

Council Agenda Part A (Open Agenda) 08.12.2021 Virtually via Zoom 4:00pm			Page #
1. APOLOGIES	The Chancellor moves that the apologies, be noted .		
2. DISCLOSURES OF INTEREST BY MEMBERS	The attention of Members is drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.	The Chancellor moves that the disclosures, if any, be noted and the action taken be endorsed .	
3. CONFERMENT OF DEGREES	In accordance with the provisions of the Conferment of Academic Qualifications and Academic Dress Statute 1992 the Chancellor will confer the degrees listed on a list held in the Graduation Office by stating: <i>By the authority vested in me by resolution of The University of Auckland Council I, CECILIA TARRANT, Chancellor, confer the degrees stated upon those who, within their several faculties, have satisfied the requirements of this University.</i>		7
4. AWARD OF DIPLOMAS	In accordance with the provisions of the Conferment of Academic Qualifications and Academic Dress Statute 1992 the Chancellor will award the diploma listed on a list held in the Graduation Office by stating: <i>By the authority vested in me by resolution of The University of Auckland Council I, CECILIA TARRANT, Chancellor, award the diplomas stated to those who, within their several faculties, have satisfied the requirements of this University.</i>		12
5. COUNCIL MEETINGS	5.1 Council, Draft Minutes (Part A), 11.10.2021 and 30.11.2021	The Chancellor moves that the Minutes (Part A),11.10.2021 and 30.11.2021 be taken as read and confirmed .	15
	5.2 Matters arising from the Minutes (Part A), 11.10.2021 and 30.11.2021 not elsewhere on the Agenda		

<p>6. VICE-CHANCELLOR'S REPORT</p>		<p>The Chancellor moves that the Vice-Chancellor's Report be noted.</p>	<p>26</p>
<p>7. REPORTS OF COUNCIL COMMITTEES</p>	<p>7.1 AUDIT AND RISK COMMITTEE 7.1.1 Minutes, (Part A), 24.11.2021</p>	<p>The Chancellor moves that the Audit and Risk Committee Minutes (Part A), 24.11.2021 be received.</p>	<p>41</p>
	<p>7.2 CAPITAL EXPENDITURE COMMITTEE 7.2.1 Minutes, (Part A), 19.11.2021 7.2.2 Terms of Reference for approval</p>	<p>The Chancellor moves that the Capital Expenditure Committee Minutes (Part A), 19.11.2021 be received. The Chancellor moves that the Capital Expenditure Committee terms of reference be approved.</p>	<p>43 46</p>
	<p>7.3 FINANCE COMMITTEE 7.3.1 Minutes, (Part A), 23.11.2021</p>	<p>The Chancellor moves that the Finance Committee Minutes (Part A), 23.11.2021 be received;</p>	<p>51</p>
	<p>7.4 EQUITY LEADERSHIP COMMITTEE 7.4.1 December 2021 Report 7.4.2 Equity Action Network Terms of Reference and Membership 7.4.3 University Equity Leadership Committee Terms of Reference and Membership. This committee is replacing the current Equity Leadership Committee. The Terms of Reference of the current Equity Leadership Committee are included as a reference.</p>	<p>The Chancellor moves that the Equity leadership Report – December 2021 be received. The Chancellor moves that the Equity Action Network Terms of Reference and Membership be endorsed. The Chancellor moves that the University Leadership Committee Terms of Reference and Membership be approved.</p>	<p>53 55 56</p>

<p>8. SENATE MATTERS</p>	<p>8.1 REPORT OF SENATE, 29.11.2021</p> <p>Part Ai 1: Policy and other matters requiring to be received by Council</p> <p>Part Aii 1-12: Policy and other matters requiring Council approval</p> <p>Part B, 1: Matters for noting by Council</p> <p>Part C, 1: Matters handled under Delegated Authority</p>	<p>The Chancellor moves that the recommendations in Part A of the Report of Senate, 29.11.2021 be adopted and Parts B and C be noted</p>	<p>58</p>
<p>9. CORRESPONDENCE REFERRED BY THE CHANCELLOR</p>	<p>No items received</p>		
<p>10. OTHER MATTERS FOR DECISION OR NOTING</p>	<p>None</p>		
<p>11. ELECTIONS - APPOINTMENTS</p>	<p>11.1 ELECTION OF A SENATE MEMBER ON the UNIVERSITY HONOURS COMMITTEE</p> <p>In the 2021 Committee Elections one candidacy was received to fill two vacancies on the University Honours Committee. Professor Elizabeth Rata was elected unopposed; the unfilled vacancy now becomes a casual vacancy, and the Committee will be asked to submit a nomination.</p>		
<p>12. GENERAL BUSINESS</p>	<p>None</p>		
<p>13. LEAVE OF ABSENCE</p>	<p>(for the meeting of 14.03.2022)</p>		

PUBLIC EXCLUSIONS

The Chancellor moves that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

Item No. 1.1	Council Minutes (Part B), 11.10.2021 and 30.11.2021
Item No. 2.1.1	Audit and Risk Committee Minutes (Part B), 24.11.2021
Item No. 2.1.2	Code of Pastoral Care
Item No. 2.2.1	Capital Expenditure Committee Minutes 19.11.2021
Item No. 2.2.2	Business Case
Item No. 2.3.1	Finance Committee, Minutes (part B), 24.11.2021
Item No. 2.3.2	Update to Latest Estimate 2021 Year End Position
Item No. 2.3.3	Budget Report 2022
Item No. 2.4	Honours Committee
Item No. 4.1	2021 key performance targets aligned to Taumata Teitei
Item No. 4.2	Rankings Strategy
Item No. 5.1	Council Committee Membership for 2022

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Adrienne Cleland, Professors Linton and Metson, Andrew Phipps, Tim Bluett, Pamela Moss, Simon Neale, Todd Somerville, Bridget Fitzpatrick, Anthony Brandon and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

The University of Auckland

Council 8 December 2021

CONFERMENT OF DEGREES

DOCTOR OF PHILOSOPHY

Sanjiao Tang in Asian Studies

Aleksandar Zivaljevic in Bioengineering

Lekha Jain in Biomedical Science

Haohan Ding in Chemical and Materials Engineering

Shawn Hezron Charles in Civil Engineering

Boshan Chen in Civil Engineering

Xiaofei Wang in Computer Science

Tiago Andrade Mota in Computer Systems Engineering

Lan Thi Thuy Nguyen in Education

Tian Yang in Education

Pengfei Zhao in Education

Saman Sabet Ghadam Haghighi in Food Science

Tongyu Meng in Management

Anton Baykalov in Mathematics

Eshwar Reddy Cholleti in Mechanical Engineering

Anany Dwivedi in Mechanical Engineering

Robert Peter Loretz in Philosophy

Bey Arifianto Widodo in Politics and International Relations

Yuliang Wang in Urban Design

MASTER OF ARTS WITH FIRST CLASS HONOURS

Vivien Joy Caughley in Art History

MASTER OF ARTS WITH SECOND CLASS HONOURS SECOND DIVISION

Christopher John Molloy in Drama Studies

Lifeilin Zhang in Media, Film and Television

MASTER OF CONFLICT AND TERRORISM STUDIES WITH FIRST CLASS HONOURS

Christopher Colin Wright

MASTER OF DATA SCIENCE WITH SECOND CLASS HONOURS FIRST DIVISION

Xiao Li

MASTER OF EDUCATION WITH SECOND CLASS HONOURS FIRST DIVISION

Zijun Qin

MASTER OF ENGINEERING WITH SECOND CLASS HONOURS FIRST DIVISION

Shiying Guo in Chemical & Materials Engineering

MASTER OF ENGINEERING STUDIES

Tejender in Construction Management

MASTER OF ENVIRONMENTAL SCIENCE WITH FIRST CLASS HONOURS

Ahmad Zaid Mohammad Abu Hamour

MASTER OF HEALTH SCIENCES WITH FIRST CLASS HONOURS

Kristy Yae Lim Kang

MASTER OF LAWS WITH DISTINCTION

Rafe Gregory Muston

MASTER OF LAWS WITH MERIT

Jiayi Wu

Huayuan Luo in Corporate and Commercial Law

MASTER OF PROFESSIONAL STUDIES

Zhe Wu in Data Science

MASTER OF PROFESSIONAL STUDIES WITH SECOND CLASS HONOURS SECOND DIVISION

Sihan Qiu in Data Science

MASTER OF PROFESSIONAL STUDIES WITH SECOND CLASS HONOURS FIRST DIVISION

Tianyang Pang in Data Science

MASTER OF PUBLIC HEALTH WITH FIRST CLASS HONOURS

Joanna Mary Black

Natalia Kim

MASTER OF SCIENCE WITH FIRST CLASS HONOURS

Ze Kuang in Chemistry

Lucy Chang-Lorenzi in Psychology

BACHELOR OF ARTS (HONOURS) WITH FIRST CLASS HONOURS

Harriett Claire Adams in Art History

BACHELOR OF ENGINEERING (HONOURS) WITH SECOND CLASS HONOURS SECOND DIVISION

Renee Chantelle Bayly in Mechanical Engineering

BACHELOR OF NURSING (HONOURS) WITH FIRST CLASS HONOURS

Rebecca Charlotte McDonald

BACHELOR OF ARTS (CONJOINT)

Harriett Claire Adams

BACHELOR OF LAWS (CONJOINT)

Harriett Claire Adams

BACHELOR OF ARCHITECTURAL STUDIES

Xiaodong Huang

Nan Zhang

BACHELOR OF SCIENCE

Chaderick Liang Shek Goh

Cheng Qian

The University of Auckland

Council 8 December 2021

AWARD OF DIPLOMAS

POSTGRADUATE DIPLOMA IN ARTS WITH DISTINCTION

Suzanne Anticich in English

POSTGRADUATE DIPLOMA IN BUSINESS

Jianan Wu in Administration

POSTGRADUATE DIPLOMA IN CLINICAL EDUCATION WITH MERIT

Fathima Okoroigwe

POSTGRADUATE DIPLOMA IN COMMERCE

Rongsheng Gao in Finance

POSTGRADUATE DIPLOMA IN EDUCATION WITH MERIT

Soel Park

POSTGRADUATE DIPLOMA IN HEALTH SCIENCES

Eleanor Rose Barron in Advanced Nursing

Ashley Anne Edith Campbell in Advanced Nursing

Janella Marie Manguerra Griarte in Advanced Nursing

Roy Afandi Hadi McQuinlan in Magnetic Resonance Imaging

POSTGRADUATE DIPLOMA IN HEALTH SCIENCES WITH MERIT

Wiremu Bhana in Advanced Nursing

Laina Maree Bolton in Advanced Nursing

Victoria Ioffik in Advanced Nursing

Puneet Kaur in Advanced Nursing

Tasha Suzanne Meldrum in Advanced Nursing

Stephanie Michelle Rowson in Advanced Nursing

POSTGRADUATE DIPLOMA IN HEALTH SCIENCES WITH DISTINCTION

Gabrielle Taylor Husband in Advanced Nursing

Hyemin Kim in Advanced Nursing

Ja Young Song in Advanced Nursing

GRADUATE DIPLOMA IN TEACHING (PRIMARY)

Nikita Larissa Lucritia Ting

GRADUATE DIPLOMA IN TEACHING (SECONDARY)

Rangituhia Rangiwai Hollis

GRADUATE DIPLOMA IN TEACHING ENGLISH IN SCHOOLS TO SPEAKERS OF OTHER LANGUAGES

Dawn Nuralli

DIPLOMA IN SCIENCE

Marcus Lelonalua Siliko

Council Minutes Part A (Open Minutes) 11 October 2021 (meeting was held virtually via Zoom)		
PRESENT:	Ms Tarrant (Chair), Professor Freshwater (Vice-Chancellor), Dr Prasad, Sir Michael Daniell, Professor Curtin, Mrs Dunphy, Ms Dawson, Mr Paitai, Mr McDonald, Mr Wang, Ms Newsome and Ms Quinn	
IN ATTENDANCE:	Professors Linton, Stinear and Metson; Associate Professors Hoskins and Kool; Mr Whiteside, Mr Bluett, Ms Moss, Mr Neale, Ms Fitzpatrick, Mr Brandon, and Ms Verschaeren	
KARAKIA	The meeting was opened with a karakia by the Māori representative on Council, Mr Paitai.	
1. APOLOGIES	None	
2. DISCLOSURES OF INTEREST BY MEMBERS	<p>The attention of Members was drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.</p> <p>With regard to item 5.2.2 Domestic Student Fees 2022 and International student Fees 2023, Professor Curtin, Mr Wang, Ms Quinn and Mrs Dunphy disclosed that they were students and/or had family members who would or might be students in 2022.</p> <p>It was agreed that these declared conflicts would not preclude the members participating in the discussions and votes.</p>	<p>RESOLVED (Chancellor/Sir Michael Daniell): That the disclosures be noted and the action taken be endorsed.</p>
3. COUNCIL MEETINGS	3.1.1 Council, Draft Minutes (Part A), 26.07.2021	RESOLVED (Ms Newsome/Ms Quinn): that the Minutes (Part A), 26.07.2021 be taken as read and confirmed .
	3.1.2 Council, Draft Minutes (Part A), 27.09.2021	RESOLVED (Chancellor/Mr Wang): that the Minutes (Part A), 27.09.2021 be taken as read and confirmed .
	3.2 Matters arising from the Minutes (Part A), 26.07.2021 and 27.09.2021 not elsewhere on the Agenda.	None...

5.1

<p>4. VICE-CHANCELLOR'S REPORT</p>	<p>The report was taken as read.</p> <p>The Vice-Chancellor, Professor Freshwater, welcomed feedback on the current format of the report which now had been used a few times.</p> <p>She commented that following the consultation on the first draft iteration of the High Value International Education paper, the Government had received 180 submissions. In addition, workshops had been organised where the University of Auckland had been well presented.</p> <p>The University had received mental health funding for 2021, recognising the impact of the lengthy lockdown in Auckland on the mental health of students. In addition, the Government had added \$20m to its hardship fund.</p> <p>With regard to the immigration reset no further detail was known. The Government was currently working on a consultation document that was addressing in-and post-study work rights for international students.</p> <p>The Vice-Chancellor further commented that there was a possibility that a cohort of about 1000 international students would be accepted into New Zealand at the end of 2021 or the beginning of 2022. It was expected that a different border regime would be in place to allow for vaccinated student entry for this cohort.</p> <p>The sector reference group which was reviewing the PBRF would be having its first meeting shortly</p> <p>The Universities in New Zealand were working on establishing a vaccine policy which would include the way to apply the guidelines set by the TEC regarding the different alert levels.</p> <p>The Vice-Chancellor concluded by thanking the University's staff and students for the way they had responded to the COVID-19 situation and had stepped up to address the University Strategy in very challenging circumstances in 2021. They had ensured that the University kept delivering a high-quality student experience and maintained as much as possible its research trajectory. She emphasised that this had been no small task and it would be important for everyone involved to have a well-deserved break with family and friends at the end of the year.</p> <p>In a discussion that followed Council noted the following:</p> <ul style="list-style-type: none"> • In response to the student concern about lack of suitable electronic devices for students in courses using digital invigilation via Inspira Smarter Proctoring, the Vice-Chancellor responded that the University had worked to effectively distribute electronic devices to students within 24 hours when following requests. 	<p>RESOLVED (Chancellor/Mr McDonald): that the Vice-Chancellor's Report be noted.</p>
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	<p>Smarter Proctoring was only being used in 6% of all exams. These were high stake exams required for accreditation or for the completion of final year professional programmes.</p> <p>To ensure the integrity of the exams that did not use digital invigilation, the University had been working extensively with staff on the design of assessments, minimising the opportunity for plagiarism.</p> <p>Council noted that only first year courses required 50% assessment in invigilated settings, while many postgraduate courses did not require exams.</p> <p>Considering appropriate forms of assessment was part of the curriculum transformation project.</p> <ul style="list-style-type: none"> • The International Office was currently working on a communication strategy for PhD students who were affected by their exclusion from the one-off resident visa. The University had provided feedback to the Government on this matter via the immigration reset as well as the high value international education consultation. • In response to a question of a member, the Vice-Chancellor responded that the Curriculum Transformation would be discussed in the December Council briefings. • The Vice-Chancellor thanked Dianne Head, Dr Kevin Morris, Prue Toft and Professor Diane Brand who had already left the University or would do so before the end of the year, for their contribution to the working of the University. 	
<p>5. REPORTS OF COUNCIL COMMITTEES</p>	<p>5.1 AUDIT AND RISK COMMITTEE</p> <p>5.1.1 Minutes, (Part A), 17.09.2021</p>	<p>RESOLVED (Ms Dawson/Chancellor): that the Audit and Risk Committee Minutes (Part A), 17.09.2021 be received.</p>
	<p>5.2 FINANCE COMMITTEE</p> <p>5.2.1 Minutes, (Part A), 21.09.2021</p> <p>This item was presented by the Chair of Finance Committee (Sir Michael Daniell). He commented that the financial forecast for 2021 was better than anticipated in the beginning of the year. It was, however, necessary to look at the next years with caution, as there was still a high degree of uncertainty.</p> <p>5.2.2 Domestic Student Fees 2022 and International student Fees 2023</p>	<p>RESOLVED (Sir Michael Daniell/Chancellor): that the Finance Committee Minutes (Part A), 21.09.2021 be received.</p> <p>RESOLVED (Chancellor/Vice-Chancellor): That the Report on Domestic Student Fees 2022 and</p>

	<p>This item was introduced by the Chief Financial Officer, Mr Bluett. He commented that it was important to note the context in which the University was currently operating. This included the current COVID 19 pandemic, the unusual positive financial situation and the longer view which still needed to align with the long-term financial planning. Students and staff have also experienced extraordinary pressures from the pandemic and the University has prioritised the provision of financial and wellbeing support through its operational response.</p> <p>The University was facing the challenge of rising cost pressures which exceeded the increases permitted under the regulated components of the fees. Although the 1.7% annual maximum fee movement under the current TEC regulations was higher than the previous years, allowing the Universities to meet some of the additional cost pressures they were currently facing, it was not enough to cover the inflationary cost pressures.</p> <p>The 4% increase proposed for international fees was in line with what was proposed the previous year.</p> <p>The Vice-Chancellor commented that the process that was followed with the Student Consultative Group focused on the fees increase and the Student Services Fee, including where these services could be improved and/or additional allocation needed to be considered (see p.14 of this report). The consultation process around the Student Services Fee and the survey conducted around this highlighted the work undertaken by the University with regard to counselling services, pastoral care, support of mental health and health services. In the open and in-depth dialogue that took place with the students about the options to mitigate the shortfall, it was apparent that it was difficult for current students to accept the proposed increases, as these would benefit the quality of the student experience for future students.</p> <p>The students, although aware of the necessity to grow the quality of the student experience in the future, were of the opinion that the increase in costs should not be carried by the current students.</p>	<p>International Student Fees 2023 be received and noted; and</p> <p>(Sir Michael Daniell/Ms Quinn):</p> <ul style="list-style-type: none"> i) That Council approve the attached Domestic Fees Schedule for 2022 (Mr Wang dissenting); <p>(Sir Michael Daniell /Mrs Dunphy):</p> <ul style="list-style-type: none"> ii) That Council approve the attached International Fees Schedule for 2023 (Mr Wang dissenting); <p>(Ms Newsome/Mrs Dunphy): That Council:</p> <ul style="list-style-type: none"> iii) Authorise the Vice-Chancellor to assign any new programmes, including SAC funded micro-credentials, or programmes becoming newly available to international students in 2023, to an appropriate band to enable offers to be made during the recruitment cycle, and report these decisions back to Council; iv) Authorise the Vice-Chancellor to set fees for University programmes delivered offshore and non-SAC funded micro-credentials and to report those to the Council meeting immediately following; v) Note that the Diversification and Recovery Scholarship fund and associated delegations approved at its meeting in October 2020 will continue to operate into 2023 to the extent warranted by recruitment indicators on the understanding that this should drive retention or new volume. <p>(Mr McDonald/Dr Prasad):</p> <ul style="list-style-type: none"> vi) That Council approve the Compulsory Student Services Fee at \$8.16 per point (GST inclusive) and the associated changes to the Fees Schedule A – All Students; (Mr Wang dissenting) <p>(Mr Paitai/Chancellor)</p>
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		vii) That Council approve the attached Other Fees Schedule for 2022 (Mr Wang dissenting)
	5.3 NAMING COMMITTEE 5.3.1 Naming of the Research Vessel as "Te Kaihōpara"	RESOLVED (Professor Curtin/Ms Quinn that Council note that the Naming Committee has approved the naming of the Research Vessel as "Te Kaihōpara"
	5.4 RŪNANGA 5.4.1 September Report	RESOLVED (Chancellor/Vice-Chancellor): that the Rūnanga September Report be received .
	5.5 STUDENT APPEALS COMMITTEE 5.5.1 Report, 23.07.2021	RESOLVED (Ms Newsome/Mrs Dunphy): that the Student Appeals Committee Report, 23.07.2021 be received .
6. SENATE MATTERS	6.1 REPORT OF SENATE, 20.09.2021 Report of the Senate Review Reference Group, 01.09.2021 Part Ai 1-3: Policy and other matters requiring to be received by Council Part Aii 1-6: Policy and other matters requiring Council approval Part B, 1: Matters for noting by Council Part C, 1-2: Matters handled under Delegated Authority The Vice-Chancellor introduced this item and explained that Council in the Report of Senate had received a short summary of the Senate papers. She drew Council's attention to the Senate review process. This review aimed to revitalise the function of Senate within the University and engage the professoriate in thinking about its role in implementing the University strategy through Senate. Discussions already took place about Freedom of Expression and a policy and guidelines about this subject were currently being developed. The next point of focus was the topic of Academic Freedom and its link to the Code of Conduct and Freedom of Expression.	RESOLVED (Professor Curtin/Ms Newsome): that 1. Council note the Report of the Senate review reference group, 01.09.2021 2. The recommendations in Part A of the Report of Senate, 20.09.2021 be adopted and Parts B and C be noted

5.1

	<p>The Terms of Reference for the Senate Review, led by Professor Matthews, had been approved. A small reference group had been created which would be considering how to engage with Senate in the future.</p> <p>In a discussion that followed, Council expressed its disappointment with regard to the poor attendance at the Senate meetings which was also a reason to instigate the review.</p> <p>The Pro Vice-Chancellor (Education), Associate Professor Kool, highlighted the following items which were originally considered by Education Committee before progressing to Senate:</p> <ol style="list-style-type: none"> 1. The Review of the Department of Commercial law 2. The Progress Reports about the Reviews of Pacific Studies and the School of Chemical Sciences 3. The 2022 Course Limitations. In the past, the Deputy Vice-Chancellor (Academic) had the delegated authority to approve the Course Limitations on behalf of Council and these were submitted to Council for noting. With the creation of the roles of the Provost and the Pro Vice-Chancellor (Education) this delegation would have to be revisited so they could again be submitted to Council for noting in the future. 4. 19 New Scholarship, Award and Prize regulations. <p>The Deputy Vice-Chancellor (Research), Professor Metson advised Council that new policies had been introduced on University level research centres. The intent was to strengthen the large University level cross-faculty research centres. A process had been introduced starting with calling for expressions of interest in large-scale research centres. There were 45 expressions of interest received. These would now be considered, resulting in a relatively small number of research centres across the University. In this process, research platforms were also being considered. These would be built around instruments or people to suit the research needs of the University.</p> <p>The Strategic Research Investment Committee would meet next week to consider some of the applications for Research Platforms.</p>	
<p>7. CORRESPONDENCE REFERRED BY THE CHANCELLOR</p>	<p>The Chancellor advised Council that since the meeting in July, she had had received the following two letters:</p> <ul style="list-style-type: none"> • A letter from the Minister of Education, asking for any suggestions regarding the replacement of Sir Michael Daniell as a member of Council, as his term would end February 2022. The Chancellor expressed concern about the required skillset for this position and advised Council that the people they would suggest would not necessarily be nominated by the Minister. • A letter received from the "Stop Sexual Harassment on Campus" group who earlier this year had written to the Vice-Chancellor with their concerns around the way the University had dealt with the resignation of a staff member in the School of Music. This letter to the Vice-Chancellor had been responded to by the Director of Human Resources, Mr Phipps. 	

	<p>In their current letter to the Chancellor, the “Stop Sexual Harassment on Campus” group voiced their opinion that their previous letter should not have been responded to by the Director of Human Resources and asked the Chancellor to consider their concerns also referencing the review into harassment and sexual harassment by AUT. The Chancellor had responded that it was appropriate for the Director of Human Resources to respond to their original letter. She also referred in her response to the new “Harassment, bullying, sexual assault and other violence” programme and asked the group to come back to her if they had further concerns.</p>	
<p>8. OTHER MATTERS FOR DECISION OR NOTING</p>	<p>None</p>	
<p>9. ELECTIONS - APPOINTMENTS</p>	<p>9.1 ELECTION OF THE STUDENT REPRESENTATIVE ON COUNCIL</p> <p>9.1.1 Memorandum, 30.09.2021 from the Returning Officer, Mrs Adrienne Cleland</p> <p>Council congratulate Mr Wang on his re-election. Mr Wang thanked the students who elected him for the privilege to represent them on Council. He also thanked the Council members and relevant staff for their support and guidance. He did express his concern about the very low engagement of students in the election of the student representative on Council and suggested that the University could consider giving certain incentives to voting students to increase participation.</p>	<p>RESOLVED (Chancellor/Sir Michael Daniell): that Mr Junyi (Johnny) Wang be appointed as the student representative on Council for a one-year term (1 November 2021 – 31 October 2022).</p>
	<p>9.2 ELECTION OF THE CHANCELLOR AND PRO CHANCELLOR</p> <p>Mr Whiteside took the Chair for the first part of this item.</p> <p>9.2.1 ELECTION OF CHANCELLOR FOR 2022</p> <p>Nominations were called for the position of Chancellor until 31.12.2022 date.</p> <p>One nomination only was received, for Ms Cecilia Tarrant (Mrs Dunphy/Professor Curtin)</p> <p>There being no other nominations, the Registrar declared Ms Tarrant re-elected as Chancellor for a term starting on 01.01.2022 and ending 31.12.2022 or such agreed earlier date.</p> <p>Carried by acclamation.</p> <p>9.2.2 ELECTION OF PRO-CHANCELLOR FOR 2022</p> <p>The Chancellor resumed the Chair for this part of the item.</p>	

	<p>Nominations were called for the position of Pro-Chancellor.</p> <p>One nomination only was received, for Ms Cathy Quinn (Chancellor/Sir Michael Daniell).</p> <p>There being no other nominations, the Chancellor declared Ms Quinn elected as Pro-Chancellor for a term starting on 01.01.2022 and ending 31.12.2022.</p> <p>Carried by acclamation.</p>
10. GENERAL BUSINESS	None
11. LEAVE OF ABSENCE	<p>(for the meeting of 08.12.2021)</p> <p>No leave of absence was requested.</p>

PUBLIC EXCLUSIONS

RESOLVED (Chancellor/Vice-Chancellor): that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

- Item No. 1.1 Council Minutes (Part B), 26.07.2021 and 27.09.2021
- Item No. 2.1 University of Auckland Human Participants Ethics Committee
- Item No. 2.2.1 Audit and Risk Committee Minutes (Part B), 17.09.2021
- Item No. 2.3.1 Finance Committee, Minutes (part B), 21.09.2021
- Item No. 2.3.2 Financial Performance and Forecast for 2021
- Item No. 2.4 Honours Committee
- Item no. 2.5 Naming Committee
- Item No. 4.1 2021 key performance targets aligned to Taumata Teitei
- Item No. 4.2 Te Rautaki Tūāpapa, the University of Auckland Estate Strategy 2021-2030

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Professors Linton and Metson, Associate Professor Kool, Tim Bluett, Pamela Moss, Simon Neale, Stephen Whiteside, Todd Somerville, Bridget Fitzpatrick, Anthony Brandon and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

The meeting closed at 6.15pm

The meeting went into Public Excluded session at 5.25pm

Approved as a true and correct record.

Cecilia Tarrant, Chancellor

Date

Council Minutes Part A (Open Minutes) 30.11.2021 Virtual meeting via Zoom			
PRESENT:	Ms Tarrant (Chair), Professor Freshwater (Vice-Chancellor), Dr Prasad, Sir Michael Daniell, Professor Curtin, Mrs Dunphy, Ms Dawson, Mr Wang, and Mr Paitai		
IN ATTENDANCE:	Mr Brandon and Ms Verschaeren		
KARAKIA	The meeting was opened with a karakia by Mr Paitai		
1. APOLOGIES	Ms Quinn, Mr McDonald and Ms Newsome	RESOLVED that the apologies be noted .	
2. DISCLOSURES OF INTEREST BY MEMBERS	<p>The attention of Members was drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.</p> <p>No further disclosures were made.</p>		
3. CONFERMENT OF DEGREES	With the authority of Council, the Chancellor conferred the degrees, as per the list held by the Graduation Office.		
4. AWARD OF DIPLOMAS	With the authority of Council, the Chancellor awarded the diplomas, as per the list held by the Graduation Office.		

5.1

PUBLIC EXCLUSIONS

RESOLVED (Chancellor/Ms Dawson): that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

Item No. 1. COVID-19 update from the Vice-Chancellor

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Anthony Brandon and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

The meeting closed at 3.15pm

The meeting went into Public Excluded session at 3.05 pm

Vice-Chancellor's Report to Council | 8 December 2021

General

External, Policy and Government Update

Government Response to Covid-19 Impacts

TEC, MoE, and MBIE officials have been working with universities, through Universities NZ, to develop the overarching principles for universities to operate under the new Covid-19 Protection Framework. Short form guidance, subject to Covid-19 legislation, was provided to the Sector on 26 November. There are multiple issues to consider including a range of legal obligations to students and staff, and planning for possible outbreaks on campus, which could require spot closures and self-isolation.

International Education

Following the announcement that borders would reopen for international arrivals, staged from 30 April, we have been advised that it is only students coming through on the existing 3rd cohort exemption that may apply for visas in the new year. We understand that the current visa suspension in place for new and returning students, without the specific cohort exemptions, will be extended until August, as such the current border settings for international students remain in place until further decisions are made. Immigration NZ have a proposal document ready to go to Ministers seeking approval to consult on a range of issues, including on the issue of international student visas. The policy rebalance proposal includes revisiting post study work rights, in-study work rights, evidence of funds, tuition fees amongst other elements. Consultation on the MoE's high value international Education policy seems to have stalled.

Internal Update

Covid-19 Vaccination & Testing Policy

On 1 November the University confirmed its decision that from 4 January 2022 all students, staff and visitors will need to be fully vaccinated as a condition of being able to come onto our campuses or to take part in face-to-face University-managed activities. The decision will be reviewed regularly, aligned with Government guidance for the Sector, and is for an initial period of 6 months only. The decision was taken after consideration of more than 250 submissions from many staff and students as part of the further consultation during October. We had a very productive discussion with the Student Consultative Group regarding the proposal, and more than 1,500 staff members joined the all-staff Zoom forum, shared their views in a brief anonymous survey.

This input has helped us understand much better the concerns and priorities of our wider University community, and what we need to focus on as we draft the formal University policy and to continue to refine our risk assessment. The policy and risk assessment will continue to be developed and finalised in December with further review intended in 2022 as more information is received and the changing context is evaluated.

Ultimately, the University's decision to adopt this policy is based on the health, safety and well-being of our wider University community, which has been our priority throughout the Covid-19 pandemic. The policy reaffirms what we consider to be the University's primary responsibility, which is to keep our campuses and people safe.

A special all-staff forum on Thursday 4 November featured a panel of some of the University's experts on vaccinations. The panel's chair, Professor John Fraser, was joined by Associate Professor Helen Petousis-Harris, Professor Nikki Turner, Professor Papaarangi Reid and Associate Professor Collin Tukuitonga. The panel answered a wide range of questions and a sub-set of these questions and responses has been made available on the University website.

On 16-17 October, the University held its second Covid-19 vaccination pop-up centre at the Sir Owen G Glen Building (OGGB) to support New Zealand's National Day of Action for vaccination, administering 825 vaccines across the weekend. Overall, more than 3,100 doses have been given out at the Campus Pharmacy and OGGB pop-up centres.

The University has also been supporting external campaigns to promote the vaccine, including the '*Ira dot*' | '*Gotyadot*' campaign co-sponsored by the PVC Māori Office, which was held on 6-7 November at Eden Park; and the Ngāti Whātua Ōrākei #shotday Vaccination Bus in Takutai Square in Britomart 15 November–16 December.

The Vaccine & Traffic Light Task Force, convened for the immediate short-term implementation of the New Covid Protection framework, has several streams of work underway to ensure University readiness to comply with the new traffic light framework from 1 December and to implement the University policy from 4 January.

AUT Vice-Chancellor

The Pro Vice-Chancellor Pacific, Damon Salesa, has been announced as the next Vice-Chancellor of Auckland University of Technology, his last day is 1 December.

Blues Awards

This year's Blues Awards were announced online on 26 October. Eighty-four 'Blues' were awarded to students who excelled across four categories — Arts and Cultural, Innovation, Service and Leadership, and Sports.

Student Wellness week

On 4-8 October, Student Engagement hosted its bi-annual *Wiki Whai Hauora* | Wellness Week to support and engage students ahead of study break and exams.

1. Education and Student Experience

Overview

The 2021 enrolments represent the near final EFTS for the year. While there have been some COVID related withdrawals from Semester 2 courses, these have not had a material impact on the EFTS reported for the year. Growth in Domestic Funded EFTS in 2021 has been fully funded by the Tertiary Education Commission. A combination of a second tranche of funding, addressing the growth in Science and health related priority areas, and the 3% flexible funding provided to the University has resulted in all enrolments being funded.

The University's year-on year growth of 7.3% (2,500 EFTS) has been unprecedented. While domestic school leavers made a significant contribution to that growth (35%) and will contribute pipeline growth into 2022, around 30% (730 EFTS) of the growth came from the Working Professional and Career Enhancing market segments that will have more limited pipeline impacts in 2022. The International student growth 400 EFTS (8% growth from 2020) has been entirely at undergraduate levels, with postgraduate international enrolments reduced by close to 20% (270 EFTS) from 2020 numbers.

2022 enrolments opened on 1 November and currently show strong growth relative to the slow start in 2020 for the 2021 year. Domestic school leaver enrolments are forecast to be lower than 2021 numbers and already we are seeing applicants with a very different secondary school preparation, because of the long lockdown and two years of disrupted schooling. The impacts for different cohorts among the potential school leaver intake are variable. The 2022 intakes are still changing, and we are using the opportunity to engage with secondary schools to ensure applicants are well supported.

Indicators	Last Year	Current Year			
		To Date (31 October)		End of Year	
	Actual	Target	Actual	Target	Forecast
Total EFTS	34,248	33,500	36,662	33,506	36,662
Domestic Funded	28,826	28,965	30,863	28,971	30,944
International Full Fee	4,990	4,160	5,381	4,168	5,401
% Postgraduate EFTS	25.0%	24.9%	25.0%	25.1%	25.0%

Note: EFTS information does not include enrolments in the ELA and other sources

EFTS in priority groups

	2020 (31 October)	2021 (31 October)	% Change
Total Māori EFTS	2,364	2,563	8.4%
Māori School leavers	332	434	31%
Total Pacific EFTS	2,953	3,176	7.6%
Pacific School leavers	505	654	30%
Total Postgraduate	8,574	9,180	7.1%
Domestic	7,101	7,982	12.4%
International	1,473	1,198	(18.7%)

Progress against priorities**Priorities 1-4: The Curriculum Framework Transformation Project**

Multiple priorities of the education and student experience strategy will be realised through consideration of how the University's future curriculum. The Curriculum Framework Transformation Project is developing a new graduate profile and curriculum model, underpinned by Waipapa Toitū and Taumata Teitei, is out for formal consultation within the University. Ideas are coming together into an aligned draft of the Curriculum Framework and supporting papers.

Priority 1: Accessible, equitable lifelong higher education opportunities

Tuakana ki Tai is a new PVC Māori-led initiative within the planning office task group which utilises the Tuakana Network and aims to provide regionally based manaakitanga to incoming Māori students in the context of impacts of Covid on health and wellbeing, confidence, and grade outcomes. The initiative will link students to appropriate advice and support prior to coming to campus.

Pacific students continue to experience the learning impacts of the COVID-19 lockdown disproportionately, and while some of these impacts for our current students have been mitigated by the collective effort, there are clear indications this may impact the University's Pacific school leaver enrolment next year. This is both because many of these students have disengaged from year 13, and because qualification achievement appears to be substantially lower than in previous years. We have continued to be active in our relationships with Pacific school students, despite lockdown. Notably over the last two school terms we have run an intensive version of the Pacific Academy offering focused, quality academic support for year 12 and year 13 students in South

and West Auckland. Plans have been put in place to directly support postgraduate Pacific recruitment to begin the work of growing our Pacific graduate cohort.

Unibound Summer is ready to run as specified by COVID conditions. Registrations are strong and, as last year, a class of approximately 200 students is expected. This cohort includes both students who have admission to the University already, and those who do not have UE and so is critical for informing and guiding future students. The model of Unibound Summer helped inform Summer Start which will be in its second year in 2022.

Priority 2: Student-centric learning, co-curricular and extra-curricular cultures

The online examinations system (Inspera) was used for most examinations in Semester 2 (767 exams). Almost all these exams were non-invigilated open book exams, with 35 courses being digitally invigilated using Inspera Smarter Proctoring. These were approved to do so to meet the professional registration or accreditation requirements. The default setting for all 2022 examinations is that they will be remote, time-limited, open book and digital, recognising the on-going uncertainty around COVID restrictions and a strong student and staff preference for certainty in planning.

Priority 3: Education that is research-informed, transdisciplinary, relevant and with impact for the world

A portfolio analysis tool is being developed to help the University and Faculties understand how well programmes are performing against Council-agreed *Taumata Teitei* KPIs and strategic priorities. The tool will provide a set of analytics that support performance monitoring and assist with evidence-based decisions about interventions that may be needed to improve future performance. Work is underway to understand academic and business requirements for the tool and to integrate it into the institution's operations and planning cycle.

2. Research and Innovation

Overview

Although research projects have been negatively impacted by the COVID-19 lockdown in Auckland, the forecast is for significant growth above budget and 2020 external research revenue.

Indicators	Last Year	Current Year			
		To Date (31 October)		End of Year	
	Actual	Target	Actual	Target	Forecast
Research revenue (\$M)	225	212	218	249	274

Note: The revenue includes University of Auckland revenue only

The Research & Innovation portfolio has made significant progress against strategic initiatives this year. Below is a summary of key achievements, noting that, alongside this work, the teams have also been managing the ongoing impacts of COVID-19 on research projects. In addition to planning for the COVID-19 hardship support.

Progress against priorities

Priority 1: World-class research inspired by our place in Aotearoa and the Pacific

Māori Research Entity: as part of the *Hikina kia Tūtuki Grand Challenges Fund* OPVCM and ORSI are collaborating to ensure a Māori research entity is successfully developed.

Research Centres | Hikina kia Tutuki Grand Challenges Fund

- The Research Centre Policy Review Advisory Group submitted a draft Research Centre policy paper which was endorsed. The recommendations set out in this paper have now been implemented.
- Establishment of flagship University Research Centres (Hikina kia Tutuki Grand Challenges Fund) - Expressions of Interest have been received and will be assessed by the end of January 2022.

Priority 2: A global powerhouse of innovation, creativity, and entrepreneurship

MedTech-iQ investment logic mapping exercise has now been completed. A business case and associated programme plan will be developed in early 2022.

Priority 3: Relevant, purposeful, impactful research for our communities

Progress towards an early 2022 soft launch of the University's Pacific Research entity continues. A leadership group comprising AP Colin Tukuitonga, AP Yvonne Underhill-Sem, AP Tamasailau Suaalii-Sauni and emerging career researcher's Dr Sereana Naepi and Dr Patrick Thomsen are being supported by Jeff Nikoia (UniServices).

Dr Sereana Naepi (Arts) has been awarded a Rutherford Fellowship, one of the first Pacific holders of the prestigious and generous opportunity.

Māori research policy and committee; a Māori research committee has been established to provide direction, and advice and guidance relating to Māori research policy and engagement. Will work with the University research committee, OPVCM and ORSI and the new Poutaki Rangahau Māori (Māori data sovereignty officer).

Openness in Research

- Development of an Open Access policy, seen as a key element in the reach and impact of our research.
- Cross-sector signing of the Openness in Animal Research agreement, supporting University policy to be implemented in 2022.

Priority 4: Ambitious research confronting humanity's greatest challenges*Transdisciplinary Ideation Fund (TIF)*

- Successful launch of the TIF, a new initiative that encourages researchers from across the University to develop cross-faculty research partnerships, aspiring toward high-quality transdisciplinary research outputs and successful applications to external research funders. 45 applications were received, of which 14 will be funded.

Priority 5: Nurturing, recruiting, and retaining outstanding research talent*Researcher Skills & Development (RSD)*

- Developed/enabled a series of research skills and development offerings (e.g., Privacy; Good Clinical Practice; Animal Research; Supervision; Software Carpentry; Māori responsiveness; ResBaz 2021)
- Developed RSD service model and fully costed Business Case for delivering RSD in 2022 and beyond.

Research Code of Conduct

- Development of a new Research Code of Conduct, to be socialised more widely in in early 2022, following consultation with the newly established Māori Research Governance Group.

Research Hub

- Major content development of the ResearchHub, an online platform that connects researchers with people, resources, and services - Formal launch plan of ResearchHub revised to early 2022.

Priority 6: A research ecosystem characterised by collaboration, agility, simplicity, engagement, and empowerment*Tahau Rangahau | Budget Simplification*

- Successful pilot of Tahua Rangahau - funding approved to continue the development of Tahua Rangahau for roll-out across the University targeting Q3 2022.

Research Infrastructure (RI) and Research Platforms Project

- Shared Research Infrastructure (SRI) funding process is complete, including the establishment of new research platforms and/or cross-faculty initiatives to support coordination of investment strategy in key categories of research infrastructure.

Researcher Journey Mapping

- 235 surveys have been completed, alongside 40 in-depth interviews, to surface the experience and major pain points of our researchers.
- Early themes have been identified, including administrative load, impact of COVID-19, financial administration, contracting and purchasing.

3. Partnerships and Engagement

Overview

The new DVC (Strategic Engagement), Dr Erik Lithander, joined the University on 1 November. He is undergoing an intense onboarding programme and will initially focus on three key deliverables within Taumata Teitei: The launch of a major new public policy impact institute, the delivery of the University's first stand-alone sustainability strategy and the development of a dedicated engagement and partnerships strategy. The development of the future ARD Campaign, and review of Communications and Marketing are also now underway.

Priority 1: Strengthen and deepen our relationships with tangata whenua

PVC Maori and Michael Steedman gave a presentation on the Waipapa Toitū framework at the Senior Leaders Strategic Retreat in November, this will be followed up at the 30 November UEC meeting. A meeting is also planned to determine the Council needs in terms of Tiriti education and engagement.

Three important new staff roles have recently been created in support of this priority: the appointment of a permanent, full-time Māori Media Adviser (MMA) role from 1 January 2022 with the objective of building the University's engagement internally with Māori staff and students, and externally with iwi and wider Māori communities, and an equivalent position focused on Pacific media. The University is also appointing a dedicated Māori and Pacific adviser role, Kaiurungi, to be appointed in the Schools and Community Engagement team, reporting to Te Terenga Kaitiaki, the Schools and Community Engagement Manager.

Priority 2: An ambitious and relevant partner that is globally networked

The University of Auckland is leading on the delivery of the inaugural New Zealand Centre IIT Delhi Virtual Summit on 23 November in collaboration with Universities New Zealand, Education New Zealand and IIT Delhi. The virtual summit will see the launch of a research collaboration development seed fund

managed through the Centre. Also related to India, the University is sponsoring the virtual QS India Summit on 18 November entitled 'What makes a sustainable university?'

Senior University of Auckland staff delivered keynotes and presentations at a range of international conferences and symposia in recent weeks: the Association of Pacific Rim Universities (APRU) Senior International Leaders Week (18-21 October), hosted virtually by the University of Sydney, the Asia Pacific Women in Leadership (APWiL) Mentoring Program Inaugural Graduation Ceremony, an APRU webinar titled Global Perspectives on Anti-Asian Racism: Overcoming the Hate; and the Climate Action Network for International Educators (CANIE) COP26 Climate Action Week.

In October, the University of Auckland and Quacquarelli Symonds (QS) won a bronze award in the Global Conference Network's Virtual Events Awards for the virtual QS EduData Summit hosted in June 2021, a recognition that speaks well to the University's sustainability ambitions.

Planning is underway for consultation with staff, students, alumni, and other stakeholders in relation to the proposed adoption of a new brand and visual identity in 2022. Outputs of this consultation process and the preferred options for changes will be presented to Council for approval in March 2022. In the interim, guidance will be provided to staff on how to use Waipapa Taumata Rau alongside The University of Auckland in staff digital signatures and other digital assets.

Priority 3: Deep engagement with diverse Aotearoa and Asia-Pacific communities

The University of Auckland organised the New Zealand-China Tripartite Partnership Programme Forum 2021 on behalf of Education New Zealand on 16 November, featuring over 100 attendees. Researchers from both countries presenting not just their collaborative work, but also how the programme and the funding enable meaningful outcomes and learnings.

Priority 4: Enduring relationships with prospective students, students, alumni, and donors

Three University of Auckland students attended the Asia Pacific Economic Cooperation (APEC) Voices of the Future event from 8-12 November. APEC Voices consisted of a three-day virtual youth participation programme including the handover of youth declaration to the Prime Minister, followed by two-days attending the APEC CEO Summit on 11-12 November.

The University held a Completion Ceremony for Manaaki New Zealand Scholarship students on 16 November. This was a virtual event, held to celebrate those scholars due to complete their programmes at the end of 2022

This year the University's "40 Under 40" alumni initiative reached its five-year milestone, with 200 exceptional under 40s now listed. The [names of the newest 40](#) were released in early November and were celebrated through the University's website, Ingenio magazine and through media publicity, which included a two-page feature in the Herald on Sunday, as well as radio and print coverage.

Priority 5: Diverse student body reflecting our communities.

The Schools Community and Engagement Team, along with UoA colleagues, are undertaking targeted follow-up with individual schools across South and West Auckland, Northland and the Waikato to offer additional support and pathways for admission to the University for students from those communities most impacted by lockdown and disruption to Y12 and 13 study.

Priority 6: Recognised and valued by our communities for the contributions we make towards a more sustainable future for all.

The International Office in collaboration with Career Development & Employability Services (CDES) is supporting participation for up to 28 students in a new pilot programme from 17 January to 10 February 2022. The University has joined the Virtual Climate Action Internship programme scheduled from 17 January–10 February 2022 to provide 28 students with a is a four-week experiential learning programme with a unique focus on climate action, supporting the United Nation's Sustainable Development Goal 13

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4. Enabling our People and Culture**Overview**

Operational plan activities continue to be scoped and prioritised.

Progress against priorities:**Priority 1: Live our values and purpose**

The scope of this work is almost complete and will focus on the following 4 key area's

- a. The development of a Senior Executive Leadership Programme;
- b. Cascading values-led culture practices – tools and facilitated sessions for leaders and teams;
- c. Integrating our values and Te Ao Māori principles in systems, processes, policies and decision making to deliver an improved employee experience;
- d. Deciding on an Employee Engagement Survey Tool and communication approach.

A workshop was held with some key stakeholders mid-November to understand the benefits of proceeding with this work. A business case will be submitted in December.

Priority 2: Develop a future-ready workforce

The work is continuing on developing a business case that will deliver a workforce strategy. This project will focus on the future academic workforce including new roles (pracademics, community engagement), new capabilities (digital, Mātauranga Māori, transdisciplinary) new ways of working and new

recognition and reward structures. We have engaged some specialist expertise to assist with the delivery of this work and a number of key stakeholder engagement sessions have occurred with the VC, Provost, DVC (Research), Dean of Graduate Studies. Close alignment with the Curriculum Transformation project and the Ranking Strategy are key in delivering this project.

Priority 3: Build a high-performing, diverse, inclusive and equitable community

Te Akoranga Kairangi: A cultural and Tiriti competency programme is being finalised for all staff, alongside plans to scale-up and roll out the priority groups in 2022. Led by Lemoa Henry Fesulua'i, work around cultural competency and co-curricular cultural and language opportunities will begin in Q1 2022. This work is within a project called *Mata Pasifika*.

People and Culture and Pro Vice-Chancellor (Māori) are co-sponsoring the development of a Māori staffing plan. The first workshop including members from the Māori community occurred in October. This was a very engaging session where our Māori colleagues articulated the key focus areas for the strategy. Work towards a Pacific staffing plan continues. With the departure of the present PVCP it will take a slightly different route but the work is ongoing.

Priority 4: Activate manaakitanga, whanaungatanga and kaitiakitanga across our People and Culture practices

The Te Taumata Ngaio programme is progressing well, with the Te Reo Māori Pronunciation online course for semester 2 being fully subscribed. Registrations for a further 1000 staff scheduled in Semester 1 has just opened and demand continues to be high.

5. Our Enabling Environment

Overview

The unplanned increase in student numbers is the main source of the increased actual and forecast revenue. Additional fees, including International Fees and TEC funding are forecast.

Indicators	Last Year	Current Year			
		To Date (31 October)		End of Year	
	Actual	Target	Actual	Target	Forecast
Total revenue (\$M)	1,234	1,148	1,238	1,248	1,345
Revenue achieved as a % of budget	95%	100%	108%	100%	108%

Progress against priorities:**Strategic Priority 1: Mana-enhancing services and practices**

Tai Tonga (South Campus) was made available for students who were unable to study at home under Level 3. As many as 50 students made use of this facility during this period.

Announced in 2020, the Government's *Tertiary Student Wellbeing* initiative has confirmed new funding, initially through to 2023, for tertiary institutions to improve well-being and mental health support. The funding will enable additional staff in key supporting roles including: a Kaiarahi for Māori mental health and well-being; specialists in alcohol/drug abuse and Rainbow mental health; and other more general support roles including Student Ambassadors. Expanded programmes include *Puawaitanga*, the University's phone-counselling service, free psychiatric services, and the Sport and Recreation-led *Actively Well*.

The Professional Staff Leadership Team (PSLT) have started a review of the University's service design principles to ensure alignment with Taumata Teitei and Waipapa Toitū.

Strategic Priority 2: Efficient, effective, prudent, transparent, and informed operations

Work continues on the implementation of Whakamana Tangata (Student Services Strategy) priority initiatives. The Student Services Function Review (phase 1) (SSFR) has been the most significant initiative progressed this year. Planning has progressed for the Student Services Function Review (Phase 2) with a scope proposal presented to UEC in November. There are four focus areas proposed being 1. Wellbeing & Safety, 2. Retention & Success, 3. Co-curricular & Social Engagement and 4. Social Spaces. The review scope is designed to address Whakamana Tangata priorities, align with Taumata Teitei and ensure the University is well positioned to meet expectations of the Pastoral Care Code of Practice.

The University's Institutional Information Framework has been strengthened through the endorsement of the new University Data Strategy by the Data Governance, Analytics and Business Intelligence Committee

The CFO has finalised a number of Finance Function service delivery model changes with support from HR. New senior appointments have been made to the finance leadership team. The refreshed leadership team will next document the Finance Function Transformation plan with support from staff and stakeholders. The plan will address several the finance-related pain points being identified in the Academic Heads and Researcher journey maps referenced above.

Strategic Priority 3: Seamless, effective, and equitable user experiences across social, physical, and digital environments

In support of the University's Digital Strategy a project is underway to modernise access to the rich content in Te Tumu Herenga's scholarly and cultural collections. Work is underway on an implementation plan for 2022.

Read and Publish Agreements: Many New Zealand and Australian university library subscriptions are negotiated through the Council of Australian University Librarians (CAUL), which liaises with publishers on behalf of member institutions to secure optimal acquisition of digital content, with open-access terms a key focus for 2022. CAUL has negotiated 'Read and Publish' agreements, commencing in 2022, with four major publishers — Cambridge University Press, Springer, Oxford University Press and Wiley — with whom the University has a high publishing rate.

Connect is working with Student Services support staff to develop a sustainable and equitable process for ongoing technology support for students. To ensure students had the technology to participate in classes and learning, over 1100 laptops and 300 internet connections were deployed to students in 2021.

Strategic Priority 4: A distinctive, capable, and flexible built environment that celebrates our place in Aotearoa New Zealand and the Pacific.

Te Rautaki Tūāpapa, the University's Estate Strategy, was approved at the October Council meeting and can be found at: <https://www.auckland.ac.nz/en/about-us/about-the-university/the-university/official-publications/estate-strategy.html>

Key building project updates:

- Construction of the Recreation and Wellness Centre continues to the planned programme, within budget, although issues around international supply chain and material supply are presenting challenges.
- The Building 201 (B201) | Faculty of Education and Social Work project continues to progress to budget and programme despite the recent lockdown delays. The last building consent has been approved by Auckland Council. Demolition and asbestos removal are nearing completion and final commissioning is underway for the Building 250 heating-and-cooling plant. The curtain wall facade installation and structural steel erection has begun on B201 North.
- The Carlaw Park Phase 3 Student Accommodation project is on programme with the building facade showing significant progress.
- The Building 104 | Old Choral Hall project is on track with contractor procurement due to be completed by early 2022. The design phase is complete and building consent has been lodged with Auckland Council (expected to be processed by end of 2021). Construction is planned to commence in April 2022.
- The MedTech Innovation Quarter steering group is meeting regularly to develop the strategic case for Medtech-iQ.
- The Te Tai Tokerau Campus redevelopment is due to commence its planning phase.

Strategic Priority 5: A commitment to achieve net-zero carbon status and to publish meaningful metrics of the University's progress towards overall sustainability

B201 was awarded a 6 Green Star design rating by the New Zealand Green Building Council (NZGBC), meeting the goal that was set at the beginning of the project. It achieved the highest score awarded since the inception of the Green Star rating system, putting the building in the 'world leadership' category.

Preparation is well underway for the upcoming external audit of the University's first greenhouse-gas emissions inventory. This inventory will be verified to ISO140614-1 and will be used to inform the work of the Sustainable Estate and Operations Working Group towards the development of the Net-Zero Carbon Strategy.

The Energy Monitoring and Reporting project has been established to consolidate University data on electricity, water, and gas usage to support improvements in energy, utility, and sustainability reporting. The first stage of the Energy Monitoring and Reporting system is successfully automatically flagging alerts for out-of-normal electricity consumption patterns from our electricity billing meters.

Dawn Freshwater
Vice-Chancellor

Appendix One: University Gifts and Pledges | September – October 2021

The following major new gifts and pledges were received by ARD:

- \$11,000,000 from an anonymous donor for the Precision Medicine Programme at the new Centre for Cancer Research at Medical and Health Sciences, which will provide improved diagnosis and treatment to patients battling a wide variety of cancers;
- \$1,750,000 from Pasifika Futures Limited for the Centre of Excellence for Pacific Health & Social Outcomes at Medical and Health Sciences, which will help reduce the challenges Pasifika medical students face and deliver research excellence for Pacific health;
- \$478,331 from Cure Kids for the 'Nourishing Hawke's Bay: Knowledge Exchange and Action Network' programme at Medical and Health Sciences and for the 'Fish Oil in Pregnancy: Breast Milk Analysis and Early-Childhood Follow-Up' project at the Liggins Institute;
- \$300,000 from Brian Wickham for supporting staff and students in the disciplines of Data Science and Statistics at the Faculty of Science;
- \$253,509 from Cure Kids for the 'Growth Monitoring of Tamariki and Rangatahi: Scoping a National System' project at Medical and Health Sciences;
- \$246,699 from the Heart Foundation for the 'Pioneering human cardiac neuron characterisation to examine mechanisms of atrial fibrillation' study and to support a Senior Research Fellowship, both at Medical and Health Sciences;
- \$234,432 from the Neurological Foundation of NZ for the 'How do we kill glioblastoma with Natural Killer cells effectively? Identification of glioblastoma's evasion and suppression mechanisms' study at Medical and Health Sciences;
- \$225,645 from the Heart Foundation to support the Heart Foundation Senior Fellowship at the Auckland Bioengineering Institute;
- \$159,636 from the Auckland Medical Research Foundation for the 'Exploring contextual synthetic lethality within the DNA damage response' study at Medical and Health Sciences;
- \$105,000 from the George Mason Charitable Trust for a lectureship in marine restoration ecology at Science;
- \$84,034 from the Norwegian Refugee Council for the 'Measuring Educational Vulnerability and Impact Palestine Phase 2' study at Education and Social Work; and
- \$60,000 from Ian Billings for research into genome sequencing for cardiac disease at Medical and Health Sciences;
- \$60,000 from Saint Kentigern College for a Teacher Education in Schools Scholarship programme at Education and Social Work; and
- \$50,000 from Graeme Edwards to support an auxiliary performance stream at the School of Music at Creative Arts and Industries.

Chair Approved but not confirmed by the Committee

Audit and Risk Committee MINUTES | PART A

24 November 2021

Via Zoom

08.00am to 10.30am



Present: Jan Dawson (Chair), Rachael Newsome, Cecilia Tarrant, Dr Rajen Prasad and Rob McDonald

In Attendance: Professor Dawn Freshwater, Adrienne Cleland, Tim Bluett, Andrew Phipps, Rachelle Miller, Angus Clark, James Harper, Jacqui Metcalf, Julian Michael, Brent Penrose, Michelle Chaloner, Anthony Steel and YoonYoung Lee.

1. Apologies	No apologies were noted.	
2. Disclosures of Interest	Ms Dawson (Chair) reminded the Committee of her conflict as a Director with AIG regarding Part B – Item 12.0 – Insurance Programme. The Committee determined that she could participate in the discussion.	RESOLVED (Rachael Newsome Cecilia Tarrant) that the disclosures, if any, be noted and the action taken be endorsed.
3. Minutes of Audit and Risk Committee of 17 September 2021	<p>Item No 3.1: Minutes, Part A</p> <p>Item No 3.2: Matters Arising from the Minutes, Part A, not elsewhere on the agenda.</p>	RESOLVED (Chair Rachael Newsome) that the Minutes, Part A, of the Audit and Risk Committee held on 17 September 2021 be taken as read and confirmed.
4. Other Matters for Decision or Noting	No other matters for decision were noted.	
5. Leave of Absence	No Leave of Absence was requested for the next committee meeting on 3 March 2022.	
6. Public Exclusions	<p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p> <p>General subject of each matter to be considered:</p> <p>Item No 7.1: Audit and Risk Committee Meeting 17 September 2021, Minutes Part B</p> <p>Item No 7.2: Audit and Risk Committee Meeting 17 September 2021, Matters Arising from Minutes, Part B</p> <p>Item No 8.0: Combined Assurance Report</p> <p>Item No 9.0: Health & Safety Programme</p> <p>Item No 10.0: Student Complaints Report</p>	RESOLVED (Chair Dr Rajen Prasad) the public be excluded from Part B of this meeting AND THAT Professor Freshwater, Mrs Cleland, Mr Bluett, Mrs Miller, Mr Steele, Ms Lee, Mr Penrose, Ms Chaloner, Mr Harper, Mr Clarke, Mr Phipps, Mr Michael and Mrs Metcalf be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed.

7.1

Chair Approved but not confirmed by the Committee

	<p>Item No 11.0: CyberSecurity Programme Item No 12.0: Insurance Programme Item No 13.0: Enterprise Risk Programme Item No 14.0: Internal Audit Programme 2022 Item No 15.0: Independent Review Item No 16.0: Tax Governance Update Item No 17.0: Code of Pastoral Care Item No 18.0: External Audit Programme 2021</p> <p>Reason for passing this resolution in relation to each matter: The protection of the interests mentioned below. Grounds under section 48(1) for the passing of this resolution: Those in Section 9 of the Official Information Act 1982 namely:</p> <ul style="list-style-type: none"> i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations; ii) To enable the University to carry on without prejudice or disadvantage negotiations; and iii) To prevent the disclosure or use of Official Information for improper gain or advantage. 	<p>This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.</p>
<p>The meeting moved into a Public Excluded Session at 8.04 am. The meeting closed at 10.15 am. Approved as a true and correct record.</p> <p>Jan Dawson, Chair Date:</p>		

7.1

Chair Approved but not confirmed by the Committee

Capital Expenditure Committee MINUTES| PART A

19 November 2021

Via Zoom Meeting

8 am to 10 am



<p>Present: Cecilia Tarrant (Chair), Jan Dawson, Sir Michael Daniell and Professor Dawn Freshwater In Attendance: Adrienne Cleland, Tim Bluett, Simon Neale and Jacqui Metcalf</p>		
<p>1. Apologies</p>	<p>Cathy Quinn was noted as an apology.</p>	
<p>2. Disclosures of Interest</p>	<p>Item No 11.0: Acquisition of Ground Lease, the following Committee member noted a disclosure of interest. Sir Michael Daniell – Currently a Member of Medtech-iQ Steering Committee.</p>	<p>RESOLVED (Chair Jan Dawson) that the disclosures, if any, be noted and the action taken be endorsed.</p>
<p>3. Minutes of Capital Expenditure Committee of 4 March 2021 and 12 March 2021</p>	<p>Item No 3.1: Minutes, Part A Item No 3.2: Matters Arising from the Minutes, Part A, not elsewhere on the agenda.</p>	<p>RESOLVED (Chair Jan Dawson) that the Minutes, Part A, of the Finance Committee held on 4 March 2021 and 12 March 2021 be taken as read and confirmed.</p>
<p>4. Other Matters for Decision or Noting</p>	<p>Item No 4.1: Committee Terms of Reference Mrs Cleland advised the only change from the previous year was to update an acronym where we stated Long-term Academic and Capital Plan (LTACP) to Long-term Financial Plan (LTFP). The Committee requested that the following items be included: i. A reference in the Business Case stage about sensitive expenditure ii. To reference sustainability aspects and what the University’s direction is for sustainable buildings Amendments (highlighted below) to the Terms of Reference, Note 3.1 have been made as follows: <ul style="list-style-type: none"> • the strategic case for the proposed expenditure, which must be based on the Strategic Plan and outline how, directly or indirectly, the proposal will enhance the achievement of the Strategic Plan objectives, including the impact on: <ul style="list-style-type: none"> ○ teaching quality ○ postgraduate completions ○ research activity and external research income </p>	<p>RESOLVED (Chair Sir Michael Daniell) that i) The report be received and noted ii) Capital Expenditure Committee recommend to Council that the amended Committee Terms of Reference be adopted.</p>

7.2

Chair Approved but not confirmed by the Committee

	<ul style="list-style-type: none"> ○ student and staff experience ○ all aspects of sustainability ○ the University's standing ● the business case for the proposed expenditure, including: <ul style="list-style-type: none"> ○ an outline of the proposed or projected capital expenditure, operating expenditure and revenue resulting from or required by the project, with analysis supporting all material assumptions and judgements ○ whether any of the proposed expenditure constitutes sensitive expenditure, and if so, the relevant considerations as set out in the Sensitive Expenditure Policy ○ an outline of any proposed philanthropic or sponsorship or other external support, including specification of any minimum level which the proposal is contingent on ○ an outline of risks arising from the proposal, and risk management strategies ○ projected operating and cash flow statements ○ return on investment and/or net present value 	
<p>5. Leave of Absence</p>	<p>No leave of absence was noted as the next meeting has not been scheduled.</p>	
<p>6. Public Exclusions</p>	<p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p> <p>General subject of each matter to be considered:</p> <p>Item No 7.1: Capital Expenditure Committee Meeting 4 March 2021 and 12 March 2021, Minutes Part B</p> <p>Item No 7.2: Capital Expenditure Committee Meeting 4 March 2021 and 12 March 2021, Matters Arising from Minutes, Part B</p> <p>Item No 8.0: LTFP Capital Programme Update</p> <p>Item No 9.0: Current Climate of Construction Market</p> <p>Item No 10.0: Epsom Business Case</p> <p>Item No 11.0: Acquisition of Ground Lease</p> <p>Item No 12.0: Vice-Chancellor Capex Delegation Report</p> <p>Reason for passing this resolution in relation to each matter:</p> <p>The protection of the interests mentioned below.</p> <p>Grounds under section 48(1) for the passing of this resolution:</p> <p>Those in Section 9 of the Official Information Act 1982 namely:</p>	<p>RESOLVED (Chair Sir Michael Daniell) the public be excluded from Part B of this meeting AND THAT Mrs Cleland, Mr Bluett, Mr Neale and Mrs Metcalf be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.</p>

Chair Approved but not confirmed by the Committee

	<ul style="list-style-type: none"> i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations; ii) To enable the University to carry on without prejudice or disadvantage negotiations; and iii) To prevent the disclosure or use of Official Information for improper gain or advantage. 	
<p>The meeting moved into a Public Excluded Session at 8.07 am. The meeting closed at 9.43 am. Approved as a true and correct record.</p> <hr/> <p>Cecilia Tarrant, Chair Date:</p>		

7.2

CAPITAL EXPENDITURE COMMITTEE

Terms of Reference 2021

1. Purpose

The purpose of the Capital Expenditure Committee is to ensure that all major capital expenditure is supported by a quality proposal, advances the University's strategic objectives and is financially sustainable.

2. Responsibilities

The Capital Expenditure Committee is responsible for considering all capital expenditure proposals over \$10 million, subject to the Sensitive Expenditure Policy, with a particular focus on:

- the contribution each proposal would make to achievement of Strategic Plan objectives, and
- the financial sustainability of each proposal

A 'capital expenditure proposal' means a proposal for the:

- acquisition of land, or
- acquisition, construction, extension, modification or refurbishment of a building, or
- acquisition of a piece of equipment, or
- acquisition or development of an IT system or application, or
- acquisition of shares or equity like investments outside of investing the University's working capital, or
- acquisition of a work of art
- acquisition of other asset or financial commitment

where the resulting expenditure would be capitalised under the University's Accounting Policies.

In addition, a "capital expenditure proposal" shall also be deemed to include a write-off, write-down, disposal or demolition of assets currently capitalised as well as long-term leases whose size and duration of commitment is akin to capital expenditure. (For the avoidance of doubt, all new or renewed leases where the undiscounted committed lease payments exceed \$10 million shall be treated as "capital expenditure".)

'Financially sustainable' means a position where the University, in the medium to long term, satisfies its targeted operating, cashflow and balance sheet parameters, whether set by the Council or monitored as a risk criterion by TEC, or covenanted to in connection with any borrowing undertaken.

Capital Expenditure Committee_19 November 2021_Terms of Reference (Committee reviewed & for Council approval)

The Capital Expenditure Committee will receive advice of capital expenditure approved by the Vice-Chancellor for any individual proposals in excess of \$5m.

The Capital Expenditure Committee, at its discretion, may request management to provide progress or milestone reports relating to particular capital expenditure approvals. A Post Implementation Review (PIR) will be presented to the Capital Expenditure Committee where so required by the University's Post Implementation Review Policy.

3. Proposals

The Committee will consider proposals only on the recommendation of the Vice-Chancellor. Proposals forwarded by the Vice-Chancellor for the Committee's consideration will normally have been previously considered and recommended by the relevant members of the University Executive Committee.

Proposals must comprise a business case in support of the proposal, incorporating a quality assurance report.

The Business case or the Vice-Chancellor's covering note shall summarise his/her assessment of the proposal's relative capacity to advance the University's strategic objectives in a financially sustainable manner.

3.1 Business Cases

The business case in support of a proposal should be prepared and endorsed by the relevant member of the University Executive Committee and independent expert advice sought if required. The business case must comprise:

- a summary of the proposal including, as appropriate:
 - a textual description
 - plans, specifications and visuals
 - a costing
 - an outline of the project delivery strategy, including the proposed approach to contracting, project management arrangements and a programme
- the strategic case for the proposed expenditure, which must be based on the Strategic Plan and outline how, directly or indirectly, the proposal will enhance the achievement of the Strategic Plan objectives, including the impact on:
 - teaching quality
 - postgraduate completions
 - research activity and external research income
 - student and staff experience
 - **all aspects of sustainability**
 - the University's standing
- the business case for the proposed expenditure, including:
 - an outline of the proposed or projected capital expenditure, operating expenditure and revenue resulting from or required by the project, with analysis supporting all material assumptions and judgements

Capital Expenditure Committee_19 November 2021_Terms of Reference (Committee reviewed & for Council approval)

- whether any of the proposed expenditure constitutes sensitive expenditure, and if so, the relevant considerations as set out in the Sensitive Expenditure Policy
- an outline of any proposed philanthropic or sponsorship or other external support, including specification of any minimum level which the proposal is contingent on
- an outline of risks arising from the proposal, and risk management strategies
- projected operating and cash flow statements
- return on investment and/or net present value

Where realistic options to the recommended proposal exist and were considered, the Committee should also be provided with an outline of those options and a statement of the reasons for selecting the recommended option.

3.2 Financial Sustainability Reports

Each proposal must be accompanied by or incorporate a financial sustainability report prepared by and signed by the Deputy Vice-Chancellor (Operations) and Registrar.

This report should advise how the recommended level of expenditure compares with any provision made for the proposal in the University's capital budget for the current year, and the most recent revision of the **Long-term Financial Plan (LTFP)**. If the proposal is recommended at a materially higher level of expenditure than provided for in the LTFP, then the report should outline the impact of the proposal on the University's financial position and the University's capacity to fund the proposal. The financial sustainability report should also indicate whether or not there have been any changes in circumstances, since the last revision of the **LTFP**, which have had or are expected to have a material adverse impact on the University's financial position. Where this is the case, the report should include an interim update of the University's projected debt profile demonstrating:

- the actual or expected impact of the change(s) in circumstances, and
- the impact on the University's financial position of the proposed capital expenditure, and
- the financial sustainability of the proposed capital expenditure in light of the change(s) in circumstances

If the proposal is contingent on a minimum committed level of new philanthropic or sponsorship support, the financial sustainability report must include a note from the Deputy Vice-Chancellor Strategic Engagement outlining the proposed approach and confirming it is consistent with and integrated into the University's overall approach.

4. Review of Terms of Reference

The Committee shall review its Terms of Reference and report to Council the outcome of that review every year.

5. Membership

The Committee shall comprise the Chancellor and Pro-Chancellor, the Vice-Chancellor, and the Chairs of the Finance and Audit and Risk Committees.

Capital Expenditure Committee_19 November 2021_Terms of Reference (Committee reviewed & for Council approval)

Council may also appoint 1 or 2 of its lay members as additional members of the Committee. The Chancellor is the Chair of the Committee and the Chair of the Finance Committee is the Deputy Chairperson.

6. Term of Appointment

The term of office of each member of the Committee will be one year.

7. Meetings

A meeting of the Committee will be scheduled whenever there is a proposal to consider. With the agreement of the Chair, the Committee may meet via email circulation or electronically , provided the required papers accompany the proposed resolution and no Committee member requests a meeting.

8. Management Attendance

The following members of management will normally attend meetings of the Committee:

- the Deputy Vice-Chancellor (Operations) and Registrar,
- the Chief Financial Officer,
- the Director of Property Services (in the case of proposals relating to property), and
- the member of the University Executive Committee sponsoring or otherwise directly responsible for the proposal to be considered.
- The Chief Digital Officer (in the case of proposals relating to IT)

9. Secretarial Support

The Deputy Vice-Chancellor (Operations) and Registrar will provide secretarial support for the Committee and ensure that a complete record of the proceedings of the Committee including agendas, minutes and papers, proposals, advice and reports provided to the Committee is maintained in permanent form.

10. Authority

The Committee has delegated authority to approve capital expenditure proposals greater than \$10 million and less than \$25 million. The Committee's delegated authority is subject to the condition that total capital expenditure in the current financial year, whether approved by the Committee or otherwise, shall not exceed by more than \$15 million the budgeted total capital expenditure for the year unless a budget variance is approved by Council. Where a capital expenditure proposal exceeds \$25 million, the Committee shall make a recommendation to Council concerning approval of the proposal.

11. Reporting

Minutes of each meeting of the Committee, recording proposals approved and/or recommendations to Council shall be provided to the following meeting of Council. Where the Committee has approved a proposal under its delegated authority, a copy of all papers considered by the Committee relating to the proposal shall be retained by the Office of the Vice-Chancellor for inspection on request by Council members.

12. Conflicts of Interest

Committee members must declare any real or perceived conflicts of interest in line with the requirements of the University's Conflicts of Interest Policy.

13. Confidentiality

Committee members have a responsibility to treat all information with appropriate confidentiality. This includes matters tabled or discussed at the Committee meetings, as well as any additional issues that are raised outside meetings.

Chair Approved but not confirmed by the Committee

Finance Committee MINUTES | PART A

23 November 2021

Via Zoom

08.00am to 10.00am



Present: Sir Michael Daniell (Chair), Cecilia Tarrant, Rob McDonald, Professor Dawn Freshwater, John Paitai, Professor Jennifer Curtin, Catherine Dunphy and Junyi Wang

In Attendance: Adrienne Cleland, Tim Bluett, Jacqui Metcalf, Bridget Fitzpatrick and Mudasir Matto.

1. Apologies	Cathy Quinn was noted as an apology.	
2. Disclosures of Interest	No further disclosures were noted.	
3. Minutes of Finance Committee of 21 September 2021	<p>Item No 3.1: Minutes, Part A</p> <p>Item No 3.2: Matters Arising from the Minutes, Part A, not elsewhere on the Agenda.</p> <p>Small spelling errors to names were noted and corrections made.</p>	<p>RESOLVED (Chair Professor Jennifer Curtin) that the Minutes, Part A, of the Finance Committee held on 21 September 2021 be taken as read and confirmed.</p>
4. Other Matters for Decision or Noting	No matters for decision were noted.	
5. Leave of Absence	No Leave of Absence was noted for the next meeting.	
6. Public Exclusions	<p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p> <p>General subject of each matter to be considered:</p> <p>Item No 7.1: Finance Committee Meeting 21 September 2021, Minutes Part B</p> <p>Item No 7.2: Finance Committee Meeting 21 September 2021, Matters Arising from Minutes, Part B</p> <p>Item No 8.0: Treasury Management, Compliance and Cash Flow Report</p> <p>Item No 9.0: Update to Latest Estimate 2021 Year End Position</p> <p>Item no 10.0: Budget Report 2022</p>	<p>RESOLVED (Chair Professor Jennifer Curtin) that the public be excluded from Part B of this meeting AND THAT Mrs Cleland, Mr Bluett, Mr Matto, Mrs Fitzpatrick, and Mrs Metcalf be permitted to remain for this part of the meeting after the public is excluded because of their knowledge of the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.</p>

7.3

Chair Approved but not confirmed by the Committee

	<p>Reason for passing this resolution in relation to each matter: The protection of the interests is mentioned below. Grounds under section 48(1) for the passing of this resolution: Those in Section 9 of the Official Information Act 1982, namely:</p> <ul style="list-style-type: none"> i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations; ii) To enable the University to carry on without prejudice or disadvantage negotiations; and iii) To prevent the disclosure or use of Official Information for improper gain or advantage. 	
<p>The meeting moved into a Public Excluded Session at 8.05 am. The meeting closed at 9.20 am. Approved as a true and correct record.</p> <p>Sir Michael Daniell, Chair Date:</p>		

7.3

Equity Leadership Committee Report to Council December 2021



*Ehara taku toa i te toa takitahi engari he toa takitini
Success is not the work of one but the work of many*

1. August Equity Leadership Committee meeting

1.1 Review of Equity function in the University of Auckland

The Chair updated members on the outcomes of the Review of Equity Function at the University of Auckland. The Review involved extensive consultation with key stakeholders, including University leaders, Deans and Directors, and student and staff equity group representatives. There were three key items to note.

- Transition recommendations of the Review have been completed. Equity Office staff have been relocated into Campus Life, HR, Central Communications and Marketing. The Equity Manager and the current EO Principal Policy Advisers will report to the PVC Equity.
- The Review recommended a refresh of the membership and Terms of Reference for the Equity Leadership Committee and Equity Community of Interest. Therefore, this was the last meeting of the ELC in its current format.
- The Review recommended stronger partnerships with equity groups in the development and implementation of an Equity Operational Plan.

1.2 Draft Disability Action Plan

Dr O'Neill updated members on the Plan's alignment with Taumata Teitei, and key stakeholder consultations including most recently with Property Services on the Estate Strategy. He noted feedback is being assessed from the recent survey of 3000+ self-identifying students with disabilities and that this work reflects TEC's request for inclusion of the learner voice.

Work is ongoing to prepare the Plan for review and endorsement by Council in the first half of 2022.

1.3 Student Voice and Engagement

Ms Harirajh noted AUSA are working with the Auckland Disabled Students Association (ADSA) who are linked with the National Disabled Students Association. Once ADSA are established as an affiliated club on campus they will develop an MOU between AUSA and ADSA to enable them to appoint a representative on the AUSA Student Council.

Ms Sharma updated members on the Mental Health campaign that included kanohi ki te kanohi hui and online consultation. 150 students shared their experiences in Semester 1. A draft report has been completed and meetings are scheduled with Campus Life and University Health and Counselling to discuss its draft recommendations. Ms Sharma noted the report when finalised will be brought to ELC.

2. Responses to Review of Equity Function Recommendations

The Review recommended a refresh of the membership and Terms of Reference for the Equity Leadership Committee and Equity Community of Interest. This work has been undertaken in consultation with members of these two groups and senior University leaders.

An Equity Action Network is proposed to replace the ECOI, with broader membership to include people in leadership roles from across the organisation. It will report to the University Equity Leadership Committee, which is proposed to replace the ELC, and will be chaired by the Vice-Chancellor and report via Senate to Council.

The Review of Equity Function also recommended strengthening partnerships with equity reference groups, and consultation with these groups is being undertaken to co-design plans for engagement. Consultation with student associations is also being undertaken to identify the most effective ways to enable student partnership within the new organisational structure.

Summaries are provided below, and draft Terms of Reference are overleaf.

Equity Action Network

- This network will be chaired by the PVC Equity. It will report to and advise the University Equity Leadership Committee.
- Members will have a clearly defined role description, will provide distributed leadership and accountability for implementation of the University Equity Operational Plan, reports, policy and projects throughout the organisation.
- The PVC Equity Team will support this Network including by organising key stakeholder meetings, an orientation process for new members, as well as resources, analysis, and guidance to support work in Faculties, LSRI's, and Service Divisions.

University Equity Leadership Committee

- The University Equity Leadership Committee will be chaired by the Vice Chancellor, include the PVCs, student representatives, Director of HR and Associate Director Campus Life, Student Engagement and Support.
- It will provide leadership and accountability for the University's Equity Operational Plan and Equity Policy, advise Senate and Council, and ensure the University meets its equity obligations.
- The PVC Equity Team will support this committee by facilitating communication with the Equity Action Network, as well as analysis of equity measures, research, and access to best practices.

3. Recommendations

1. That Council note receipt of the report of the August Equity Leadership Committee meeting.
2. That Council approve the Terms of Reference and membership for the University Equity Leadership Committee.
3. That Council endorse the Terms of Reference and membership of the Equity Action Network.

EQUITY ACTION NETWORK

Terms of Reference and Membership

The Equity Action Network will be chaired by the Pro Vice-Chancellor Equity and report to the University Equity Leadership Committee.

Terms of Reference

1. Collaborate on successful and sustainable implementation of the Equity Operational Plan within faculties, LSRI, and service divisions
2. Share and analyse information from faculties, LSRI, service divisions, student associations, and equity reference groups, to identify common equity issues and potential solutions
3. Advise and make recommendations to the University Equity Leadership Committee on equity strategy, plans, policy, projects and outcomes
4. Share and respond to monitoring and reporting on implementation of the University's equity strategy, plans, policy and projects
5. Enhance staff and student engagement in achieving equity outcomes
6. Identify and progress opportunities for collaborative cross-university initiatives, to advance equity outcomes

Membership

PVC Equity – Chair

Faculty equity committee chairs

Arts	Engineering
Business and Economics	Law
Creative Arts and Industries	Medical and Health science
Education and Social Work	Science

LSRI equity representatives

Auckland Bioengineering Institute
Liggins Institute

Service Divisions

Human Resources – Associate Director Equity
Campus Life – Student Equity Manager
Communications and Marketing – Equity Advisor

Equity Team

Equity Office Manager
Senior Research and Policy Advisors

Frequency of meetings

The Equity Action Network will meet at least six times each year.

Review

These Terms of Reference will be reviewed at least once every three years.

UNIVERSITY EQUITY LEADERSHIP COMMITTEE

Terms of Reference and Membership

The University Equity Leadership Committee will be chaired by the Vice-Chancellor and report to Council.

Terms of Reference

1. Enhance integrated, effective, and efficient equity leadership across the University commensurate with Taumata Teitei and the strategic priorities of the Executive portfolios
2. Provide leadership and accountability for the University's Equity Operational Plan, Equity Policy and related projects
3. Respond to monitoring and reporting on implementation of the University's Equity Operational Plan
4. Respond to advice, recommendations, and requests for guidance from the Equity Action Network
5. Identify where synergies can be harnessed or gaps need to be addressed in improving equity across the University
6. Foster and encourage good practice and innovation in improving equity throughout the University
7. Advise Senate and Council on University equity strategy, policy and progress reporting
8. Ensure the University's statutory and other compliance obligations are met

Membership

Vice-Chancellor – Chair
 PVC Equity – Deputy Chair
 PVC Māori
 PVC Pacific
 PVC Education
 AUSA President
 Student representative
 Director HR
 Campus Life Associate Director, Student Wellbeing & Engagement

Frequency of meetings

The University Equity Leadership Committee will meet at least five times each year.

Review

These Terms of Reference will be reviewed at least once every three years.

Equity Leadership Committee (reviewed 2018)**Terms of Reference and Membership****1 Terms of Reference:**

The Equity Leadership Committee terms of reference are:

- To enhance integrated, effective and efficient equity leadership across the University
- To enhance the University of Auckland's national and international equity leadership
- To advise Senate and Council on University equity strategy, plans, policy, projects and outcomes
- To monitor and report on the implementation of the University's equity strategy, plans, policy, and projects
- To ensure the University's statutory and other compliance obligations are met

2 Membership:

Pro Vice-Chancellor (Equity), Chair
 Deputy Vice-Chancellor (Academic)
 Deputy Vice-Chancellor (Strategic Engagement)
 Deputy Vice-Chancellor (Operations) & Registrar
 Pro Vice-Chancellor (Māori)
 Director Pacific Strategy and Engagement
 Two Faculty Deans
 Director (HR)
 University Librarian
 Two members of the Faculty Equity Chairs' Group
 Two AUSA student representatives.

3 The Equity Leadership Committee will meet three times per year and report twice yearly to Council.

4 The Equity Leadership Committee terms of reference and membership will be reviewed by June 2020.

THE UNIVERSITY OF AUCKLAND
MATERIAL FOR COUNCIL FROM
THE MEETING OF SENATE 29.11.2021

1. Freedom of Expression (FoE): rights and responsibilities – Policy and Procedures

Professor Hunter, Chair of the FoE Working Group advised Senate that he had met with the Deputy Vice-Chancellor (Operations) & Registrar, Mrs Cleland, and with the Manager Academic Engagement, Ms Avette Kelly to discuss the final steps for implementing the FoE discussion paper into a formal policy format.

Three documents would be drafted over the next weeks:

- a high-level policy document;
- a procedure document; and
- a guidance document for the FoE panel.

These documents would be sent for review to the FoE working group in January.

The finalised document would be submitted to Senate in February 2022 and to Council for approval in March.

Earlier this year Senate was advised that it would be consulted about a suite a work, starting with the FoE Policy. Once the Policy was in place, a bigger discussion would take place about Academic Freedom and the Code of Conduct. Because of COVID-19 the further discussions were deferred until 2022. This suite of work would also include looking at organising events on campus.

2. Review of Senate

Further engagement about the Review of Senate, led by the Dean of Law, Professor Mathew, would also take place in 2022.

PART A:

i) RECOMMENDATIONS FROM SENATE REQUIRING TO BE CONSIDERED/RECEIVED BY COUNCIL REVIEWS

1. Discipline of Sport, Health and Physical Education in Education and Social Work – Further Progress Report

Senate 29.11.2021 **RECOMMENDS** to Council that it **receive** the Review of the Discipline of Sport, Health and Physical Education in Education and Social Work – Further Progress Report

ii) RECOMMENDATIONS FROM SENATE REQUIRING COUNCIL CONSIDERATION/APPROVAL

ACADEMIC MATTERS AND REGULATIONS

1. REGULATION AMENDMENTS

Senate, 29.11.2021 RECOMMENDS that Council approve the following Regulation Amendments:

- a) Regulation Amendment 2021-917:** General Statutes and Regulations - General Regulations for Named Doctorates – Statutes and Regulations and Their Application
To amend the application of the General Regulations for Named Doctorates.
- b) Regulation Amendment 2021-918:** General Statutes and Regulations – Examination Regulations
1. To resolve tension between the Examination Regulations and doctoral degree regulations.
 2. To clarify the status of doctoral students in relation to aegrotat and compassionate consideration provisions.
 3. To update deferred results provisions to accommodate new named doctorate provisions.
 4. To eliminate ambiguity regarding the examination requirements for doctoral theses.
- c) Regulation Amendment 2021-919:** General Statutes and Regulations – Enrolment and Programme Regulations
1. To amend the representation of the doctoral year term for accuracy.
 2. To amend the Points regulations to remove a provision for concurrent enrolment that conflicts with doctoral degree regulations.
 3. To delete inaccurate definitions of full-time and part-time doctoral study.
 4. To delete inaccurate provisions for doctoral degrees from the Academic Integrity regulations.
 5. To restrict the relevant discontinuation regulation to doctoral students governed by degree regulations that came into effect prior to 2020.
 6. To restrict the application of the remaining regulations to doctoral degrees to the following provisions:
 - Rescindment and Surrender of Qualifications
 - Members of the Security Intelligence Service
 - Provost’s Special Powers
 - Relevant general programme provisions
 - Double semester and double quarter enrolment provisions (relevant to doctoral coursework)
 7. To insert a new regulation as to full-time and part-time doctoral study.
- d) Regulation Amendment 2021-920:** Academic Statutes and Regulations – Enrolment and Programme Regulations
To add a new regulation allowing the University to discontinue first year students and delete their enrolments in specific circumstances.
This amendment also includes the memorandum, 03.11.2021 from the Associate Director – Academic Services, Ms Pritchard.
- e) Regulation Amendment 2021-921:** General Statutes and Regulations – Enrolment and Programme Regulations
To update the deadlines for Making Changes to Current Enrolment.
- f) Regulation Amendment 2021-924:** Academic Statutes and Regulations - Examination Regulations, Deferred Results
To amend the clause 20p (change “Programme Head” to “Programme Director”).
- g) Regulation Amendment 2021-925:** Key University dates – Closing Dates for Admission to Specified Programmes
To differentiate the closing date for 120-point MCouns and 240-point MCouns.
- h) Regulation Amendment 2021-926:** General Statutes and Regulations – Degrees and Diploma Statute 1991
1. To update the reference to the Education Act to the current government statute.
 2. To add new qualifications to the statute.
- i) Regulation Amendment 2021-927:** Closing Dates for Applications for Admission to Undergraduate and Postgraduate Programmes
To amend the application closing date of Bachelor of Education (Teaching) to 8 December.

2. Concurrent Teaching Policy and Guidelines

Senate 29.11.2021 **RECOMMENDS** that Council **approve** the Concurrent Teaching Policy and Guidelines.

- 3. 2022 Programme Limitations - Amendment** Memorandum, 22.09.2021 from the Associate Dean (Academic) of the Faculty of Medical and Health Sciences, Dr Wilkinson-Meyers.

Senate 29.11.2021 **RECOMMENDS** that Council **approve** the Amendment of the approved FMHS 2022 Programme Limitations

- 4. Programme-specific entry requirements for applicants with NZ foundation qualifications and Programme-specific entry requirements for applicants with international foundation qualifications**

Memorandum, 03.11.2021 from Isaac Partridge, Senior Assessment Adviser, Applications and Admissions.

Senate 29.11.2021 **RECOMMENDS** that Council **approve** the addition of the Bachelor of Early Childhood Studies to The University of Auckland Foundation Studies Certificate (Study Group) Entry requirements, The University of Auckland Certification in Foundation Studies Entry requirements (UP Education), Undergraduate Programme specific entry requirements – NZ Foundation and Undergraduate Programme specific entry requirements – International Foundation

- 5. Guaranteed entry requirements**

Memorandum, 03.11.2021 from the Acting Manager Applications and Admissions, Ms Clark.

Guaranteed entry requirements for admission to undergraduate programmes from the Tertiary Foundation Certificate in 2022.

Senate 29.11.2021 **RECOMMENDS** that Council **approve** the guaranteed entry requirements for admission to undergraduate programmes from the Tertiary Foundation Certificate in 2022

- 6. Study Group Foundation Studies Certificate (FSC) entry requirements.**

Memorandum, 02.11.2021 from Isaac Partridge, Senior Assessment Adviser, Applications and Admissions.
Update to SG FSC entry requirements.

Senate 29.11.2021 **RECOMMENDS** to Council that it **approve** the update to SG FSC entry requirements

- 7. Student Survey Policy and Procedures**

Memorandum, 02.11.2021 from the Manager, Academic Quality Office

Senate 29.11.2021 **RECOMMENDS** to Council that it **approve** the Student Survey Policy and Procedures

- 8. Learning and Teaching Policy Arrangements for 2022**

Memorandum, 02.11.2021 to PDDC regarding 2022 teaching delivery and assessment arrangements.
Draft Omnibus Amendment Statute.

Senate 29.11.2021 **RECOMMENDS** to Council that it **receive** the memorandum, 02.11.2021 to PDDC and **approve** the Draft Omnibus Amendment Statute

- 9. Student Academic Conduct Statute**

Memorandum, 09.11.2021 from the Manager, Academic Quality Office.
Draft revised Student Academic Conduct Statute 2021.
Student Academic Conduct Statute 2020.

Senate 29.11.2021 **RECOMMENDS** to Council that it **receive** that the memorandum, 09.11.2021 and **approve** the revised Student Academic Conduct Statute 2021

10. Class Representation Policy and Guidelines

Senate 29.11.2021 **RECOMMENDS** to Council that it **approve** the Class Representation Policy and Guidelines

11. Doctoral Policy and Procedures changes and additions.

Senate 29.11.2021 **RECOMMENDS** to Council that it **approve** the Doctoral Policy and Procedures changes and additions

12. Open Access Policy

Senate 29.11.2021 **RECOMMENDS** to Council that it **approve** the Open Access Policy

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PART B: ITEMS FROM SENATE FOR NOTING BY COUNCIL

Senate 29.11.2021 **RECOMMENDS** that Council **note** the following research and study leave report and Survey:

1. Report on Leave

Name	School/Department
Assoc Prof Andrew McDaid	Mechanical Engineering
Assoc Prof Sadiq J. Zarrouk	Engineering Science

PART C: MATTERS RECEIVED AND APPROVED UNDER DELEGATED AUTHORITY

1. NEW SCHOLARSHIP & AWARD REGULATIONS

Senate, 29.11.2021 advises Council that the following nine new Scholarship and Award Regulations were approved:

1. Gentrack Professor Victor Portougal Scholarship
2. Koha Refugee Award
3. NZIBS Scholarship in Building Surveying
4. Royal New Zealand College of Urgent Care Prize
5. Teacher Education in Schools Scholarship
6. University of Auckland Rongo Manusina Scholarship

7. FAME Emerging Practitioner Award
8. University of Auckland Transnational Education Partner High Achiever Award
9. Warren and Mahoney Masters Scholarship for Māori and Pasifika Students

Senate, 29.11.2021 advises Council that the Significant amendments to the regulations of the Woolf Fisher Trust Postgraduate Scholarship were approved

2. Board of Graduate Studies membership and deleting and renaming PhD subjects

Senate, 29.11.2021 advises Council that the Board of Graduate Studies membership and deleting and renaming PhD subjects were approved