

Council Agenda Part A (Open Agenda) 11.10.2021 Virtually via Zoom 4:00pm			Page #
1. APOLOGIES	The Chancellor moves that the apologies, be noted .		
2. DISCLOSURES OF INTEREST BY MEMBERS	The attention of Members is drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.	The Chancellor moves that the disclosures, if any, be noted and the action taken be endorsed .	
3. COUNCIL MEETINGS	3.1 Council, Draft Minutes (Part A), 26.07.2021 and 27.09.2021	The Chancellor moves that the Minutes (Part A), 26.07.2021 and 27.09.2021 be taken as read and confirmed .	9
	3.2 Matters arising from the Minutes (Part A), 26.07.2021 and 27.09.2021 not elsewhere on the Agenda		
4. VICE-CHANCELLOR'S REPORT		The Chancellor moves that the Vice-Chancellor's Report be noted .	18
5. REPORTS OF COUNCIL COMMITTEES	5.1 AUDIT AND RISK COMMITTEE 5.1.1 Minutes, (Part A), 17.09.2021	The Chancellor moves that the Audit and Risk Committee Minutes (Part A), 17.09.2021 be received .	31
	5.2 FINANCE COMMITTEE 5.2.1 Minutes, (Part A), 21.09.2021	The Chancellor moves that: <ul style="list-style-type: none"> The Finance Committee Minutes (Part A), 21.09.2021 be received; 	33

	<p>5.2.2 Domestic Student Fees 2022 and International student Fees 2023</p>	<ul style="list-style-type: none"> • The Report on Domestic Student Fees 2022 and International Student Fees 2023 be received and noted; and • THAT Council: <ul style="list-style-type: none"> i) Approve the attached Domestic Fees Schedule for 2022; ii) Approve the attached International Fees Schedule for 2023; iii) Authorise the Vice-Chancellor to assign any new programmes, including SAC funded micro-credentials, or programmes becoming newly available to international students in 2023, to an appropriate band to enable offers to be made during the recruitment cycle, and report these decisions back to Council; iv) Authorise the Vice-Chancellor to set fees for University programmes delivered offshore and non-SAC funded micro-credentials and to report those to the Council meeting immediately following; v) Note that the Diversification and Recovery Scholarship fund and associated delegations approved at its meeting in October 2020 will continue to operate into 2023 to the extent warranted by recruitment indicators on the understanding that this should drive retention or new volume. vi) Approve the Compulsory Student Services Fee at \$8.16 per point (GST inclusive) and the associated changes to the Fees Schedule A – All Students; vii) Approve the attached Other Fees Schedule for 2022 	<p>37</p>
	<p>5.3 NAMING COMMITTEE</p> <p>5.3.1 Naming of the Research Vessel as “Te Kaihōpara”</p>	<p>The Chancellor moves that Council note that the Naming Committee has approved the naming of the Research Vessel as “Te Kaihōpara”</p>	<p>70</p>

	<p>5.4 RŪNANGA</p> <p>5.4.1 September Report</p>	<p>The Chancellor moves that the Rūnanga September Report be received.</p>	<p>71</p>
	<p>5.5 STUDENT APPEALS COMMITTEE</p> <p>5.5.1 Report, 23.07.2021</p>	<p>The Chancellor moves that the Student Appeals Committee Report, 23.07.2021 be received.</p>	<p>74</p>
<p>6. SENATE MATTERS</p>	<p>6.1 REPORT OF SENATE, 20.09.2021</p> <p>Report of the Senate review reference Group, 01.09.2021</p> <p>Part Ai 1-3: Policy and other matters requiring to be received by Council</p> <p>Part Aii 1-6: Policy and other matters requiring Council approval</p> <p>Part B, 1: Matters for noting by Council</p> <p>Part C, 1-2: Matters handled under Delegated Authority</p>	<p>The Chancellor moves that:</p> <ol style="list-style-type: none"> 1. Council note the Report of the Senate review reference group, 01.09.2021 2. The recommendations in Part A of the Report of Senate, 20.09.2021 be adopted and Parts B and C be noted 	<p>75</p>
<p>7. CORRESPONDENCE REFERRED BY THE CHANCELLOR</p>	<p>No items received</p>		
<p>8. OTHER MATTERS FOR DECISION OR NOTING</p>	<p>None</p>		

<p>9. ELECTIONS - APPOINTMENTS</p>	<p>9.1 ELECTION OF THE STUDENT REPRESENTATIVE ON COUNCIL</p> <p>9.1.1 Memorandum, 30.09.2021 from the Returning Officer, Mrs Adrienne Cleland</p>	<p>The Chancellor moves that Mr Junyi (Johnny) Wang be appointed as the student representative on Council for a one-year term (1 November 2021 – 31 October 2022).</p>	<p>79</p>
	<p>9.2 ELECTION OF THE CHANCELLOR AND PRO CHANCELLOR</p> <p>The Registrar takes the Chair for the first part of this item.</p> <p>9.2.1 ELECTION OF CHANCELLOR FOR 2022</p> <p>Note that, under:</p> <ul style="list-style-type: none"> • Schedule 11, clause 15 (4) of the Education and Training Act 2020, the Chief Executive, staff members and the student member are not eligible to be elected as Chancellor or Pro-Chancellor. • Schedule 11, clause 15 (5) The Chairperson and Deputy Chairperson of a Council each hold office, for a period for which the Chairperson or Deputy Chairperson is elected, but are eligible for re-election. • The election of Chancellor and Pro-Chancellor will take place for a period starting on 01.01.2022 and ending 31.12.2022. <p>Procedure for Election of Chancellor</p> <p>Council 12.07.1974 resolved:</p> <ol style="list-style-type: none"> 1. The Registrar to call for nominations – each nomination to have a proposer and a seconder. 2. Should one nomination only be received the person nominated to be declared elected. 3. Should more than one nomination be received then a secret vote be held as follows: <ol style="list-style-type: none"> (i) Each member of Council entitled to vote be asked to write the name of the candidate for whom (s)he wishes to vote on a voting paper. (ii) The voting papers to be collected and the Registrar and one other member of the administrative staff to act as scrutineers. (iii) The Registrar to declare the nominee gaining the highest number of votes elected. In the event of an equality of votes the election shall be determined by lot. <p>9.2.2 ELECTION OF PRO-CHANCELLOR FOR 2022</p> <p>The Chancellor resumes the Chair for this part of the item.</p> <p>Schedule 11, clause 15 of the Education and Training Act 2020 provides:</p> <ol style="list-style-type: none"> 1. At the first meeting of a Council the Council shall elect one of its members to be the Deputy Chairperson of the Council. 2. Whenever a vacancy subsequently occurs in the office of Chairperson or Deputy Chairperson of the Council, the Council shall elect one of its members to fill the vacant office. 		

	<p>3. The chief executive, a member of staff or a student member are not eligible for election as the Deputy Chairperson.</p> <p>4. The Deputy Chairperson holds office until 31.12.2022</p> <p>5. The Deputy Chairperson of the Council of a university may be referred to as the Pro-Chancellor or by such other title as the Council determines.</p>	
10. GENERAL BUSINESS	None	
11. LEAVE OF ABSENCE	(for the meeting of 08.12.2021)	

PUBLIC EXCLUSIONS

The Chancellor moves that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

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| Item No. 1.1 | Council Minutes (Part B), 26.07.2021 and 27.09.2021 |
| Item No. 2.1 | University of Auckland Human Participants Ethics Committee |
| Item No. 2.2.1 | Audit and Risk Committee Minutes (Part B), 17.09.2021 |
| Item No. 2.3.1 | Finance Committee, Minutes (part B), 21.09.2021 |
| Item No. 2.3.2 | Financial Performance and Forecast for 2021 |
| Item No. 2.4 | Honours Committee |
| Item no. 2.5 | Naming Committee |
| Item No. 4.1 | 2021 key performance targets aligned to Taumata Teitei |
| Item No. 4.2 | Te Rautaki Tūāpapa, the University of Auckland Estate Strategy 2021-2030 |

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Adrienne Cleland, Professors Linton and Metson, Associate Professor Kool, Tim Bluett, Pamela Moss, Simon Neale, Todd Somerville, Bridget Fitzpatrick, Anthony Brandon and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

Council Minutes Part A (Open Minutes) 26 July 2021		
PRESENT:	Ms Tarrant (Chair), Professor Freshwater (Vice-Chancellor), Dr Prasad, Sir Michael Daniell, Professor Curtin, Mrs Dunphy, Ms Dawson, Mr Paitai, Mr McDonald, Mr Wang, and, remotely via Zoom: Ms Newsome	
IN ATTENDANCE:	Professors Linton (remotely via Zoom), Hosking, and Metson; Associate Professor Kool; Mrs Cleland, Mr Bluett, Ms Moss, Mr Phipps, Mr Brandon, the Women in Leadership participants and Ms Verschaeren	
KARAKIA	The meeting was opened with a karakia by the Māori representative on Council, Mr Paitai.	
1. APOLOGIES	Ms Quinn	
2. DISCLOSURES OF INTEREST BY MEMBERS	<p>The attention of Members was drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.</p> <p>No further disclosures were made.</p>	
3. CONFERMENT OF DEGREES	With the authority of Council, the Chancellor conferred the degrees as per the schedule provided to the meeting.	
4. AWARD OF DIPLOMAS	With the authority of Council, the Chancellor awarded the diplomas, as per the schedule provided to the meeting.	
5. COUNCIL MEETINGS	5.1 Council, Draft Minutes (Part A), 14.06.2021 Council noted that Mr McDonald was present at the meeting of 14.06.2021 and should be added to the attendance list.	RESOLVED (Chancellor/Professor Curtin): that the Minutes (Part A), 14.06.2021 be taken as read and confirmed, subject to the addition of Mr McDonald to the list of members present.
	5.2 Matters arising from the Minutes (Part A), 14.06.2021 not elsewhere on the Agenda. None...	

<p>6. VICE-CHANCELLOR'S REPORT</p>	<p>The report was taken as read.</p> <p>The Vice-Chancellor, Professor Freshwater made the following comments: With regard to international education, after meeting with the Minister on 13.07.2021, the Vice-Chancellor had received a draft policy statement on the high value of international education for consultation and response. The definitions of high value international education in the policy statement included not only excellent education, student experience along with the University strategy and attracting students into high-value markets; but also mentioned delivering a range of value benefits to New Zealand with minimal risks. The risks that were referenced in the policy statement document included:</p> <ul style="list-style-type: none"> • crown fiscal risks, • specifying overreliance on international education, and • compromising the integrity of the New Zealand immigration system by inappropriate use of international education as a guaranteed pathway into New Zealand residency. Providers were being seen as providing access to the labour market rather than to the education experience. <p>The University Executive will be formulating a response to the policy statement taking into account the above-mentioned risks. At the same time as releasing the policy statement, the Government was also looking into the Immigration reset which would have implications for staff and students who were in the system prior to the reset.</p> <p>The PBRF review information has been released and the Universities New Zealand Deputy Vice-Chancellors (Research) Group was working closely with the Minister on how it could drive an agenda to ensure high-quality research. The University's concern here was how to maintain the definition of research excellence that it worked hard to achieve.</p> <p>With regard to performance against our priorities, work had been undertaken on the curriculum transformation. A taskforce was developing a new curriculum across the Faculties, working together to ensure that the new curriculum would suit both students and staff.</p> <p>The Vice-Chancellor also mentioned that:</p> <ul style="list-style-type: none"> • A number of town-hall meetings had taken place across the University and online that were well attended. Topics discussed included the results of a staff survey, curriculum transformation and academic integrity. • The University had put forward a proposal to be a vaccination site and a response could be expected soon. • The University had responded to "the Red Line" podcast and comments in the media about possible Chinese infiltration on NZ University campuses. • Academic Integrity had remained a point of focus in the media and the Vice-Chancellor thanked the Pro Vice-Chancellor (Education), Associate 	<p>RESOLVED (Chancellor/Mr Wang): that the Vice-Chancellor's Report be noted.</p>
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	<p>Professor Kool for the excellent interview about that topic she gave on the radio.</p> <ul style="list-style-type: none"> This week The Listener published a letter about particular perspectives on Science including comments on Mātauranga Māori, written by seven of the University Academic staff members. Following this publication, the Vice-Chancellor had sent out an all-staff email stating that although academics were free to express their views, these did not represent the views of the University. 	
7. REPORTS OF COUNCIL COMMITTEES	<p>7.1 FINANCE COMMITTEE</p> <p>7.1.1 Minutes, (Part A), 13.07.2021</p> <p>This item was presented by the Chair of Finance Committee (Sir Michael Daniell). He commented that the forecast was better than expected. An operating surplus of \$43.2m or 3.3% of revenue was forecast which was an improvement of \$33.1m compared to the previous forecast. This surplus would, in the first instance, be used to finance the curriculum transformation.</p>	RESOLVED (Chancellor/Sir Michael Daniell): that the Finance Committee Minutes (Part A), 13.07.2021 be received .
	<p>7.2 RŪNANGA</p> <p>7.2.1 Mid-Year Report</p> <p>The Vice-Chancellor commented that a naming ceremony took place in the morning of 26.07.2021 where the new Māori name of Waipapa Taumata Rau was gifted to the University by Ngāti Whātua Ōrākei.</p>	RESOLVED (Chancellor/Mrs Dunphy): that the Rūnanga Mid-Year Report be received.
8. SENATE MATTERS	<p>8.1 REPORT OF SENATE, 12.07.2021</p> <p>Part Ai 1: Policy and other matters requiring to be received by Council Part Aii, 1: Policy and other matters requiring Council approval Part B, 1-2: Matters for noting by Council Part C, 1-2: Matters handled under Delegated Authority</p> <p>This item was presented by the Pro Vice-Chancellor (Education), Associate Professor Kool.</p> <p>She drew Senate's attention to:</p> <ol style="list-style-type: none"> Review of the School of Medicine 	<p>RESOLVED (Chancellor/Mr McDonald): that Council approve in principle the Freedom of Expression: Rights and responsibilities – Policy and Procedures proposal and note that the formal Policy and Procedures document will be submitted to the next meeting.</p> <p>RESOLVED (Chancellor/Dr Prasad): that Council note the Terms of Reference of the Senate Review</p> <p>RESOLVED (Chancellor/Mrs Dunphy): that the recommendations in Part A of the Report of Senate, 12.07.2021 be adopted and Parts B and C be noted</p>

2. Review of the Department of Finance and Accounting – Further Progress Report which was a final response to the original review and a number of other Academic review Progress Reports as part of the Academic Review cycle.
3. A list of CUAP proposals
4. The Learning and Teaching survey results for 2020

In response to a question of Council regarding the feedback received in the Learning and Teaching Survey 2020, the Pro Vice-Chancellor (Education) responded that the survey was part of an academic quality review and that the issues identified would need to be addressed. Steps taken to address the issues identified would be reported to Council in the Vice-Chancellor's Reports when reporting against the priorities of Taumata Teitei.

The Vice-Chancellor then introduced the two major topics that were discussed at the Senate meeting of 12.07.2021:

Freedom of Expression: Rights and responsibilities – Policy and Procedures

She advised Council that Senate had the opportunity to consider this topic at two separate meetings and its comments were included in the document submitted to Council. The formal version of the Policy and Procedures would be submitted for approval to the next meeting of Council.

She commented that a lot of people from across the University had contributed to the document and engagement with students had taken place. The draft proposal considered the trends across the University sector globally, and proposed solutions that would suit the University of Auckland.

It was decided that the main issues that Senate would focus on this year would be: Freedom of Expression followed by Academic Freedom and the Code of Conduct.

Terms of Reference of the Senate Review

This Review would be undertaken by the Dean of Law, Professor Mathew. This Review would not only be looking at the Policy and Procedures and the role of Senate, but also at ways of revitalising Senate, ensuring it understands its responsibilities and duty in driving academic issues at the University.

The draft Terms of Reference of the Senate Review had been approved by Senate at its meeting of 12.07.2021.

9. CORRESPONDENCE REFERRED BY THE CHANCELLOR	No items received	
10. OTHER MATTERS FOR DECISION OR NOTING	<p>10.1 SEAL</p> <p>Since the Council meeting on 14.06.2021 the seal has been applied to the following document in accordance with the Council resolution of 18.02.1991:</p> <ul style="list-style-type: none"> • Facilities Agreement – The University of Auckland (the Borrower) and the Bank of New Zealand (the Lender) • Acknowledgment by The University of Auckland pursuant to clause 2 of a negative pledge dated 30.06.2021 to Bank of New Zealand • Deed of Lease – Level 12, 7 City Road Auckland, Sipka Properties Symonds St Ltd (Landlord) & The University of Auckland (Tenant) • Deed relating to suspension of Rent under Lease – Level 10, 67 Symonds Street, Sipka Properties Symonds St Ltd (The Lessor) & The University of Auckland (The Lessee) • Deed of Renewal of Lease – Ngapouri Station, 2739 State Highway 5 Reporoa – Graeme David Hathaway, Yvonne Therese Hathaway and Steven James Bignell as Trustees of the Hathaway & Dekker family Trusts (The Landlord) & The University of Auckland (The Tenant) 	<p>RESOLVED (Chancellor/Professor Curtin): that the affixing of the seal to the listed documents be noted.</p>
	<p>10.2 RESCINDMENTS AND RE-AWARDING</p> <p>MEMORANDUMS, 10.06.2021 AND 15.06.2021 from Margaret Allen, Manager, Scholarships and Graduation, regarding the rescindment and re-awarding of a Degree and Postgraduate Diploma.</p>	<p>RESOLVED (Chancellor/Mr Wang): that Council rescind the conferral of the qualification of the Master of Management for Serena Sum-Yee Lee and re-award it with Distinction.</p> <p>RESOLVED (Chancellor/Dr Prasad): that Council rescind the conferral of the qualification of the Postgraduate Diploma in Health Sciences for Kerstin Marie Bailey and re-award it with Distinction.</p>
11. GENERAL BUSINESS	None	

12. LEAVE OF ABSENCE	(for the meeting of 11.10.2021) No leave of absence was requested.
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PUBLIC EXCLUSIONS

RESOLVED (Chancellor/Mr McDonald): that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

Item No. 1.1	Council Minutes (Part B), 14.06.2021
Item No. 2.1.1	Finance Committee, Minutes (part B), 13.07.2021
Item No. 2.1.2	Financial Performance and Forecast for 2021
Item No. 2.1.3	University of Auckland long-term Size, Shape and Composition parameters – aligned to Taumata Teitei
Item No. 2.1.4	Long-term Financial Plan 2121-2030 Progress Report
Item No. 2.2	Honours Committee
Item No. 4.1	Taumata Teitei Operational Plan Key Performance Indicators Progress Report

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Adrienne Cleland; Professors Hosking and Metson; Associate Professor Kool; Andrew Phipps, Tim Bluett, Pamela Moss, Anthony Brandon and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

The meeting closed at 6.15pm

The meeting went into Public Excluded session at 5.00pm

Approved as a true and correct record.

Cecilia Tarrant, Chancellor

Date

Council Minutes Part A (Open Minutes) 27.09.2021 Virtual meeting via Zoom		 THE UNIVERSITY OF AUCKLAND <small>Te Whare Wānanga o Tāmaki Makaurau</small> NEW ZEALAND
PRESENT:	Ms Tarrant (Chair), Professor Freshwater (Vice-Chancellor), Dr Prasad, Sir Michael Daniell, Professor Curtin, Mrs Dunphy, Ms Dawson, Mr McDonald, Mr Wang, and Ms Newsome	
IN ATTENDANCE:	Ms Verschaeren	
KARAKIA	The meeting was opened with a karakia by Mrs Dunphy	
1. APOLOGIES	Ms Quinn and Mr Paitai.	RESOLVED that the apologies be noted .
2. DISCLOSURES OF INTEREST BY MEMBERS	<p>The attention of Members was drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.</p> <p>No further disclosures were made.</p>	
3. CONFERMENT OF DEGREES	With the authority of Council, the Chancellor conferred the degrees, as per the list held by the Graduation Office.	
4. AWARD OF DIPLOMAS	With the authority of Council, the Chancellor awarded the diplomas, as per the list held by the Graduation Office.	

PUBLIC EXCLUSIONS

RESOLVED (Chancellor/Vice-Chancellor): that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

- Item No. 1. COVID-19 update from the Vice-Chancellor
- Item No. 2 Review of Council

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Anthony Brandon and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

The meeting closed at 10.20am

The meeting went into Public Excluded session at 10.05.am

Vice-Chancellor's Report to Council | 11 October 2021

General

External, Policy and Government Update

International Education:

UNZ and the University of Auckland have submitted papers in response to the consultation process initiated by the MoE on the future of 'High Value International Education'. In addition, UoA staff have attended a number of workshops currently underway with various TEC and MoE officials on the same consultation. Officials now say any return to a form of normal flows of international students into New Zealand will not be until 2023.

Mental Health Funding:

Funding allocated in the 2019 Budget has been released for the 2021/2022 year with \$2.6 million of the \$3 million going to UoA, AUT and Massey. The total funding will increase over the next two to three years to approximately \$6 million in baseline funding. However, the amounts allocated to the three Auckland universities in 2021/22 will be the same amount they will have available in each subsequent year to 2023/24. The Tertiary Education Commission has advised that funding allocations from 2022/23 will be via a truncated procurement process where universities will be asked to respond to six questions detailing how they propose to use their additional funding in responding to mild to moderate mental health needs.

Student Hardship:

The Government has added a further \$20 million to its Hardship Fund for Learners, which will help around 15,000 students to stay connected to their studies and learning. This brings the total fund to \$50 million. The additional money will be paid to tertiary providers, as it has previously, who disburse it to their learners. The Government has also provided MSD with the discretion to continue paying out both student loans and allowances to learners who are unable to resume their study until COVID-19 restrictions are lifted.

Immigration Policy:

The Productivity Commission is receiving submissions on its inquiry into NZ's immigration system and whether it is 'fit for the future.' Opinion is mixed on the value and importance of migrants to NZ. Contrasting opinions are evident in responses to questions specifically about international students. There is a strong consensus that international student graduates can meet skills shortages, but there are also mixed views on work rights.

Internal Update*Covid-19 Vaccination & Testing Policy*

We are currently consulting on a Covid-19 Vaccination and Testing policy. There has been significant interest from our staff on the approach the University is recommending. Consultation closes 4 October.

Creating Cultures of Consent & Respect Action Plan:

The Creating Cultures of Consent and Respect Action Plan (previously called the Harmful Sexual Behaviours Action Plan) was communicated throughout the University during the week of 20 September. The plan will advance and expand the University's work in this area and build on the processes and services already in place to further prevent and respond to harmful sexual behaviour.

Student High Performance Support Programme:

The University's High Performance Support Programme provided support to three students competing in the Tokyo Olympics. Gold medals were won by Theresa Fitzpatrick (FMHS), as part of the women's sevens rugby team and Michael Brake (Engineering), with the men's rowing eight. The third student, Kanah Andrews-Nahu (FMHS) competed in her first Olympics in weightlifting.

1. Education and Student Experience**Overview**

The EFTS fully reflect Semester 2 enrolments and earlier weeks of the recent COVID lockdown, in which a limited number of students have withdrawn from their enrolments. Some new research student enrolments will be confirmed before the year ends but most of the 2021 enrolments have now been finalised.

50% of the 2021 Domestic EFTS growth has been from school-leavers. Total school-leavers have grown by over 1,000 EFTS (21%) reflecting higher school leaver numbers in 2020, the reduced opportunities for overseas travel and the current youth unemployment rates. Strong focus and commitment to Māori and Pacific recruitment is demonstrated in the percentage growth in those cohorts being above the overall growth. The stable domestic intakes over the past few years have contributed to pipeline undergraduate numbers in 2021, being similar to those in 2020.

The remaining domestic growth is in taught postgraduate funded programmes. The Career enhancing market segment contributes more than half of that growth (530 EFTS), with Working Professional growth from Auckland Online contributing around 1/3rd of the growth (320 EFTS), with the remaining growth in research pathway students.

2,505 EFTS or 47% of our full-fee funded International student enrolments are learning from off-shore. Online teaching and the support provided by off-shore Learning Centres have been key to our retention of those students. These arrangements will continue in 2022, with new learning centres to be opened in India to broaden the programmes offered reduce the risk of our dependency on the China market.

Indicators	Last Year	Current Year			
		To Date (31 August)		End of Year	
	Actual	Target	Actual	Target	Forecast
Total EFTS	34,248	33,500	36,653	33,506	36,662
Domestic Funded	28,826	28,965	30,842	28,971	30,944
International Full Fee	4,990	4,160	5,362	4,168	5,401
% Postgraduate EFTS	25.0%	24.6%	24.9%	25.1%	25.0%

Note: EFTS information does not include enrolments in the ELA and other sources

EFTS in priority groups

	2020 (31 August)	2021 (31 August)	% Change
Total Māori EFTS	2,313	2,527	9.3%
Māori School leavers	331	431	30%
Total Pacific EFTS	2,842	3,071	8.1%
Pacific School leavers	473	607	23%
Total Postgraduate	8,539	9,127	6.9%
Domestic	6,811	7,797	14.5%
International	1,469	1,188	(19%)

Progress against priorities

Priorities 1-4

Many aspects of the education and student experience priorities will be realised through consideration of how the University's future curriculum is developed, structured and delivered, along with what themes and experiences are woven through it. The Curriculum Framework Transformation Project has been established to do this, developing a new graduate profile and curriculum model in 2021, consulting, testing, refining and rolling them out in 2022 - 2023.

The work is being done by the cross-University Curriculum Transformation Taskforce (CTT), specialist working groups (Sustainability; Work-Integrated Learning; Learning & Teaching Delivery Model; and Pūtoi Ako [Kaupapa Māori pedagogies], and Transdisciplinarity, Innovation and Entrepreneurship) and student representation. In addition, a Te Tiriti and Mātauranga Māori Advisory Group, and an Operational Advisory Group have been established to help support the project. The work is on track with major reports due by year end.

Areas of curriculum change required to meet Priorities 3 and 4 are incorporated into the CTT's activities.

Priority 1: Accessible, equitable lifelong higher education opportunities

Auckland Online are continuing to increase the suite of offerings they provide, expected to reach eleven in 2022.

Pacific Academy: Now in its fourth year, we continue to deliver remotely, after a period of in person delivery, our calculus and physics expert tuition programme to South Auckland and West Auckland schools. This has strong support from participating schools and meets a known need to support achievement in key dimensions of academic preparation for university. This programme has been approached by the Ministry for Pacific Peoples and there is the possibility of additional support for this programme.

South Auckland Maths Challenge/West Auckland Maths Challenge: This year 9 and 10 programme is designed to inspire and support engagement and continued study of maths, as well as encouraging students to extend and enjoy their maths learning. Due to popular demand this has now expanded into West Auckland.

Launch of the online Samoan language course: Having been developed since last year this was released in a very well attended *fono* where the course was launched by Dr Neru Leavasā, alumnus and MP for Takanini.

Te Papa Ako o Tai Tonga (South Auckland Campus) has again reopened under level 3 to support students who do not have appropriate or adequate study conditions in their home. Though for a substantial period since Tai Tonga's opening in February 2020 it has been closed or under restriction, it continues to be a popular and appreciated dimension of student experience.

Priority 2: Student-centric learning, co-curricular and extra-curricular cultures

The use of the online examinations system (Inspera) used for 600+ exams in Semester One is being expanded in Semester Two. Results from staff and student surveys regarding Inspera's use in Semester One revealed that generally students were happy with the online platform. The University is working with Inspera to improve areas of functionality that were of concern to staff.

Digital invigilation using Inspera Smarter Proctoring will be used for the first time in Semester 2 for around 30 'high stakes' courses that had been given an exemption for on-campus exams which are now unable to take place due to Covid-related restrictions.

2. Research and Innovation

Indicators	Last Year	Current Year			
		To Date (31 August)		End of Year	
	Actual	Target	Actual	Target	Forecast
Research revenue (\$M)	225	171	180	249	274

Note: The revenue includes University of Auckland revenue only

Overview

The 2021 Outlook for Research Revenue report for the 2022-2025 period has been completed and submitted to the Finance Committee for the September Committee meeting.

Progress against priorities**Priority 1: World-class research inspired by our place in Aotearoa and the Pacific**

Pacific Research Entity: The University has committed investment for a university-wide Pacific research entity, which would be the first of its kind in the nation. This will be a focal point for Pacific research and expertise. A leadership group has been agreed upon and further progress is expected by year end.

Hikina kia Tutuki Grand Challenges Fund: The Call for Proposals for University Research Centres was released on Friday 27 August 2021. A total of 46 Registrations of Ideas was received. Registrations are now being reviewed to consider gaps, readiness, and opportunities for consolidation. Full Expressions of Interest (EOI) ideas are due on 17 November.

Priority 3: Relevant, purposeful, impactful research for our communities

Research data management project: The final report on RDM stocktake findings was disseminated to the UoA research community in early September. Improvement opportunities have been identified across six areas which will be progressed into a business case for consideration by the Strategic Investment Group and Portfolio Management Board for consideration as part of the 2022-2024 strategic portfolio.

Engagement with and responsiveness to Māori Project - Interviews with researchers and Māori advisors will be completed by the end of September. Guidelines on how to engage with tangata whenua are currently being drafted.

Research Hub: Research Hub launch is planned for the week of 25 October. A business case for the BAU resourcing plan, including a ResearchHub content specialist, is being prepared.

Open Access: A Reference Group has been convened to develop a new Open Access Policy. This is seen as a key element in the reach and impact of our research. A draft policy is expected to be finalised by ~ October 2021. Further consultation with the University community will follow. UEC will receive the Open Access Policy for review and approval before the end of the year.

Priority 5: Nurturing, recruiting and retaining outstanding research talent

Research Code of Conduct: A draft Research Code of Conduct is complete. The Research Code of Conduct reference group expects to begin socialising the draft Code more widely in October.

Researcher Skills & Development (RSD): The RSD Advisory Panel has agreed on the overall approach to a Research Skills & Development framework. A series of initial engagements with Faculty/LSRI research committees has taken place to support co-design of the framework with the UoA research community.

Priority 6: A research ecosystem characterised by collaboration, agility, simplicity, engagement, and empowerment

Budget Simplification Project: An online budgeting tool for research proposals is 95% complete and a business case for enterprise roll-out/development has been submitted.

Symplectic Elements Enhancements Project: Upgrades and development of the Elements platform by Symplectic are underway to improve and consolidate the management of research funding, research outputs and researcher profiles.

Research Infrastructure (RI) and Research Platforms Project: The annual Shared Research Infrastructure (SRI) funding process is underway. This process provides for University-level co-investment in faculty/LSRI-hosted research infrastructure, under the guidance of an SRI Panel chaired by the Deputy Vice-Chancellor (Research). This year the process has been extended to include proposals for new research platforms and/or cross-faculty initiatives to support coordination of investment strategy in key categories of research infrastructure.

Managing Research Delivery: Project is on track to develop process and procedural documentation guidelines to optimise workflow between research services teams. Work is planned throughout rest of 2021 and will continue into 2022, with current priorities focused on project activation, scholarships, ethics and subcontracts.

3. Partnerships and Engagement

Priority 1: Strengthen and deepen our relationships with tangata whenua

To ensure the Waipapa Framework underpins the development of and curricula for competent Māori students. A meeting of the UEC is planned to review the initial framework, with a view to this then being workshopped at the Senior Leaders Strategic Retreat in November 2021.

Priority 2: An ambitious and relevant partner that is globally networked

The University logo re-design is in concept stage, with wider consultation (staff, students, alumni) and quantitative testing planned for October/November. Testing will only re-commence once Auckland drops to COVID Level 2.

Brand positioning and logo roadmap – UEC and Council to be updated by 8 December.

Vice-Chancellor Dawn Freshwater, Professor Robert Greenberg and Ms Deborah McAllister attended the 25th Association of Pacific Rim Universities (APRU) Annual Presidents' Meeting "Universities Shaping the New Normal Together" (25-27 August). University of Auckland third-year BA Architecture student, Mr Robbie Anderson, contributed to a student panel chaired by President Rocky Tuan, Chinese University of Hong Kong, during the virtual APRU Annual Presidents' Meeting.

The University of Auckland was a finalist in September in the following three categories of the international 2021 PIONEER Awards which celebrate innovation and achievement across global education — Public/Private Partnership, Championing Diversity, and Employability International Impact. The University also sponsored the Sustainability Impact award.

The International Office reactivated semester exchanges with select partners in Australia to facilitate both inbound and outbound exchanges from Semester Two 2021. 24 Auckland students remain in Australia currently. The International Office tentatively plans to support exchanges between Australia for Semester One 2022, subject to the status of the Trans-Tasman bubble and travel restrictions going into the first part of next year. The 360 International team continues to also offer a robust suite of academic and extracurricular programmes, facilitated by our exchange and network partners, for students to engage in virtual international learning while broader international travel restrictions remain in place.

Priority 4: Enduring relationships with prospective students, students, alumni and donors

Open Day On-campus was cancelled on 19 August due to COVID-19 and Level 4 lockdown. More than 7,000 potential students and their whanau had registered to attend. Follow-up email communications, including links Open Day Online digital content have distributed through Eloqua comms journey to maximise the lead potential.

Marketing campaigns launched for UG and PG recruitment, Summer Start and a new PG accommodation scholarship available to domestic and in-market international students.

Sponsorship of Shortland Street to celebrate Te Wiki o te Reo Māori Week and demonstrate how te reo is woven into our journey. The popular TV show increased its use of te reo by 30% during the week.

A second 'online/at home' edition of the popular [Raising the Bar](#) event series took place from 7 July to 11 August, after the success of the online version in 2020. The latest online edition featured six of the best from the 2021 RTB event series, held in 10 pubs in Auckland on 20 April. The goal was again to take lively engaging content to alumni and friends in Auckland and around the world.

The Wellington Alumni and Friends Reception, held on 12 August, attracted 188 attendees, a significant increase on previous years' events. Professor John Hosking, Dean of Science, opened the evening and the guest speaker was Professor Thor Besier, from the Auckland Bioengineering Institute, who talked about personalised healthcare – the new frontier for medical research.

ARD has partnered with a global online book platform to launch the [University of Auckland Virtual Book Club](#). The Book Club is open to alumni, students, staff and friends and provides opportunities for connection, discussion and lifelong learning. There are 456 members so far.

The International Office successfully hosted the first International Open Week (15-16 September) – a large scale virtual event held over two days with 63 interactive information sessions and on-demand content aimed to provide prospective international students with the

opportunity to engage with staff and explore study opportunities at the University. The event received nearly 3,500 registrations and exceeded its KPIs for attendance and engagement.

Priority 5: Diverse student body reflecting our communities

The University submitted a response to the Ministry of Education consultation process on High-Value International Education for New Zealand. The submission emphasised the considerable benefits of international education to New Zealand's economy, community, innovation and research, our public diplomacy and the global citizenship benefits to New Zealand students in the context of managing the relatively small risks raised within the paper. The International Office has also participated in a virtual consultation on the policy where our position on risk management (rather than minimisation) relative to the substantial benefits was heard and reflected by Ministry officials.

4. Enabling our People and Culture

Overview

Operational plan activities continue to be scoped and prioritised.

Progress against priorities:

Priority 2: Develop a future-ready workforce

Working in partnership with the Curriculum Transformation Taskforce, the development of a future ready academic workforce is underway. A subject matter expert in large scale workforce planning has been appointed to scope the programme of work. A business case is on track to be submitted in October 2021. Individual meetings have occurred with the Deans and Directors of LSRI's as part of the semestral review process. A significant theme that emerged is the impact on teaching and research of not being able to appoint internationally. In addition, our highly specialised professional staff, particularly in Digital Services, are being targeted to join other organisations offering significantly higher remuneration.

Priority 3: Build a high-performing, diverse, inclusive and equitable community

People and Culture and Pro VC (Māori) are co-sponsoring the development of a Māori staffing plan. The first workshop including members from the Maori community is scheduled for October.

Priority 4: Activate manaakitanga, whanaungatanga and kaitiakitanga across our People and culture

The Te Taumata Ngaio programme is progressing well, with the Te Reo Māori Pronunciation online course for semester 2 being fully subscribed. Registrations for a further 1000 staff scheduled in Semester 1 has just opened and demand continues to be high.

Priority 5: Aspirational and inclusive leadership

Associate Professor Nuala Gregory (currently Deputy Dean) has been appointed as Acting Dean of Creative Arts and Industries, starting 1 January 2022.

Professor Cathy Stinear (currently Deputy Head, Department of Medicine in the Faculty of Medicine and Health Sciences) has been appointed to the new position of Ihonuku Tōkeke, Pro Vice-Chancellor Equity, starting 1 October.

The University has also accepted the retirements of Andrew Creahan (Director of Organisational Performance and Improvement) and Dianne Head (Director of Communications and Marketing); both finish their careers at the University in October.

5. Our Enabling Environment**Overview**

The unplanned increase in student numbers is the main source of the increased actual and forecast revenue. Additional fees, including International Fees and TEC funding are forecast.

Indicators	Last Year	Current Year			
		To Date (30 June)		End of Year	
	Actual	Target	Actual	Target	Forecast
Total revenue (\$M)	1,234	1,036	1,153	1,248	1,352
Revenue achieved as a % of budget	95%	100%	111%	100%	108%

Progress against priorities:**Strategic Priority 1: Mana-enhancing services and practices**

Phase two of the Kahu Virtual Campus, Your World Your Way (3D World), was released in August and is in use by Schools Partnership Office and the International teams for recruitment. A Te Reo promotional video was released on social channels to align with Te wiki o te Reo Maori week. Student testing with schools will continue in Quarter 4 once Covid restrictions are lifted, and investigation is underway with Auckland Bioengineering Institute (ABI) to understand if their live streaming capability can be applied to enrich the Virtual Campus experience.

Strategic Priority 2: Efficient, effective, prudent, transparent, and informed operations

The confirmation document for the Student Services Function Review was released on 17 September, and the redeployment process is underway, alongside process design and transition workshops. Six new Associate Directors have been appointed across the Student Services function.

Consultation has commenced for the "Data Strategy", a critical enabler of the institutional information framework. The draft Data Strategy encompasses and applies to all University data. The approach acknowledges the commonality of the policy framework, data governance and data literacy across the three high-level domains of Research and Innovation, Teaching and Learning and Institutional and Administrative data. The high-level domains will have distinct operating models relevant to the information needs of the domain.

Strategic Priority 3: Seamless, effective, and equitable user experiences across social, physical, and digital environments

In support of the University's Digital Strategy, one of the digital ecosystem objectives is to modernise access to the rich content in our scholarly and cultural collections. Te Tumu Herenga's Primo catalogue allows users to find and access information about a wide range of print and electronic resources from a single search point. A business case was recently approved to migrate the locally hosted Primo to Primo VE in the cloud, which will enable the introduction of new features and functions supporting online access and discovery.

A trial of an open-all-hours 24/7 study space in the Kate Edger Building had commenced in August in response to student feedback, prior to the move to Alert Level 4. The trial service was monitored by Security and allowed students to use their ID cards to access the building after normal operating hours. Data from the first night's trial showed strong demand, with around 50 students in the space until 1.30am. Unfortunately, by the second night the country was in lockdown. The trial is expected to resume in Semester One 2022.

Strategic Priority 4: A distinctive, capable, and flexible built environment that celebrates our place in Aotearoa New Zealand and the Pacific

Engagement on Te Rautaki Tūāpapa, the University's Estate Strategy, has continued to be a priority with the final draft being presented to the October Council meeting.

Key building project updates: *Note the updates below are as at the beginning of Alert Level 4. Works recommenced on nearly all projects (large and small) at the start of Alert Level 3 on 22 September.*

- The construction of the Recreation and Wellness Centre (RWC) continues as planned, within budget and on programme.
- The B201 (Faculty of Education and Social Work) project continues to progress to budget and programme. Detailed design has been completed, building consents have been achieved within scheduled dates and demolition and asbestos removal has progressed well on site. The Prime Minister, Senior Ministers, Crown Infrastructure Partners, and a group of Infrastructure Caucus MP members have all visited the site and were very positive about the management of the project and its progress.
- The Carlaw Park Stage 3 Student Accommodation project has continued to be ahead of the Landlord's programme with the building façade outwardly demonstrating the construction progress.
- A Business Case has been approved for the City Campus Student Hub project.
- A Business Case has been approved for the FMHS Grafton relocations project
- The MedTech Innovation Quarter Steering Group is developing the strategic case and considering potential options.
- A Steering Group is about to meet to start planning for the Te Tai Tokerau campus redevelopment.

Strategic Priority 5: A commitment to achieve net-zero carbon status and to publish meaningful metrics of the University's progress towards overall sustainability

A pilot is underway around the utilisation and sustainability of buildings on campus, using the concept of a Digital Twin. This involves development of a 360-degree digital view of the Science Building (302) taking as-built plans, and a wide range of other building information to create a digital replica of the physical building. The proof of concept will provide key data to help analyse utilisation patterns and where there are opportunities to re-design or re-route services for environmental benefit.

The Energy Monitoring and Reporting project has been established to consolidate University data on electricity, water, and gas usage to support improvements in energy, utility, and sustainability reporting.

Dawn Freshwater
Vice-Chancellor

Appendix One: University Gifts and Pledges July -August 2021

The following major new gifts and pledges were received by ARD in July and August 2021:

- \$3,000,000 from Aotearoa Foundation for the Auckland Bioengineering Institute Fellowships.
- \$1,157,398 from Neurological Foundation New Zealand for the 'Characterising the mutational spectrum of variants in Charcot-Marie-Tooth in New Zealand' study and the 'Defining the role of cerebrospinal fluid dynamics in cognitive decline with critical illness' study, both at Science, and the 'Why does the menstrual cycle increase seizures for many women with epilepsy?' study, the 'What does the nose know about Parkinson's disease?' study and the 'Inhibitory control in adolescents exposed prenatally to methamphetamine' study, all at Medical and Health Sciences;
- \$600,000 from The Hynds Foundation to support the Hynds Educational Fellow programme at the Business School, and PhD and postdoctoral studies into atrial fibrillation at ABI.
- \$480,000 from Graham and Shona Matthews to support the Buchanan Programme for UE Success at Education and Social Work.
- \$400,000 from Lane Capital Group for the Lane Capital Scholarship programme for undergraduate study.
- \$363,818 from Cancer Society Auckland Northland to support the Auckland Cancer Society Research Centre.
- \$319,859 from Auckland Medical Research Foundation for the 'Neurorestoration after therapeutic hypothermia with recombinant erythropoietin' study and the 'Reverse engineering nature's pacemaker' study, both at Medical and Health Sciences.
- \$250,000 from the Waterloo Trust for the Kate Snow Hardship Awards at Medical and Health Sciences.
- \$165,877 from Live Ocean Charitable Trust to support a research fellow for the Seascape Project and a PhD scholarship for the Taringa Buoy Project, both at Marine Science.
- \$141,000 from MedicAlert Foundation for the Harish Rajpal Research Scholarship at the Manaaki Manawa Centre for Heart Research at Medical and Health Sciences.
- \$110,000 from the Prostate Cancer Foundation for the 'Evaluation of Carcinoma Prostate Treatment Outcomes after Radiation or Surgery' study at Medical and Health Sciences.
- \$69,231 from the Estate of Allen and Betty Williams for Oncology research at Medical and Health Sciences.
- \$60,000 from Blind Low Vision NZ to set up a data infrastructure for eye health for New Zealanders at Medical and Health Sciences.
- \$60,000 from the Hearing Research Foundation of New Zealand to support a Maori research coordinator at the Eisdell Moore Centre.
- \$50,000 from Cure Kids for the 'Feasibility Study of the Paediatric Directional Flow Appliance for Chyme Reinfusion' at Medical and Health Sciences.
- \$50,000 from John Kelly for the Waterloo Trust Fund for Otorhinolaryngology research at Medical and Health Sciences.
- \$50,000 from The Gama Foundation to Medical and Health Sciences for 'The Critic and Conscience of Society Awards'; and
- £170,000 from an anonymous donor to support the Ocular Surface Research Fund at Medical and Health Sciences.

Chair Approved but not confirmed by the Committee

Audit and Risk Committee MINUTES | PART A

17 September 2021

Via Zoom

08.00am to 10.30am



5.1

Present: Jan Dawson (Chair), Rachael Newsome, Cecilia Tarrant and Rob McDonald

In Attendance: Professor Dawn Freshwater, Adrienne Cleland, Tim Bluett, Rachelle Miller, Andrew Phipps, Angus Clark, James Harper, Jacqui Metcalf, Brent Penrose, Michelle Chaloner, Anthony Steele and YoonYoung Lee

1. Apologies	Dr Rajen Prasad was noted as an apology.	
2. Disclosures of Interest	Jan Dawson (Chair) reminded the Committee of her conflict as a Director with AIG regarding Part B – Item 12.0: Insurance Programme. The Committee determined that she could participate in the discussion.	RESOLVED (Rachael Newsome Cecilia Tarrant) that the disclosures, if any, be noted and the action taken be endorsed.
3. Minutes of Audit and Risk Committee of 21 May 2021	<p>Item No 3.1: Minutes, Part A</p> <p>Item No 3.2: Matters Arising from the Minutes, Part A, not elsewhere on the agenda.</p>	RESOLVED (Chair Cecilia Tarrant) that the Minutes, Part A, of the Audit and Risk Committee held on 21 May 2021 be taken as read and confirmed.
4. Other Matters for Decision or Noting	No other matters for decision were noted.	
5. Leave of Absence	Cecilia Tarrant and Rob McDonald were noted as possible Leave of Absence for the next meeting on 24 November 2021.	
6. Public Exclusions	<p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p> <p>General subject of each matter to be considered:</p> <p>Item No 7.1: Audit and Risk Committee Meeting 21 May 2021, Minutes Part B</p> <p>Item No 7.2: Audit and Risk Committee Meeting 21 May 2021, Matters Arising from Minutes, Part B</p> <p>Item No 8.0: Combined Assurance Report</p> <p>Item No 9.0: Health & Safety Programme</p>	RESOLVED (Chair Rachael Newsome) that the public be excluded from Part B of this meeting AND THAT Professor Freshwater, Mrs Cleland, Mr Bluett, Mrs Miller, Mr Steele, Ms Lee, Mr Penrose, Ms Chaloner, Mr Harper, Mr Clarke, Mr Phipps, and Mrs Metcalf be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed.

Audit and Risk Committee Minutes – Part A | 17 September 2021

Chair Approved but not confirmed by the Committee

	<p>Item No 10.0: Student Complaints Report</p> <p>Item No 11.0: CyberSecurity Programme</p> <p>Item No 12.0: Insurance Programme</p> <p>Item No 13.0: Enterprise Risk Programme</p> <p>Item No 14.0: Internal Audit Programme 2022-2024 (Draft)</p> <p>Item No 15.0: Independent Review</p> <p>Item No 16.0: Tax Governance Update</p> <p>Item No 17.0: External Audit Programme 2021 (Draft)</p> <p>Reason for passing this resolution in relation to each matter: The protection of the interests mentioned below. Grounds under section 48(1) for the passing of this resolution: Those in Section 9 of the Official Information Act 1982 namely:</p> <ul style="list-style-type: none"> i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations; ii) To enable the University to carry on without prejudice or disadvantage negotiations; and iii) To prevent the disclosure or use of Official Information for improper gain or advantage. 	<p>This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.</p>
<p>The meeting moved into a Public Excluded Session at 8.05 am.</p> <p>The meeting closed at 10.04 am.</p> <p>Approved as a true and correct record.</p> <p>_____</p> <p>Chair, Jan Dawson</p> <p>Date:</p>		

*Chair Approved but not confirmed by the Committee***Finance Committee MINUTES | PART A****21 September 2021****Via Zoom****08.00am to 10.00am****5.2**

Present: Sir Michael Daniell (Chair), Rob McDonald, Cathy Quinn, Cecilia Tarrant, Professor Dawn Freshwater, John Patai, Professor Jennifer Curtin, Catherine Dunphy and Junyi Wang

In Attendance: Adrienne Cleland, Tim Bluett, Jacqui Metcalf, Bridget Fitzpatrick and Mudasir Matto

1. Apologies	There were no apologies.	
2. Disclosures of Interest	<p>Item No 10: Domestic Student Fees 2022 and International Student Fees 2023, the following Committee members noted their disclosure of interest.</p> <p>Catherine Dunphy – Currently has family members attending University of Auckland.</p> <p>Professor Jennifer Curtin – Currently has a family member attending University of Auckland.</p> <p>Junyi Wang – Currently attending University of Auckland under a scholarship.</p>	RESOLVED (Chair Rob McDonald) that the disclosures, if any, be noted and the action taken be endorsed.
3. Minutes of Finance Committee of 13 July 2021	<p>Item No 3.1: Minutes, Part A</p> <p>Item No 3.2: Matters Arising from the Minutes, Part A, not elsewhere on the Agenda.</p>	RESOLVED (Chair Cathy Quinn) that the Minutes, Part A, of the Finance Committee held on 13 July 2021 be taken as read and confirmed.
4. Other Matters for Decision or Noting	No other matters for decision were noted.	
Other Matters for Decision or Noting	<p>The following section has been approved for insertion in Part A section of the minutes.</p> <p>It contains the highlights of the Forecast Financial Performance for 2021 to the Finance Committee.</p>	

Finance Committee – Part A | 21 September 2021

Chair Approved but not confirmed by the Committee

1.0 Financial Highlights

EFTS / \$000s	2021				2020 Actual	2021 v 2020		2019 Actual	2018 Actual
	Forecast	Budget	var	var %		var	var %		
EFTS									
Undergraduate	27,321	24,950	2,371	10%	25,503	1,818	7%	25,736	25,388
Postgraduate	8,891	8,067	824	10%	8,184	707	9%	8,086	7,950
Other	450	489	(39)	(8%)	562	(111)	(20%)	389	467
	36,662	33,506	3,156	9%	34,248	2,414	7%	34,211	33,805
Domestic	30,811	28,849	1,962	7%	28,697	2,114	7%	28,368	28,346
International	5,401	4,168	1,233	30%	4,990	411	8%	5,454	4,993
Other	450	489	(39)	(8%)	562	(111)	(20%)	389	467
	36,662	33,506	3,156	9%	34,248	2,414	7%	34,211	33,805
Revenue									
TEC funding	370,667	356,396	14,271	4%	350,679	19,988	6%	337,382	333,742
Fees	385,532	332,556	52,976	16%	352,220	33,312	9%	351,561	326,486
Research	335,465	299,481	35,983	12%	279,756	55,709	20%	282,890	277,175
Other	260,266	259,737	529	0%	251,042	9,223	4%	263,393	264,841
Total revenue	1,351,930	1,248,171	103,759	8%	1,233,698	118,232	10%	1,235,225	1,202,245
Expenses									
People	683,048	670,422	(12,626)	(2%)	707,011	23,964	3%	669,181	655,871
Operating costs & leases	441,521	445,052	3,531	1%	397,848	(43,673)	(11%)	371,352	354,573
Depreciation	158,987	162,987	4,000	2%	158,763	(224)	(0%)	144,236	133,116
Total Expenses	1,283,556	1,278,461	(5,095)	(0%)	1,263,623	(19,933)	(2%)	1,184,768	1,143,559
Net surplus	68,374	(30,290)	98,664	(326%)	(29,926)	98,299	(328%)	50,457	58,685
Unusual items					71,600	(71,600)		(8,712)	(13,600)
Adjusted net surplus	68,374	(30,290)	98,664	(326%)	41,675	169,900	408%	41,745	45,085
%	5.1%	(2.4%)	7.5%		3.4%	-1.7%		3.4%	3.8%
Cash, Debt & Capital									
Operating cash flows	180,673	101,258	79,415	78%	216,455	(35,782)	(17%)	242,445	165,565
Cash & short-term investments	24,570	24,570	(0)	0%	51,552	(26,982)	52%	49,379	77,807
Funding facility	(101,641)	(260,903)	159,262	61%	(137,500)	35,859	26%	(180,000)	(50,000)
Capital expenditure	207,687	237,193	29,505	12%	158,654	(49,034)	(31%)	410,668	319,628

*Other EFTS include out of time PhD, Nursing, ICT, and TFC.

Table 1: Financial Highlights

Chair Approved but not confirmed by the Committee

5.2

	<p>A new factor contributing to the movement from previous forecast and the budget is:</p> <ul style="list-style-type: none"> Confirmed Semester 2 enrolments have provided a firm base for our student fee forecasts. International Fee revenue is +\$36.9m favourable to budget with Domestic Fees +\$16.1m. <p>Previously highlighted favourable variances to the budget including a series of one-off movements remain including:</p> <ul style="list-style-type: none"> A confirmed increment to base TEC funding of \$6m. The 2020 Covid-19 Externally Funded Research (EFR) revenue deferral of \$11.9m has been recognised in the current 2021 research revenue forecast. The original cost budgets have been adhered to, minimising only incremental cost. The net impact is \$11.9m, recognised as revenue and contribution in the 2021 forecast. An estimate of the impact of VLS on retirement leave entitlement liability provisions has resulted in a reduction of \$5.3m to the provision recognised as a non-cash benefit to the P&L. No material non-VLS redundancy costs are forecast in 2021, resulting in the release of \$6.2m from the budget provision. Continued favourability in operating performance across the University is forecast to be offset by new expenditure to deliver offshore students and enable strategic initiatives to be bought forward. <p>To advance the delivery of Taumata Teitei, moderated Accelerated Strategic and Tactical initiatives totalling \$8.8m are being progressed.</p> <p>Cash Debt and Capital</p> <p>The improvement in Operating Surplus has a favourable impact on operating cash flows.</p> <ul style="list-style-type: none"> Operating cash flows improve by \$79m against budget, to \$181m. With a reduction in forecast capital expenditure, the year-end loan facility balance is expected to be \$102m, significantly below budget. <p>Student Enrolments</p> <p>The current forecast reflects Semester 2 enrolments and is relatively secure in light of the recent lockdown. Total EFTS at 36,662 represents an increase on the previous forecast of +1,123 EFTS and is significantly higher than budget +9% and prior year +7%. Strong student retention initiatives are managing to hold International Students unable to be physically present in New Zealand, and it is estimated that 41% of our International EFTS are offshore. All faculties show a favourable EFTS position compared to budget, with all faculties growing year on year.</p>	
<p>5. Leave of Absence</p>	<p>No leave of absence was noted for the next meeting.</p>	
<p>6. Public Exclusions</p>	<p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p> <p>General subject of each matter to be considered:</p>	<p>RESOLVED (Chair Cecilia Tarrant) meeting AND THAT Mrs Cleland, Mr Bluett, Mr Matto, Mrs Fitzpatrick, and Mrs Metcalf be permitted to remain for this part of the meeting after the public is excluded because of their knowledge of the matters to be discussed.</p>

Chair Approved but not confirmed by the Committee

	<p>Item No 7.1: Finance Committee Meeting 13 July 2021, Minutes Part B</p> <p>Item No 7.2: Finance Committee Meeting 13 July 2021, Matters Arising from Minutes, Part B</p> <p>Item No 8.0: Financial Performance & Forecast for 2021</p> <p>Item No 9.0: Treasury Management, Compliance and Cash Flow Report</p> <p>Item No 10.0: Student Fees Paper</p> <p>Item No 11.0: Financial Impacts of Covid-19</p> <p>Reason for passing this resolution in relation to each matter: The protection of the interests is mentioned below. Grounds under section 48(1) for the passing of this resolution: Those in Section 9 of the Official Information Act 1982, namely:</p> <ul style="list-style-type: none"> i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations; ii) To enable the University to carry on without prejudice or disadvantage negotiations; and iii) To prevent the disclosure or use of Official Information for improper gain or advantage. 	<p>This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.</p>
<p>The meeting moved into a Public Excluded Session at 8.04 am.</p> <p>The meeting closed at 9.25 am.</p> <p>Approved as a true and correct record.</p> <hr/> <p>Michael Daniell, Chair</p> <p>Date:</p>		



Domestic Student Fees 2022 and International Student Fees 2023

Briefing to Finance Committee

The purpose of this paper is to explain and recommend a schedule of 2022 domestic fees and 2023 international fees for consideration by the University's Finance Committee and Council

***Professor Dawn Freshwater, Vice-Chancellor
Adrienne Cleland, Deputy Vice-Chancellor (Operations)***

21 September 2021

1. Introduction

This paper presents the fees recommendations for domestic students' tuition, Compulsory Student Services Levy (CSSL) and other fees for 2022, and international students' tuition for 2023.

As was the case for the fee review last year, Covid-19 continues to set the context of our operating and economic environment which is likely to persist beyond 2022. The University has responded well to date to the challenges presented but retains a cautious outlook over the longer-term planning horizon. In addition, the Covid-19 environment has placed exceptional pressures on students and staff whom the University has worked hard to support through welfare, wellbeing and financial measures over the past eighteen months.

The University has maintained its position in international rankings which is a key measure of success for the strategy set out in Taumata Teitei. Work has commenced to operationalise the strategy and our long-term financial modelling indicates that the strategic transformation is necessary to achieve a sustainable long-term financial position.

The New Zealand Government regulates how much tertiary providers can increase their fees for domestic students each year through the Annual Maximum Fee Movement (AMFM). Historically, the AMFM has always been less than CPI and other inflation factors that affect the University's cost base. For 2022 the AMFM is confirmed to be 1.7%. Although this is higher than last year (2021 1.1%), this represents a reduction in real fee revenue per student for the University. There are cost inflation pressures in all key cost categories, and overall, we are budgeting for a 2% increase in operating costs in 2022. Section 3 provides more background to the expected University movement in costs.

International tuition fee increases are not limited in the way that domestic fees are, and a combination of cost and market factors are considered in reaching a recommendation. In line with best practice, the University sets international student fees two years in advance to maximise recruitment efficiency and allow interested students and their families to plan for their investment. Council has approved a 4% increase to international tuition fees for 2022.

The recommendations of this paper are set out in Section 8 and include:

- For 2022 undergraduate, postgraduate taught, research masters, bachelor honours, and doctoral programmes, the maximum allowable increases of 1.7% under the Annual Maximum Fee Movement regulations are applied for domestic students.
- For 2023 international tuition fees, that an average increase of 4% is applied.
- For Study Abroad, the fee is recommended at the current rate of \$13,200 for 2023.
- For the Compulsory Student Services Fee, a fee of \$8.16 per point (GST inclusive) or \$979.20 per full-time student is applied, reflecting a 1.7% increase. This reflects cost rises to maintain our ability to provide current services.

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2. Context

Covid-19

The Covid-19 influenced economic and competitive environment has significantly impacted the operations of the University and student experience, which is expected to continue in 2022.

The University developed a Business Recovery Plan in 2020 which set financial targets and parameters to assist in managing through the uncertain and dynamic Covid-19 impacted environment to maintain financial stability. The expected negative impacts to student numbers and revenues did not occur with the severity anticipated in 2021, and it appears that the impacts will take longer to materialise and work through the enrolment cycles.

Consequently, the short-term financial position of the University has been positively impacted. However, the financial modelling undertaken for the Long-Term Financial Plan highlights the significant financial challenges ahead and it is the longer-term horizon that needs to guide our thinking.

While the University has been experiencing high levels of demand for enrolments with a positive financial impact, considerable support has been provided to the student population since the impacts of Covid-19 arrived in early 2020. Periods of lockdown, on-line based learning and other restrictions have led to mental, economic and social pressures in the student population. Support through pastoral care and other services, coupled with financial support from hardship and emergency funds have been an important part of the University's operational response.

To date, Covid-19 has increased demand for enrolment in both the undergraduate and postgraduate taught segments for the domestic market. Auckland University has attracted more of that demand than other New Zealand Universities. In 2021 the University's domestic EFTS are 8.6% higher than 2019. TEC has also supported the tertiary sector with additional SAC funding confirmed during the year over and above the original budgetary caps.

The international student market has been severely impacted by border closures in New Zealand and around the globe. The initial reduction in EFTS expected after Covid-19 first hit did not materialise due to existing students and final year secondary students remaining in New Zealand and a willingness for students to study offshore either fully online or in Learning Centres. International EFTS in 2021 were less than 2% below the 2019 level. However, the outlook is challenging. We do not expect New Zealand's borders to open until the second half of 2022, and the availability of study visas is likely to be limited. In the meantime, other competitor markets are opening up (UK, Canada, etc.), which could attract student demand for those wanting an in-country in-person learning experience.

The robust demand from students referred to above was not anticipated in the University's plans for in 2020 and 2021. The University's financial performance has been positive, with the latest 7+5 forecast indicating a surplus of more than 5% in 2021 and improved net cash position.

However as already stated, the medium-term to long term outlook for the University's financial position is expected to be challenging. The Long-term Financial Plan considered a base forecast scenario with sensitivity analyses to indicate the range of potential financial outcomes.

This concluded that while the University's viability and ability to fund a significant capital programme over the next ten years was achievable, the impacts of cost inflation exceeding tuition fee increases lead to lower surplus levels without a transformation in the operations and cost base. The base forecast reflected the size, shape, and composition of student numbers envisaged by Taumata Teitei under which the University constrains student numbers to a maximum to focus on quality and strategic relevance instead of continually seeking growth.

The new Strategy and Vision (Taumata Teitei)

Taumata Teitei sets out University's 2030 Vision of being internationally recognised for our unique contribution to fair, ethical, and sustainable societies. Council has agreed on the Taumata Teitei operational priorities, which address the transformational initiatives for 2021 to 2023. These will progress the Strategic Priorities for each portfolio and provide for an ambitious change agenda. The Vision is to deliver transformative impacts through our distinctive strengths in world-leading research, scholarship, teaching and collaborative partnerships inspired by our unique position in Aotearoa, New Zealand and the Asia-Pacific.

Achieving Taumata Teitei outcomes

Our international ranking is a key measure of success for Taumata Teitei, and it is important to our staff and alumni, allows us to attract world-class researchers, gives us access to international collaborations and opportunities, ensures we attract high-quality international students, and increasingly, is a factor for domestic students. Sustaining and improving our ranking will require us to invest in developing an academic workforce to support our curriculum renewal and innovative research initiatives to deliver world-class research; to nurture, recruit and retain outstanding research talent while developing world-class facilities.

The University of Auckland continues to be New Zealand's highest-ranked University. It was ranked 137th in the 2022 Times Higher Education World University Rankings (WUR) published on 2 September, compared with 147th last year. The Quacquarelli Symonds (QS) placed the University of Auckland 85th this year. We have held our position in the Academic Ranking of World Universities (ARWU – known as Shanghai Jiao-Tong ranking).

Although our recent performance in the WUR has us placed the highest any university in Aotearoa New Zealand has ranked since THE first published rankings independently in 2010, our performance in rankings do not represent a sustained improvement in the quality of our outputs, but considerable investment in maximising every opportunity provided by our performance. Our place in the rankings remains severely constrained by our relatively high Student:Staff ratios; it is our lowest score in both QS and WUR. This combined with our relatively limited access to non-government revenues, other than International student revenues, means our current standing requires ongoing investment in a future-ready workforce if our ranking is to be sustained.

Taumata Teitei commits us to achieve net-zero carbon status and publishing meaningful metrics of the University's progress toward sustainability. It also seeks to deliver four interdependent impacts for society sustainability, health and well-being, justice, and ethical innovation and technology.

The University retained a top ten position in the third year of the Impact Rankings in 2021, having been placed first for the first two years of this relatively new ranking that assesses universities against the United Nations Sustainable Development Goals. Continued success in this ranking requires a commitment from staff and students to a research-informed education that engenders a deep sense of cultural identity, social justice, civic duty, and sustainable practices.

To achieve the Taumata Teitei Vision and Purpose, we require, at a minimum, the revenues per student proposed in this paper.

Context Summary

The context in which we are setting fees is one in which our students have access to a high-quality university at a reasonable per-student cost and with high levels of government support in comparison to other jurisdictions. The context for the University established by Taumata Teitei is one in which we attempt to deliver impacts for New Zealand and the global community that address far-reaching societal issues. The University remains committed to high entry standards but with strong support for those who were disadvantaged in the compulsory sector, of offering high quality, research-based, internationally connected academic experience to students and of supporting them with a high level of extracurricular and support activities, and in the provision of world-class facilities.

3. Projected Cost Movements

Our objective is to ensure the University can operate sustainably in the medium and long term by aligning costs with revenues as much as possible. However, the University is currently operating in a heavily disrupted environment due to the global Covid-19 pandemic, forecast to unfavourably impact our student numbers and student mix hence our total revenues, but also our staff, the external environment and ultimately the cost base. A financial business recovery plan is currently in place, focusing on returning the University to a level of stability. Recovery has been targeted for 2023; however, it may take longer due to the continuously changing environment.

It is important to highlight that this proposed fee increase will not be sufficient on its own to recover the University’s financial sustainability but is an integral part of a thorough plan to ensure a sustainable university’s recovery.

3.1 Projected Cost Movements 2022

Expected cost increases expected for 2022 can be grouped under three headings:

- **People Costs:** The University is currently projecting an increase in costs of 2%, the base salary increase agreed as part of the Academic and Professional staff Collective Employment Agreement bargaining, which began in July 2019 and concluded in February 2020. The 2022 year is the last year of the three years agreed in the bargaining.
- **Other Operating Costs:** In the past, the Reserve Bank CPI forecasted inflation factor had been a good indicator to determine future operating costs. A CPI inflation factor of 1.7% has been indicated in the Reserve Bank Monetary Policy Statement (May 2021). CPI is then applied to the budgeted 2021 cost base.

- **Building & Asset-Related Costs:** Building and property-related costs (e.g., depreciation, maintenance, cleaning, utilities, security, insurance), which in several cases are driven by the previous spending of capital expenditure, have been considered separate to the last two categories given the stepped timing of new building projects and sites coming on stream.

These costs have been considered in some detail over the medium term, and Table 1 shows the 2022 impact.

Table 1: Projected overall cost increases for 2022

COST TYPE	% REAL PRICE INCREASE	% MIX OF COSTS	AGGREGATE
People costs	2.0%	52.4%	1.0%
Other Operating Costs	1.7%	34.6%	0.6%
Building & Asset-related costs	2.9%	12.9%	0.4%
			2.0%

This estimate in overall cost increases of 2.0% compares with the 1.7% movement in costs used as the basis for the 2021 fee-setting process.

3.2 Projected Cost Movements 2023

2023 is the third year of a three-year plan that the University has targeted itself to return to a level of financial stability to achieve a 3% operating surplus consistent with the pre-covid environment. As the University navigates through to the 3% target, there will inevitably be changes to the cost make-up, reacting to changing environments. However, during times of instability and uncertainty, the focus will be placed on overarching real price indicators.

4. Domestic Tuition Fees 2022

4.1 Impact of the shortfall between Government domestic funding rates and projected cost increases

Based on a 2.0% increase in real cost movements and a (2.6%) decrease in per EFTS Government Student Achievement Component (SAC) funding which is a direct result of carrying a portion of unfunded EFTS, the increase in student fee revenue required to maintain the same real revenue per EFTS would be 10.5%, as shown in Table 2 below.

This compares to a 1.5% increase in student fee revenue required to maintain the same real revenue per EFTS in 2021 and a 12.2% increase in 2020. The concept of unfunded EFTS has required the analysis to isolate the volume movement enabling the real movement per EFTS to be established.

Table 2: Fees Increase Required to Maintain Constant Real Revenue per EFTS in 2022

	BUDGET 2021	VOLUME MOVEMENT \$	REAL MOVEMENT %	REAL MOVEMENT \$	NOTIONAL 2022
Student Component	\$356.4m	\$24.9m	(2.6%)	(\$9.4m)	\$371.9m ¹
Domestic Fees	\$196.1m	\$13.7m	10.5%	\$20.5m	\$230.3m
Total	\$552.5m	\$38.6m	2.0%	\$11.1m	\$602.2m

After taking account of the proposed increase in domestic student fees, the overall deficit is shown in Table 3, \$17.2m.

Table 3: Fees Increase Required to Maintain Constant Real Revenue per EFTS in 2022.

	2021	REAL MOVEMENT %	REAL MOVEMENT \$	2022	DEFICIT
Domestic Teaching Costs	\$552.5m	2.0%	\$11.1m	\$563.6m	\$0
Government contribution required for zero fee included	\$356.4m	3.1%	\$11.1m	\$367.5m	\$0
Government contribution	\$356.4m	(2.6%)	(\$9.4m)	\$347.0m	\$20.5m
Domestic Fees required to make up deficit	\$196.1m	10.5%	\$20.5m	\$216.6m	\$0
Student Fees recommended	\$196.1m	1.7%	\$3.3m	\$199.4m	\$17.2m

The gap between the recommended increase and actual increment is significantly more than in prior years, reflecting the current situation where lost revenue from government unfunded EFTS equates to \$11.8m. This compares to the 2021 situation where budgeted EFTS were fully funded.

Our response to the financial impact of the pandemic was to develop a 3-year business recovery Plan covering 2021 to 2023. The 2022 Budget will be established in this context as a transition year and will also reflect the priorities of Taumata Teitei implementation. The consequences of not implementing a 1.7% fee increase as allowable under the Government's Annual Fee Movement would be a deficit of \$20.5m in real dollar terms for 2022, with the compounding impacts being felt in future years.

¹ As advised by the TEC in May 2021 of \$364.6m, with the 2% flexible funding added

4.2 Domestic undergraduate and postgraduate

The University has two principal options to consider for domestic tuition fees: increase all fees by the Annual Maximum Fee Movement or increase all or a selection of fees by less than the Annual Maximum Fee Movement.

Given that the application of the maximum allowable fee increase of 1.7% is below the estimated fee increase of 10.5% required to maintain the same real revenue per EFTS in 2021, fee increases of less than the maximum allowable cannot be justified. We are also one year into a three-year business recovery plan and need to consider the broader context of the University's financial stability in light of the pandemic disruption and the contribution that the revenue from domestic student enrolments makes to the University's longer term financial sustainability.

Therefore, we recommend that tuition fees for all domestic undergraduate and postgraduate courses be increased by 1.7%, the maximum allowable under the Annual Maximum Fee Movement regulations.

4.3 Micro-credentials

The fees for SAC funded micro-credentials are subject to the Annual Maximum Fee Movement restriction, which for 2022 has remained at the same level of capping as described in 2021. A maximum of \$60.00 per point; or if they are comprised of courses that lead to an undergraduate or postgraduate qualification, the permitted fee is the same as the constituent courses. As with other fees and using the same rationale, we recommend that the maximum fee permitted under regulations apply to micro-credentials.

If the University offers micro-credentials that are not SAC funded, the fees are not limited by the Annual Maximum Fee Movement policy. We recommend that fees are set to recover the costs of delivering the courses plus a modest return on revenue and that the Vice-Chancellor is delegated the authority to set the fees for non-SAC-funded micro-credentials.

Therefore, we recommend that fees for SAC-funded micro-credentials are set at the maximum allowable under the Annual Maximum Fee Movement regulations. The fees for other micro-credentials be delegated to the Vice-Chancellor.

5. International Tuition Fees 2023

In line with best practice, the University sets international student fees two years in advance to maximise our recruitment efforts' efficiency and allow interested students and their families to plan for their investment.

5.1 Market conditions

International Education (IE) markets are realigning, with Canada and the UK reaping benefits from realigned government policy, strategy, and communications, and the US coming back online. Increasing geopolitical tensions with China have some forecasting that Australia will not recover its previous position. The crisis gives regional hubs, particularly in Southeast Asia, a chance to gain ground on their ambitions.

The University of Auckland's market-leading response and value-add engagement strategy has returned us to growth in 2021, back to our record enrolment levels of 2019, as of 4 August 2021. The International Office is developing the further implementation details for executive approval to deploy the market diversification scholarship strategy approved by Council in October 2021.

Council approved a 4% increase in international tuition fees for 2022 in the context of last year's paper and a market diversification scholarship strategy for 2022 & 2023. This year's consultation has focussed on mid to long-range planning informed by a) current disrupted market conditions, b) the New Zealand International Education Strategy and additional information from the Government and c) Taumata Teitei, the University's vision for 2030. This confirms our strategy to emerge from the current crisis with a strengthened point of difference through intentional offshore online study initiation for international students.

We have consulted on the 2023 fee-setting exercise towards the following trajectory:

- 2021 – slippage of NZ brand strength as competition opens borders at volume ahead of NZ; further NZ IE declines overall with UoA the exception. Intense collaboration and advocacy with Government on IE recovery strategy, reset, review of policy settings, etc. continued success of government management of health crisis; sustained online delivery for most courses; preparation of 2022-23 diversification scholarship strategy; planning for gradual transition to more proactive forward load planning for online delivery
- 2022 – continued success of health crisis management in New Zealand; continuation of current work rights policy; further small priority cohort return of international students; Market diversification scholarship pilot S1 and expansion S2.
- 2023 – further expand market diversification scholarship strategy; borders begin to open for S2.
- 2024 – first significant volume return of international students (Jan-Mar); benefits of further improvements to process and digital eco-system; shift into long-term strategy of strategic initiation of study offshore for international students.

Given the uncertainty of multiple components above, we may wish to revisit the 2023 fees in July 2022 depending on market conditions.

On average across all eight New Zealand universities, the annual international tuition fee increase for international students in 2021 was 4.3% compared to 3.7% in 2020. This compares with an average 5.8% increment reported by all Australian universities in 2021 compared to 4.2% in 2020.

Student Fees Paper – Final Version 1.1 dated 04.10.21

The 2021 annual international tuition fee for an **undergraduate program** in New Zealand is \$33,200 compared with \$32,152 in 2020, as shown in Table 4. Average UoA UG fees sit 20% higher than the New Zealand market, reflecting our international ranking and reputation.

University		Average Estimated Annual Fee (per 120 credit points)		
		2021 (NZ\$)	UG Average duration	UG estimated Total
1	Auckland University of Technology	\$34,549	3.1	\$107,497
2	Lincoln University	\$31,212	3.2	\$95,763
3	Massey University	\$32,255	3.0	\$98,170
4	The University of Auckland	\$40,104	3.5	\$143,795
5	University of Waikato	\$30,207	3.2	\$96,787
6	University of Canterbury	\$33,416	3.3	\$110,637
7	University of Otago	\$32,935	3.1	\$103,446
8	Victoria University of Wellington	\$30,927	3.1	\$90,283
Median NZ		\$32,595	3.1	\$100,808
Average NZ		\$33,200	3.2	\$105,797

Table 4. average UG fees NZ universities

Source: Huckel, D., Ramirez, K. (2021). *Comparative Analysis of International Tuition Fees in New Zealand and Australia, 2021*. Sydney, Australia: StudyMove.

The 2021 annual international tuition fee for a **postgraduate program** in New Zealand is \$35,893 compared with \$34,097 in 2020, as shown in Table 5. Average UoA PG fees sit 17% higher than the New Zealand market, reflecting our international ranking and reputation.

University		Average Estimated Annual Fee (per 120 credit points)		
		2021 (NZ\$)	PG Average duration	PG estimated Total
1	Auckland University of Technology	\$36,772	1.4	\$57,286
2	Lincoln University	\$30,680	1.5	\$44,979
3	Massey University	\$36,483	1.5	\$52,269
4	The University of Auckland	\$42,213	1.4	\$58,279
5	University of Waikato	\$33,577	1.4	\$45,627
6	University of Canterbury	\$34,681	1.4	\$49,799
7	University of Otago	\$38,587	1.2	\$46,556
8	Victoria University of Wellington	\$34,154	1.5	\$49,813
Median NZ		\$35,582	1.4	\$49,806
Average NZ		\$35,893	1.4	\$50,576

Table 5. average PG fees NZ universities

Source: Huckel, D., Ramirez, K. (2021). *Comparative Analysis of International Tuition Fees in New Zealand and Australia, 2021*. Sydney, Australia: StudyMove.

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5.2 Recommendation for 2023 international tuition fees

In our consultation with faculty leadership, deans confirmed an agreement to proceed with the previously approved 4% increase for 2023, except for a 0% increase for MBCHB. Consequently, we recommend we maintain the 4.0% across-the-board increase previously approved by Council for 2023.

Figure 1 illustrates the average weighted increase for 2023 as well as the historical trend.

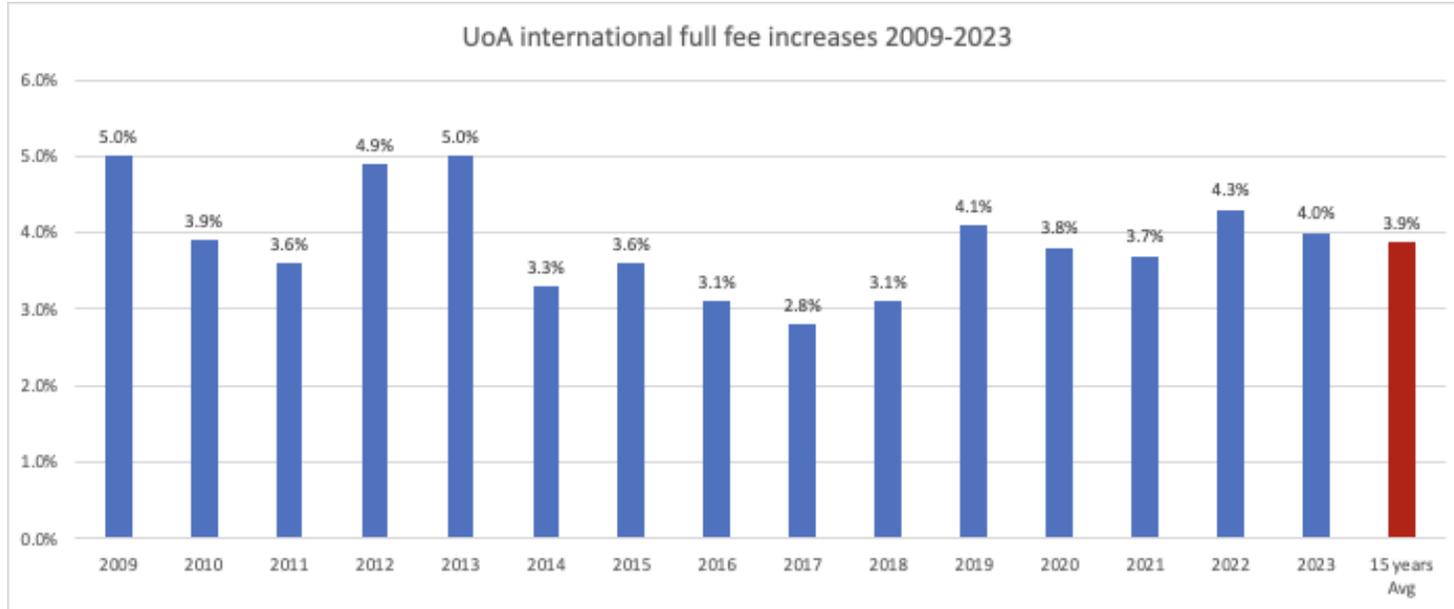


Fig. 1. The average rate of increase in international tuition fees

5.2

5.3 Study Abroad Fee

Recommendation: Increase 0% to \$13,200



Fig 2. Study abroad fees 2021

Our pricing strategy aims to align with our NZ competitors and the Australian Go8 universities. Most of these universities have elected to either hold or lower their Study Abroad fee to mitigate the negative impact of the long term border closure. The average Study Abroad programme fee in New Zealand was NZ\$12,610 in 2021 (\$12,574 in 2020), while the average for Go8 was NZ\$13,769 in 2021 (\$14,359 in 2020). The non-award (study abroad) fee was increased by 2% for 2022 (to \$13,200 per semester), anticipating significant demand from key markets (US, Europe and China) once the border opened. Our 2022 study abroad fee is equal to Otago's 2021 fee. While Otago has not yet posted its 2022 fee, it will likely hold. Interestingly, many Go8 universities have reduced their study abroad fees in 2022.

For example, our key competitor UNSW lowered their fee from AU\$10,025 to \$9,990 and the University of Sydney lowered theirs to AU\$12,300 in 2022 from \$12,845.

The continued border closure remains a longer term challenge in that the in-person non-award programme has been cancelled 4 semesters in a row (up to Semester One 2022). While we anticipate non-award markets can be easily regained following the pandemic, the inability to travel to NZ over such a long period has negatively impacted the perception of NZ as a viable study abroad destination, resulting in US students seeking UK and European destinations in higher numbers.

We recommend the University of Auckland holds the Study Abroad fee at \$13,200.

5.4 Pathway 2023 Fees

On-shore pathway partnerships are a significant source of international enrolments. Students completing foundation studies (year 0 for UoA) can then be offered a place in first year undergraduate programmes at the University. We have taken steps to strengthen our relationship and our governance with Study Group and UP Education (Joint Management Committees, curriculum review through Boards of Studies, marketing and recruitment committees). SG students became University of Auckland students in 2020. UP students will achieve the same status effectively with the 2022 cohort. Consequently, we seek Council approval for 2023 fees for both foundation partners as outlined in Table 6.

5.2

Student Fees Paper – Final Version 1.1 dated 04.10.21

Provider & Programme	Points	IELTS	Terms	Fee band	Year													
					2020			2021				2022				2023		
					Base Fee*	Fee per point	\$increase	%increase	Base Fee	Fee per point	\$ increase	% increase	Base Fee	Fee per point	\$increase	%increase	Base Fee	Fee per point
SG																		
Standard	120	5	4	UFQ-FSTCT	\$27,800.00	\$231.67	\$800.00	2.90%	\$28,600.00	\$238.33	\$1,200.00	4.20%	\$29,800.00	\$248.33	\$1,000.00	3.25%	\$30,800.00	\$256.67
Intensive	120	5.5	3	UFQ-FSTCT	\$27,800.00	\$231.67	\$800.00	2.90%	\$28,600.00	\$238.33	\$1,200.00	4.20%	\$29,800.00	\$248.33	\$1,000.00	3.25%	\$30,800.00	\$256.67
UP																		
Accelerated	90	6	2	UFQ-CRIFS	\$22,124.70	\$245.83	-	0.00%	\$22,124.70	\$245.83	\$937.80	4.07%	\$23,062.50	\$256.25	\$937.50	3.91%	\$24,000.00	\$266.67
Standard	120	5	4	UFQ-CRIFS	\$29,500.00	\$245.83	-	0.00%	\$29,500.00	\$245.83	\$1,250.00	4.20%	\$30,750.00	\$256.25	\$1,250.00	3.91%	\$32,000.00	\$266.67
Fast-track	120	5.5	3	UFQ-CRIFS	\$29,500.00	\$245.83	-	0.00%	\$29,500.00	\$245.83	\$1,250.00	4.20%	\$30,750.00	\$256.25	\$1,250.00	3.91%	\$32,000.00	\$266.67

Table 6. Proposed Auckland Foundation Year fees and increase rates 2023

UniServices, English Language Academy, is our third pathway partner, helping students holding a conditional offer achieve the University's required English proficiency. The International Office does not set fees for ELA. Their proposed fee schedule is included here on their behalf to facilitate governance review.

Provider & Programme	Points	IELTS	Terms	Fee band	2020			2021				2022				2023			
					Base Fee*	Fee per point	\$increase	%increase	Base Fee	Fee per point	\$ increase	% increase	Base Fee	Fee per point	\$increase	%increase	Base Fee	Fee per point	
ELA																			
Foundation Cert EAP	60		20 weeks	UFQ-FCEAP	\$10,655.00	\$177.58	\$215.00	2.00%	\$10,870.00	\$181.17	-	0.00%	\$11,140.00	\$185.67	\$280.00	2.45%	\$11,420.00	\$190.33	
EPPS/EPUS	30		10 weeks	UFQ-ELAPATH	\$5,405.00	\$180.17	\$110.00	2.00%	\$5,515.00	\$183.83	-	0.00%	\$5,650.00	\$188.33	\$140.00	2.42%	\$5,790.00	\$193.00	
Academic English	20		10 weeks	UFQ-ACADENG	\$5,195.00	\$259.75	\$105.00	2.00%	\$5,300.00	\$265.00	-	0.00%	\$5,453.00	\$272.65	\$117.00	2.10%	\$5,570.00	\$278.50	
General English	2		Up to 11 weeks	UFQ-GENLENG	\$465.00 pw	\$232.50	\$10.00	2.00%	\$475.00	\$237.50	-	0.00%	\$485.00	\$242.50	\$15.00	3.00%	\$500.00	\$250.00	
			12 weeks +	UFQ-GENLENG	\$450.00 pw	\$232.50	\$10.00	2.00%	\$460.00	***	-	0.00%	\$470.00	***	\$10.00	2.08%	\$480.00		

Table 7. Proposed ELA Foundation programmes fees and increase rates 2023

6. Compulsory Student Services Fee

6.1 Compulsory Student Services Fee (CSSF)

The Government passed legislation in 2011 that provides the Minister of Tertiary Education the power to prescribe the range of services that may be funded by compulsory student services fees. The current Ministerial direction on compulsory student services fees prescribes the eligible range of services as follows:

- (a) **Advocacy and legal advice:** Advocating on behalf of individual students and groups of students and providing independent support to resolve problems. This includes advocacy and legal advice relating to accommodation.
- (b) **Careers information, advice and guidance:** Supporting students' transition into post-study employment.
- (c) **Counselling services and pastoral care:** Providing non-academic counselling and pastoral care, such as chaplains.
- (d) **Employment information:** Providing information about employment opportunities for students while they are studying.
- (e) **Financial support and advice:** Providing hardship assistance and advice to students on financial issues.
- (f) **Health services:** Providing health care and related welfare services.
- (g) **Media:** Supporting the production and dissemination of information by students to students, including newspapers, radio, television and internet-based media.
- (h) **Childcare services:** Providing affordable childcare services while parents are studying.
- (i) **Clubs and societies:** Supporting student clubs and societies, including through the provision of administrative support and facilities for clubs and societies.
- (j) **Sports, recreation and cultural activities:** Providing sports, recreation and cultural activities for students.

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A comparison of 2021 CSSF for New Zealand Universities is shown in the table below.

Table 8:

NZ\$ (GST Inclusive)	Building Levy	Student Assistance Levy	Welfare and Recreation	Student Services	TOTAL – 2021	TOTAL – 2020	% change vs. 2020
Auckland				\$962.20	\$962.20	\$943.20	2%
AUT	\$77.52			\$790.40	\$867.92	\$836.00	3.81%
Canterbury				\$877.20	\$877.20	\$870.00	0.82%
Massey (Albany)			\$157.10	\$582.20	\$739.30	\$739.30	0%
Massey (Manawatu)	\$30.60		\$76.30	\$582.20	\$689.10	\$689.10	0%
Otago				\$879.00	\$879.00	\$830.02	5.9%
Waikato				\$792.00	\$792.00	\$734.00	7.9%
Victoria		\$28.00		\$843.00	\$871.00	\$849.60	2.51%

5.2

Appendix D provides a breakdown of the distribution of the University's CSSF income by service category.

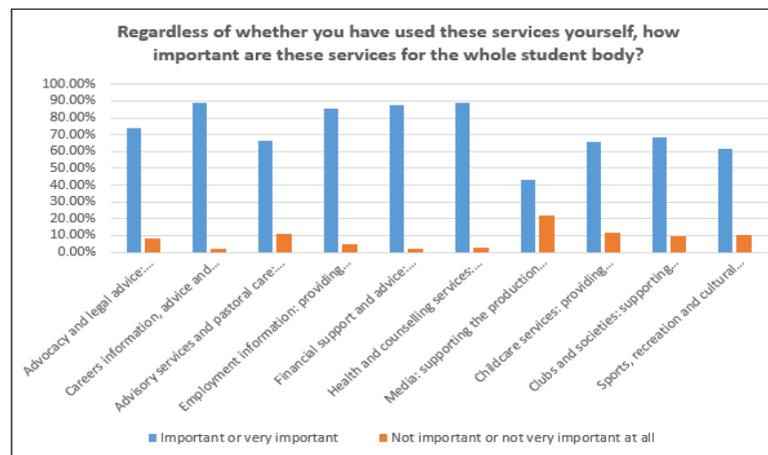
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6.2 Student Consultation

In 2021 the annual consultation on the CSSF was undertaken between April and August. Consultation on the CSSF began in April at the Student Consultative Group (SCG) meeting with an overview of the CSSF, services covered by the fee and the 2021 timeline for consultation.

As with previous years, the 'Consultation Document' visually provided information with funding organised by theme, rather than organisational unit. The Consultation Document (Appendix D) outlined key information on the CSSF, including a definition, an overview of the defined categories, details of the 2020 spending allocations by theme, and benchmarking of CSSF at other New Zealand universities. The Consultation Document, including an accessible version, has been available on the University's website since July 2021.

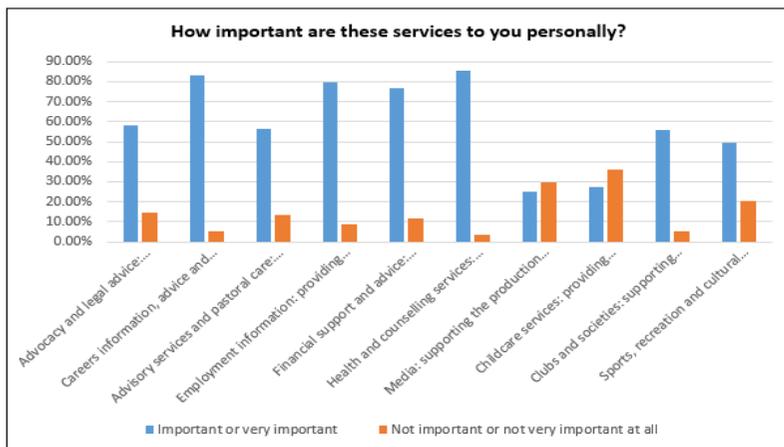
Additionally, a survey was undertaken for three weeks in July to canvas feedback from the wider student body. There were 540 responses, and year on year, the survey results tend to say similar things with no significant change in the feedback. Participants are asked what services are important to them personally and essential to the whole student body.



5.2

Insights from the survey include:

- Health and Counselling services are the most important for individual students, closely followed by Career/Employment information and Financial support
- Health & wellbeing, getting a job while at University and getting a job after University are the three most important categories for the whole student body – same as 2018 and 2019
- Childcare and student-led media are the two categories viewed as unimportant for individual students - same as 2019. Student-led media is the least important for the whole student body and is the only category that less than 50% of respondents think is important, the same as in 2019
- Across the board, all categories, except media, childcare and employment information, increased in importance from 2019 results. Most increased by 3 – 5 % with Financial Support and Advice up 11% and support for Clubs up 10% in importance



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The survey provided an opportunity for free text comments, and there was a strong theme in the feedback on the value of the levy, with comments on:

- the levy is too high in general, and compared to other universities
- a lack of return for the level of investment
- costs increasing when services remain the same
- paying for services that are not used is not appropriate
- significant investment in future infrastructure improvements with no benefit for current students

Suggested areas of improvement were:

- Free food and meals, increased healthy meals, microwaves, more space to eat
- Discounted transport to campuses from home
- Free gym memberships
- Increased international student support
- Mental health: increase in the number of free counselling sessions, increase awareness of support, improved availability of appointments

In July, the Chief Financial Officer presented at the SCG meeting about the University's financial situation. In August, a summary of the survey feedback was circulated to SCG members.

At the SCG meeting on 16 August, student representatives confirmed that the survey results reflected their thoughts and what they heard through other feedback channels.

The primary feedback themes from the student members of SCG at the meeting were:

- Infrastructure costs: there was an understanding and appreciation that it is appropriate for some proportion of the levy to benefit future students just as today's students are benefiting from the investment of past students. The question remained

around the appropriate proportion of the levy to be used for future student benefit and whether the current level is too high. A lower proportion would enable a greater emphasis on services available to current students.

- Mental health: AUSA's consultation on mental health services echoed comments in the survey. In particular, students were dissatisfied with what is perceived as a differing availability of services between campuses.

Neither the University nor AUSA has presented any proposals for new or additional services to be funded by the CSSF in 2022. Therefore, there has not been consultation on a proposed increase in the levy to fund new or additional services in 2022.

In response to the feedback themes above we make the following comments:

Infrastructure costs: It is indeed the case that many students currently enrolled will have contributed to the new Recreation and Wellness Centre for the duration of their programmes and yet will have graduated before the facility opens. However, the students who enrol as the facility opens, will continue to contribute toward new planned facilities through the levy again that they are unlikely to access. For instance, the current Estates Strategy proposes a Student Centre development commencing in 2026. On the basis of a 70 year life for these significant facilities, the annual contributions through the levy look to be appropriate.

Mental Health: The University has invested in additional wellbeing and health services over the past 5 years. These include investments in online support, health promotion, sexual harm prevention, and the Campus Care service. Students can access services from different locations and during different times of the day. Health & Counselling services are appropriately resourced and consistently meet wait time KPIs. Users of this service rate it highly. A more comprehensive overview of these services is available in Appendix E.

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6.3 Recommendation for 2022 Compulsory Student Services Fee

The traditional methodology for establishing a movement in the CSSF has been to understand the cost movements in the underlying cost types and apply an overall weighting assumption of a 30/20/50 split between People, Other Operating, and Asset-related costs. If we took this approach for 2022 the result would be a 2.39% fee increase as set out below.

Table 9:

COST TYPE	% INCREASE	% MIX OF COSTS	AGGREGATE
People costs	2.0%	30%	0.6%
Other Operating Costs	1.7%	20%	0.34%
Building & Asset-related costs	2.9%	50%	1.45%
			2.39%

However, in recognition of the disruption to access to a number of on-campus student services as a result of Covid alert levels during 2021 and potentially 2022, we recommend that a lower fee movement of 1.7% be applied being aligned with CPI and the recommended movement in tuition fees.

This level of increase will enable current services to be continued and will partially address forecast increases in building and asset related costs.

6.4 Compulsory Student Services Fee - Charging Model

The CSSF is charged on a per point basis when students enrol. 2021 saw the introduction of a simplified charging model wherein students enrolled on the City, Epsom, Grafton and Newmarket campuses are charged the full CSSF and non-exchange students on all other campuses, including those studying offshore or online, are charged a partial (50%) CSSF. The

model reflects that while there are reduced services available to students not on the core campuses, there is significant online availability of many student services and many students are conducting their studies remotely. Students on approved overseas exchanges are exempt as they may utilise the host institution's student services.

Initially, domestic students located offshore were charged the full CSSF which is anomalous. It is recommended that domestic offshore students' CSSF be reduced to the partial (50%) rate, in alignment with the offshore international and onshore online rates.

Exemptions

The following lists the existing CSSF exemptions:

- Courses that do not have a formal points value. Currently this applies to New Start and Unibound courses.
- Foundation programmes not delivered directly by the University, if agreed.
- Courses for students that are enrolled under approved University exchange schemes. These course offerings should be allocated to campus V (Overseas) which is the only campus that is fully exempt.

Council has additionally authorised the Vice-Chancellor to consider other exemptions, reductions or transition arrangements to the Compulsory Student Services Fee as new programme and/or delivery arrangements are implemented.

Summary

Campus/Location	CSSF Rate
City, Epsom, Grafton, Newmarket	Full CSSF
Other New Zealand campuses (including Tai Tokerau and Tai Tonga)	50% CSSF
Online (including Auckland Online)	50% CSSF
Domestic Offshore	50% CSSF (proposed)
International Offshore	50% CSSF
Approved exchanges	No CSSF

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Recommendations

For the Compulsory Student Services Fee, it is recommended that the University:

- Approve an increase of 1.7% to a fee of \$8.16 per point (GST inclusive) or \$979.20 per full-time student.

7. Other General Fees

A full list of general fees is included in Appendix C. These are proposed unchanged for 2022.

8. Conclusion and Recommendations

For 2022 domestic students it is recommended that the maximum allowable increases under the Annual Maximum Fee Movement regulations are applied.

Detailed schedules of the recommended domestic tuition fees for 2022 are attached as Appendix A.

For 2023 international tuition fees it is recommended that an overall weighted increase of 4% be applied with the exception of the MBChB which will have no increase applied.

For Study Abroad it is recommended that the fee be held at the current rate of \$13,200 for 2023.

For 2023 Pathway provider fees it is recommended that the Study Group and UP foundation programme fees be as set out in Table 6 of this memorandum and the English Language Academy fees be as set out in Table 7.

Detailed schedules of the recommended international tuition fees for 2023 are attached as Appendix B.

For the Compulsory Student Services Fee, an increase of 1.7% to a fee of \$8.16 per point (GST inclusive) or \$979.20 per full-time student, is recommended and a standard model of application to be applied as per the Fees Schedule A, Appendix C.

No other increases proposed for general fees as outlined in Appendix C.

It is recommended that Finance Committee recommend to Council:

THAT this report be received

THAT Council approves the attached Domestic Fees Schedule for 2022

THAT Council approves the attached International Fees Schedule for 2023

THAT Council authorises the Vice-Chancellor to assign any new programmes, including SAC funded micro-credentials, or programmes becoming newly available to international students in 2023, to an appropriate band to enable offers to be made during the recruitment cycle, and report these decisions back to Council

THAT Council authorises the Vice-Chancellor to set fees for non-SAC-funded Microcredentials and for University programmes delivered offshore subject to such fees being reported to the Council meeting immediately following

THAT Council note that the Diversification and Recovery Scholarship fund and associated delegations approved at its meeting in October 2020 will continue to operate into 2023 to the extent warranted by recruitment indicators on the understanding that this should drive retention or new volume

THAT Council approves the Compulsory Student Services Fee at \$8.16 per point (GST inclusive) and the associated changes to the Fees Schedule A - All Students

THAT Council approves the attached Other Fees Schedule for 2022

Professor Dawn Freshwater
VICE-CHANCELLOR

Adrienne Cleland
DEPUTY VICE-CHANCELLOR (OPERATIONS)

Appendix A

1

Working draft document - not for distribution or release



2021 - 2022 Domestic Fees projection by Fee band

		2021		Projected	2022		Fee/pt	Projected
		EFTS	Fee/pt	Revenue	EFTS	Δ		Revenue
Faculty of Arts								
Undergraduate								
AUC-STD	UG-Arts Standard	3,718.4	\$52.59	\$ 23,465,874	3,699.0	1.7%	\$53.48	\$ 23,738,577
AUC-PRM	UG-Arts Premium	222.6	\$60.66	\$ 1,620,647	226.1	1.7%	\$61.69	\$ 1,673,773
Postgraduate								
APT-STD	PGT-Arts Standard	318.1	\$72.18	\$ 2,755,463	343.3	1.7%	\$73.41	\$ 3,023,966
APT-STD-EXT	PGT-Arts Standard (Ext)	0.1	\$36.08	\$ 325	0.1	1.7%	\$36.69	\$ 361
APT-PRM	PGT-Arts Premium	28.7	\$79.71	\$ 274,069	31.4	1.7%	\$81.07	\$ 305,498
APR-STD	PGR-Arts Standard	114.6	\$64.85	\$ 892,117	107.0	1.7%	\$65.95	\$ 847,015
APR-STD-EXT	PGR-Arts Standard (Ext)	8.9	\$32.41	\$ 34,530	7.3	1.7%	\$32.96	\$ 28,721
APR-PRM	PGR-Arts Premium	16.4	\$73.31	\$ 144,618	10.9	1.7%	\$74.56	\$ 97,752
APR-PRM-EXT	PGR-Arts Premium (Ext)	0.9	\$36.66	\$ 4,013	0.6	1.7%	\$37.28	\$ 2,678
Faculty of Business and Economics								
Undergraduate								
BUQ-STD	UG-Business Standard	3,653.2	\$56.67	\$ 24,843,324	3,613.6	1.7%	\$57.63	\$ 24,989,915
Postgraduate								
BPT-STD	PGT-Business Standard	142.2	\$80.24	\$ 1,369,596	241.5	1.7%	\$81.60	\$ 2,364,807
BPR-STD	PGR-Business Standard	26.6	\$73.87	\$ 235,585	28.1	1.7%	\$75.13	\$ 253,400
BPR-STD-EXT	PGR-Business (Ext)	0.4	\$36.94	\$ 1,929	0.5	1.7%	\$37.57	\$ 2,269
BPQ-MBA1	PG-MBA Part 1 and MTaxS	75.5	\$156.84	\$ 1,420,789	69.6	1.7%	\$159.51	\$ 1,331,819
BPQ-MBA2	PG-MBA Part 2	39.5	\$238.87	\$ 1,131,263	11.6	1.7%	\$242.93	\$ 336,831
BPQ-PROMSR	PG-Professional Masters	90.6	\$150.82	\$ 1,639,542	95.7	1.7%	\$153.38	\$ 1,762,260
Faculty of Creative Arts and Industries								
Undergraduate								
CUQ-ARCSTD	UG-Architecture Standard	163.1	\$57.50	\$ 1,125,235	183.2	1.7%	\$58.48	\$ 1,285,652
CUQ-ARCPRM	UG-Architecture Premium	128.0	\$70.14	\$ 1,077,665	143.8	1.7%	\$71.33	\$ 1,231,206
CUQ-DSGN	UG-Design	130.0	\$70.14	\$ 1,094,201	176.4	1.7%	\$71.33	\$ 1,510,014
CUC-DMSTD	UG-Dance & Music Standard	82.1	\$52.59	\$ 518,116	83.4	1.7%	\$53.48	\$ 535,478
CUC-DMPRM	UG-Dance & Music Premium	301.4	\$60.66	\$ 2,194,055	306.2	1.7%	\$61.69	\$ 2,266,701
CUQ-FA	UG-Fine Arts	225.5	\$60.66	\$ 1,641,736	209.1	1.7%	\$61.69	\$ 1,548,113
CUQ-PLNSTD	UG-Urban Planning Standard	93.6	\$57.50	\$ 645,761	105.1	1.7%	\$58.48	\$ 737,822
CUQ-PLNPRM	UG-Urban Planning Premium	62.9	\$70.14	\$ 529,481	71.0	1.7%	\$71.33	\$ 607,427
Postgraduate								
CPT-ARCSTD	PGT-Architecture Standard	102.6	\$73.14	\$ 900,136	94.7	1.7%	\$74.38	\$ 845,214
CPT-MUSSTD	PGT-Music Standard	1.0	\$72.18	\$ 8,884	0.9	1.7%	\$73.41	\$ 7,498
CPT-MUSPRM	PGT-Music Premium	34.1	\$79.71	\$ 325,729	28.3	1.7%	\$81.07	\$ 274,904
CPT-PASTD	PGT-Dance Standard	-	\$72.18	\$ -	-	1.7%	\$73.41	\$ -
CPT-PAPRM	PGT-Dance Premium	11.2	\$79.71	\$ 107,462	12.8	1.7%	\$81.07	\$ 124,749
CPT-UBDSTD	PGT-Urban Design Standard	65.1	\$79.71	\$ 622,782	60.1	1.7%	\$81.07	\$ 584,845
CPT-UBDPRM	PGT-Urban Design Premium	23.3	\$88.75	\$ 248,238	21.5	1.7%	\$90.26	\$ 233,106
CPR-ARC	PGR-Architecture	94.3	\$65.98	\$ 746,593	100.0	1.7%	\$67.10	\$ 804,856
CPR-ARC-EXT	PGR-Architecture (Ext)	6.2	\$33.00	\$ 24,543	6.6	1.7%	\$33.56	\$ 26,660
CPQ-DSGN	PG-Design	6.0	\$79.71	\$ 57,391	12.0	1.7%	\$81.07	\$ 116,741
CPT-FA	PGT-Fine Arts	16.0	\$79.71	\$ 152,791	22.6	1.7%	\$81.07	\$ 219,640
CPR-FA	PGR-Fine Arts	25.0	\$70.33	\$ 210,736	12.4	1.7%	\$71.53	\$ 106,336
CPR-FA-EXT	PGR- Fine Arts (Ext)	2.7	\$35.16	\$ 11,455	0.9	1.7%	\$35.76	\$ 3,817
CPR-MUS	PGR-Music	11.5	\$73.31	\$ 101,573	11.1	1.5%	\$74.38	\$ 99,467
CPR-MUS-EXT	PGR-Music (Ext)	0.8	\$36.66	\$ 3,729	1.0	1.5%	\$37.19	\$ 4,560
CPR-PA	PGR-Performing Arts	6.7	\$73.31	\$ 58,779	6.2	1.5%	\$74.38	\$ 54,985

5.2

		2021	Fee/pt	Projected	2022	Δ	Fee/pt	Projected
		EFTS		Revenue	EFTS			Revenue
CPR-PA-EXT	PGR-Performing Arts (Ext)	0.6	\$36.66	\$ 2,660	0.6	1.5%	\$37.19	\$ 2,744
CPR-PLN	PGR-Urban Planning	2.0	\$65.98	\$ 15,612	2.1	1.7%	\$67.10	\$ 16,959
Faculty of Education and Social Work								
Undergraduate								
DUQ-STD	UG-Education	985.4	\$52.59	\$ 6,218,738	956.2	1.7%	\$53.48	\$ 6,136,824
Postgraduate								
DPT-STD	PGT-Education Standard	383.1	\$66.12	\$ 3,040,040	363.7	1.7%	\$67.24	\$ 2,934,403
DPT-STD-EXT	PGT-Education Standard (Ext)	0.5	\$33.05	\$ 1,805	0.4	1.7%	\$33.61	\$ 1,586
DPR-STD	PGR-Education Standard	50.4	\$64.85	\$ 392,105	50.2	1.7%	\$65.95	\$ 397,347
DPR-STD-EXT	PGR-Education Standard (Ext)	4.0	\$32.41	\$ 15,455	3.9	1.7%	\$32.96	\$ 15,401
DPQ-GDTCH	PG-Grad Dip. Teaching	670.7	\$52.90	\$ 4,257,444	592.8	1.7%	\$53.80	\$ 3,826,960
DPQ-PGCAP	PG-PGCert. Acad Practice	-	\$72.18	\$ -	-	1.7%	\$73.41	\$ -
Faculty of Engineering								
Undergraduate								
EUQ-STD	UG-Engineering Standard	2,594.4	\$70.14	\$ 21,836,395	2,607.5	1.7%	\$71.33	\$ 22,318,955
Postgraduate								
EPT-STD	PGT-Engineering Standard	840.6	\$88.75	\$ 8,952,429	853.9	1.7%	\$90.26	\$ 9,249,272
EPT-STD-EXT	PGT-Engineering Standard (Ext)	0.1	\$44.37	\$ 446	0.1	1.7%	\$45.12	\$ 490
EPR-STD	PGR-Engineering Standard	71.6	\$82.94	\$ 712,569	68.8	1.7%	\$84.35	\$ 696,568
EPR-STD-EXT	PGR-Engineering Standard (Ext)	6.6	\$41.45	\$ 32,840	6.4	1.7%	\$42.15	\$ 32,207
EPQ-LGTMTL	PG-PG Cert. Eng in Light Metals	-	\$296.68	\$ -	-	1.7%	\$301.72	\$ -
EPQ-PGCGT	PG-PGCert. Geo Energy Tech	-	\$88.75	\$ -	-	1.7%	\$90.26	\$ -
EPQ-DISMGT	PG-Master of Disaster Mgmt	1.9	\$150.82	\$ 35,224	2.2	1.7%	\$153.38	\$ 40,655
Faculty of Law								
Undergraduate								
LUQ-STD	UG-Law Standard	1,586.9	\$56.67	\$ 10,791,695	1,677.0	1.7%	\$57.63	\$ 11,597,534
Postgraduate								
LPT-STD	PGT-Law Standard	124.9	\$86.84	\$ 1,301,920	124.0	1.7%	\$88.32	\$ 1,314,346
LPT-STD-EXT	PGT-Law Standard (Ext)	0.5	\$43.42	\$ 2,578	0.5	1.7%	\$44.16	\$ 2,603
LPR-STD	PGR-Law Standard	5.0	\$73.87	\$ 44,662	5.0	1.7%	\$75.13	\$ 45,362
LPR-STD-EXT	PGR-Law Standard (Ext)	-	\$36.94	\$ -	-	1.7%	\$37.57	\$ -
Faculty of Medical and Health Sciences								
Foundation								
MFQ-CTHSC	Foundation-Cert Health Sci	73.2	\$9.15	\$ 80,374	70.0	1.7%	\$9.31	\$ 78,204
Undergraduate								
MUC-LAB	UG-Med Lab	-	\$68.25	\$ -	-	1.7%	\$69.41	\$ -
MUQ-HSCSTD	UG-Health Science Standard	151.9	\$52.59	\$ 958,537	154.7	1.7%	\$53.48	\$ 992,884
MUQ-HSCPRM	UG-Health Science Premium	511.0	\$60.66	\$ 3,719,695	519.6	1.7%	\$61.69	\$ 3,846,671
MUQ-HSCLAB	UG-Health Science Lab	121.0	\$68.25	\$ 991,153	123.3	1.7%	\$69.41	\$ 1,026,757
MUQ-MBCHB	UG-MBChB	1,306.7	\$134.85	\$ 21,145,019	1,295.0	1.7%	\$137.14	\$ 21,311,157
MUQ-NURSE	UG-Nursing	212.4	\$60.66	\$ 1,545,856	209.1	1.7%	\$61.69	\$ 1,548,227
MUQ-OPTOM	UG-Optometry	198.1	\$76.73	\$ 1,824,245	200.2	1.7%	\$78.03	\$ 1,874,760
MUQ-OPTOM-EXT	UG-Optometry (Ext)	0.3	\$38.37	\$ 1,588	0.3	1.7%	\$39.02	\$ 1,629
MUQ-PHARM	UG-Pharmacy	224.4	\$68.25	\$ 1,838,213	241.7	1.7%	\$69.41	\$ 2,013,075
Postgraduate								
MPT-CLNIMG	PGT-Clinical Imaging	11.3	\$79.71	\$ 108,454	12.5	1.7%	\$81.07	\$ 121,390
MPT-STD	PGT-Med Standard	1,172.6	\$79.71	\$ 11,215,799	1,175.2	1.7%	\$81.07	\$ 11,433,100

5.2

		2021		Projected	2022		Fee/pt	Projected
		EFTS	Fee/pt	Revenue	EFTS	Δ		Revenue
MPT-STD-EXT	PGT-Med Standard (Ext)	1.7	\$39.85	\$ 7,967	2.3	1.7%	\$40.53	\$ 10,990
MPR-STD	PGR-Standard	168.1	\$73.31	\$ 1,479,202	173.2	1.7%	\$74.56	\$ 1,549,651
MPR-STD-EXT	PGR-Standard (Ext)	19.2	\$36.66	\$ 84,420	19.5	1.7%	\$37.28	\$ 87,232
MPR-OPTOM	PGR-Optometry	0.4	\$74.64	\$ 3,662	0.4	1.7%	\$75.91	\$ 3,526
MPQ-DPPAE	PGR-Dip Paediatrics	26.1	\$48.69	\$ 152,741	26.2	1.7%	\$49.52	\$ 155,490
Faculty of Science								
Undergraduate								
SUC-STD	UG-Science Standard	1,282.0	\$52.59	\$ 8,090,460	1,267.3	1.7%	\$53.48	\$ 8,133,285
SUC-PRM	UG-Science Premium	4,715.6	\$60.66	\$ 34,325,526	4,660.0	1.7%	\$61.69	\$ 34,497,025
SUC-LAB	UG-Science Lab	-	\$62.47	\$ -	-	1.7%	\$63.53	\$ -
Postgraduate								
SPT-STD	PGT-Science Standard	70.8	\$75.50	\$ 641,265	71.8	1.7%	\$76.78	\$ 661,088
SPT-STD-EXT	PGT-Science Standard (Ext)	0.1	\$37.74	\$ 328	0.1	1.7%	\$38.38	\$ 329
SPT-PRM	PGT-Science Premium	539.2	\$79.71	\$ 5,157,272	572.7	1.7%	\$81.07	\$ 5,571,096
SPT-PRM-EXT	PGT-Science Premium (Ext)	0.5	\$39.85	\$ 2,307	0.5	1.7%	\$40.53	\$ 2,501
SPR-STD	PGR-Science Standard	15.3	\$68.79	\$ 126,129	15.1	1.7%	\$69.96	\$ 126,612
SPR-STD-EXT	PGR-Science Standard (Ext)	0.4	\$34.40	\$ 1,768	0.4	1.7%	\$34.98	\$ 1,759
SPR-PRM	PGR-Science Premium	206.4	\$73.31	\$ 1,815,649	207.2	1.7%	\$74.56	\$ 1,854,035
SPR-PRM-EXT	PGR-Science Premium (Ext)	11.5	\$36.66	\$ 50,571	11.4	1.7%	\$37.28	\$ 50,891
University Programmes								
UFQ-NWSTRT	Foundation New Start	10.0	\$82.07	\$ 98,484	10.0	1.7%	\$83.47	\$ 100,164
UFQ-TFC	Tertiary Foundation Certificate	358.0	\$6.40	\$ 274,944	358.0	1.7%	\$6.51	\$ 279,670
UFQ-FSTCT	FoundStudCert (Study Group)	5.9	\$52.57	\$ 37,352	5.0	1.7%	\$53.46	\$ 32,076
UFQ-CRTFS	CertFoundStud (UP Education)	-	\$52.57	\$ -	-	1.7%	\$53.46	\$ -
~ UFQ-FCEAP	FCertEngAcadP/EPPS/EPUS	-	\$52.57	\$ -	-	1.7%	\$53.46	\$ -
~ UFQ-ACADENG	ELA-Academic English	-	\$265.00	\$ -	-	2.9%	\$272.65	\$ -
~ UFQ-GENLENG	ELA-General English	-	\$237.50	\$ -	-	2.1%	\$242.50	\$ -
URQ-DOC	PG-Higher Doctorate	87.9	\$61.08	\$ 644,315	85.0	1.7%	\$62.12	\$ 633,364
URQ-PHD	PG-PhD	2,145.5	\$61.08	\$ 15,725,392	2,151.1	1.7%	\$62.12	\$ 16,034,811
URQ-MPHL	MPhil	-	\$61.08	\$ -	-	1.7%	\$62.12	\$ -
UUQ-EXCHGE	Inbound Exchange COPEX	8.5	\$0.00	\$ -	9.0	1.7%	\$0.00	\$ -
UUQ-EXOUT	Outbound Exchange	-	\$52.59	\$ -	-	1.7%	\$53.48	\$ -
* UQQ-MCR	Micro-Credentials	3.0	\$60.00	\$ 21,600	5.0	0.0%	\$60.00	\$ 36,000
		31,035		\$ 242,066,533	31,119			\$ 246,689,686
Student Services Fee			\$8.02	\$ 29,868,405		1.7%	\$8.16	\$ 30,471,386

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Notes:

Extention for research courses have normal fee bands but are charged at 50% of the full rate.

Fees for New Start are non-SAC funded and are not subjected to Annual Maximum Fee Movement Policy

CSSF are not charged fully for some enrolmnets, please refer to the CSSF paper for details

* the fee applies unless the micro-credential is equivalent to a course covered by the standard fee schedule for which a higher fee is approved.

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Appendix B

Working draft document - not for distribution or release



2021 - 2023 International Fees projection

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		2021			2022			2023			
		EFTS	Fee/pt	Pro. Revenue	EFTS	Fee/pt	Pro. Revenue	EFTS	Δ	Fee/pt	Pro. Revenue
Faculty of Arts											
Undergraduate											
AUC-STD	UG-Arts Standard	603.7	\$269.00	\$ 19,487,549	546.4	\$282.45	\$ 18,518,805	573.0	4.0%	\$293.75	\$ 20,196,589
AUC-PRM	UG-Arts Premium	21.3	\$315.79	\$ 808,989	19.3	\$331.58	\$ 768,194	21.0	4.0%	\$344.84	\$ 870,363
Postgraduate											
APT-STD	PGT-Arts Standard	67.8	\$300.72	\$ 2,445,508	55.3	\$315.76	\$ 2,096,565	61.8	4.0%	\$328.39	\$ 2,434,454
APT-STD-EXT	PGT-Arts Standard (Ext)	-	\$150.36	\$ -	-	\$157.88	\$ -	-	4.0%	\$164.20	\$ -
APT-PRM	PGT-Arts Premium	3.1	\$353.35	\$ 131,388	3.0	\$371.02	\$ 135,503	3.3	4.0%	\$385.86	\$ 151,254
APR-STD	PGR-Arts Standard	11.6	\$300.72	\$ 419,523	8.1	\$315.76	\$ 305,799	7.7	4.0%	\$328.39	\$ 305,085
APR-STD-EXT	PGR-Arts Standard (Ext)	1.4	\$150.36	\$ 24,683	2.5	\$157.88	\$ 47,782	2.3	4.0%	\$164.20	\$ 45,240
APR-PRM	PGR-Arts Premium	3.0	\$353.35	\$ 127,206	1.9	\$371.02	\$ 85,333	0.6	4.0%	\$385.86	\$ 25,633
APR-PRM-EXT	PGR-Arts Premium (Ext)	-	\$176.69	\$ -	-	\$185.52	\$ -	-	4.0%	\$192.94	\$ -
Faculty of Business and Economics											
Undergraduate											
BUQ-STD	UG-Business Standard	1,228.9	\$321.89	\$ 47,468,330	1,046.4	\$337.98	\$ 42,437,484	1,052.3	4.0%	\$351.50	\$ 44,384,655
Postgraduate											
BPT-STD	PGT-Business Standard	97.2	\$318.82	\$ 3,718,084	64.8	\$334.76	\$ 2,601,813	77.3	4.0%	\$348.15	\$ 3,227,523
BPR-STD	PGR-Business Standard	18.7	\$318.82	\$ 714,402	12.4	\$334.76	\$ 497,585	13.7	4.0%	\$348.15	\$ 573,320
BPR-STD-EXT	PGR-Business (Ext)	0.1	\$159.42	\$ 2,461	0.0	\$167.39	\$ 765	0.0	4.0%	\$174.09	\$ 935
BPQ-MBA1	PG-MBA Part 1 and MTaxS	1.2	\$403.18	\$ 57,326	0.9	\$423.34	\$ 46,628	1.7	4.0%	\$440.27	\$ 92,136
BPQ-MBA2	PG-MBA Part 2	-	\$403.18	\$ -	-	\$423.34	\$ -	-	4.0%	\$440.27	\$ -
BPQ-PROMSR	PG-Professional Masters	271.0	\$335.08	\$ 10,896,802	123.0	\$351.83	\$ 5,193,011	110.0	4.0%	\$365.90	\$ 4,829,880
Faculty of Creative Arts and Industries											
Undergraduate											
CUQ-ARCSTD	UG-Architecture Standard	36.3	\$348.73	\$ 1,520,975	29.4	\$366.17	\$ 1,290,561	28.4	4.0%	\$380.82	\$ 1,298,025
CUQ-ARCPRM	UG-Architecture Premium	27.2	\$348.73	\$ 1,139,140	22.0	\$366.17	\$ 966,571	21.3	4.0%	\$380.82	\$ 972,161
CUQ-DSGN	UG-Design	28.7	\$329.19	\$ 1,132,016	32.1	\$345.65	\$ 1,330,692	35.6	4.0%	\$359.48	\$ 1,535,061
CUC-DMSTD	UG-Dance & Music Standard	10.1	\$315.79	\$ 383,451	7.7	\$331.58	\$ 307,535	7.0	4.0%	\$344.84	\$ 288,741
CUC-DMPRM	UG-Dance & Music Premium	26.5	\$315.79	\$ 1,002,338	21.4	\$331.58	\$ 851,699	19.5	4.0%	\$344.84	\$ 805,386
CUQ-FA	UG-Fine Arts	38.7	\$315.79	\$ 1,466,989	37.7	\$315.79	\$ 1,428,538	36.6	4.0%	\$328.42	\$ 1,443,266
CUQ-PLNSTD	UG-Urban Planning Standard	11.7	\$315.79	\$ 443,735	9.5	\$331.58	\$ 376,510	9.2	4.0%	\$344.84	\$ 378,681
CUQ-PLNPRM	UG-Urban Planning Premium	8.0	\$315.79	\$ 303,206	6.9	\$331.58	\$ 273,504	5.2	4.0%	\$344.84	\$ 216,389
Postgraduate											
CPT-ARCSTD	PGT-Architecture Standard	12.7	\$371.05	\$ 566,107	7.5	\$389.60	\$ 350,328	10.2	4.0%	\$405.18	\$ 494,143
CPT-MUSSTD	PGT-Music Standard	0.3	\$315.79	\$ 10,622	0.4	\$331.58	\$ 14,212	0.3	4.0%	\$344.84	\$ 11,588
CPT-MUSPRM	PGT-Music Premium	2.7	\$315.79	\$ 100,909	3.4	\$331.58	\$ 135,013	2.7	4.0%	\$344.84	\$ 110,088
CPT-PASTD	PGT-Dance Standard	-	\$371.05	\$ -	-	\$389.60	\$ -	-	4.0%	\$405.18	\$ -
CPT-PAPRM	PGT-Dance Premium	4.2	\$371.05	\$ 185,810	1.0	\$389.60	\$ 45,207	2.0	4.0%	\$405.18	\$ 96,207
CPT-UBDSTD	PGT-Urban Design Standard	12.4	\$371.05	\$ 552,129	7.3	\$389.60	\$ 341,678	9.9	4.0%	\$405.18	\$ 481,942
CPT-UBDPRM	PGT-Urban Design Premium	4.6	\$371.05	\$ 202,680	2.7	\$389.60	\$ 125,426	3.6	4.0%	\$405.18	\$ 176,915
CPR-ARC	PGR-Architecture	16.7	\$371.05	\$ 742,606	12.1	\$389.60	\$ 566,042	7.7	4.0%	\$405.18	\$ 373,179
CPR-ARC-EXT	PGR-Architecture (Ext)	1.1	\$185.54	\$ 23,609	0.7	\$194.82	\$ 15,991	0.7	4.0%	\$202.61	\$ 17,043
CPQ-DSGN	PG-Design	-	\$371.05	\$ -	-	\$389.60	\$ -	-	4.0%	\$405.18	\$ -
CPT-FA	PGT-FA	1.2	\$371.05	\$ 53,923	0.9	\$371.05	\$ 41,656	1.1	4.0%	\$385.89	\$ 50,164
CPR-FA	PGR-Fine Arts	4.3	\$343.06	\$ 178,415	3.0	\$343.06	\$ 125,124	8.6	4.0%	\$356.78	\$ 367,688
CPR-FA-EXT	PGR-Fine Arts (Ext)	0.4	\$171.54	\$ 8,111	0.1	\$171.54	\$ 1,160	0.1	4.0%	\$178.40	\$ 3,009
CPR-MUS	PGR-Music	4.3	\$315.79	\$ 164,786	4.1	\$331.58	\$ 163,526	3.1	4.0%	\$344.84	\$ 126,532
CPR-MUS-EXT	PGR-Music (Ext)	-	\$157.89	\$ -	-	\$165.78	\$ -	-	4.0%	\$172.41	\$ -
CPR-PA	PGR-Performing Arts	4.1	\$371.05	\$ 181,679	2.3	\$389.60	\$ 108,874	3.9	4.0%	\$405.18	\$ 191,856
CPR-PA-EXT	PGR-Performing Arts (Ext)	0.2	\$185.54	\$ 3,737	0.5	\$194.82	\$ 11,691	0.8	4.0%	\$202.61	\$ 18,238
CPR-PLN	PGR-Urban Planning	1.1	\$315.79	\$ 42,134	0.8	\$331.58	\$ 32,116	0.5	4.0%	\$344.84	\$ 21,174
Faculty of Education and Social Work											
Undergraduate											
DUQ-STD	UG-Education	102.0	\$270.82	\$ 3,315,992	92.3	\$284.36	\$ 3,150,925	98.3	4.0%	\$295.73	\$ 3,487,344
Postgraduate											
DPT-STD	PGT-Education Standard	26.6	\$292.07	\$ 930,721	19.7	\$306.67	\$ 725,421	22.9	4.0%	\$318.94	\$ 874,788

		2021			2022			2023			
		EFTS	Fee/pt	Pro. Revenue	EFTS	Fee/pt	Pro. Revenue	EFTS	Δ	Fee/pt	Pro. Revenue
DPT-STD-EXT	PGT-Education Standard (Ext)	-	\$146.04	\$ -	-	\$153.34	\$ -	-	4.0%	\$159.47	\$ -
DPR-STD	PGR-Education Standard	10.2	\$292.07	\$ 357,304	7.9	\$306.67	\$ 289,830	8.6	4.0%	\$318.94	\$ 329,556
DPR-STD-EXT	PGR-Education Standard (Ext)	1.0	\$146.04	\$ 17,498	0.8	\$153.34	\$ 13,892	0.9	4.0%	\$159.47	\$ 16,308
DPQ-GDTC	PG-Grad Dip. Teaching	49.2	\$225.87	\$ 1,332,213	37.4	\$237.16	\$ 1,063,890	41.7	4.0%	\$246.65	\$ 1,235,685
DPQ-PGCAP	PG-PGCert. Acad Practice	-	\$225.87	\$ -	-	\$237.16	\$ -	-	4.0%	\$246.65	\$ -
Faculty of Engineering											
Undergraduate											
EUQ-STD	UG-Engineering Standard	302.6	\$387.93	\$ 14,087,513	291.9	\$407.33	\$ 14,265,951	263.4	4.0%	\$423.62	\$ 13,391,550
Postgraduate											
EPT-STD	PGT-Engineering Standard	172.2	\$371.05	\$ 7,668,697	130.9	\$389.60	\$ 6,118,970	209.3	4.0%	\$405.18	\$ 10,177,591
EPT-STD-EXT	PGT-Engineering Standard (Ext)	1.1	\$185.54	\$ 24,083	0.7	\$194.82	\$ 17,462	1.4	4.0%	\$202.61	\$ 33,732
EPR-STD	PGR-Engineering Standard	13.0	\$371.05	\$ 580,141	11.1	\$389.60	\$ 517,145	12.3	4.0%	\$405.18	\$ 598,791
EPR-STD-EXT	PGR-Engineering Standard (Ext)	2.5	\$185.54	\$ 55,640	2.1	\$194.82	\$ 48,100	2.6	4.0%	\$202.61	\$ 63,118
EPQ-LGTM	PG-PG Cert. Eng in Light Metals	-	\$371.05	\$ -	-	\$389.60	\$ -	-	4.0%	\$405.18	\$ -
EPQ-PGCGT	PG-PGCert. Geo Energy Tech	0.4	\$456.12	\$ 23,516	0.2	\$478.93	\$ 10,577	0.5	4.0%	\$498.09	\$ 28,335
EPQ-DISMGT	PG-Master of Disaster Mgmt	4.0	\$373.58	\$ 178,437	3.0	\$392.26	\$ 140,768	4.8	4.0%	\$407.95	\$ 235,663
Faculty of Law											
Undergraduate											
LUQ-STD	UG-Law Standard	43.9	\$315.76	\$ 1,662,591	42.3	\$331.55	\$ 1,682,514	42.7	4.0%	\$344.81	\$ 1,768,174
Postgraduate											
LPT-STD	PGT-Law Standard	9.2	\$324.98	\$ 359,372	7.8	\$341.23	\$ 319,431	14.4	4.0%	\$354.88	\$ 614,726
LPT-STD-EXT	PGT-Law Standard (Ext)	-	\$162.50	\$ -	-	\$170.63	\$ -	-	4.0%	\$177.46	\$ -
LPR-STD	PGR-Law Standard	0.5	\$324.98	\$ 19,499	0.5	\$341.23	\$ 20,474	0.5	4.0%	\$354.88	\$ 21,293
LPR-STD-EXT	PGR-Law Standard (Ext)	-	\$162.50	\$ -	-	\$170.63	\$ -	-	4.0%	\$177.46	\$ -
Faculty of Medical and Health Sciences											
Foundation											
* MFQ-CTHSC	Foundation-Cert Health Sci										
Undergraduate											
MUC-LAB	UG-Med Lab	-	\$355.05	\$ -	-	\$372.80	\$ -	-	4.0%	\$387.71	\$ -
MUQ-HSCSTD	UG-Health Science Standard	7.9	\$286.34	\$ 272,209	7.5	\$300.66	\$ 271,560	7.7	4.0%	\$312.69	\$ 287,454
MUQ-HSCPRM	UG-Health Science Premium	34.1	\$286.34	\$ 1,170,804	30.0	\$300.66	\$ 1,082,543	29.8	4.0%	\$312.69	\$ 1,116,944
MUQ-HSCLAB	UG-Health Science Lab	1.4	\$286.34	\$ 48,511	1.3	\$300.66	\$ 47,687	1.3	4.0%	\$312.69	\$ 49,911
MUQ-MBCHB	UG-MBChB	103.0	\$644.40	\$ 7,964,784	99.4	\$663.73	\$ 7,915,885	107.7	0.0%	\$663.73	\$ 8,577,931
MUQ-NURSE	UG-Nursing	22.0	\$286.34	\$ 756,697	19.1	\$300.66	\$ 689,212	18.6	4.0%	\$312.69	\$ 697,723
MUQ-OPTOM	UG-Optometry	1.4	\$459.58	\$ 74,486	1.8	\$482.56	\$ 106,299	2.4	4.0%	\$501.86	\$ 143,168
MUQ-OPTOM-EXT	UG-Optometry (Ext)	-	\$229.79	\$ -	-	\$241.28	\$ -	-	4.0%	\$250.93	\$ -
MUQ-PHARM	UG-Pharmacy	9.0	\$369.25	\$ 397,692	5.3	\$387.71	\$ 248,499	5.9	4.0%	\$403.22	\$ 285,437
Postgraduate											
MPT-CLNIMG	PGT-Clinical Imaging	-	\$644.40	\$ -	-	\$676.62	\$ -	-	4.0%	\$703.68	\$ -
MPT-STD	PGT-Med Standard	30.9	\$369.25	\$ 1,369,107	24.6	\$387.71	\$ 1,143,302	30.8	4.0%	\$403.22	\$ 1,488,822
MPT-STD-EXT	PGT-Med Standard (Ext)	0.2	\$184.63	\$ 3,626	0.2	\$193.86	\$ 3,503	0.2	4.0%	\$201.61	\$ 4,395
MPR-STD	PGR-Standard	5.3	\$369.25	\$ 234,692	5.9	\$387.71	\$ 275,027	6.6	4.0%	\$403.22	\$ 321,319
MPR-STD-EXT	PGR-Standard (Ext)	2.9	\$184.63	\$ 63,902	2.1	\$193.86	\$ 48,590	3.1	4.0%	\$201.61	\$ 74,687
MPR-OPTOM	PGR-Optometry	-	\$369.25	\$ -	-	\$387.71	\$ -	-	4.0%	\$403.22	\$ -
MPQ-DPPAE	PGR-Dip Paediatrics	-	\$89.09	\$ -	-	\$93.54	\$ -	-	4.0%	\$97.28	\$ -
Faculty of Science											
Undergraduate											
SUC-STD	UG-Science Standard	596.4	\$315.79	\$ 22,601,745	555.9	\$331.58	\$ 22,118,459	534.7	4.0%	\$344.84	\$ 22,125,209
SUC-PRM	UG-Science Premium	871.8	\$315.79	\$ 33,036,843	829.5	\$331.58	\$ 33,004,048	821.3	4.0%	\$344.84	\$ 33,986,206
SUC-LAB	UG-Science Lab	-	\$315.79	\$ -	-	\$331.58	\$ -	-	4.0%	\$344.84	\$ -
Postgraduate											
SPT-STD	PGT-Science Standard	90.4	\$371.05	\$ 4,024,588	79.1	\$389.60	\$ 3,698,777	96.6	4.0%	\$405.18	\$ 4,694,533
SPT-STD-EXT	PGT-Science Standard (Ext)	0.2	\$185.54	\$ 3,739	0.1	\$194.82	\$ 3,451	0.2	4.0%	\$202.61	\$ 4,376
SPT-PRM	PGT-Science Premium	154.4	\$371.05	\$ 6,876,954	138.2	\$389.60	\$ 6,461,379	205.6	4.0%	\$405.18	\$ 9,995,147
SPT-PRM-EXT	PGT-Science Premium (Ext)	0.1	\$185.54	\$ 1,952	0.1	\$194.82	\$ 1,804	0.1	4.0%	\$202.61	\$ 2,238
SPR-STD	PGR-Science Standard	5.8	\$371.05	\$ 257,503	4.9	\$389.60	\$ 229,415	6.8	4.0%	\$405.18	\$ 330,812
SPR-STD-EXT	PGR-Science Standard (Ext)	-	\$185.54	\$ -	-	\$194.82	\$ -	-	4.0%	\$202.61	\$ -
SPR-PRM	PGR-Science Premium	57.1	\$371.05	\$ 2,541,635	49.4	\$389.60	\$ 2,310,366	63.9	4.0%	\$405.18	\$ 3,109,017
SPR-PRM-EXT	PGR-Science Premium (Ext)	2.9	\$185.54	\$ 64,939	2.6	\$194.82	\$ 61,315	2.6	4.0%	\$202.61	\$ 62,620

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		2021			2022			2023			
		EFTS	Fee/pt	Pro. Revenue	EFTS	Fee/pt	Pro. Revenue	EFTS	Δ	Fee/pt	Pro. Revenue
University Programmes											
* UFQ-NWSTRT	Foundation New Start										
* UFQ-TFC	Tertiary Foundation Certificate										
UFQ-FSTCT	FoundStudCert (Study Group)	145.1	\$238.33	\$ 4,151,204	50.0	\$248.33	\$ 1,489,980	50.0	3.4%	\$256.67	\$ 1,540,020
UFQ-CRTFS	CertFoundStud (UP Education)	-	\$245.83	\$ -	50.0	\$256.25	\$ 1,537,500	50.0	4.1%	\$266.67	\$ 1,600,020
~ UFQ-FCEAP	FCertEngAcadP/EPPS/EPUS	61.0	\$181.17	\$ 1,326,164	50.0	\$188.33	\$ 1,129,980	50.0	2.5%	\$193.00	\$ 1,158,000
~ UFQ-ACADENG	ELA-Academic English	-	\$265.00	\$ -	-	\$272.65	\$ -	-	2.1%	\$278.50	\$ -
~ UFQ-GENLENG	ELA-General English	-	\$237.50	\$ -	-	\$242.50	\$ -	-	3.1%	\$250.00	\$ -
URQ-DOC	PG-Higher Doctorate	-	\$370.54	\$ -	-	\$389.07	\$ -	-	4.0%	\$404.63	\$ -
URQ-PHD	PG-PhD	1.6	\$370.54	\$ 72,255	1.4	\$389.07	\$ 64,197	1.9	4.0%	\$404.63	\$ 91,042
URQ-MPHL	MPhil	-	\$370.54	\$ -	-	\$389.07	\$ -	-	4.0%	\$404.63	\$ -
UUQ-STDABD	Study Aboard	7.8	\$215.83	\$ 203,069	6.3	\$220.00	\$ 167,462	7.1	0.0%	\$220.00	\$ 188,483
UUQ-EXCHGE	Inbound Exchange COPEX	0.5	\$0.00	\$ -	0.4	\$0.00	\$ -	0.4	0.0%	\$0.00	\$ -
UUQ-EXOUT	Outbound Exchange	-	\$229.93	\$ -	-	\$229.93	\$ -	-	0.0%	\$229.93	\$ -
* UQQ-MCR	Micro-Credentials										
		5,538		\$ 214,843,677	4,761		\$ 194,134,511	4,972			\$ 211,426,714
Student Services Fee		\$8.02		\$ 5,329,780	\$8.16		\$ 4,662,064				

5.2

Notes:

Extension for research courses have normal fee bands but are charged at 50% of the full rate.

CSSF are not charged fully for some enrolments, please refer to the CSSF paper for details

~ Invoiced by Auckland UniServices Limited

* not offered to International students

Appendix C

Fees Schedule A - All Students

	Fee
Admission (domestic students only)*	
Admission ad eundem statum through overseas tertiary study	\$100
Admission ad eundem statum through overseas secondary study	\$85
Discretionary Entrance, Special Admission	\$60
Admission (international)*	
Admission ad eundem statum through overseas tertiary study	\$100
Admission ad eundem statum through overseas secondary study	\$85
<i>*Fee does not apply to applicants applying through a registered Agent, under an Articulation Agreement with partner institutions, through Study Abroad Agreements or to NZ Aid Scholarship applicants.</i>	
External Transfer Credit	
Each application from any study undertaken at another tertiary institution (e.g., Summer School, concurrent enrolment at another institution)	\$85

Each application from any study undertaken at an overseas tertiary institution	\$85
Enrolment Fees	
Enrolment after enrolment closing date and before the addition/deletion date	\$60 per course
Late enrolment after addition/deletion date and before the last day of lectures	\$120 per course
Reinstatement/Late Reinstatement	
Course reinstatement fee, per course (after an enrolment in a course has been cancelled or deleted)	\$30
Refund Processing	
Refund processing fee	\$60
International admission administration fee (applies to new international students only) charged at time of refund	\$1,000
Instalment Payment, Deferred or Delayed Payment Surcharge	
Instalment payment, deferred or delayed payment surcharge	\$60
Late Payment Fee	
Late Payment Fee (tuition fees and student services fees)	\$120

Late Payment Fee (examination fines and charges)	\$60
Academic transcripts and letters	
ID card replacement	\$20
Hard copy transcript or official letter	\$30
Hard copy transcript or official letter – urgent delivery	\$120
Each additional hard copy - transcript or official letter	\$10
Special statements (e.g., admission to the Bar)	\$30
Reconsideration of Academic Standing	\$60
Reconsideration of Academic English Language Requirements discontinuation	\$60
Digital transcript for Graduands/Alumni via My eEquals from 2010 onwards	NIL
Digital transcript via My eEquals – with any changes to enrolment post-Graduation or for students who have not completed a formal award or for Alumni graduated prior to 2010	\$30
Digital letter via My eEquals	\$30
Degree or Diploma Certificate	
Hard copy certificate at Graduation or in Absentia	NIL

Digital certificate via My eEquals - following Graduation	NIL
Replacement of hard copy certificate	\$85
Courier and handling charges	
Within New Zealand	\$10
To Australia	\$30
To all other countries	\$60
Examinations	
Recount of marks, each course (refundable if successful)	\$60
Examination script (per copy)	\$15
Aegrotat and Special Conditions	
Each examination application (per course)	\$30
– up to maximum of	\$50
Each test application (per course)	\$10
Examinations sat in New Zealand but outside University of Auckland campuses	
Application for single examination per venue	\$140
Application for each additional examination at the same venue	\$30

Examinations outside New Zealand	
Application for single examination per venue	\$175
Application for each additional examination at the same venue	\$30
Examinations sat outside the timetable	
Application for single examination on a day other than timetabled	\$120
Application for further examination on a day other than timetabled	\$30
<i>+ Declined applications will receive a 50% refund of the relevant examination application fee</i>	
Student Services Fee	
Charged based on campus as follows:	
Students studying on City, Epsom, Grafton, Newmarket campuses	\$8.16 per point
Students studying on South Auckland and Tai Tokerau campuses	\$4.08 per point
Domestic students overseas – studying online (NO campus)	\$4.08 per point
International students overseas – studying online (OO campus)	\$4.08 per point
Students studying overseas as part of an approved exchange scheme	Exempt
All other students	\$4.08 per point

Appendix D

STUDENT LEVY

2020 EXPENDITURES

The Student Levy, or Compulsory Student Services Fee, is the fee paid by an enrolled student for student support services provided by the University.

The fee for 2021 is **\$8.02** per point (on average that works out to be **\$962.20** per year for a typical undergraduate taking eight papers in a year).

The fee is collected at the same time that tuition fees are billed. For first year students whose fees are being covered under the Fees-free government policy, your Student Levy will also be covered by this initiative for the first year of your study.

Each year, the University collects approximately **\$25m** in student levies.

The Education Amendment Act (2011) directs universities on the categories of services that the student levy can be used to fund, these are displayed on this page.

**Figures have been rounded to the nearest thousand. Reporting is from 2020.*



\$3,472,000

Health and Counselling Services

The levy helps to provide health care (like doctors and nurses) and related welfare services such as counselling and disability services.



\$481,000

Childcare Services

The levy subsidises childcare for students at the University's six Early Childhood Centres.



\$572,000

Advocacy and legal advice

The levy helps to advocate on behalf of individual students and groups of students, and provides independent support to resolve problems. This primarily includes AUSA's Advice Hub and executives, along with designated spaces to undertake these activities.



\$1,519,000

Career information, advice and guidance

This focuses on developing students' career literacy and building their employability skills, to support student retention and successful transitions into the workplace. It also includes connecting students with employers through virtual and on-campus events / activities, so students can grow their employer knowledge and networks.



\$2,877,000

Advisory services and pastoral care

This includes services which provide non-academic advice and pastoral care throughout different parts of the student experience. It includes orientation programmes, mentoring, religious spaces and support, international student support and support advisors.



\$425,000

Media

The levy supports the production and dissemination of information by students to students in journals, blogs, newsletters and publications such as Craccum.



\$4,217,000

Sport, recreation and cultural activities

The levy covers a range of activities including sporting programmes, competitions and support, awards for extra-curricular success like Blues Awards, support for overseas competitions and events including reorientation and end of year volunteer celebrations. The levy is also used to subsidise student memberships at the Recreation Centre.



\$1,873,000

Clubs and societies

The levy supports over 250 student clubs and societies through the provision of direct funding to student groups, organisation of expos, and co-ordination of equipment, resources, workshops, administrative assistance, facilities and support.



\$515,000

Employment information

This includes the provision of information about employment opportunities during study, such as Student Job Search.



\$9,773,000

Facilities & Infrastructure

Facilities and infrastructure improvements delivered in 2020 included updates to Building 311, the creation of new temporary sports courts and temporary gym facilities. Future improvement plans include creating a student kitchen and lounge on Level 2 of the Kate Edgar building and continued upgrades to the Quad.



\$131,000

Financial support and advice

This includes the provision of hardship, textbook and dental grants, along with financial advice from AUSA and the University.



Appendix D**CONSULTATION PROCESS**

The Student Consultative Group is the consultation forum for the levy. This group is made up of student and staff representatives and is chaired by the Provost. This group makes recommendations to the Finance Committee and Council in September. Council sets fees in October.

Students can talk directly with their representative on the Student Consultative Group to make their views known on the levy. To find out who is on SCG, visit the website at: www.auckland.ac.nz/levy.

5.2

* 2021 - Current CSSL comparison, based on full time study on campus (120 points)

NZ\$ (GST inclusive)	Building Levy	Student Assistance Levy	Welfare and Recreation	Student Services	TOTAL - 2021	TOTAL - 2020	% change vs. 2020
Auckland				\$962.20	\$962.20	\$943.20	2%
AUT	\$77.52			\$790.40	\$867.92	\$836.00	3.81%
Canterbury				\$877.20	\$877.20	\$870.00	0.82%
Massey (Albany)			\$157.10	\$582.20	\$739.30	\$739.30	0%
Massey (Manawatu)	\$30.60		\$76.30	\$582.20	\$689.10	\$689.10	0%
Otago				\$879.00	\$879.00	\$830.02	5.9%
Waikato				\$792.00	\$792.00	\$734.00	7.9%
Victoria		\$28.00		\$843.00	\$871.00	\$849.60	2.51%

Appendix E

5.2

Health & Wellbeing Services

The University has invested significantly in additional health and wellbeing services over the past 5 years. These include investment in online supports such as the UniWellbeing programme (an online therapy programme for anxiety and depression tailored to University students) and Puawaitanga (an extended hours phone counselling service tailored for University students). We have also invested in health promotion and prevention through the Oranga Tauria | Student Wellbeing plan which aims to create the culture and conditions that enhance health and wellbeing for students, utilising a systemic and campus-wide approach. We have also invested in specific areas such as bullying, harassment and discrimination and sexual harm, increasing our efforts in the prevention space while bolstering our existing support services. The increase in investment in the prevention space, training and education and health promotion, responds to student feedback that there should be greater spend in prevention strategies to prevent issues from occurring in the first place, as well as giving students the skills they need to respond to inevitable stresses.

A major development in the past two years is the creation of Te Papa Manaaki | Campus Care, an early intervention case management service that provides holistic support to students at an earlier point in their wellbeing journey. Campus Care develops treatment plans, connects students with a range of services within and outside of the University, and case manages students in collaboration with Faculty colleagues. This is a key strategy for getting students the help they need earlier on, providing a more responsive help option and providing staff across the University with a clear and simple way to refer students to get the help they need. Our specialist services, such as the University Health and Counselling Service, are well resourced and consistently meet wait time KPIs. The students that use this service are regularly asked for feedback and overall feedback is extremely positive.

There has also been a focus on expanding the ways in which students can access services, including from different locations and during different times of the day. All services are offered online or by phone, we have established options for after-hours support (such as Puawaitanga), and we have a range of online resources that can be accessed at any time.

We can be proud of the health and wellbeing system that we have to support students, however we are continuously looking at ways we can improve the system to ensure it is meeting the needs of our diverse student body.

Anne-Marie Parsons
Associate Director, Student Wellbeing & Engagement
Campus Life



REPORT TO COUNCIL: NAMING COMMITTEE

Proposal to approve the naming of the Research Vessel as “Te Kaihōpara”

The Naming Committee was being asked to approve a recommendation from the Dean of Science, Professor John Hosking, to approve the naming of the Research Vessel as “Te Kaihōpara”

With the commissioning for the new research vessel later this year, the Faculty of Science had been in discussion with Ngāti Manuhiri over a suitable name.

The Director of the Institute of Marine Sciences (Prof Simon Thrush) was keen to have the vessel named by this iwi, as the tangata whenua of the region the Leigh Marine Lab is located in, to help build the University’s strengthening relationship with that iwi.

The Chair of the Ngāti Manuhiri settlement trust has generously gifted us the name *Te Kaihōpara* for the vessel. This name means “The Explorer” which is a wonderful description of our role in marine science, our role in working in partnership with the iwi, and the mahi that will be undertaken using the vessel.

The Committee has unanimously agreed to approve the naming and commended the faculty of Science for the process undertaken to be gifted the name.

THE CHANCELLOR MOVES THAT COUNCIL NOTE THAT NAMING COMMITTEE HAS APPROVED THE PROPOSAL TO NAME THE RESEARCH VESSEL AS “TE KAIHŌPARA”

27.09.2021



Rūnanga Report to Council Pro Vice-Chancellor Māori

September 2021

The Office of Pro Vice-Chancellor Māori

The capacity and capability needs of the office (raised in the July report to Council) have been greatly improved by the welcome addition of Cath Dunphy to a **senior strategic role** in the office. Cath will provide general capacity support in addition to providing leadership in focussed areas and work plans.

PVCM is also managing a new role at **Tai Tokerau Campus** with the appointment of Dennis Matene to the Head of Campus role. This role signals a change at Tai Tokerau from essentially a faculty led operation (EDSW) to a University campus. This comes ahead of the redevelopment and rebuild of the campus. The PVCM will lead and chair the steering committee for this 'once in a generation' project.

Other imminent **senior Māori appointments** across portfolios and service divisions will provide the opportunity for significant capability to meet the aspirations of Taumata Teitei. A number of these roles will report of the PVCM, and all will connect to the long-term strategy being developed by PVCM, and to Taumata Teitei and Toitū Waipapa.

PVCM has commenced development of a **long term strategic plan** that will assist us to map out priorities for development and work plans across all portfolio areas. The plan will articulate with Taumata Teitei and also establish priorities and activities specific to Māori development in the University.

The Strategic Plan *Taumata Teitei*

Below I report to you (in italics) on progress against the shorter term strategic plans submitted in my last report.

Objective: Ensure Waipapa Toitū (the Waipapa Framework) is developed to underpin the University's ongoing vision and strategic priorities.

Priorities:

1. Improved retention and success of Māori students (**E&SE; EE**)
2. Appropriate inclusion of Te Tiriti, Mātauranga Māori and Kaupapa Māori in University programmes and life (**E&SE; EE**)
3. System-wide capability and capacity development for research with and by Iwi-Māori; and Māori specific research capacity and capability development (**R&I**)

4. Māori staff development and whole of staff cultural competence (**P&C**)
5. Develop authentic mutually beneficial relationships with Iwi and Māori communities (**P&E**)

Initiatives 2021-2023:

1. **Strategic Leadership Capacity.** Second manager/analyst/comms person with specific Māori capability and capacity to help with the delivery of the Māori strategy and develop a business case that outlines a new support and services delivery model that allows the PVCMM office to fulfil its extended role and responsibilities.
Achieved through the appointment of Cath Dunply
2. **Progress Toitū Waipapa (The Waipapa Framework) (PVC Māori)** Develop the place based Ngāti Whātua cultural and University narratives central to the Waipapa framework, and to underpin the curriculum transformation and staff development priorities. *A mātauranga Māori reference group and a Steering group have been established to progress this work. Work on the narrative development is also underway.*
3. **Flagship all-staff cultural competency programme (Joint PVCMM & P&C)** Develop an all-staff programme and build a business case for scale up for 2022. *The programme is established and appropriate staff are being identified. Business case development is urgent but has yet to be initiated.*
4. **A Māori staffing plan (Joint P&C & PVCMM)** Form a Māori staffing plan reference group and lead qualitative engagement with Māori staff in the university to shape the plan. *The reference group has been established and the broad parameters of a plan drawn. This plan also requires the initiation of a business plan.*
5. **Leadership of Te Tiriti, Kaupapa Māori and Mātauranga Māori curriculum working group (Joint E & SE).** Ensure to ensure the Waipapa Framework underpins the development and develop curricula for competent Māori students. *This work is progressing well. We have established cross-faculty working groups in each of the areas above who have developed a solid set of definitions and proposals. This group is working productively with the Provost and PVC Education.*
6. **Phase TWO of Te Korowai Mātauranga (Research):** Develop best practice examples for UoA internal and external research partnerships with iwi- Māori (**PVCMM & R & I**). *PVCMM is establishing a Māori research group to work on the development of policy and practice in this space. The welcome addition of a senior Māori data privacy officer role will provide much needed leadership in this space.*
7. **Finalise Kawenata with Ngāti Whātua Orakei** and develop workplans for shared outcomes; Progress partnership relationships with Tai Tokerau iwi (Joint resource **PVCMM & P & E**). *A final draft of this is now sitting with NWO. It will then come back to UEC and Council for feedback. Progress is being made in Tai Tokerau including sponsorship and other forms of engagement with Toi Ngāpuhi.*

TECEF

In addition these initiatives, the office of the PVCM is undertaking a review of the TECEF space with a view to refreshing best practice guidance for the faculties, increasing central programmes, and new approaches to outreach, marketing and recruitment. *A full day planning event is planned with staff involved in this space across the university before the end of 2021. With further capability in the office of PVCM and a new Māori segment lead role we can expect significant improvements for the Māori student journey at the University.*

Hēoi anō

Te Kawehau



The University of Auckland

REPORT OF STUDENT APPEALS COMMITTEE 23 July 2021 (10.00 am)

5.5

Present: Ms Rachael Newsome (Chair), Ms Catherine Dunphy, Mr John Paitai, Mr Junyi Wang

In attendance: Miss Hannah Wightman (Committee Secretary), Appellant, Appellant's support person

1. APPEAL AGAINST THE DECISION OF DISCIPLINE COMMITTEE

A student appealed against the decision and the penalty imposed by the Discipline Committee in regard to a breach of the Statute for Student Discipline.

The student attended the meeting. Following the departure of the Secretary, the appellant and the appellant's support person the Committee commenced deliberations. After considering the evidence, the Student Appeals Committee **RESOLVED:**

The decision and penalty of the Discipline Committee on 29 June 2021 is upheld.

6.1

THE UNIVERSITY OF AUCKLAND
MATERIAL FOR COUNCIL FROM
THE MEETING OF SENATE 20.09.2021

1. Review of Senate

Senate received the first report of the Senate review reference Group, 01.09.2021. Professor Pene Mathew, Dean of Law and Chair of the Senate Review presented this item. She introduced the members of the reference group for the Review. The group included ten members: Professors Harding, Hunter and Olsen; Associate Professors Barrow, Clarke, Daley and Sarojini; Dr Sturm and Mrs Dunphy; it was chaired by Professor Mathew and supported by Ms Verschaeren. The members had been selected after expressions of interest had been received. They represented a range of disciplines, were long-standing Senate members and had a range of knowledge and expertise that was important for the Review. At the first meeting, the group had discussed a number of issues listed in the report. It was now important to establish the best way to reach out to the rest of the Senate members and to benchmark against other institutions. The Chair of the Review welcomed the input of all Senate members and proposed to defer this item to the next meeting of Senate to allow for a face-to-face discussion.

Note: The Freedom of Expression Policy and Procedures was deferred until Senate can meet face-to-face, as the Zoom webinar format required for the Senate meeting under COVID-19 alert level 4 did not allow for profound discussions.

PART A:

i) RECOMMENDATIONS FROM SENATE REQUIRING TO BE CONSIDERED/RECEIVED BY COUNCIL REVIEWS

1. Review of the Department of Commercial Law:

Senate 20.09.2021 **RECOMMENDS** to Council that it **receive** the Department of Commercial Law

2. Review of the Department of Pacific Studies – Year-on Progress Report.

Senate 20.09.2021 **RECOMMENDS** to Council that it **receive** the Review of the Department of Pacific Studies – Year-on Progress Report.

3. Review of the School of Chemical Sciences – Year-on Progress Report

Senate 20.09.2021 **RECOMMENDS** to Council that it **receive** the Review of the School of Chemical Sciences – Year-on Progress Report as final response to the 2019 review

ii) **RECOMMENDATIONS FROM SENATE REQUIRING COUNCIL CONSIDERATION/APPROVAL****ACADEMIC MATTERS AND REGULATIONS****1. REGULATION AMENDMENTS**

Senate, 20.09. 2021 RECOMMENDS that Council approve the following Regulation Amendments:

- a) Regulation Amendment 2021-910:** Key University dates – 2021 Closing Dates for Admission – Closing dates for Applications for Admission to Undergraduate and Postgraduate Programmes
To add an application deadline for Semester One for the Postgraduate Diploma in Applied Psychology
- b) Regulation Amendment 2021-912:** Academic Statutes and Regulations – Examination Regulations
To amend the Deferred Results Regulation for the Bachelor of Optometry degree.
- c) Regulation Amendment 2021-913:** Academic Statutes and Regulations – Admission Regulations
To amend the Admission Regulations - Discretionary Entrance.
- d) Regulation Amendment 2021-914:** Academic Statutes and Regulations – Examination Regulations
To amend the Examination Regulations - Embargoing of Theses.
- e) Regulation Amendment 2021-915:** Academic Statutes and Regulations - Enrolment and Programme Regulations – General Regulations Masters Degrees
 - To amend the Academic English Language, Academic Standing and Refund and Credit of Fees regulations.
 - To amend the access requirements for masters theses
- f) Regulation Amendment 2021-916:** Academic Statutes and Regulations/General Statutes and Regulations - Admission Regulations
To amend the following:
 - Admission Regulations
 - Fees Statute
 - The Limitation of Entry Statute

2. 2022 Programme Limitations - Amendment of the approved Faculty of Education and Social Work 2022 Programme Limitations for the Postgraduate Diploma in Counselling Theory (PGDipCounsTh)

Senate 20.09.2021 **RECOMMENDS** that Council **approve** the Amendment of the approved Faculty of Education and Social Work 2022 Programme Limitations for the Postgraduate Diploma in Counselling Theory (PGDipCounsTh)

3. 2022 Course Limitations

Senate 20.09.2021 **RECOMMENDS** that Council **approve** the 2022 Course Limitations

4. Programme-specific entry requirements for applicants with NZ foundation qualifications and programme-specific entry requirements for applicants with international foundation qualifications.

Senate 20.09.2021 **RECOMMENDS** that Council **approve the** Programme-specific entry requirements for applicants with NZ foundation qualifications and programme-specific entry requirements for applicants with international foundation qualifications.

5. The Doctoral Policy and Procedures- changes and additions

Senate 20.09.2021 **RECOMMENDS** to Council that it **approve** the Doctoral Policy and Procedures- changes and additions

6. Research centre and Platform Policies

Senate 20.09.2021 **RECOMMENDS** to Council that it **approve** the Research Centre and Platform Policies

PART B: ITEMS FROM SENATE FOR NOTING BY COUNCIL

Senate 20.09.2021 **RECOMMENDS** that Council **note** the following research and study leave report and Survey:

1. Report on Leave

Name	School/Department
Professor Helen Hedges	Curriculum and Pedagogy

PART C: MATTERS RECEIVED AND APPROVED UNDER DELEGATED AUTHORITY**1. ACADEMIC MATTERS**

Senate, 20.09.2021 advises Council that it approved the following academic matter:

Pass/Fail Grade for PROFCOUN 730- Advanced Counselling Practicum

2. NEW SCHOLARSHIP & AWARD REGULATIONS

Senate, 20.09. 2021 advises Council that the following 19 new Scholarship and Award Regulations were approved:

1. Crystal Arts Trust Master of Creative Writing Prize
2. MYOB Prize in Accounting
3. Solbo Village Prize
4. Allan Robertson Memorial Scholarship
5. BNZ PGDipBus Māori Development Award
6. Department of Engineering Science Masters Award
7. Department of Engineering Science Masters Scholarship
8. EROAD Scholarship for Māori and Pacific Students
9. Facebook Prize in Artificial Intelligence

10. Facebook Prize in Information Governance
11. Faculty of Law Student Support Award
12. Hilary Chung Prize in Global Studies
13. Krukziener Property Scholarship
14. Old A's Summer Scholar Prize
15. Opie & Bridge Vocal Scholarship
16. Rotary Club of Newmarket 75th Anniversary Hauraki Gulf Environment Postgraduate Award
17. Simply Privacy Prize in Information Ethics
18. Tower Insurance Data Science Scholarship
19. Wadham Scholarship for Law Students from a Refugee Background

9.1.1



Internal Memorandum

Date: 30.09.2020
 To: Council
 From: Returning Officer
 CC:
 Subject: Election of the student representative on Council

9.1

The election of the student representative on Council for 2022 is now complete and Mr Junyi (Johnny) Wang has been re-elected for a one-year term (1 November 2021 – 31 October 2022).

KEY DATES

Event: Council election	Date
Nomination process opened	Monday 19 July
Nomination process closed	Sunday 25 July
Election voting opened	Monday 23 August
Election voting closed	Friday 27 August



Summary of votes

Candidates	Grand Total
Johnnie Wang	286
Alofa So'olefai	186
Victoria Hawthorne	154
Ishie Sharma	138
Joseph Chen	86
Faiiuga Vaialia	42
Emma Cooper-Williams	37
Jordan Cooper	35
Spark Vulpa (Sang Myung Park)	26
Jagjot Kaur	19
Lauren Jacqueline Lockyer	14
Ahmadreza Mohebbi	13
Grand Total	1036

Recommendation: That Mr Junyi (Johnny) Wang be appointed as the student representative on Council for a one-year term (1 November 2021 – 31 October 2022).

Mrs Adrienne Cleland

Returning Officer