lecture theatre recordings (LTR) to UoA international students, saw the development of a new more robust platform. LTR is now used by over 800 students offshore and has over 6000 views of different kinds of content in a day.
- 3.24 Connected Experiences is a programme of work to deliver rich and personalised digital experiences for students to connect them to the University. The program consists of 3 streams of work; Unified Campus to provide students one-stop access to the many systems to *succeed, connect & thrive*. Virtual Campus to provide an interactive experience for prospective students (16-18 years) to *connect* to the university; Wayfinding; digitising the university buildings and rooms, with point of interests so students and staff can navigate their way around campus. The Virtual Campus will be launched to support physical and virtual Open Day events and recruitment for Summer School and Semester One 2021.

**4 HIGH QUALITY RESEARCH THAT BENEFITS SOCIETY**

| Indicators |   | Last Year | Current Year    |        |             |          |
|------------|---|-----------|-----------------|--------|-------------|----------|
|            |   |           | To Date (1 May) |        | End of Year |          |
|            |   | Actual    | Target          | Actual | Target      | Forecast |
| 59&60      | Total external research income earned (\$M) |           | 19.8            | n/a    | \$235.8     | \$273.1  |

Comments on the KPIs:

The details of the forecast research revenue are set out in the Finance Report. The earlier than expected return to level 3 and 2 allowed research to be underway earlier than had been anticipated.

- 4.1 Researchers from the University have been prominent in the national response to Covid-19. Work has ranged from the modelling of case numbers, repurposing research facilities to assist with testing capacity, the development of serology based testing, through to fabrication of personal protection equipment such as face masks. The work of our outstanding communicators has also been prominent in the dissemination of critical information. Behind the scenes there have also been notable contributions from units such as the Family Violence Clearing House. The breadth of contributions across the University has been impressive, as has the way these groups have worked very effectively with Government agencies.

4.2 There are a number of initiatives under the Research Eco System and Research Services Initiatives umbrella. The initiatives currently underway are focused on:

- Implementing a new ethics application management system and improvements to business processes. In scope is all Human Ethics, Animal Ethics and Biological Safety applications submitted via the existing InfoEd system as well as those applications currently completed manually through the Auckland Health Research Ethics Committee (AHREC). This project is well-advanced. The Biological Safety application is complete. Remaining project deliverables are expected to be complete by July 2020.
- Developing a clinical research risk framework that provides confidence that clinical research risk is being adequately managed across the University and designing and implementing an effective Service Delivery Model including an organizational structure with supporting policies, processes and procedures that will enable the University to grow clinical research with confidence. The improvement of Clinical research IT systems is also in scope. Most of this project's activities were paused during COVID-19 Levels 3&4 but engagement activities with senior academics are again progressing to confirm the design and transition approach options.
- Improving Research Services, Research Opportunities and Technical Services functions with the delivery of several systems, tools and good practice frameworks to support increased effectiveness across the priority areas of asset management, shared research infrastructure, research facilities, opportunities management, research accounting and reporting, service excellence and governance. This work has been paused as key project resources have been diverted to assist with COVID-19 Incident Management and Business recovery workstreams.

## 5 A SUSTAINABLE, AUTONOMOUS UNIVERSITY

| Indicators |                                   | Last Year | Current Year         |        |             |          |
|------------|-----------------------------------|-----------|----------------------|--------|-------------|----------|
|            |                                   |           | To Date<br>(1 March) |        | End of Year |          |
|            |                                   | Actual    | Target               | Actual | Target      | Forecast |
| 75         | Total revenue (\$M)               | 1,235     | 649                  | 709    | 1,298       | 1,209    |
| 92         | Revenue achieved as a % of budget | 101.8%    | 100.0%               | 109.2% | 100.0%      | 93.1%    |

Comments on the KPIs:

Actual and forecast revenue is being revised and details will be provided through the paper from Finance Committee.

5.1 The following new major gifts and pledges were received by ARD in April 2020:

- \$1,038,250 from the estate of Warwick and Judy Smith, split evenly between Science and Engineering research;
- \$450,000 from the Donny Charitable Trust for a Palliative Care Scholarship;
- \$351,496 from Worldwide Cancer Research for research at the Liggins Institute;
- \$287,998 from the Auckland Medical Research Foundation for research at FMHS;
- \$256,534 from the NZ Lottery Grants Board for research at FMHS;
- \$110,755 from the Norwegian Refugee Council for research at EDSW;
- \$101,505 from Cure Kids for research at FMHS;
- \$80,000 from the Lou and Iris Fisher Charitable Trust for the Mussel Restoration Project;

- \$72,500 from Tamariki Pakari Child Health & Wellbeing Trust for research in Paediatrics;
  - \$70,000 from the Angus Family Trust to support the Dementia Research Clinic;
  - \$60,000 from Foundation North to support the Hauraki Gulf Watershed project at CAI;
  - \$50,000 from Anita Baldauf for Koi Tū: Centre For Informed Futures;
  - \$50,000 from Bernard Sabrier for Koi Tū: Centre For Informed Futures;
  - \$50,000 from the Green Lane Research and Educational Fund for research at FMHS; and
  - GBP57,000 from an anonymous donor for the Ocular Surface Laboratory in the Department of Ophthalmology.
- 5.2 On 9 April ARD emailed all donors with its latest University of Auckland Donor News. Content included a message from the Director of ARD wishing our supporters well at this time, encouraging them to stay connected and asking that, if they are able, they consider giving to the Student Emergency and Wellbeing Fund. The newsletter featured a number of Covid-19 related stories, such as the chatbot designed by researchers in FMHS to help young people manage stress and isolation during the lockdown, as well as stories showing the impact of recent donations, including the new David M Emanuel Scholarship in Accounting & Finance and the appointment of Professor Jaime King as the inaugural John and Marylyn Mayo Chair in Health Law. Important information about the University of Auckland Foundation Endowment Fund and the move to electronic receipts was also included. The newsletter had a significantly higher rate of “opens” and “click throughs” to further information than other issues over the past year.
- 5.3 The South Auckland/Epsom Strategic Initiatives Programme has three major areas of focus:
- Relocation of the Faculty of Education and Social Work (FESW), including the Epsom Marae, to the City Campus, and enabling all current Epsom-based teaching to be delivered from the City Campus. Following the completion of the development of the programme of physical works required to ready the City Campus destination spaces for the faculty, a business case has been developed for consideration by Council.
  - Defining the University’s presence in South Auckland, post 2019, and provisioning a new Campus. On February 24th, teaching commenced at the new South Auckland Campus, Te Papa Ako o Tai Tonga. Feedback from students, academic, and professional staff who are using the Campus, has been extremely positive. The campus is serving approximately 140 students, either enrolled in the Bachelor of Education (Primary) through the University’s Faculty of Education & Social Work or participating in two bridging programmes: the Tertiary Foundation Certificate and the New Start programme. Total teaching time each week is approximately 70 hours. The Campus is also available as a student hub, offering a research and study space to all nearby University of Auckland students, who will have access to library resources, learning, IT and pastoral support as well as application and enrolment advice.
  - Defining the University’s future presence at Tai Tokerau, and preparing a brief for Property Services, that will enable that presence. Given the end-of-life condition of our current facility at Tai Tokerau, a range of options for how we maintain our presence at the site was presented to the programme steering committee in November 2019. Options are now being refined to take into account several scenarios outlining potential future operations at the campus, preparatory to developing a proposal for consideration.
- 5.4 As we move to Alert Level 2, the Government requirement to record the presence of every person on our campuses continues. To meet this requirement, a Check-IN service was fast-tracked and delivered in 10 days. Check-IN, delivered by Wayfinder, has all the University buildings, floors and most rooms available. Staff and students who are on campus are required to record on Check-IN the room/buildings they visit.
- 5.5 With the Covid-19 lockdown, the printed pages at the University was reduced from 1,352,402 sheets of paper in March to 23,483 sheets in April. This is equivalent to a reduction in trees consumed of 159.47 trees and a saving of \$263,338 in one month.
- 5.6 Property Services (PS) have overseen the campus lockdown and management of essential facilities and is now in the process of a staged transitioning of facilities back into use. All buildings have been recommissioned and are available for use.

- 5.7 Construction projects on site have been significantly impacted but since we entered Level 3 site operations have resumed albeit with strict control measures in place, in accordance with Government and industry guidelines.
- 5.8 We have been advised that both the bids (Recreation Wellness Centre and EDSW) that we submitted to the Infrastructure Industry Reference Group through Crown Infrastructure Partners for Government support have been submitted to Ministers for consideration.
- 5.9 B442 Waiparuru Hall - Work has recommenced on site and completion of the second tower B441 (294 beds) is forecast for the end of June 2020 including final BMS testing.
- 5.10 B310 Recreation & Wellness Centre (RWC) including B301 and B312 Demolition, Temporary Recreation Facilities and RWC Enabling Works - The RWC Design is well advanced and will be substantially complete by the end of June, with some architectural items continuing into July. The existing RWC is being cleared in readiness for asbestos removal and soft demolition works.
- 5.11 WIRC Temp Courts - The revised contract programme post COVID-19 lockdown is due to complete 24 September 2020. The project remains in budget with the trades procurement now completed. Structural steel is complete, the roof is being installed, the concrete slab for the ancillary buildings is currently being prepared.
- 5.12 Buildings 311 and B312, Demolition and RWC Enabling - Heavy demolition works and removal of additional asbestos works is complete. The operation of the B311 Boiler has been completed to ensure critical heating is available for Sector 300 buildings including B315 as we enter the winter months. Delays with the importation of new boiler room plant from the USA will delay Project completion until August.
- 5.13 B315 Kate Edger Information Commons (KEIC) - The new life safety system engineering work has been completed and tested. Additional sprinkler works are also being carried out. Ongoing architectural finishing works associated with the fire egress stair are still to be completed however the stair is secure and in use for emergency egress and works are expected to achieve Practical Completion in June. CEC has approved the postponement of further invasive seismic upgrading works until the completion of the RWC.
- 5.14 Faculty of Education & Social Work (EDSW) move from Epsom Campus to the City Campus including B201N&E SSB; B240 5 Alten Road and B104 Old Choral Hall (OCH) - The Business Case has been reviewed by CEC (22 May 2020) and will next be considered by Council (8 June 2020). The project development programme has been maintained through the Level 4 lockdown. A further approval gate will need to be passed to progress to construction. Additional time is being allocated to the Preliminary Design Phase to undertake further User Group consultation; and ensure the full integration of GreenStar initiatives.
- 5.15 B201N&E Faculty of Arts Decant Projects - Work is underway to define the scope of the decant programme required for Social Sciences accommodation; Modification of areas of B529 to accommodate the Anthropology Laboratories decant and a project developing the scope for a leased building, 110 Symonds Street, to accommodate Social Sciences office and doctoral student accommodation. Solutions are still being developed for the balance of the decant spaces.
- 5.16 B104 Old Choral Hall (OCH) - This project is on programme with the design development maintained through lockdown. Resource Consent submission is being targeted for late May 2020 and completion of Developed Design by end of June. Meetings to reduce consent risks with Auckland Council and Heritage NZ have been productive.
- 5.17 B113 and B114 - The design phases will be completed and then construction will be kept on hold until the buildings are required to meet the overall EDSW programme commitments.
- 5.18 B240 5 Alten Road - Design is underway with Concept Design due end of May. The Conservation Report is complete and engagement with the Auckland Council Heritage team and Heritage NZ has been initiated.

- 5.19 B110 Thomas Building Level 4 Refurbishment - The revised programme indicates a delay of 5 weeks post COVID-19 and a revised completion date of early September 2020. This is still within the planned timeframe to move SBS staff and Post Graduates from B104 and B114 to accommodate the commencement of the EDSW works.
- 5.20 B102 Old Government House Seismic Stage 1 & Stage 2 - Stage 1 seismic strengthening works are due to commence in June for 6 months. Stage 2 planning is underway for this summer works.
- 5.21 The DVC(A)'s Office has continued to be closely involved in a wide range of activities designed to support the ongoing delivery of teaching and learning during levels 4 and 3. Learning Delivery Service staff members have been working with academics across the University to assist them to design assessments that can be delivered remotely, including those that will take the place of final invigilated exams. Under level 3 Libraries and Learning Services has been able to offer study space for students who have not been able to access suitable learning environments during level 4; they have also provided a pre-order and collection service to give staff and students access to books and other material that is not available in electronic form. There has been significant demand for both of these services.
- 5.22 Over the course of the last two months work has been undertaken to identify policy settings for a range of COVID-19 related responses, including appropriate aegrotat and compassionate consideration arrangements, and the need to address entry requirements for selective entry programmes in the faculties of Engineering, Law and Medical and Health Sciences. Planning is under way to accommodate teaching under levels 1 or 2 in semester 2 and to offer an enhanced range of summer school courses to meet the needs of foundation students and undergraduate students whose studies have been disrupted.

## **6. STRONG PARTNERSHIPS WITH KEY ORGANISATIONS AND COMMUNITIES**

- 6.1 The DVCR and analyst Cate Gribble have been strongly engaged with a number of international networks looking at the future of Universities. A number of discussion papers have been posted and virtual meetings held through the University Leadership Group of the Global Federation of Competitiveness Council (GFCC). Covid-19 has considerably accelerated this work and the global interest in disruptive events and technologies being considered.

## **7. TREATY OF WAITANGI/TE TIRITI O WAITANGI PARTNERSHIPS FOR MUTUAL BENEFIT**

- 7.1 The focus has continued to be dealing with the repercussions of COVID-19, e.g. participation in Te Kāhui Amokura or participation in UoA work programmes, a senior Māori academics rōpu convened by the PVC Māori, and Te Rūnanga.
- 7.2 Other committees such as Education are also back up and running, leading to no shortage of meetings via zoom.
- 7.3 The Business Recovery work has occupied Kaiarataki Michael Steedman with input particularly around a virtual campus, recruitment for Semester 2 and vision matauranga. Māori are now identified for involvement in each of the Steering Groups/Working Groups as of 15 May 2020.
- 7.4 The PVC Māori has been involved in discussions around shaping the strategic plan by providing input to Pamela Moss and the Vice-Chancellor. A background paper on Te Tiriti has been requested and provided as part of this process.
- 7.5 Te Kūaha has been launched and is available, including to Council members. Just search for this app in Apple or Android stores and download. It has the University of Auckland distinctive branding and is a useful starter for those wanting to learn te reo and basic tikanga.

- 7.6 The launch of Kuputaka (corpus of Māori terms and phrases) for the University of Auckland will be ready in late May. Our project manager has been greatly assisted by Te Mātanga Reo and other parts of the University such as Michelle Park, Senior Web Publisher and Rebecca Emery in Communications. Executive Assistant Cathrine Taylor has received training to be Webmaster so she can maintain the kuputaka and deal with enquiries. This is an addition to her existing job.
- 7.7 Kaimanāki Te Amorangi Rikirangi-Thomas has worked with taura Māori to identify and nominate suitable students for hardship grants, access to specialist accommodation support due to unsuitable environments for study, and to advise on student recruitment.
- 7.8 Negotiations have been underway for the transfer of the James Henare Māori Research Centre to the Faculty of Arts from the office of the Pro Vice-Chancellor Māori. The reasons for this are to be able to provide career development pathways for staff. Currently senior research fellows struggle to access promotions and other staff development opportunities such as Research and Study Leave, and primary supervision of PhD students, outside of an academic unit. However, we need to be cautious as JHMRC have critical iwi relationships, especially in Tai Tokerau and Waikato Tainui that need to be maintained through the office of PVC Māori.
- 7.9 The PVC Māori has also been involved in recruitment for the Dean of Engineering.
- 7.10 The Te Reo Māori Policy Implementation Project follows on from the approval by Council of the Te Reo Maori Policy in 2018 and the endorsement, by the Senior Leadership team, of the resulting Language Plan for the Revitalisation of Te Reo Māori in August 2019. The Language Plan was launched in April together with a four-minute promotional video. A small number (50) of hard copies will also be printed. The Language Plan sets out aspirational goals and a five-year action plan. The University aims to: raise the status of te reo Māori at the University to support its survival; to increase staff and student opportunities to learn and use te reo Māori within the University; to set a standard for te reo use at the University through availability of a Corpus of terms; and to provide greater visibility of te reo and tikanga Māori through our branding, marketing, web presence, and physical environment through bilingual signage and placement of cultural icons such as Pou. Examples of the physical environment changes can be seen in the new Engineering and Park West buildings.
- 7.11 Te Kūaha the University app to support staff, students and alumni in basic te reo and tikanga Māori learning, was launched on May 6th. There have been 2100 uploads of the app in the first 2 weeks. Communications across multiple digital, print, and face to face channels have drawn a positive response.
- 7.12 With the showcasing of the University Language Plan for the Revitalisation of Te Reo Māori and Te Kūaha on the University website and intranet home pages, te ao Māori at the University currently has a high profile.
- 7.13 The University corpus of Māori terms will be placed on the website by 27 May.

## **8. A PUBLIC UNIVERSITY OF GLOBAL STANDING**

- 8.1 University of Auckland and Penn State have selected eight winning projects for the inaugural Collaboration Development Seed Fund grants. These small, jointly-funded grants will promote research and teaching cooperation as part of our strategic partnership with Penn State. Winners to be announced early June.
- 8.2 Agreements finalised and signed:
- Southeast University, China, Memorandum of Understanding
  - Southwest University, China, Transnational Education Programme Renewal

- 8.3 Network Events - Border closures have led to changes in the way key network events are being managed. The Universitas 21 Annual Presidents Annual General Meeting scheduled to be held in Zurich in early May was instead held online. The U21 Presidential Symposium on the theme of Sustainable Universities: Sustainable University Networks was made available online in the same week. Similarly, the Worldwide Universities Network Conference and Annual General Meeting to be held in Monterrey, Mexico was postponed in May. The University was involved in preparations to host the Association of Pacific Rim Universities (APRU) Presidential Meeting at the end of June in Auckland but this has been cancelled. The AGM will be held online from Hong Kong where the APRU secretariat is based.
- 8.4 International Recruitment and Business Development virtual events: Since the end of March 2020, the International Managers and the University's China and India in-country staff participated in 32 online recruitment fairs and activities. These were conducted in the following countries; China, India, Vietnam, USA, Malaysia, Indonesia, Singapore, South Korea. Of these, six are priority markets, and activities in these markets range from recruitment fairs, student information sessions, agent training, high school webinars, and joint partner sessions. Eight student information events and four agent training sessions were held with UP Education, a pathway partner.
- 8.5 COVID-19 response:
- The Study Abroad and 360 International teams have cancelled inbound exchange and study abroad programmes for Semester 2 2020. Communications were sent to partner universities and students, deferring offers to Semester 1 2021.
  - A strategic marketing response to the Covid-19 situation is in progress, focused around marketing automation, and the delivery of a range of events and content via digital and online platforms.
  - The International Office has appointed an in-market representative for India and Vietnam:
    - Ms Vinita Desai – based in Mumbai India (started early April)
    - Mr Quan Dang – based in HCMC Vietnam (started 11 May)

## 9. SECTOR ISSUES

### COVID-19 IMPACTS

#### 9.1 Equity Office

- 9.1.1 At the onset of the Covid-19 emergency the Equity Office-led [Disability Programme](#) was reconfigured to ensure appropriate support for students and staff with disabilities. Support for students with disabilities, including the development of appropriate forms of alternative assessment, and a continued commitment to inclusive teaching practices, emerged as priority issues following the physical closure of the University and the transition to online teaching and learning. Additional barriers for students with disabilities were mitigated through collaborative equity work across the University including through Equity Office's Student Disability Services (SDS) membership of the Incident Management Team (IMT) Student and Teaching and Learning work programme. SDS staff are providing significant support to students with their online learning and to maintain their connection to the University.
- 9.1.2 Reflecting the equity issues and potential concerns experienced by students studying under lockdown and online learning conditions, the Equity Office developed and published Covid specific student equity communications, providing resources to support online learning; [family and relationship violence and abuse](#) key contacts; [mental health](#), and additional information available for [Students with Disabilities](#), [Rainbow students](#) and [Students from Refugee Backgrounds](#).

- 9.1.3 Understanding the equity implications of online learning and ensuring that barriers to participation and success are not exacerbated by the Covid emergency, will continue to be a priority activity for the Equity Office including participation in the Business Recovery Programme.
- 9.1.4 Equity communications have included resources to support staff wellbeing particularly in relation to [mental health](#), [families and parenting](#), [carers](#) and [family violence](#).
- 9.1.5 Covid-19 case studies to accompany the revised Achievement Relative to Opportunity Policy and Guidelines have been developed and are being disseminated. These will provide critical guidance to staff, managers and academic heads on how to take the impact of Covid-19 circumstances into account when assessing staff performance.
- 9.1.6 The Equity Office communicated awareness of [assistive digital technologies](#) used by some university staff, and researchers who have developed technologies to support student online learning capability, as part of Global Accessibility Awareness Day and our relevance to SDG 8: Decent work and economic growth and SDG 10: Reduced Inequalities.
- 9.1.7 Unconscious Bias workshops for students have been adapted into interactive webinars or zoom recordings and delivered to FMHS students.
- 9.1.8 A Parental Leave seminar was adapted to a recorded presentation and is now available for all staff to view [Parental Leave Seminar](#).

## 9.2 Pro Vice-Chancellor Pacific

- 9.2.1 The COVID-19 lockdown had extraordinary effects on Pacific staff and students within the University, and within the Pacific community more broadly. While Pacific communities have had lower transmission rates than other communities, and have been proportionately New Zealand's most tested group, the economic and workforce challenges that are presented by the COVID-19 emergency have had acute effects on Pacific communities, and have exacerbated already existing inequities. The magnification of existing challenges in income disparity and poor housing have been added to by Pacific overexposure to now vulnerable positions in the economy: especially in Airport work, retail, hospitality and tourism, casual contracts and process work. In our wider Pacific neighbourhood the response and effects are somewhat similar: in the Pacific region the decision to close borders has also proved broadly effective (although there are substantial COVID-19 challenges in some Pacific nations, particularly in the North Pacific) but presented enormous challenges to economies substantially driven by tourism and remittances.
- 9.2.2 The Office of the Pro-Vice Chancellor has worked within the University response to prioritise engagement with Pacific students and working in an integrated mode with the University response to optimise our effectiveness and engagement with Pacific students and staff. This has included:
- Supporting University communication and outreach with targeted communications, social media engagement, open forums and zoom fonos.
  - Providing strategic advice and leadership, proactive engagement and operational contributions to the University COVID-19 response and strategy, and is continuing to do so into the Business Recovery Phase.
  - Working closely as a part of the University's strategic plan development.
- 9.2.3 The Office of the PVC-Pacific took a coordinating and supporting role during and subsequent to the COVID-19 lockdown with regard to Pacific student engagement and support, especially with regard to facilitating and leading device provisioning, wifi connectivity, and financial support for the University's Pacific students. This role has continued as we look to extend and sustain our initiatives around digital equity and connectivity.
- Staff within the PVC-Pacific office have also been case managing and supporting students to access these programmes on an individual basis, as high levels of Pacific students struggle to study effectively during the lockdown and to access effectively our available supports.

- 9.2.4 Along with the PVC-Māori, and the PVC-Equity, the PVC-Pacific is leading an urgent 'lessons learned' evaluation around the University's transition to online learning, which is already underway and will have begun feeding back by the end of Semester 1.
- 9.2.5 While all events in this time have been cancelled, and a few postponed, some have been moved online (as with the Samoan Language Week beginning 24 May). Given the critical importance of schools engagement with the Pacific predominant high schools for the University, and the critical role some of these programmes (especially the Pacific Academy) play in students' academic development, alternate modes of delivery have been scoped for the second half of 2020.
- 9.2.6 Te Papa Ako o Tai Tonga (South Auckland Campus) closed with the rest of the University, but was reopened in a limited fashion when we moved to Level 3 to provide student spaces (under careful supervision in accordance with government and university guidance). Around 50 students who were unable to work effectively in their homes were granted access to study at the South Campus.
- 9.2.7 The Fono Pasifika, our peak strategic advisory body of Pacific professional and academic staff leaders continues to meet.
- 9.2.8 The PVC-Pacific supported a bid led out of the University of Auckland for a Pacific Centre of Research Excellence, this was informed it was unsuccessful at the beginning of May. The PVC-Pacific is now exploring ways to continue to support and advance Pacific research outside of the CoRE process
- 9.2.9 Pacific staff research expertise based at the University of Auckland made important contributions to the national response to COVID-19. Of particular importance was the contribution of our newly arrived Associate Dean Pasifika in the Faculty of Medical and Health Sciences, Dr Colin Tukuitonga, a public health doctor and epidemiologist who serves on the Technical Advisory Group for COVID-19 to the Ministry of Health. Important contributions were also made by staff regarding education, wellbeing, and social and cultural engagement.

### 9.3 Campus Life

- 9.3.1 **The Accommodation Team** welcomed more than 400 students back onto campus following the move to Alert Level 2. A further 300 students have completed the returner form to let the residences know when they are returning. The overall accommodation occupancy rate is 80%.
- 9.3.2 **Student Engagement** facilitated a weekly all-student email to share important information around the final assessments timetable, aegrotat compassionate consideration provisions, Semester Two date changes and access to the hardship funds. These emails have an open rate between 60-69% and include information from faculties and other service divisions.
- 9.3.3 Student Engagement reported 292 submissions to hardship funds as of Friday 15 May. Of these, 191 have been accepted, and 6.4% of the total funds have been allocated.
- 9.3.4 Study Spaces were made available from Monday 18 May across several campuses following the move to Alert Level 2. This included rooms in Kate Edger Information Commons, the Science Foyer and the Business School on City Campus. Space was also made available at Epsom, Grafton, Te Papa Ako o Tai Tonga, and Tai Tokerau Library. Students and staff coming onto campuses used the Check-IN web platform to register where they are located. The Event Services team assisted in the readiness and operation of study spaces on-campus, and the Logistics team provided support as required with the movement or delivery of essential office items.
- 9.3.5 **Health and Counselling** has continued to provide online or phone consultations and counselling to students under Level 2. Students are still asked not to come onto campus unless it is necessary.
- 9.3.6 **Commercial Services** provided rental relief to retailers who remained closed during April and May. Retail stores on campus were all closed during Alert Level 3, with the exception of Campus Pharmacy and Munchy Mart, who provided essential retail services. Most retailers remained closed and reviewed their interest in re-opening once Level 2 was reached. Commercial Services has worked with these retailers to ensure their ability to re-open and recommence serving the University community.

- 9.3.7 **Sport and Recreation** re-opened the interim gym facility at 70 Stanley St on Friday 15 May. Students and Staff used the Check-IN app to gain entry to the gym. In total, 205 people used the gym facilities on Friday. Sport and Recreation built an app to manage attendance at group fitness classes.
- 9.3.8 **Early Childhood Education Centres** welcomed children back to centres at Alert Level 2. The centres had opened under Alert Level 3, adhering to the advice and conditions stipulated by public health officials and the MOE.

**9.4 The Business Recovery Programme.** In response to the impacts of COVID-19, a new programme of work has recently been initiated with the objective of returning the University to financial sustainability – a return to at least 3% operating surplus by 2023 – which will put us in a strong and healthy position for future success. The outcomes of the programme will be achieved in a way that is consistent with our mission and values, and our strong commitment to the principles of Te Tiriti o Waitangi.

The Programme is led by the Business Recovery Manager, Stephen Whiteside, and reports through to the University's Strategic Response Team, led by the Vice-Chancellor and comprising the deputy vice-chancellors Learning & Teaching, Operations, Research, and Strategic Engagement, the Dean of the Faculty of Science, the Director HR and the CFO.

The programme has been organised into seven different streams, which incorporate a range of new, and some pre-Covid, initiatives. The deliverables of each stream are tangible, measurable and observable, and address defined problem statements.

Initial work to date has focussed on the initiation of the different streams, their governance and stakeholder frameworks, and detailed activity planning and resourcing.

The focus of each stream is outlined below.

**Stream 1: Online and micro-credential ramp-up**

- Expand on postgraduate online offerings through Auckland Online.
- Accelerate the delivery of micro-credential courses.
- Plan our approach for online delivery to support undergraduate teaching, and international student recruitment and teaching delivery.

**Stream 2: Learning and teaching**

- Embed and enhance the gains we have made in digital-teaching innovation.
- Extend our capacity and capability for digital assessment and online exams.
- Consider where block courses would be advantageous, and plan for the flexible use of campus teaching spaces.

**Stream 3: Current students**

- Enable student success, including improving student retention for Māori, Pacific, equity and at-risk students, and using tools such as learning analytics.
- Optimise employability strategies and student support services.
- Implement the Student Services Strategy, with a focus on enquiry management and academic advice.

**Stream 4: Future students**

- Launch recruitment strategies and activities for school-leavers, transferring undergraduates, postgraduate and international students for Semester 2, Summer School and Semester 1 2021.
- Review and expand Summer School.

- Implement high priority initiatives of Whakamana Tangata, with a focus on transfers, and applications and enrolment processes.
- Develop a prospect digital engagement platform, a Virtual Campus Tour, and a Virtual Open Day for prospective students.

**Stream 5: Research delivery**

- Attract and retain research capability and support our researchers and research students to resume their projects, pursue new opportunities and overcome COVID-19 impacts to deliver excellent, high-impact research
- Support resumption and growth of research, consultancy, contracted services delivery and new business development activity.
- Leverage best practice in research-continuity planning (including validating our portfolios, research partnerships and funding opportunities in the light of Covid-19).
- Continue to improve research and technical-services maturity and promoting research excellence.
- Improve research infrastructure (including research IT and research data management).

**Stream 6: People and culture**

- Support and develop University staff
- Enable staff to make significant contributions to business recovery.

**Stream 7: Sustainable University**

- Model the financial constraints arising from Covid-19, and consider the priorities and opportunities arising from the development of the new University Strategic.
- Provide a people and finance focus, as well as consideration of new academic plans and capital plans.
- Identify opportunities for process improvement and automation.

9.5 The Vice-Chancellor has kept a regular flow of communications with both staff and students to provide updates during Levels 4 and 3.

Professor Dawn Freshwater  
Vice-Chancellor

## Appendix 1 MEDIA REPORT JUNE 2020

As the country responds to Covid-19, our academic experts continue at the forefront of advising government and the health services, and informing the public across a wide range of academic perspectives, not just the immediate medical and healthcare response. Here is a selection of media coverage and expert commentary during Level 4 and as we moved to Levels 3 and 2.

### 14 April

- [NZ's native birds thrive under Covid-19 lockdown](#) - Margaret Stanley, *RNZ*

### 16 April

- [Debate over when and how to ease New Zealand's lockdown turns personal](#) - Jennifer Curtin, *UK Guardian*
- [Rebuilding New Zealand infrastructure will take more than shovels](#) - Anne Salmond, *The Spinoff*

### 17 April

- [Inside the ventilator question](#) - Te Arai Palliative Care and End of Life Research Group, *Newsroom*
- [How Covid-19 could be good for dating](#) - Holly Dixon, *Newsroom*

### 18 April

- [CPR decisions in a pandemic](#) - Natalie Anderson, *Newsroom*

### 19 April

- [The relevance of te Tiriti o Waitangi in the Covid-19 era](#) - Claire Charters, *Newsroom*

### 20 April

- [Arts healing balms for a sick world](#) - Peter O'Connor, *Arts Hub*
- [Conflict, climate and contagion: refugees suffer](#) - Jay Marlowe, *Newsroom*
- [Coronavirus: How New Zealand relied on science and empathy](#) - Siouxsie Wiles, *BBC report*
- [Conflict, climate and contagion: refugees suffer](#) - Jay Marlowe, *Newsroom*

### 21 April

- [Covering Climate Now: What difference can individual action make?](#) - Niki Harre, *NZHerald*
- [Coronavirus: Kiwi patriotism, trust in institutions rise amid pandemic, major study finds](#) - Chris Sibley, *Stuff*
- [Calls for police to shut down "illegal" checkpoints](#) - Andrew Spurl, *RNZ*
- [Female leaders seen as voices of reason amid coronavirus chaos](#) - Jennifer Curtin, *Washington Post/Stuff*
- [Coronavirus upends outsourcing as firms 'reshore', embrace AI](#) - Ilan Oshri, *Straits Times*
- [Covid-19: Vital that tikanga adapts again](#) - Charlotte Muru-Lanning, *Newsroom*

**22 April**

- [Coronavirus: Supply issues could last to next year - expert](#) - Ilan Oshri, *RNZ*
- [COVID-19 home gardening could see healthier Pacific diets](#) - Collin Tukuitonga, *ABC*

**22 April**

- [Thousands of face shields being produced for health workers](#) - Paul Roberts, *Scoop*

**23 April**

- [Democratic innovation provides platform for public trust in the midst of a crisis](#) - Peter Gluckman, *Dominion Post*
- [Covid-19 mass surveillance terrifying for the future](#) - Matt Bartlett, *Newsroom*
- [Not too late to change abhorrently wasteful policy](#) - Susan St John, *Newsroom*
- [A dog's POV during lockdown](#) - Mark Harvey, *RNZ*
- [School closures during the Coronavirus pandemic might worsen the epidemic of childhood obesity](#) - Samantha Marsh, *Forbes*

**24 April**

- [Could a cash drop for every kiwi help stem the fallout?](#) - Susan St John, *RNZ*
- ['The possibility of reinvasion of the disease is very strong'](#) - Shaun Hendy, *RNZ*
- [Covid-19 could trigger systemic change](#) - Klaus Bosselmann, *Newsroom*
- [The gap in our Anzac memory](#) - Sheree Trotter, *Newsroom*
- [Survey to stocktake biodiversity in cities starts today](#) - James Russell, *RNZ*
- [Inequalities increase COVID19 fatality rates](#) - Shaun Hendy, *te hiku media*

**25 April**

- [Dr Fabio Morreale explains how platforms like Spotify influence our listening habits](#) - Fabio Morreale, *RNZ*

**27 April**

- [Contact trace app success depends on user trust: experts](#) - Gehan Gunasekara, *Otago Daily Times*
- [Eliminating Covid 19 coronavirus: Jacinda Ardern's trailblazing mission - have we already won?](#) - Shaun Hendy, *NZ Herald*
- [Is asymptomatic Covid-19 really that widespread?](#) - Janine Paynter and Daniel Exeter, *Newsroom*

**28 April**

- [Creative arts-based resources ready for teachers as schools re-open](#) - Peter O'Connor, *Education HQ*
- [Coronavirus: Māori health services see drop in patients with chronic conditions](#) - Gerry Devlin, *RNZ*
- [The Psychology behind positive emotions](#) - Niki Harre, *Mind Food*
- [The Covid-19 immunisation race](#) - Helen Petousis-Harris, *Newsroom*
- [Employment after lockdown - Expert Reaction](#) - Elizabeth George, *Science Media Centre*

**29 April**

- [Covid-19: The tough questions on a digital contact-tracing app](#) - Andrew Chen, *The Spinoff*
- [Contact trace app success depends on user trust: experts](#) - Gehan Gunasekara, *Otago Daily Times*
- [Many 'shovel-ready' projects ill-suited for new normal](#) - Tim Hazledine, *Otago Daily Times*

**30 April**

- [Expert: NZ caught 'with our pants down' by Covid-19](#) - Des Gorman, *Newsroom*
- [Breadwinner asks: Why can't I access Government support?](#) - Susan St John, *Stuff*

**1 May**

- [Measuring household chaos, scientifically](#) - Samantha Marsh, *RNZ*
- [We can't ask young people to pay the price alone](#) - Tim Mulgan, *Newsroom*

**3 May**

- [The vā that binds: a Pasifika education story during Covid-19](#) - Jacoba Matapo, *Newsroom*

**4 May**

- [Cyclones and coronavirus: Pacific Island nations face a 'double-whammy' catastrophe](#) - Collin Tukuitonga, *The Telegraph, UK*
- [Coping with Covid at home with a half-built kitchen](#) - Bill McKay, *RNZ*
- [Social cohesion among Kiwis threatened post-Covid, say experts](#) - Sir Peter Gluckman, *Stuff*
- [In the recession, space firms should focus on Earth imagery](#) - Nicholas Borroz, *The Space Review*
- [Covid-19 and care homes: the myths and realities](#) - Te Ārai Palliative Care and End of Life Research Group, *Newsroom*
- [Pandemic plan doesn't mention shutting borders](#) - Des Gorman, *Newsroom*

**5 May**

- [Coronavirus: Sir Roger Douglas says house building and spending cuts key to fixing Covid-19 shock](#) - Robert MacCulloch, *Stuff*
- [Please Jacinda, put up my taxes](#) - Niki Harré, *Newsroom*

**6 May**

- [NZ's coronavirus lockdown has created 'once in a lifetime opportunity' to squash HIV, too - health advocates](#) - Peter Saxton, *TVNZ*
- [Doubts rise in NZ about contact tracing apps](#) - Andrew Chen, *Newsroom*
- [The ethics of contact tracing apps](#) - Tim Dare, *Newsroom*
- [Covid-19 never a better time to talk about death](#) - Te Ārai Palliative Care and End of Life Research Group, *Newsroom*
- [A sneaky clause means retail rent can only go up, never down](#) - David Grinlinton, *Newsroom*
- [Bell Gully becomes the third major law firm in a day to say it will repay the wage subsidy](#) - Robert MacCulloch, Roger Douglas, *NZ Herald*

**7 May**

- [Efforts needed to help children avoid mental health consequences of lockdown](#) - Peter O'Connor, *RNZ*
- [Virus Upends Outsourcing as Firms 'Reshore'](#) - Ilan Oshri, *Contact Centre World*
- [What lockdown revealed about child abuse reporting](#) - Briar O'Connor, *Newsroom*
- [Three keys to post-Covid business success](#) - Peter Zámorský, *Newsroom*
- [Māori mobilisation in a crisis: a proud history](#) - Aroha Harris, *The Spinoff*

**8 May**

- [Government considering change to benefit access rules](#) - Susan St John, *Stuff*
- [Student venture aims to bring automation to home builders](#) - Voxy

**9 May**

- [Tracey McIntosh talks with PM Jacinda Ardern](#) - Conversations through Covid-19, Tracy McIntosh, *Facebook.com*
- [School climate strikers to shift action online amid Covid-19 restrictions](#) Lara Greaves, *1 News*
- [Auckland neighbourhood bands together to create world-first safety device to detect Covid-19 in vulnerable communities](#) - *TVNZ*

**11 May**

- [We can't halt ocean decline as individuals](#) - Maria Armoudian, Jenny Hillman, Simon Thrush, *Newsroom*
- [Tank the tunnel](#) - Tim Hazledine, *Newsroom*

**12 May**

- [Let's not set expectations of Level 2 too high](#) - Hiran Thabrew, FHMS, *The Guardian*
- [NZ's toolkit for the Covid-19 response limited by our institutions](#) - Natasha Hamilton-Hart, *Stuff*
- [From locked up to locked down](#) - Alice Mills, *Newsroom*
- [What to be wary of under alert level 2 to avoid new clusters](#) - Shaun Hendy, *RNZ*

**13 May**

- [Covid-19 and Māori health](#) - Rhys Jones, Te Kupenga Hauora Māori, FMHS, *The Spin-off*
- [Covid 19 coronavirus: Virus' circulation in NZ still an unknown](#) - Shaun Hendy, *NZ Herald*
- [Viral mutations, lineages, and strains – Expert Reaction](#) - David Welch, *Science Media Centre*
- [Private tech solutions to fill Govt contact tracing void](#) - Andrew Chen, *Newsroom*
- [The Near Side: Artists from Aotearoa at NIRIN](#) - Jonathan Bywater, *Contemporary HUM*

**14 May**

- [What it's like becoming a doctor during a pandemic](#) - Emma Espiner, FHMS, *Newsroom*
- [Why this Budget matters for intergenerational fairness](#) - Susan St John and Claire Dale, *Newsroom*
- [How to take the anxiety out of the return to school](#) - Samantha Marsh, *Newsroom*
- [Coronavirus: COVID-19 law isn't the problem, it's the interpretation - expert](#) - Jane Kelsey, *Newshub*

**15 May**

- [What the Budget means for women](#) - Jennifer Curtin, Suzy Morrissey and Sarah Bickerton, *Newsroom*
- [Actions to eliminate Covid-19 could work for HIV](#) - Peter Saxton, *Newsroom*
- [Budget's worrying debt-to-GDP red flags](#) - Ananish Chaudhuri, *Newsroom*

**16 May**

- [Coronavirus: How NZ can avoid ending up being the next Singapore](#) - Shaun Hendy, *Newshub*
- [Why we need real life teachers](#) - Alison Jones, *Newsroom*

**17 May**

- [Language identity and real Samoans](#) - Patrick Thomsen, *E-Tangata*

**18 May**

- [Urban Issues with Bill McKay](#) - Bill McKay, *RNZ*

**19 May**

- [Bolsonaro's indifference shocks but Brazil has some better news](#) - Genaro Oliveira, *Stuff/Dominion Post*
- [Political poll has staggering numbers in light of pandemic](#) - Jennifer Curtin, *RNZ*
- [Lower music volume to help limit spread of Covid-19](#) - Siouxsie Wiles, *One News*
- [Were post-pandemic cities best planned 100 years ago?](#) - Diane Brand, *Newsroom*
- [Trade deals are a handbrake on New Zealand's post-Covid recovery](#) - Jane Kelsey, *The Spinoff*

**20 May**

- [Jacinda Ardern's global renown is great but she must do more for women](#) - Jennifer Curtin, *Guardian*

**21 May**

- [Junior doctor breaks down cultural barriers](#) - Tuipoloa Opetaiia Aati, *Coconet TV*
- [Wake up, sheeple! The viral conspiracies](#) - Robert Bartholomew, *The Detail*

**22 May**

- [Tracing a virus](#) - Andrew Chen, *The Detail*
- [It's time to sacrifice the pension for the greater good](#) - Susan St John, *Stuff*
- [Changing your mind on cannabis](#) - Ananish Chaudhuri, *Newsroom*

**23 May**

- [How contact tracing apps work](#) - Siouxsie Wiles, *The Spinoff*
- [Can New Zealand's National Party reinvent itself under Todd Muller?](#) - Jennifer Curtin, *Guardian*
- [Coronavirus: New Zealand on track to shift to alert level 1, but not yet - expert](#) - Shaun Hendy, *Newshub*

**24 May**

- [Pushing for real change](#) - Papaarangi Reid, *E-tangata*
- [How to reopen borders safely: when and how will domestic and international travel resume?](#) - Shaun Hendy, *ABC radio*

**25 May**

- [Your nose: the window to your brain](#) - Maurice Curtis, *Newsroom*
- [Wellbeing should be schools' top focus after lockdown](#) - Peter O'Connor, *1 News*

**26 May**

- [The pandemic is Facebook's ultimate test - and it's failing](#) - Robert Bartholomew, *Newsroom*
- [National doesn't need a John Key 2.0](#) - Jennifer Lees-Marshment, *Newsroom*
- [Surgical mesh victim launches petition for right to appeal complaint decisions](#) - Jo Manning, *NZ Herald*

**27 May**

- [Covid-19 efforts may mean mild flu season](#) - Nikki Turner, *RNZ*
- [Providing 'world-class' mental health care](#) - Sam Manuela, *Cook Islands News*

**28 May**

- [Has Sweden made a fatal mistake with Covid-19?](#) - Rod Jackson, *NZ Herald*
- [NZ-Pacific bubble should come first](#) - Collin Tukuitonga, *Newsroom*

*Chair Approved but not confirmed by the Committee*

**Audit and Risk Committee MINUTES | PART A**

25 May 2020

Zoom Meeting

08.00am to 10.30am



|   |   |  |
|---|---|--|
| <p><b>Present:</b> Jan Dawson (Chair), Scott St John, Cecilia Tarrant, Rachael Newsome, and Dr Rajen Prasad</p> <p><b>In Attendance:</b> Professor Dawn Freshwater, Adrienne Cleland, Peter Gudsell, Rachelle Miller, Professor Damon Salesa, Andrew Phipps, Angus Clark, Jason Mangan, James Harper, Sharmaine Naidoo, Susan Jones, Anthony Steele, Yoonyoung Lee, David Sutton, Karl Arndt, Jacqui Metcalf and Matt Browne.</p> |   |  |
| 1. Apologies  | There were no apologies for this meeting.   |  |
| 2. Disclosures of Interest  | No further disclosures were made.   |  |
| 3. Minutes of Audit and Risk Committee of 06 March 2020   | <p><b>Item No 3.1: Minutes, Part A</b></p> <p><b>Item No 3.2: Matters Arising</b> from the Minutes, Part A, not elsewhere on the agenda.</p>  | <p><b>RESOLVED (Chair   Scott St John)</b> that the Minutes, Part A, of the Audit and Risk Committee held on 06 March 2020 be taken as read and confirmed.</p>   |
| 4. Other Matters for Decision or Noting   | No other matters for decision were noted.   |  |
| 5. Leave of Absence   | Cecilia Tarrant requested a possible leave of absence for the next meeting.   |  |
| 6. Public Exclusions  | <p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p> <p>General subject of each matter to be considered:</p> <p><b>Item No 7.1: Audit and Risk Committee Meeting 06 March 2020, Minutes Part B</b></p> <p><b>Item No 7.2: Audit and Risk Committee Meeting 06 March 2020, Matters Arising from Minutes, Part B</b></p> <p><b>Item No 8.0: Combined Assurance Report</b></p> <p><b>Item No 9.0: Health &amp; Safety Update</b></p> <p><b>Item No 10.0: Information Security Strategy</b></p> | <p><b>RESOLVED (Chair   Scott St John)</b> that the public be excluded from Part B of this meeting AND THAT Professor Freshwater, Mrs Cleland, Mr Gudsell, Mrs Miller, Mr Steele, Ms Lee, Ms Jones, Mr Sutton, Mr Arndt, Mr Clark, Mr Phipps, Mr Harper, Mr Mangan, Mrs Naidoo, Associate Professor Salesa, Mr Browne and Mrs Metcalf be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the</p> |

9.1

*Chair Approved but not confirmed by the Committee*

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|   | <p><b>Item No 11.0: Cyber Security Programme Update</b><br/> <b>Item No 12.0: Insurance Renewal Planning</b><br/> <b>Item No 13.0: 2020 Audit &amp; Risk Annual Plan</b><br/> <b>Item No 14.0: Risk Appetite Approval</b><br/> <b>Item No 15.0: COVID-19 Briefing</b></p> <p>Reason for passing this resolution in relation to each matter:<br/> The protection of the interests mentioned below.<br/> Grounds under section 48(1) for the passing of this resolution:<br/> Those in Section 9 of the Official Information Act 1982 namely:</p> <ul style="list-style-type: none"> <li>i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;</li> <li>ii) To enable the University to carry on without prejudice or disadvantage negotiations; and</li> <li>iii) To prevent the disclosure or use of Official Information for improper gain or advantage.</li> </ul> | <p>administration of the University of Auckland for which those persons are responsible.</p> |
| <p><b>The meeting moved into a Public Excluded Session at 08.02am.</b><br/> <b>The meeting closed at 9.59am.</b><br/> <b>Approved as a true and correct record.</b></p> <hr/> <p><b>Jan Dawson, Chair</b><br/> <b>21 September 2020</b></p> |  |  |

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*Chair Approved but not confirmed by the Committee*

**Capital Expenditure Committee MINUTES | PART A**

22 May 2020

Zoom Meeting

10.00am to 11.30am



|  |   |   |
|--|---|---|
| <b>Present:</b> Scott St John (Chair), Cecilia Tarrant, Michael Daniell and Professor Dawn Freshwater  |   |   |
| <b>In Attendance:</b> Adrienne Cleland, Peter Gudsell, Simon Neale, Lee Johnson, Professor Robert Greenberg, Professor Simon Holdaway, Associate Professor Mark Barrow, Jacqui Metcalf and Matt Browne |   |   |
| <b>1. Apologies</b>  | Jan Dawson was noted as an apology.   |   |
| <b>2. Disclosures of Interest</b>  | No further disclosures of interest were noted.  |   |
| <b>3. Minutes of Capital Expenditure Committee of 25 September 2019</b>  | <p><b>Item No 3.1: Minutes, Part A</b></p> <p><b>Item No 3.2: Matters Arising</b> from the Minutes, Part A, not elsewhere on the agenda.</p>  | <b>RESOLVED (Chair   Cecilia Tarrant)</b> that the Minutes, Part A, of the Finance Committee held on 25 September 2019 be taken as read and confirmed.  |
| <b>4. Other Matters for Decision or Noting</b>   | No other matters for decision were noted.   |   |
| <b>5. Leave of Absence</b>   | No leave of absence was noted for the next meeting.   |   |
| <b>6. Public Exclusions</b>  | <p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p> <p>General subject of each matter to be considered:</p> <p><b>Item No 7.1: Capital Expenditure Committee Meeting 25 September and 4 October 2019, Minutes Part B</b></p> <p><b>Item No 7.2: Capital Expenditure Committee Meeting 25 September and 4 October 2019, Matters Arising from Minutes, Part B</b></p> <p><b>Item No 8.0: Relocation Proposal and Building Upgrade</b></p> <p><b>Item No 9.0: Report on use of Vice-Chancellor Capex Delegation</b></p> | <b>RESOLVED (Chair   Michael Daniell)</b> that the public be excluded from Part B of this meeting AND THAT Mrs Cleland, Mr Gudsell, Mr Neale, Mr Johnson, Professor Greenberg, Professor Holdaway, Associate Professor Barrow, Mrs Metcalf and Mr Browne be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible. |

9.2

*Chair Approved but not confirmed by the Committee*

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|  | <p><b>Item No 10.0: KEIC Upgrade Change Request</b></p> <p><b>Item No 11.0: Newmarket Post Implementation Review</b></p> <p>Reason for passing this resolution in relation to each matter:<br/>The protection of the interests mentioned below.</p> <p>Grounds under section 48(1) for the passing of this resolution:<br/>Those in Section 9 of the Official Information Act 1982 namely:</p> <ul style="list-style-type: none"> <li>i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;</li> <li>ii) To enable the University to carry on without prejudice or disadvantage negotiations; and</li> <li>iii) To prevent the disclosure or use of Official Information for improper gain or advantage.</li> </ul> |  |
| <p><b>The meeting moved into a Public Excluded Sessions at 10.03am.</b></p> <p><b>The meeting closed at 11.32am.</b></p> <p><b>Approved as a true and correct record.</b></p> <hr/> <p><b>Scott St John, Chair</b></p> <p>    2020</p> |   |  |

*Chair Approved but not confirmed by the Committee*

**Finance Committee MINUTES | PART A**

**22 May 2020**

**Zoom Meeting**

**08.00am to 10.00am**



|   |   |  |   |
|---|---|--|---|
| <p><b>Present:</b> Michael Daniell (Chair), Scott St John, Cecilia Tarrant, Professor Dawn Freshwater, Cathy Quinn, Catherine Dunphy, Professor Jennifer Curtin and Junyi Wang</p> <p><b>In Attendance:</b> Adrienne Cleland, Peter Gudsell, Jacqui Metcalf, Bridget Fitzpatrick, Mudasir Matto, Associate Professor Damon Salesa and Matt Browne</p> |   |  |   |
| <p><b>1. Apologies</b></p>  | <p>John Paitai was noted as an apology.</p>   |  |   |
| <p><b>2. Disclosures of Interest</b></p>  | <p>No further disclosures of interest were noted.</p>   |  |   |
| <p><b>3. Minutes of Finance Committee of 04 March 2020</b></p>  | <table border="1"> <tr> <td> <p><b>Item No 3.1: Minutes, Part A</b></p> <p><b>Item No 3.2: Matters Arising</b> from the Minutes, Part A, not elsewhere on the agenda.</p> </td> <td> <p><b>RESOLVED (Chair   Scott St John)</b> that the Minutes, Part A, of the Finance Committee held on 04 March 2020 be taken as read and confirmed.</p> </td> </tr> </table> | <p><b>Item No 3.1: Minutes, Part A</b></p> <p><b>Item No 3.2: Matters Arising</b> from the Minutes, Part A, not elsewhere on the agenda.</p> | <p><b>RESOLVED (Chair   Scott St John)</b> that the Minutes, Part A, of the Finance Committee held on 04 March 2020 be taken as read and confirmed.</p> |
| <p><b>Item No 3.1: Minutes, Part A</b></p> <p><b>Item No 3.2: Matters Arising</b> from the Minutes, Part A, not elsewhere on the agenda.</p>  | <p><b>RESOLVED (Chair   Scott St John)</b> that the Minutes, Part A, of the Finance Committee held on 04 March 2020 be taken as read and confirmed.</p>   |  |   |
| <p><b>4. Other Matters for Decision or Noting</b></p>   | <p>No other matters for decision were noted.</p>  |  |   |
| <p><b>Other Matters for Decision or Noting</b></p>  | <p>The following section has been approved for insertion in Part A section of the minutes.<br/>It contains the highlights of the Forecast Financial Performance for 2020 to the Finance Committee.</p>  |  |   |

9.3

*Chair Approved but not confirmed by the Committee*

**1.0 Financial highlights**

| Table 1<br>EFTS / \$000s               | 2020           |                |                 |                | 2019           |                 |                | 2018           | 2017           |
|--|----------------|----------------|-----------------|----------------|----------------|-----------------|----------------|----------------|----------------|
|  | Forecast       | Budget         | var             | var %          | Actual         | var             | var %          | Actual         | Actual         |
| <b>EFTS</b>                            |                |                |                 |                |                |                 |                |                |                |
| Undergraduate                          | 25,028         | 26,174         | (1,146)         | (4.4%)         | 25,736         | (709)           | (2.8%)         | 25,388         | 25,197         |
| Postgraduate                           | 7,974          | 8,574          | (601)           | (7.0%)         | 8,086          | (112)           | (1.4%)         | 7,950          | 7,850          |
| Other                                  | 533            | 743            | (210)           | (28.2%)        | 389            | 144             | 37.1%          | 467            | 318            |
| <b>TOTAL</b>                           | <b>33,534</b>  | <b>35,491</b>  | <b>(1,957)</b>  | <b>(5.5%)</b>  | <b>34,211</b>  | <b>(676)</b>    | <b>(2.0%)</b>  | <b>33,805</b>  | <b>33,366</b>  |
| <b>Domestic</b>                        | <b>28,000</b>  | <b>28,857</b>  | <b>(857)</b>    | <b>(3.0%)</b>  | <b>28,368</b>  | <b>(368)</b>    | <b>(1.3%)</b>  | <b>28,346</b>  | <b>28,534</b>  |
| <b>International</b>                   | <b>5,001</b>   | <b>5,891</b>   | <b>(890)</b>    | <b>(15.1%)</b> | <b>5,454</b>   | <b>(452)</b>    | <b>(8.3%)</b>  | <b>4,993</b>   | <b>4,514</b>   |
| <b>Other</b>                           | <b>533</b>     | <b>743</b>     | <b>(210)</b>    | <b>(28.2%)</b> | <b>389</b>     | <b>144</b>      | <b>37.1%</b>   | <b>467</b>     | <b>318</b>     |
| <b>TOTAL</b>                           | <b>33,534</b>  | <b>35,491</b>  | <b>(1,957)</b>  | <b>(5.5%)</b>  | <b>34,211</b>  | <b>(676)</b>    | <b>(2.0%)</b>  | <b>33,805</b>  | <b>33,366</b>  |
| <b>RESEARCH &amp; CONTRACT Revenue</b> |                |                |                 |                |                |                 |                |                |                |
| University                             | 210,450        | 236,258        | (25,808)        | (10.9%)        | 220,264        | (9,814)         | (4.5%)         | 206,858        | 164,891        |
| UniServices (excl subcontracts)        | 57,486         | 63,595         | (6,109)         | (9.6%)         | 62,626         | (5,140)         | (8.2%)         | 70,317         | 95,426         |
| <b>TOTAL</b>                           | <b>267,936</b> | <b>299,853</b> | <b>(31,917)</b> | <b>(10.6%)</b> | <b>282,890</b> | <b>(14,954)</b> | <b>(5.3%)</b>  | <b>277,175</b> | <b>260,317</b> |
| <b>RESEARCH Overhead Recoveries</b>    |                |                |                 |                |                |                 |                |                |                |
| University                             | 41,273         | 51,100         | (9,827)         | (19.2%)        | 52,864         | (11,591)        | (21.9%)        | 48,801         | 32,639         |
| UniServices                            | 465            | 502            | (37)            | (7.4%)         | 875            | (411)           | (46.9%)        | 4,644          | 7,486          |
| <b>TOTAL</b>                           | <b>41,738</b>  | <b>51,602</b>  | <b>(9,864)</b>  | <b>(19.1%)</b> | <b>53,740</b>  | <b>(12,002)</b> | <b>(22.3%)</b> | <b>53,445</b>  | <b>40,125</b>  |
| <b>OVERVIEW</b>                        |                |                |                 |                |                |                 |                |                |                |
| Total revenue                          | 1,208,622      | 1,298,388      | (89,766)        | (6.9%)         | 1,235,225      | (26,603)        | (2.2%)         | 1,202,241      | 1,149,434      |
| Total expenditure                      | 1,272,314      | 1,265,792      | (6,522)         | (0.5%)         | 1,184,768      | (87,545)        | (7.4%)         | 1,143,559      | 1,110,387      |
| Net surplus                            | (63,692)       | 32,597         | (96,288)        | (295%)         | 50,457         | (114,148)       | (226%)         | 58,682         | 39,047         |
| Less unusual items                     |                |                | -               |                | 8,712          | (8,712)         |                | 13,600         | 9,750          |
| Adjusted Net surplus                   | (63,692)       | 32,597         | (96,288)        | (295%)         | 41,745         | (105,436)       | (253%)         | 45,082         | 29,297         |
| %                                      | (5.3%)         | 2.5%           | (7.8%)          |                | 3.4%           | 8.6%            |                | 3.7%           | 2.5%           |
| Operating cash flows                   | 161,053        | 212,414        | (51,360)        | (24.2%)        | 242,446        | (81,392)        | (33.6%)        | 165,565        | 201,090        |
| Cash and ST investments (net)          | (168,377)      | (254,912)      | 86,535          | 34%            | (130,621)      | (37,756)        | (29%)          | 27,807         | 158,787        |
| Capital Expenditure                    | 171,000        | 237,900        | 66,900          | 28%            | 410,668        | 239,668         | 58%            | 319,628        | 191,735        |
| Net assets                             | 3,168,614      | 3,068,517      | 100,097         | 3.3%           | 3,231,735      | (63,121)        | (2.0%)         | 2,995,187      | 2,724,871      |

9.3

*Chair Approved but not confirmed by the Committee*

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|  | <p>The University's operations and financial performance and position are materially disrupted by the Covid-19 situation. The forecasts in this paper reflect the position as understood in early March overlaid with a continually developing understanding of the impacts on student enrolment numbers and research outputs. Financial forecasts for both operating costs and capital expenditure include initial cost mitigations to the revenue downturns, while a broader strategic response to business recovery is set in motion. These forecasts focus on the 2020 position. Longer run student enrolment numbers and the associated fee revenues have been projected in two scenarios essentially reflecting differing assumptions around border controls. These numbers and commentary can be found at the back of this document.</p> <ul style="list-style-type: none"> <li>• The disruption from Covid-19 has a serious impact on all major University operations affecting domestic and international enrolments, research outputs and the balance of revenue and expense in ancillary activities such as student accommodation, recreation and support.</li> <li>• After strong growth over five or more years international EFTS fall by 451 or 8.3% year on year, with new undergraduate enrolments falling by 277 or 26.7%. Business and Economics are most effected with Science and Arts also impacted. International enrolments are also short of a budget created to support continued growth, with the target expected to be missed by 890 EFTS or 15.1%. This leads to a \$26.7m fee revenue shortfall.</li> <li>• Large number of international students enrolled remain offshore studying online, albeit with an adverse impact on their student experience.</li> <li>• Domestic enrolments numbers are also expected to fall short of a budget that anticipated growth, with 64% of the over 800 EFTS shortfall worryingly among new undergraduate enrolments. A clear demographic shift in the numbers of students leaving high schools appears to be contributing to this. Science, Arts and Business &amp; Economics see the greatest shortfalls.</li> </ul> <ul style="list-style-type: none"> <li>• Both TEC funding (-\$6.9m) and domestic fee (-\$7.4m) revenue are expected to be short of budget by a combined \$14.4m.</li> <li>• Research activities have been interrupted by the inability to access labs and infrastructure during lockdown levels. Border controls also impact staff and students' participation and our ability to collaborate. The scale of the interruption is the subject of several assumptions at this point and how research funding bodies will respond to this productivity reduction is not yet clear. Research revenue of up to \$35m is thought to be affected.</li> <li>• Accommodation capacity has risen significantly in 2020 with more than 700 additional beds coming on stream. The rising supply of beds occurring as demand drops has an adverse impact on revenue and the partial compensation of students exiting the halls during lockdown is also a substantial \$6.9m financial burden.</li> <li>• The summer revenue achievable from the expanded accommodation portfolio was previously under some pressure, but border controls and the absence of international tourists in the medium term put this material revenue source under even greater pressure. Less than a third of the \$3.5m summer revenue budgeted is expected to be achievable.</li> <li>• Immediate cost saving mitigations continue to be formulated and put into effect across all Faculties and Service Divisions, with broader longer run strategic business recovery responses being worked through in parallel. Initial views of immediate mitigations net over \$6.0m in people cost savings in Faculties combined with operating cost reductions of over \$7.0m. Service Divisions expect to save \$3.5m in people costs also from a mix of delayed or deferred appointments and reductions in fixed term and casual staff. Operating expense savings across Service Division and research support funds approach \$18m, including cost savings associated with vacancies in accommodation facilities.</li> </ul> |
|--|--|

*Chair Approved but not confirmed by the Committee*

|                                    |  |  |
|------------------------------------|--|--|
|                                    | <ul style="list-style-type: none"> <li>• A strategic exercise to reconsider the priorities of the building programme is underway in conjunction with an exercise to reassess cashflow generation considering likely medium terms impacts. It becomes more important to optimise the strategic outcomes of this building programme given the changing environment.</li> <li>• The redevelopment of Building 201 is a key strategic enabler of the move of Education to the City Campus and the divestment of the Epsom Campus. This development has been reconsidered and remains expected to proceed in late 2020. This has two material non-cash cost implications in 2020, being a building write down cost and a provision for future asbestos remediation.</li> <li>• The University surplus has swung to a \$63.7m loss, \$96.3m short of budget. A relatively high degree of this movement is non-cash in nature which ensures the impact on operating cashflows is much more muted as explained below.</li> <li>• Capital expenditure for 2020 has been reviewed considering the Covid-19 disruptions and non-essential equipment purchases have been deferred, saving over \$10m. Smaller non-essential property works have also been deferred. The review of the timing and contingency levels of major projects means Property Services now expect to spend just \$109.7m against a budget of \$166.2m.</li> </ul> | <ul style="list-style-type: none"> <li>• Operating cashflows naturally fall as budgeted surpluses become losses with the drop of \$51.4m leaving operating cashflows at \$161.1m. Cash outflows associated with investing fall by a large sum reflecting the capital expenditure savings, and this results in net cash consumption falling by \$12.9m compared with budget.</li> <li>• This fall in cash consumption combines with a materially healthier opening cash and debt position. Year-end debt is expected to be \$204m well down on that facility expectation of \$290.5m which was budgeted.</li> <li>• The University’s operating loss position at 5.3% of revenues aligns with the levels signalled by other New Zealand Universities.</li> <li>• This loss does however adversely impact on several of the TEC monitoring ratios, with a much higher risk rating being the product of the loss. Ratios associated with cash generation and the University’s ability to continue to make payments as they fall due remain strong.</li> <li>• Conditions associated with Covid-19 continue to develop and our forecast of revenue and cost mitigations continue to be revised.</li> <li>• The outlook for the medium term is also the subject of significant work with initial projections of possible student enrolment outcomes over the medium term complete. These projections and their wider financial implications are expected to come together with a comprehensive business recovery strategy in coming months.</li> </ul> |
| <p><b>5. Leave of Absence</b></p>  | <p>No leave of absence was noted for the next meeting.</p>   |  |
| <p><b>6. Public Exclusions</b></p> | <p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p> <p>General subject of each matter to be considered:</p> <p><b>Item No 7.1: Finance Committee Meeting 04 March 2020, Minutes Part B</b></p> <p><b>Item No 7.2: Finance Committee Meeting 04 March 2020, Matters Arising from Minutes, Part B</b></p> <p><b>Item No 8.0: Financial Performance and Forecast for 2020</b></p>  | <p><b>RESOLVED (Chair   Scott St John)</b> that the public be excluded from Part B of this meeting AND THAT Mrs Cleland, Mr Gudsell, Mr Matto and Mrs Metcalf be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.</p>   |

*Chair Approved but not confirmed by the Committee*

|   |   |  |
|---|---|--|
|   | <p><b>Item No 9.0: Treasury Management, Compliance and Cash Flow Report</b></p> <p><b>Item No 10.0: Treasury Policy Review</b></p> <p><b>Item No 11.0: COVID-19 Briefing</b></p> <p>Reason for passing this resolution in relation to each matter:<br/>The protection of the interests mentioned below.</p> <p>Grounds under section 48(1) for the passing of this resolution:<br/>Those in Section 9 of the Official Information Act 1982 namely:</p> <ul style="list-style-type: none"> <li>i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;</li> <li>ii) To enable the University to carry on without prejudice or disadvantage negotiations; and</li> <li>iii) To prevent the disclosure or use of Official Information for improper gain or advantage.</li> </ul> |  |
| <p><b>The meeting moved into a Public Excluded Sessions at 8.07am.<br/>The meeting closed at 9.45am.</b></p> <p><b>Approved as a true and correct record.</b></p> <hr/> <p><b>Michael Daniell, Chair</b></p> <p><b>15 July 2020</b></p> |   |  |

9.3

**Equity Leadership Committee Mid Year Report to Council****COVID-19 and Equity**

The Chair and members acknowledged the depth and breadth of equity work across the University, from student leaders, professional and academic staff, in identifying and responding to Covid-19 related equity issues. The university's extensive equity infrastructure, in policy, resources, awareness and support, was noted as a strength in the pandemic environment.

Mr Barton, AUSA President, noted the importance of the University supporting necessary staffing levels. He acknowledged the fantastic achievements staff made at speed to adapt from on-campus teaching to remote working including in contacting students and maintaining their engagement. He also noted appreciation for Equity Office support.

It was agreed it is critical to act consistently with commitments to the Treaty of Waitangi and imperative that short term decisions do not increase inequities for Māori and members of equity groups.

**Sustainable Development Goals**

The University of Auckland has once again been ranked first globally in the Times Higher Education (THE) University Impact Rankings 2020, maintaining its top position from last year's inaugural rankings. The Chair acknowledged the considerable work of those involved in the University's submission. She also congratulated Amy Malcolm for her leadership resulting in the University of Auckland being selected as the United Nations Academic Impact Hub for SDG 4, Quality Education. The Chair will be a member of its advisory group.

**Partnership with PVC Māori and PVC Pacific**

The partnership between the three PVCs continues to be a cornerstone for equity. A significant joint project is an evaluation conducted by Victoria Cockle to ensure students have equitable opportunities for learning and engagement in the new online environment. The evaluation aims to identify; specific needs of Māori, Pacific and equity group students, effective online delivery mechanisms that meet their needs, effective practices in the online learning environment that support students' engagement and motivation and effective online assessment practices.

**A safe, inclusive and equitable university****Safe**

Key AUSA work has included connecting students to the array of support services available during the pandemic both through the AUSA and the wider University. Common student concerns include financial problems due to loss of casual employment and tenancy issues. There is a multiplier effect for Māori and Pacific students with Māori and Pacific workers heavily represented in the casual labour workforce and households now losing employment of several members. Increased applications for hardship funding are being received including from international students (due to reduced employment options) and post graduate students with children. The Chair updated members on hardship funds including the Auckland Emergency Management Fund and the option for staff to support the student hardship fund.

Reports of increased family and relationship violence and abuse during Covid, from a New Zealand baseline already high by international benchmarks, were raised as a significant concern. The revised [Family and Relationship Violence and Abuse Policy](#) and Guidelines were circulated to members. Additional communications with practical advice on safety in lockdown continue to be disseminated through a variety of channels.

Members discussed a range of other equity issues including students reducing or leaving their studies to work in risky environments; students with parenting, sibling and other carer responsibilities; issues with technology access and equipment; difficulties finding the time to study or a suitable space for study and some worrying gaps in engagement/learning emerging. A late deletions exceptions process for students, with application to exceptional circumstances being experienced in the Covid-19 climate, without incurring penalties was discussed. Dr Jagir, Chair of the ABI Equity Committee, acknowledged the support of the Equity Office including with messaging to students that their wellbeing is as important as their academic development.

Mental health issues were significant for many staff and students. Concern was noted that wellbeing will be an increasing challenge. Members identified challenges for staff with remote working, separating home from work, support for children at home, carer responsibilities including for those in aged residential care, and social isolation. Staff and students have reported distressing experiences of being unable to be with unwell family members or attend funerals and tangihanga. It was noted that these stresses can be felt particularly strongly by international staff and students with families overseas where even if they were able to travel for compassionate reasons, they may be unable to re-enter New Zealand. The Equity Office has posted a range of wellbeing resources including [Families and Parenting during Covid-19](#) and [Wellbeing during Covid-19](#) and has provided on-line information for Universities Mental Health Day on 5 May.

9.4

### **Inclusive**

Kaiarāhi have coordinated closely with Tuākana to bolster support for Māori and Pacific learners. The PVC Pacific noted all Pacific staff have been contacted.

Dr O'Neill reported on the Disability Programme 2017-2020. He noted the three significant work streams within the Disability Programme. Due to Covid-related pressures the timing of the physical environment partial audit, and the digital accessibility knowledge and potential enhancements, has been revised. Resource has been redirected to meeting the increased needs from students with disabilities including support with online teaching and learning, reasonable accommodation and special conditions.

The Equity Office continues to engage in the Australian National Disability Officer Coordination Programme, a hosted online forum for EPHEA (Equity Practitioners in Higher Education in Australasia) members, specifically relating to Covid-19 and equity. From the updates of the 100+ forum participants, the University of Auckland appears to be at the high end of preparedness both for remote working and for online teaching and meeting the needs of students with disabilities.

Increased communications across staff and student facing websites ensures currency and quality of equity information is maintained.

### **Equitable**

Mr Mark Thomson, Manager of Equity Office, Student Disability Services, is involved in the Incident Management Team teaching and learning group. He and his team have supported a sharp upswing in student registrations and have responded to increased need including in student mental health issues. High usage of the service is testimony to many years work encouraging disclosure of disability, reducing stigma and raising awareness of support available, including through school engagement with prospective students and their family.

[Achievement Relative to Opportunity Policy and Procedures](#), and Guidelines have been reviewed and updated. Case studies have been produced that demonstrate how this policy can be applied to staff in a range of circumstances relevant to post Covid-19 assessments. Many staff, including those with carer responsibilities, or disabilities, have had significant barriers to overcome. This is a significant equity issue and it is critical the Achievement Relative to Opportunity Policy is used appropriately in performance evaluation.

The 2019 University of Auckland Equity Profile is now being finalised. It is an important document including in the recovery and strategic planning processes.

Student surveys identify students having difficulty working online without data, devices or adequate work environment. Support is being provided to mitigate these barriers where possible. Particular challenges that are discipline specific including access to studios and labs. Members discussed challenges and benefits of online tools such as Zoom. Using audio only options for meetings and classes was encouraged to lessen the intensity of video networking, particularly for those with disabilities including visual impairment.

Long term Covid-19 influences were noted, including the potentially severe impact of disrupted schooling for prospective students.

## THE UNIVERSITY OF AUCKLAND

## MATERIAL FOR COUNCIL FROM

## THE MEETING OF SENATE 25.05.2020 (Virtual meeting by Zoom webinar)

**PART A:****i) RECOMMENDATIONS FROM SENATE REQUIRING TO BE CONSIDERED/RECEIVED BY COUNCIL REVIEWS****1. Review of Anthropology, Development Studies, and Social Science for Public Health**

The Review of the Anthropology Discipline considered ways of formalising pathways to an Anthropology major which contained four distinct sub-disciplinary areas. The Review also suggested that consideration be given to a second major in perhaps Medical Anthropology or Cultural Heritage and Identity. It encouraged a systematic approach to curriculum re-design and integration of Māori and Pacific perspectives in the new pathways.

The review had also made suggestions for Social Science for Public Health; however, the Discipline had since then decided that staffing issues necessitated the discontinuation of that programme.

With regards to the Discipline of Development Studies within Anthropology, the Review recommended offering undergraduate programmes and engaging in a collaboration with Global Studies.

**Senate 25.05.2020 recommends to Council that it receive the Review of Anthropology, Development Studies, and Social Science for Public Health**

**2. Review of Review of the Department of Statistics**

The Review Panel suggested focusing on two pathways:

- One in Mathematics and Statistic Theory; and
- One in Applied Statistics and Data Analysis.

It also suggested for the Department to consider offering postgraduate pathways into Data Science Masters programmes and recommended to renew its leadership in Stage One teachings.

offering postgraduate pathways into Data Science Masters programmes and recommended to renew its leadership in Stage One teachings.

**Senate 25.05.2020 recommends to Council that it receive the Review of the Department of Statistics**

**3. Review of the School of Critical Studies in Education**

The Review Panel encouraged the School to find a distinctive sense of purpose and engage in curriculum rationalisation. In addition, it proposed forging strong relationships with critical studies scholars in other parts of the faculty and in the wider University. A relationship with Global Studies could also potentially lead to a useful synergy.

The School was considering how it could contribute to the Doctor of Education programme as well as encourage completion of its current students in the PhD programme.

**Senate 25.05.2020 recommends to Council that it receive the Review of the School of Critical Studies in Education**

**4. Review of the Institute of Marine Science: year-on Progress Report**

**Senate 25.05.2020 recommends to Council that it receive: Year-on Progress Report as a final response to the 2018 Review of the Institute of Marine Science**

**ii) RECOMMENDATIONS FROM SENATE REQUIRING COUNCIL CONSIDERATION/APPROVAL**

**ACADEMIC MATTERS AND REGULATIONS**

**5. REGULATION AMENDMENTS**

**Senate, 25.05.2020 RECOMMENDS that Council approve the following Regulation Amendments:**

**a) Regulation Amendment 2020/902:** Key University Dates

To amend Semester One List.

**b) Regulation Amendment 2020/903:** Examinations Regulations

To amend the Deferred Results clause

**c) Regulation Amendment 2020/904:** General Regulations \_ Bachelors Honours Postgraduate Degrees

To delete regulation 6d.

**d) Regulation Amendment 2020/905:** Key University Dates – 2020 Update and 2020 Calendar p. 7

To amend the Semester Two List for the 2020 online Calendar and the Semester One List for the 2021 Calendar.

**6. Short Courses Policy - Draft**

This policy aimed to formalise matters around short courses to ensure compliance with the requirements from TEC and NZQA. It would also allow for differentiation from Micro-Credentials, as there were a number of similarities

**Senate, 25.05.2020 RECOMMENDS that Council approve the Short Courses Policy**

**PART B: ITEMS FROM SENATE FOR NOTING BY COUNCIL**

**1. Reports on Leave**

**Senate 25.05.2020 RECOMMENDS** that Council **note** the following research and study leave reports:

| <b>Name</b>                 | <b>School/Department</b>      |
|-----------------------------|-------------------------------|
| Professor Winston Byblow    | Exercise Sciences             |
| Professor Kathleen Campbell | Environment                   |
| Professor Robin Kearns      | Environment                   |
| Professor Bernd Krauskopf   | Mathematics                   |
| Professor Jan Lindsay       | Environment                   |
| Professor George Perry      | Environment                   |
| Professor Basil Sharp       | Economics                     |
| Professor Tom ter Elst      | Mathematics                   |
| Assoc Prof Kevin Simon      | Environment                   |
| Dr Tom Baker                | Environment                   |
| Dr Jennifer Eccles          | Environment                   |
| Dr Ciprian Doru Giurcaneanu | Statistics                    |
| Dr Igor Kontorovich         | Mathematics                   |
| Dr Karin Olesen             | Graduate School of Management |

#### **PART C: MATTERS RECEIVED AND APPROVED UNDER DELEGATED AUTHORITY**

##### **1. ACADEMIC MATTERS**

- a) **Proposed changes to: University of Auckland Entry requirements for the Foundation Studies Certificate (Study group) and the University of Auckland Certification in Foundation Studies (UP International College)**

**Senate, 25.05.2020 advises Council that the following academic matter was approved: changes to: University of Auckland Entry requirements for the Foundation Studies Certificate (Study group) and the University of Auckland Certification in Foundation Studies (UP International College)**

- b) **“The Human Microbiome: Scientific, Humanistic and Cultural Perspectives” as Winter Lectures Series topic for 2021**

**Senate, 25.05.2020 advises Council that the following academic matter was approved: “The Human Microbiome: Scientific, Humanistic and Cultural Perspectives” as Winter Lectures Series topic for 2021**

##### **2. NEW SCHOLARSHIP & AWARD REGULATIONS**

**Senate, 25.05.2020 advises Council that the following one new set of Scholarship Regulations was approved: Faculty of Education and Social Work Young Ocean Explorers’ Scholarship.**

**3. PROPOSAL – CENTRE FOR ARTS AND SOCIAL TRANSFORMATION**

**Senate, 25.05.2020 advises Council that the proposal for the establishment of the Centre for Arts and Social Transformation as a Faculty Centre was approved.**