

Council Agenda Part A (Open Agenda) 26.07.2021 Council Room – Level 2 ClockTower, Princes Street 22, Auckland 4:00pm			Page #
1. APOLOGIES	Ms Cathy Quinn	The Chancellor moves that the apologies, be noted .	
2. DISCLOSURES OF INTEREST BY MEMBERS	The attention of Members is drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.	The Chancellor moves that the disclosures, if any, be noted and the action taken be endorsed .	
3. CONFERMENT OF DEGREES	In accordance with the provisions of the Conferment of Academic Qualifications and Academic Dress Statute 1992 the Chancellor will confer the degrees listed (as attached) by stating: <i>By the authority vested in me by resolution of The University of Auckland Council I, CECILIA TARRANT, Chancellor, confer the degrees stated upon those who, within their several faculties, have satisfied the requirements of this University.</i>		7
4. AWARD OF DIPLOMAS	In accordance with the provisions of the Conferment of Academic Qualifications and Academic Dress Statute 1992 the Chancellor will award the diploma listed (as attached) by stating: <i>By the authority vested in me by resolution of The University of Auckland Council I, CECILIA TARRANT, Chancellor, award the diplomas stated to those who, within their several faculties, have satisfied the requirements of this University.</i>		10
5. COUNCIL MEETINGS	5.1 Council, Draft Minutes (Part A), 14.06.2021	The Chancellor moves that the Minutes (Part A), 14.06.2021 be taken as read and confirmed .	11
	5.2 Matters arising from the Minutes (Part A), 14.06.2021 not elsewhere on the Agenda The University ranking strategy would be presented to Council during the briefings in December 2021, as requested by Council in item 6.		

<p>6. VICE-CHANCELLOR'S REPORT</p>		<p>The Chancellor moves that the Vice-Chancellor's Report be noted.</p>	<p>17</p>
<p>7. REPORTS OF COUNCIL COMMITTEES</p>	<p>7.1 FINANCE COMMITTEE 7.1.1 Minutes, (Part A), 13.07.2021</p>	<p>The Chancellor moves that the Finance Committee Minutes (Part A), 13.07.2021 be received.</p>	<p>32</p>
	<p>7.2 RŪNANGA 7.2.1 Mid-Year Report</p>	<p>The Chancellor moves that the Rūnanga Mid-Year Report be received.</p>	<p>36</p>
<p>8. SENATE MATTERS</p>	<p>8.1 REPORT OF SENATE, 12.07.2021</p> <p>Freedom of Expression: Rights and responsibilities – Policy and Procedures Terms of Reference of the Senate Review</p> <p>Part Ai 1: Policy and other matters requiring to be received by Council Part Aii, 1: Policy and other matters requiring Council approval Part B, 1-2: Matters for noting by Council Part C, 1-2: Matters handled under Delegated Authority</p>	<p>The Chancellor moves that:</p> <ol style="list-style-type: none"> 1. Council approve in principle the Freedom of Expression: Rights and responsibilities – Policy and Procedures; proposal; and note that the formal Policy and Procedure s document will be submitted to the next meeting; 2. Council note the Terms of Reference of the Senate Review 3. The recommendations in Part A of the Report of Senate, 12.07.2021 be adopted and Parts B and C be noted 	<p>39</p>
<p>9. CORRESPONDENCE REFERRED BY THE CHANCELLOR</p>	<p>No items received</p>		

<p>10. OTHER MATTERS FOR DECISION OR NOTING</p>	<p>10.1 SEAL</p> <p>Since the Council meeting on 14.06.2021 the seal has been applied to the following document in accordance with the Council resolution of 18.02.1991:</p> <ul style="list-style-type: none"> • Facilities Agreement – The University of Auckland (the Borrower) and the Bank of New Zealand (the Lender) • Acknowledgment by The University of Auckland pursuant to clause 2 of a negative pledge dated 30.06.2021 to Bank of New Zealand • Deed of Lease – Level 12, 7 City Road Auckland, Sipka Properties Symonds St Ltd (Landlord) & The University of Auckland (Tenant) • Deed relating to suspension of Rent under Lease – Leve 10, 67 Symonds Street, Sipka Properties Symonds St Ltd (The Lessor) & The University of Auckland (The Lessee) • Deed of Renewal of Lease – Ngapouri Station, 2739 State Highway 5 Reporoa – Graeme David Hathaway, Yvonne Therese Hathaway and Steven James Bignell as Trustees of the Hathaway & Dekker family Trusts (The Landlord) & The University of Auckland (The Tenant) 	<p>The Chancellor moves that the affixing of the seal to the listed documents be noted.</p>	
	<p>10.2 RESCINDMENTS AND RE-AWARDING</p> <p>MEMORANDUMS, 10.06.2021 AND 15.06.2021 from Margaret Allen, Manager, Scholarships and Graduation, regarding the rescindment and re-awarding of a Degree and Postgraduate Diploma.</p>	<p>The Chancellor moves that Council rescind the conferral of the qualification of the Master of Management for Serena Sum-Yee Lee and re-award it with Distinction.</p> <p>The Chancellor moves that Council rescind the conferral of the qualification of the Postgraduate Diploma in Health Sciences for Kerstin Marie Bailey and re-award it with Distinction.</p>	<p>45</p> <p>46</p>
<p>11. GENERAL BUSINESS</p>	<p>None</p>		
<p>12. LEAVE OF ABSENCE</p>	<p>(for the meeting of 11.10.2021)</p>		

PUBLIC EXCLUSIONS

The Chancellor moves that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

Item No. 1.1	Council Minutes (Part B), 14.06.2021
Item No. 2.1.1	Finance Committee, Minutes (part B), 13.07.2021
Item No. 2.1.2	Financial Performance and Forecast for 2021
Item No. 2.1.3	University of Auckland long-term Size, Shape and Composition parameters – aligned to Taumata Teitei
Item No. 2.1.4	Long-term Financial Plan 2121-2030 Progress Report
Item No. 2.2	Honours Committee
Item No. 4.1	Taumata Teitei Operational Plan Key Performance Indicators Progress Report

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Adrienne Cleland, Professors Hosking, Linton and Metson, Associate Professor Kool, Tim Bluett, Pamela Moss, Todd Somerville, Bridget Fitzpatrick, Andrew Phipps, Anthony Brandon and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

The University of Auckland

Council 26 July 2021

CONFERMENT OF DEGREES

Doctor of Philosophy

Danah Abdulah S Algawiaz in Computer Science

Xuelin Ding in Environmental Science

Marwan M A Gharbia in Civil Engineering

Lyu Xiaotong in Food Sciences

Yunru Ma in Exercise Science

Ardalan Ali Nabi in Chemistry

Chathura Jayasanka Wanigasekara R Wanigasekara Mudiyanse Ralahamillage in Electrical and Electronic Engineering

Joy Nneji Reginald-Opara in Pharmacy

Ebrahim Sangsefidi in Civil Engineering

Wenwen Zhang in Economics

Master of Community Dance

Chunyu Chen

Master of Commerce with First Class Honours

Johanna Maree Douglas in Global Management and Innovation

Lingzhi Zheng in Finance

Master of Fine Arts with Second Class Honours First Division

Zhu Qiaoyan

Master of Science with First Class Honours

Naomi Varda Gendler in Applied Mathematics

Master of Science with Second Class Honours Second Division

Dzhulyetta Levonovna Nersesyan in Biological Sciences

Master of Management

Li Baoqing

Li Chunlu

Master of Information Technology with Second Class Honours First Division

Kwan Hon Lam

Yihao Mao

Bachelor of Science (Honours) with First Class Honours

Martyna Karolina Zyskowska in Psychology

Bachelor of Science

Nicholas Draganow

Cindy Yen-Tung Ko

Pang Mingyue

Selina Kuo Smith

Bachelor of Commerce

Tom Crutzen

Liao Hongda

Lo Chi Yan

Lingzhi Zheng

Zhu Yi

Bachelor of Education (Teaching)

Jessie Mckenzie

Bachelor of Education (Teaching English to Speakers of Other Languages)

Siyu Zhang

Bachelor of Laws

Wencheng Liu

Bachelor of Arts

Elizabeth Areti Kolovos

The University of Auckland

Council 26 July 2021

4

AWARD OF DIPLOMAS

Postgraduate Diploma in Biomedical Science with Merit

Romika Patel

Postgraduate Diploma in Clinical Education with Distinction

Binula Nisal Wickramarachchi

Postgraduate Diploma in Health Sciences with Merit

Zoe Barbara Gordon in Advanced Nursing

Postgraduate Diploma in Professional Supervision

Shannon Elizabeth Granich

Postgraduate Diploma in Public Health with Distinction

Kanwar Preet Kaur Kahlon

Graduate Diploma in Science

Martyna Karolina Zyskowska

Graduate Diploma in Teaching (Secondary)

Harriet Moira Marie-Jose Draper

Diploma in Languages

Peng Yeh

Council Minutes Part A (Open Minutes) 14 June 2021		
PRESENT:	Ms Tarrant (Chair), Professor Freshwater (Vice-Chancellor), Dr Prasad, Sir Michael Daniell, Professor Curtin, Ms Newsome, Ms Dawson, Mr Paitai, Ms Quinn, Mr Wang , and, remotely via Zoom for Part A: Mrs Dunphy	
IN ATTENDANCE:	Professors Hosking, Morrow, and Metson; Associate Professors Hoskins, and Salesa; Mrs Cleland, Mr Bluett, Ms Moss, Mr Phipps, Mr Brandon and Ms Verschaeren	
KARAKIA	The meeting was opened with a karakia by the Māori representative on Council, Mr Paitai.	
1. APOLOGIES	None	
2. WELCOME	The Chancellor welcomed Mr Robert McDonald, as the new skills-based appointee	
3. DISCLOSURES OF INTEREST BY MEMBERS	<p>The attention of Members was drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.</p> <p>No further disclosures were made.</p>	
4. HONOURS/AWARDS	<p>The Queen's Birthday Honours</p> <p>The Chancellor and Vice-Chancellor handed a congratulatory letter to Sir Michael Daniell who was made a Knight Companion of the New Zealand Order of Merit for services to business, healthcare and governance.</p> <p>Letters would be sent to the other persons with links to the University who were acknowledged in this year's Queen's Birthday Honours.</p>	
5. COUNCIL MEETINGS	<p>2.1 Council, Draft Minutes (Part A), 19.04.2021 and 26.05.2021</p>	<p>RESOLVED (Chancellor/Dr Prasad): that the Minutes (Part A), 19.04.2021 be taken as read and confirmed.</p> <p>RESOLVED (Chancellor/Mr Wang): that the Minutes (Part A), 26.05.2021 be taken as read and confirmed.</p>

	<p>2.2 Matters arising from the Minutes (Part A), 19.04.2021 and 26.05.2021 not elsewhere on the Agenda.</p> <p>None...</p>	
<p>6. VICE-CHANCELLOR'S REPORT</p>	<p>The report was taken as read.</p> <p>The Vice-Chancellor, Professor Freshwater made the following comments:</p> <ul style="list-style-type: none"> • The University had significant presence at the Auckland Future Now Economic Conference. The development of the MedTech Precinct generated interest from the industry and local government. • Following the Auckland University of Technology's review about sexual harassment, the University of Auckland had revisited a number of its own policies. A large-scale communication strategy would be developed for staff and students across the University which would also include training. • Regarding the section on Education and Student Experience, discussions with the Government about the border issues for international students were still ongoing and the international tertiary education outlook remained uncertain. International student work rights and more specifically student partner visas would be tightened which would have an impact on the University. <p>The University had been successful in securing MIQ places for 200 international students. It was also pleasing to see that 39 international PhD students would be coming into the University on critical work visas.</p> <ul style="list-style-type: none"> • The University had received a generous anonymous donation of approximately \$11m; the donor is an alumnus of the University. • The University was currently working through the outcomes of the recent staff survey and, would via town-hall meetings and various communications, shape the action plans linked to Taumata Teitei – Vision 2030 and Strategic Plan 2025. • The newly appointed Provost, Professor Valerie Linton, had spent a week on Campus and would be commencing her role at the University in July. • Dr Erik Lithander had been appointed to the position of Deputy Vice-Chancellor (Strategic Engagement) and would take up his role in November this year. In the interim, Professor John Hosking was acting in that role. • With regard to the QS rankings, it was interesting to note that since 2013, the University had predominantly retained a 81-85 place in the rankings. This was also the case this year and although the University continued to score quite well with regard to academic reputation, there had been a slight decline in the staff-student ratio. However, when also considering the Group of Eight, the University still held seventh position out of nine in the region. 	<p>RESOLVED (Chancellor/Sir Michael Daniell): that the Vice-Chancellor's Report be noted.</p>

5.1

	<ul style="list-style-type: none"> The Vice-Chancellor had received the report from the external consultant regarding the Equity Review and the University would be moving to implement some changes. One of the changes would be to replace the Equity Leadership Committee by a University Diversity Equity Committee chaired by the Vice-Chancellor which would be reporting to Council. The Auckland University Students' Association (AUSA) Executive and the University Executive Committee have met together and would continue to meet together on a quarterly basis to collaborate on a shared set of initiatives to improve the student experience. <p>In the discussion that followed, Council asked to bring the ranking strategy to a Council briefing session in the near future.</p>	
7. REPORTS OF COUNCIL COMMITTEES	<p>7.1 AUDIT AND RISK COMMITTEE</p> <p>7.1.1 Minutes (Part A), 21.05.2021</p>	RESOLVED (Chancellor/Dr Prasad): that the Audit and Risk Committee Minutes, 21.05.2021 be received and noted .
	<p>7.2 FINANCE COMMITTEE</p> <p>7.2.1 Minutes, (Part A), 25.05.2021</p>	RESOLVED (Chancellor/Sir Michael Daniell): that the Finance Committee Minutes (Part A), 25.05.2021 be received .
	<p>7.3 EQUITY LEADERSHIP COMMITTEE</p> <p>7.3.1 Mid-Year Report</p> <p>This item was presented by the Acting Pro Vice-Chancellor (Equity), Ms Toft. She highlighted the following:</p> <ul style="list-style-type: none"> The parental leave support for doctoral students had been further discussed and the Committee had suggested that the support for parental leave should be extended to include all students. With regard to the University of Auckland Statistical Profile 2020, the Report mentioned that in 2020, over a quarter of University students identified as being Māori or as part of an equity group. However, it was pleasing to see that currently this percentage had grown to 31%. <p>With regard to academic senior women percentages, there had been a significant increase at governance level the university had currently a woman Chancellor, Pro-Chancellor, Vice-Chancellor, Provost, Deputy Vice-Chancellor and three women Pro Vice-Chancellors.</p>	RESOLVED (Chancellor/Professor Curtin): that the Equity Leadership Committee Mid-Year Report be received.

<p>8. SENATE MATTERS</p>	<p>2.3 REPORT OF SENATE, 31.05.2021</p> <p>Part Ai 1: Policy and other matters requiring to be received by Council Part Aii, 1: Policy and other matters requiring Council approval Part B, 1: Matters for noting by Council Part C, 1-2: Matters handled under Delegated Authority</p> <p>This item was presented by the Pro Vice-Chancellor (Education), Associate Professor Kool. She drew Senate’s attention to:</p> <ul style="list-style-type: none"> • Review of the Elam School of Fine Arts – Further Progress Report as final response to the 2018 Review • Regulation Amendment 2021/906 which amended the General Regulations – Masters degrees. It proposed: <ol style="list-style-type: none"> 1. To remove the requirement to deposit hard-bound copies of masters theses, research portfolios, dissertations, research projects or research essays in the University Library; and 2. To make the digital copy of masters theses open access. • Regulation Amendment 2021/907 which amended the Enrolment and Programme Regulations and revised the ‘VC’s special Powers’ to be the ‘Provost’s special powers’ and changed the appeal provisions. • The new PhD subject areas of Public Policy for Arts and Design for Creative Arts and Industries. 	<p>RESOLVED (Chancellor/Dr Prasad): that the recommendations in Part A of the Report of Senate, 31.05.2021 be adopted, and Parts B and C be noted</p>
<p>9. CORRESPONDENCE REFERRED BY THE CHANCELLOR</p>	<p>No items received</p>	
<p>10. OTHER MATTERS FOR DECISION OR NOTING</p>	<p>10.1 SEAL</p> <p>Since the Council meeting on 19.04.2021 the seal has been applied to the following document in accordance with the Council resolution of 18.02.1991:</p> <ul style="list-style-type: none"> • Deed of Lease – CLASS Commercials Ltd (Landlord) & The University of Auckland (Tenant) – Unit G01-521 Angelsea Street, Hamilton 	<p>RESOLVED (Chancellor/Mr Wang): that the affixing of the seal to the listed documents be noted.</p>
	<p>10.2 NAMING POLICY</p>	

	<p>10.2.1 Memorandum from the Vice-Chancellor, 07.05.2021 regarding the amendment of the Naming Policy.</p> <p>10.2.2 Naming Policy – amended</p> <p>Council noted that the Naming Committee now also included the Māori representative on Council and asked to add this to the Policy</p>	<p>RESOLVED (Chancellor/Professor Curtin): that the Memorandum of the Vice-Chancellor, 07.05.2021 be received and Council approve the proposed amendment of the Naming Policy, subject to the requested addition.</p>
<p>11. GENERAL BUSINESS</p>	<p>None</p>	
<p>12. LEAVE OF ABSENCE</p>	<p>(for the meeting of 26.07.2021)</p> <p>Cathy Quinn requested leave of absence for this meeting.</p> <p>Rachael Newsome mentioned that she would possibly also not be able to attend.</p>	

PUBLIC EXCLUSIONS

RESOLVED (Chancellor/Ms Quinn): that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

Item No. 1.1	Council Minutes (Part B), 19.04.2021 & 26.05.2021
Item No. 2.1	The University of Auckland Animal Ethics Committee – annual report and membership report
Item No. 2.2.1	The University of Auckland Human Participants Ethics Committee – membership report
Item No. 2.3.1	Audit and Risk Committee Minutes (Part B), 21.05.2021
Item No. 2.4.1	Finance Committee, Minutes (part B), 25.05.2021
Item No. 2.4.2	Financial Performance and Forecast for 2021
Item No. 2.4.3	Treasury Management, Compliance and Cash Flow Report
Item No. 2.4.4	Long-Term Financial Plan 2021-2030 Progress Report
Item No. 2.5	Honours Committee
Item No. 2.6	Naming Committee
Item No. 4.1	Compensation of Staff Members on Council
Item No. 4.2	University of Auckland Foundation - Appointment by Council
Item No. 4.3	Taumata Teitei Operational Plan
Item No. 4.4	Committee Membership
Item No. 4.5	Estate Strategy

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Adrienne Cleland; Professors Hosking, Metson and Morrow; Associate Professors Hoskins and Salesa; Andrew Phipps, Simon Neale, Tim Bluett, Pamela Moss, Todd Somerville, Bridget Fitzpatrick, Anthony Brandon and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

The meeting closed at 6.15pm

The meeting went into Public Excluded session at 4.30pm

Approved as a true and correct record.

Cecilia Tarrant, Chancellor

Date

Vice-Chancellor's Report to Council | 26 July 2021

General

External, Policy and Government Update

International Education

An International Education event was held in Auckland on 13 July at which the Minister spoke of the Government's long-term commitment to international education, with a focus on international education that is both high value and high quality. A consultation document is to be released later this month setting out the definitions of high value, high quality.

Other key points made by the Minister:

- Managed borders are likely for at least the next 18 months. International student arrivals at scale are very unlikely for semester 1, 2022.
- Highly likely that any reopening of borders will be through safe zones that will be vulnerable to starting and stopping at short notice - as has happened with Australia.
- Post study work rights are under scrutiny - particularly where they do not directly feed into essential skills needs, it seems that Government is looking at removing automatic post-study work rights for all graduates as part of the Immigration reset.
- The Minister is again looking at exiting from international education for the primary and intermediate schooling sectors.

Geo-Political Debate

Earlier this month 'The Red Line' podcast focussed on Chinese influence on NZ university campuses, including at the University of Auckland. The next development, from a University perspective, will be when Australia's Parliamentary Joint Committee on Intelligence and Security this month completes its report on security risks to universities and research through foreign entity interference.

Pastoral Care Code

In late June Parliament debated the findings of the Education and Workforce Select Committee's inquiry into student accommodation. This inquiry took place in parallel with government consultations to develop an ongoing pastoral care code for 2022. The Inquiry's recommendations reflect the direction of the revised code and take in student voice, provider transparency, and student protections.

PBRF Changes

Education Minister recently announced changes to the \$315 million/year PBRF after the review. The focus is on adjustments that will increase support for specific researchers with the Ministry of Education detailing nine changes agreed by Cabinet:

- Adding a new objective to the PBRF, to support a robust and inclusive system for developing and sustaining research excellence.
- Refreshing the PBRF guiding principles to better reflect the partnership between the Crown and Māori, and to promote equity, diversity and inclusiveness.
- Supporting Māori researchers and research in the PBRF by increasing the subject area weighting for Evidence Portfolios assessed by the Māori Knowledge and Development panel.
- Supporting Pacific researchers and research in the PBRF by increasing the subject area weighting for Evidence Portfolios assessed by the Pacific Research panel.
- Amending the External Research Income component by increasing the weighting for external research income in the Overseas Research Income category and increasing the weighting for external research income in the New Zealand Non-Government Income category.
- Broadening the PBRF definition of research and making changes to Evidence Portfolios.
- Revising the Extraordinary Circumstances qualifying criteria and simplifying the New and Emerging qualifying criteria and dispute resolution.

City Relationships

Inner city representatives, including Auckland Council, Heart of the City, NZ Police, Auckland Transport and the University of Auckland are working together to address the increased safety concerns in the inner city. This includes the University working with Council representatives on a safe corridor to Britomart, passive surveillance and specific actions in the Learning Quarter.

Internal Update

The Vice-Chancellor led 5 University town halls in June, with over 2000 staff attending, and these were focused on the operational priorities, the on-campus student experience, the actions from the Employee Engagement survey and to glean input from staff.

1. Education and Student Experience

Overview

The forecast EFTS reflect early June forecasts, with the actual EFTS partially reflect Semester 2 new student enrolments and the re-enrolment of existing students in Semester 2 courses.

2021 Domestic enrolments are forecast to be close to 2,000 EFTS above Budget, and to increase by 7.2% above 2020 numbers. The University has received some additional funding from the Tertiary Education Commission but that will not fully fund the 2021 growth. A further application for additional funding will be made for postgraduate growth associated with employment pathways in the health sector.

The University's domestic school leaver intake has grown by nearly 20% from 2020 to more than 5,500 EFTS. This reflects both the higher school leaving rolls in 2020, the reduced opportunities for overseas travel and the current youth unemployment rates. The growth in Māori and Pacific school leavers is above the overall growth and reflects the strong focus and commitment to those communities.

Online teaching and the support provided by the China Learning Centres has been key to our recruitment and retention of International students. Nearly 3,000 students, including doctoral candidates, are identified as studying offshore. UEC has approved additional overseas learning centres, including one in Vietnam, to open in Semester 2. Retention of International postgraduate students, who are primarily looking for On-Campus experiences, has been less successful than for undergraduate students.

Indicators	Last Year	Current Year			
		To Date (30 June)		End of Year	
	Actual	Target	Actual	Target	Forecast
Total EFTS	34,248	31,783	34,342	33,506	36,301
Domestic Funded	28,826	27,764	29,453	28,971	30,904
International Full Fee	4,990	3,876	4,602	4,168	5,019
% Postgraduate EFTS	25.0%	23.6%	25.2%	25.1%	24.9%

Note: EFTS information does not include enrolments in the ELA and other sources

EFTS in priority groups

	2020 (30 June)	2021 (30 June)	% Change
Total Māori EFTS	2,258	2,499	11%
Māori School leavers	319	424	33%
Total Pacific EFTS	2,744	3,061	12%
Pacific School leavers	473	607	33%
Total Postgraduate	8,035	8,660	7.8%
Domestic	6567	7532	14.7%
International	1,342	1,040	(22%)

Progress against priorities**Priority 1: Accessible, equitable lifelong higher education opportunities**

The inaugural meeting of the Curriculum Transformation Taskforce (CTT) took place on 9 July. The taskforce, consisting of cross-faculty membership (three to five representatives per faculty) will be responsible for leading the development of a framework that sets out the principles and conditions to guide the transformation of the University of Auckland refreshed curriculum and student experience. The CTT will report to the University Executive Committee and will identify, phase and direct workstreams related to General Education, Kaupapa Māori Pedagogies and Mātauranga Māori, Sustainability, Work Integrated Learning, Transdisciplinarity, and the Learning and Teaching Delivery Framework. CTT efforts over the next six months will predominantly be focused on the development of the curriculum transformation framework and helping to shape the faculty level plans required to embed any required curriculum change.

A Teaching and Learning Quality Committee Working Group has been established (with the Risk Office) to review the University's Learning and Teaching Continuity Guidelines.

Priority 2: Student-centric learning, co-curricular and extra-curricular cultures.

In June, examinations for 607 Semester One courses were delivered to 27,524 students via the online tool, Inspira. Seven courses were exempt from online delivery for accreditation purposes and examinations for these courses were held on-campus under invigilated settings. The rapid scaling of Inspira been successful with delivery of digital examinations across a range of subjects and course types. Initial feedback from students has been positive and fewer issues have been identified compared with last year's online examinations period where delivery was via Canvas. A process has commenced to gather feedback from staff and students on their experiences to inform improvements and system functionality and tools for invigilation, which will be tested and explored in Semester Two.

The University has been monitoring external developments related to the use of online invigilation to support online examinations. There has been ongoing testing and expansion by some of the G8 universities in this area.

The inter-semester three-week virtual micro-internships launch attracted nearly 500 international students, 40% of whom are offshore. Students work with a range of small and large New Zealand companies as part of this programme.

2. Research and Innovation

Indicators	Last Year	Current Year			
		To Date (30 June)		End of Year	
	Actual	Target	Actual	Target	Forecast
Research revenue (\$M)	225	132	136	249	272

Note: The revenue includes University of Auckland revenue only

Overview

The 2021 Outlook for Research Revenue report for the 2022-2025 period has been completed and submitted to the Finance Committee for the July Committee meeting.

Progress against priorities

Priority 1: World-class research inspired by our place in Aotearoa and the Pacific

Strategic and operational planning for the 2025 Quality Evaluation has commenced. Recently announced changes to the Performance Based Research Fund (PBRF) seek to achieve four high-level objectives: a) support a holistic approach to recognising and rewarding research; b) better reflect the partnership between Māori and the Crown; c) recognise and build on the growing diversity in New Zealand; and d) address existing inequities experienced in different aspects of tertiary research, research assessment and by researchers themselves.

Priority 2: A global powerhouse of innovation, creativity and entrepreneurship

Ongoing preparatory work for the University Medtech-iQ strategy is continuing. A workshop held earlier this month was used to further articulate the vision for Medtech-iQ and identify opportunities, risks and key success factors.

Priority 3: Relevant, purposeful, impactful research for our communities

An Open Access project has commenced to review the University's Open Access Policy and identify mechanisms and incentives to increase staff participation in Open Access publishing and support the University's commitment to openness in research.

Engagement with Māori framework is being developed in order to improve our responsiveness to Māori in the development and translation of research. This project is being developed and led in partnership with the Office of the Pro-Vice Chancellor Māori and will contribute to the development of the engagement strategy.

Priority 6: A research ecosystem characterised by collaboration, agility, simplicity, engagement, and empowerment

A series of interconnected initiatives are being progressed to support the design of a mature research ecosystem that is responsive to the evolving needs of our research community. This includes a Research Capability Roadmap that articulates a shared vision for institutional research capabilities and the initiatives required to bridge the gap between current and desired maturity levels.

The Symplectic Enhancements Programme is well underway to improve the researcher experience with managing their research output records and public profiles. The next phase of the programme will provide a single portal with a modern interface, integrations to avoid duplicate data entry, and additional functionality to aid researcher collaboration.

As part of the University's review and audit cycle, an external assessment of research and teaching equipment asset management maturity has been completed and an external audit of major research equipment and facilities is underway. There are known gaps or opportunities for improvement in current systems and approaches for research asset and infrastructure management across these areas.

3. Partnerships and Engagement

Overview

We continue to monitor the NZ-China relationship as there is potential for the rapidly changing foreign policy environment to impact on the University's engagement with our Chinese partners and students.

Priority 2:

An ambitious and relevant partner that is globally networked

Key engagement events for this reporting period include:

- The University hosted the virtual QS EduData Summit 2021 from 8-11 June with approximately 900 delegates from 57 countries discussing the theme "*Access Education: building an inclusive and sustainable EduData pipeline*". Delegates were prompted to consider how data must be used in all areas of education to address critical issues facing our sector.
- The University hosted the Indian High Commissioner to New Zealand, Mr Muktesh Pardeshi, on the 23 June. Opportunities and ideas for forming new relationships were explored.

Priority 4: Enduring relationships with prospective students, students, alumni and donors

Key events and activities include:

- Open Day Online 2021 was hosted on 29 June with more than 4,000 interactive information sessions, providing domestic and international prospective undergraduate students with the opportunity to access on-demand content and engage with staff across faculties and service divisions.
- The University's Volunteer Impact Week 2021 was held 20-26 June to coincide with National Volunteer Week. This year's theme was 'Hours for People and Planet', in line with Taumata Teitei areas: Sustainability and Service (University citizenship). A total of 350 volunteers signed up for 50 volunteering opportunities.

4. Enabling our People and Culture

Overview

Operational plan activities continue to be scoped and prioritised, with an emphasis on the Workforce Plan for the future.

Progress against priorities:

Priority 1: Live our values and purpose

The theme of this year's Aspire Professional Staff Conference, held on 1 July, was 'into the future'. Events were well attended with 500 professional staff taking part in person in a range of keynote addresses and TEDx style discussions and workshops, including a student panel. On 7 July, a transformational education-themed Learning and Teaching symposium was held for 200 primarily academic staff. There was a high level of engagement at both events and feedback from participants was positive.

The Te Taumata Ngaio programme is progressing well, with the Te Reo Māori Pronunciation online course opened to staff registrations on 16 June. Uptake is high, with all 240 staff places filled by 22 June and a waitlist created for future courses.

Action planning arising from the staff experience survey is underway at a local and University level. The University-level results were shared with staff at an all-staff zoom on 23 June. Almost 1,000 staff attended the session and there was active and constructive engagement with the panel. Workload and prioritisation remain the key concerns for staff. In response, funding has been made available to all faculties to enable engagement of additional Graduate Teaching Assistants and Tutors in Semester Two. In the longer term, initiatives such as the Curriculum Transformation Programme will help to further address workload and prioritisation issues.

Priority 5: Aspirational and inclusive leadership

The formal farewell for Professor John Morrow was held on 30th June. The new Provost, Professor Valerie Linton commenced 19 July.

An international search is currently underway for the position of Dean of Creative Arts and Industries. Professor Diane Brand's term expires at the end of the year.

An expression of interest process is underway for the position of Pro Vice-Chancellor Equity. Prue Toft is currently acting in this position.

5. Our Enabling Environment

Overview

The unplanned increase in student numbers are the main source of the increased actual and forecast revenue. Additional fees and TEC funding are forecast.

Indicators	Last Year	Current Year			
		To Date (30 June)		End of Year	
	Actual	Target	Actual	Target	Forecast
Total revenue (\$M)	1,234	891	1,005	1,248	1,311
Revenue achieved as a % of budget	95%	100%	113%	100%	105%

Progress against priorities:

Strategic Priority 1: Mana-enhancing services and practices.

Your World Your Way, our immersive and interactive tool for students, is a finalist in the 2021 CAUDIT Award's 'Improving Student Success' category. The award ceremony will be held on 29 July. Your World Your Way is part of a collaboration project designed to create more robust engagement with students at every stage of their journey, from prospective students to current students and alumni.

The Archive of Māori and Pacific Sound was relocated from Building 201 and welcomed to its new home on Level 3 of the General Library on 8 June. The archive is a taonga for the University and wider community, used in learning, teaching and research.

Over 50 professional staff leaders from across the University participated in a leadership conversation on 16 June exploring the question, "What does it mean to be mana-enhancing?". Professor Brigid Carroll and Dr Tiopira McDowell contributed to the session and supported a robust discussion about the concept of mana and the University's aspirations.

Strategic Priority 2: Efficient, effective, prudent, transparent, and informed operations.

The Student Services Function Review service delivery model recommendation report has been endorsed by the University Executive Committee and formal consultation with over 300 staff commenced on 7 July. Consultation closes on 6 August, as per the 4-week consultation period mandated in the University collective agreement. The new model seeks to transform the student experience by

placing the student at the centre of the design and delivery of student services and to support academic leaders through the introduction of new professional staff roles in programme development, the provision of academic advice, and increased capability in analyst services.

Recruitment initiatives for Summer School and Semester One 2022 are underway, including the commencement of a refined FastTrack offer campaign to encourage high-achieving domestic school-leavers to commit to study with the University. This campaign has proved successful in improving the conversion rates of applicants. Improvements include further tailoring of programme offers to ensure students are prepared for their chosen programme of study, and additional analysis to enable a range of alternative offers to be made.

The Summer Start programme, initially launched this year, supports school-leavers to commence their tertiary studies via Summer School. The business case for Summer Start 2022 and 2023 has been approved and expands the programme to both domestic and international school leavers with the provision of academic and pastoral care services to support a successful transition to Semester One studies.

A systems integration initiative with UP Education, a long-term partner in the delivery of the Certificate in Foundation Studies programme, was recently completed. The programme attracts large numbers of international students each year, many of whom pathway through to Bachelors study at the University and supports our international student recruitment strategies. The system enhancements and automations improve the experience of applicants and increase process efficiency.

Key cultural, student engagement and wellbeing activities over this reporting period include:

- A Matariki event at the Star Dome on 1 July, where three international student ambassadors took over the University's Instagram account to share their experiences and what they had learned about Matariki. The story was viewed over 2,800 times.
- A series of Matariki lantern workshops from 1-15 July hosted by the Centre for Innovation and Entrepreneurship. These free workshops were open to staff and students, and included an introduction to Matariki, a laser cutter demonstration, and a guided lantern-making tutorial.
- The celebration of Samoan Language Week by kainoho (residents) in Ngā Wharenoho | Accommodation, through learning of greetings and conversation starters and participation in a Samoan to'onai (dinner) and siva (dance).
- The opening of nominations for the 2021 Blues Awards on Monday 28 June. These awards celebrate outstanding extra-curricular and co-curricular achievement in four categories: Sports, Arts and Cultural, Service and Leadership, and Innovation.
- World Environment Day activities including a workshop on minimising food wastage.
- Completion of the UNIM8S social sports leagues for Semester One across basketball, futsal, indoor netball, ultimate frisbee, volleyball, and ki o rahi. A total of 626 students participated in Semester One activity and 133 students took part in the summer leagues.

The University Sports Team is supporting two student athletes named in the New Zealand Olympic squad. Engineering student, Michael Brake, has been selected to compete in the rowing men's 8, and Medical and Health Sciences student, Kanah Andrews-Nahu, has been selected for the women's weightlifting team.

Strategic Priority 3: Seamless, effective, and equitable user experiences across social, physical, and digital environments.

Te Rautaki Matihiko, The University of Auckland Digital Strategy 2025, has been approved by the University Executive Committee following consultation with stakeholders across the University.

Student engagement with [Learning essentials](#), an online suite of resources for students introduced in August 2020, continues to grow with 174,427 page views since launch, 89,369 of these during Semester One 2021. The most popular topics are 'reading and writing effectively', 'referencing', and 'finding information'. The site has been reviewed and improved over the past few months via an inclusive design audit, resulting in the addition of whakatauki and the creation of new content. The site now appears on the navigation menu of all Canvas courses and the pre-made Learning essentials Canvas module is available to all academic staff to embed within their courses if desired.

The Ngā Tira programme is investigating the suitability of Microsoft Teams (already used by staff) as a supported platform for student-to-student digital collaboration. After a successful pilot with 1,700 students, the Business School and Faculty of Engineering will be deploying Teams to their students at the start of Semester Two. Microsoft Teams is a recognised global collaboration tool so building student experience with this tool supports employability.

Strategic Priority 4: A distinctive, capable, and flexible built environment that celebrates our place in Aotearoa New Zealand and the Pacific.

Engagement on Te Rautaki Tūāpapa, the University's Estate Strategy, continues, including building our understanding as to how the strategy will be underpinned by, and support, Waipapa Toitū, the Waipapa Framework, to inform future decision-making.

Key building project updates:

- The construction of the Recreation and Wellness Centre (RWC) continues as planned. The procurement of trade packages is 75% complete. Construction works are programmed for completion in April 2024.
- The Carlaw Park Stage 3 Student Accommodation project is seven weeks ahead of the Landlord's programme, with progress on the facade advancing well.
- A Business Case is in progress for the City Campus Student Hub project.
- The MedTech Innovation Quarter Steering Group continues to develop the strategic case and potential options.
- A Business Case is in progress for the replacement of the central aquaria at Leigh Marine.
- The detailed design phase of the Building B201 upgrade continues to progress to schedule in parallel with preliminary site and demolition works. The structural Building Consent has been approved by Auckland Council.

Strategic Priority 5: A commitment to achieve net-zero carbon status and to publish meaningful metrics of the University's progress towards overall sustainability.

Consideration of the Net-Zero Carbon Plan measures is being progressed as plan development continues.

Dawn Freshwater
Vice-Chancellor

Appendix One: University Gifts and Pledges May – June 2021

The following major new gifts and pledges have been received:

- \$9,808,554 from Flu Lab to support an influenza and viral respiratory disease research agenda at Medical and Health Sciences, intended to reveal new information about viral transmission and behaviour;
- \$2,936,795 from the Rockfield Trust for Gut Microbiome Research at Liggins;
- \$2,500,000 from Theresa Gattung for the Theresa Gattung Chair for Women in Entrepreneurship at the Business School;
- \$595,000 from the Dines Family Charitable Trust for genetic lung modelling at Liggins, a postdoctoral fellowship for Parkinson's disease research at Liggins and for a clinical research fellow at the Manaaki Manawa Centre for Health Research at Medical and Health Sciences;
- \$300,000 from an anonymous donor for the Clark Scholarships at Arts;
- \$206,818 from The CatWalk Spinal Cord Injury Research Trust for the 'Calcium binding buffer proteins and neuroprotection in spinal cord injury' study at Medical and Health Sciences;
- \$201,053 from the Neurological Foundation of NZ for the Neurological Foundation Fellowship study, 'How do brain-metastatic cancers invade the Blood-Brain Barrier endothelium?', at Medical and Health Sciences;
- \$180,000 from the Jubilee Crippled Children Foundation for research at Liggins into brain growth in preterm babies;
- \$160,000 from the New Zealand Lottery Grants Board for the 'Mechanical loading of the lower spine and intervertebral disc disruption with relevance to low back pain' study at Engineering;
- \$159,968 from Cure Kids for the 'Health, mental health and behavioural problems of children exposed prenatally to methamphetamine' study at Medical and Health Sciences and 'A Unique Neurodevelopmental Index for Children with ADHD' study at Science;
- \$150,000 from The Nature Conservancy Trust for the 'Horse Mussel Restoration: Making a Start' study at Science;
- \$131,000 from the Auckland Medical Research Foundation for the 'Anti-GluN1 antibodies as a novel prophylactic therapeutic approach to treating Alzheimer's disease and cognitive decline' study at Medical and Health Sciences;
- \$115,500 from the Boyd Clark Foundation for a PhD Scholarship in gestational diabetes research at Liggins;
- \$100,000 from the George Beca Education Award Trust for the George Beca Engineering Endowment Fund at Engineering;
- \$75,848 from the Auckland District Health Board Charitable Trust for the 'Reducing inequities in Well Child Tamariki developmental surveillance' study at Medical and Health Sciences;
- \$68,123 from Cancer Society Auckland Northland for CSAN Equity Funding for Graduate Student Stipends at Medical and Health Sciences;

- \$60,500 from James Pascoe Ltd for the Anne and David Norman Leukaemia and Lymphoma Research Fund at Medical and Health Sciences;
- \$50,000 from Brenda Berryman for the MINENS Cancer Research Project at Medical and Health Sciences;
- \$50,000 from Ember Korowai Takitini for 'The hidden epidemic - the lived experienced of people with fetal alcohol spectrum disorder in Aotearoa New Zealand' research at Medical and Health Sciences;
- \$50,000 from the Department of Conservation for the Tohorā Research Programme whale study at Science; and
- \$US70,536 from the Egnog Latte Foundation to support the Liddell Worcester Scholarship.

Chair Approved but not confirmed by the Committee

Finance Committee MINUTES | PART A

13 July 2021

Council Meeting Room 105-210, ClockTower

08.00am to 10.00am



Present: Sir Michael Daniell (Chair), Rob McDonald, Cathy Quinn, Cecilia Tarrant, Professor Dawn Freshwater, Professor Jennifer Curtin, Catherine Dunphy and Junyi Wong

In Attendance: Adrienne Cleland, Tim Bluett, Jacqui Metcalf, Bridget Fitzpatrick, Pamela Moss, Brett Berquist, Professor Jim Metson and Alex Thomas

1. Apologies	John Paitai was noted as an apology.	
2. Disclosures of Interest	No further disclosures were noted.	
3. Minutes of Finance Committee of 21 May 2021	<p>Item No 3.1: Minutes, Part A</p> <p>Item No 3.2: Matters Arising from the Minutes, Part A, not elsewhere on the agenda.</p>	<p>RESOLVED (Chair Cecilia Tarrant) that the Minutes, Part A, of the Finance Committee held on 21 May 2021 be taken as read and confirmed.</p>
4. Other Matters for Decision or Noting	No other matters were noted.	
Other Matters for Decision or Noting	<p>The following section has been approved for insertion in Part A of the minutes.</p> <p>It contains the highlights of forecast Financial Performance for 2021.</p> <p>Executive Summary</p> <p>The current (5+7) 2021 forecast signals a significant improvement over the prior forecast and budget. Whilst EFTS enrolment expectations have not been updated since the last forecast, new information about TEC funding and some one-off items improve the result. Favourable enrolments numbers for both domestic and international students account for the majority of the positive variance compared with budget, although the one-off items are also significant.</p> <p>Highlights</p> <ul style="list-style-type: none"> • An operating surplus of \$43.2m or 3.3% of revenue is forecast, an improvement of \$33.1m from the previous forecast. • The movements include \$23.4m in non-cash favourable reductions in provisions relating to deferred revenue, employees' liabilities and non-VLS redundancy provisions. • A favourable opening cash balance and increased revenues ensure that the forecast year-end borrowing position of \$143.5m remains comfortably within the \$300m TEC Debt limit and covenants. • The favourable movement in surplus improves the forecast operating cash by \$54.4m against budget with the year-end borrowing position at \$143.5m. 	

7.1

Chair Approved but not confirmed by the Committee

Significant uncertainty remains in our operating environment, with inherent risk driving unpredictability in student numbers and fee revenues. Taumata Teitei leads a series of short term strategic and tactical initiatives occurring in the latter part of 2021 to help position the University well for 2022 and beyond. Between the formal reforecasts, we continue to monitor and develop dynamic rolling forecasts and a register of risks and opportunities.

1.0 Financial Highlights

EFTS / \$000s	2021				2020 Actual	2021 v 2020		2019 Actual	2018 Actual
	Forecast	Budget	var	var %		var	var %		
EFTS									
Undergraduate	26,558	24,950	1,608	6%	25,503	1,055	4%	25,736	25,388
Postgraduate	8,437	8,067	370	5%	8,184	253	3%	8,086	7,950
Other*	543	489	54	11%	562	(18)	(3%)	389	467
	35,539	33,506	2,032	6%	34,248	1,290	4%	34,211	33,805
Domestic	30,182	28,849	1,333	5%	28,697	1,485	5%	28,368	28,346
International	4,813	4,168	645	15%	4,990	(177)	(4%)	5,454	4,993
Other*	543	489	54	11%	562	(18)	(3%)	389	467
	35,539	33,506	2,032	6%	34,248	1,290	4%	34,211	33,805
Revenue									
TEC funding	370,662	356,396	14,266	4%	347,460	23,202	7%	337,382	333,742
Fees	360,289	332,556	27,733	8%	352,745	7,545	2%	351,561	326,486
Research	320,305	299,481	20,824	7%	279,756	40,549	14%	282,890	277,175
Other	259,901	259,737	165	0%	253,737	6,165	2%	263,393	264,841
Total revenue	1,311,158	1,248,171	62,987	5%	1,233,698	77,460	6%	1,235,225	1,202,245
Expenses									
People	667,073	670,422	3,349	0%	707,011	39,938	6%	669,181	655,871
Operating costs & leases	441,332	445,052	3,720	1%	397,848	(43,484)	(11%)	371,352	354,573
Depreciation	159,535	162,987	3,452	2%	158,763	(771)	(0%)	144,236	133,116
Total expenses	1,267,940	1,278,461	10,520	1%	1,263,623	(4,317)	(0%)	1,184,768	1,143,559
Net surplus	43,218	(30,290)	73,508	(243%)	(29,926)	73,143	(244%)	50,457	58,685
Unusual items					71,600	(71,600)		(8,712)	(13,600)
Adjusted net surplus	43,218	(30,290)	73,508	(243%)	41,675	144,744	347%	41,745	45,085
%	3.3%	(2.4%)	5.7%		3.4%	0.1%		3.4%	3.8%
Cash, Debt & Capital									
Operating cash flows	155,709	101,258	54,451	54%	216,455	(60,747)	(28%)	242,445	165,565
Cash & short-term investments	23,826	24,570	(744)	3%	51,552	(27,726)	54%	49,379	77,807
Funding facility	(143,510)	(260,903)	117,393	45%	(137,500)	(6,010)	(4%)	(180,000)	(50,000)
Capital expenditure	233,270	237,193	3,922	2%	158,654	(74,617)	(47%)	410,668	319,628

*Other EFTS include out of time PhD, Nursing, ICT, and TFC.

Table 1: Financial Highlights

7.1

Chair Approved but not confirmed by the Committee

	<p>The new factors contributing to the favourable movement from the previous forecast and budget are:</p> <ul style="list-style-type: none"> • A confirmed increment to base TEC funding of \$6m. • The 2020 Covid-19 Externally Funded Research (EFR) revenue deferral of \$11.9m has been recognised in the current 2021 research revenue forecast. The original cost budgets have been adhered to, minimising only incremental cost. The net impact is \$11.9m, recognised as revenue and contribution in the 2021 forecast. • An estimate of the impact of VLS on retirement leave entitlement liability provisions has resulted in a reduction of \$5.3m to the provision recognised as a non-cash benefit to the P&L in the latest forecast. • No material non-VLS redundancy costs are forecast in 2021 resulting in the release of \$6.2m from the budget provision • Continued favourability in operating performance across the University is forecast to be offset by new expenditure to deliver offshore students and to enable strategic initiatives to be bought forward. <p>Actual Voluntary Leaving Scheme (VLS) costs are forecast to be broadly in line with the provision of \$40.4m made in 2020. Cost savings are in effect across all Faculties and Service Divisions, with the broader longer-run strategic business recovery plan now in place.</p> <p>Cash Debt and Capital</p> <p>The improvement in Operating Surplus has a favourable impact on operating cash flows.</p> <ul style="list-style-type: none"> • Operating cashflows improve by \$54.5m against budget, to \$155.7m. • With no material change to forecast capital expenditure, this year-end loan facility balance is forecast at \$143.5m, significantly below budget. <p>Student Enrolments</p> <p>The expectation for the University's EFTS enrolments has not changed since the previous April finalised forecast. This captured Semester 1 enrolments and provided a projection for Semester 2. Assumptions included insight on border settings and a sector-wide outlook on the recovery of International Students in the wake of Covid-19.</p> <p>The forecast has signalled that overall student numbers will increase in 2021 by 1,290 EFTS or 3.8% on the prior year. This represents a 2,033 EFTS or 6.1% increase on a budget that assumed a decline. All faculties except for Arts show a favourable EFTS position compared to the 2021 Budget, and all faculties grow year on year.</p> <p>More recent indications suggest that domestic and international student enrolments are likely to be stronger than the current Semester 2 forecast.</p>	
<p>5. Leave of Absence</p>	<p>No leave of absence was requested for the next Committee meeting.</p>	
<p>6. Public Exclusions</p>	<p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p>	<p>RESOLVED (Chair Catherine Dunphy) that the public is excluded from Part B of this meeting AND THAT Mrs Cleland, Mr Bluett, Mrs Fitzpatrick, Ms Moss, Mr Berquist, Professor Metson, Alex Thomas and Mrs Metcalf be permitted to remain for this part of the meeting, after the public is</p>

7.1

Chair Approved but not confirmed by the Committee

	<p>General subject of each matter to be considered:</p> <p>Item No 7.1: Finance Committee Meeting 2 March 2021, Minutes Part B</p> <p>Item No 7.2: Finance Committee Meeting 2 March 2021, Matters Arising from Minutes, Part B</p> <p>Item No 8.0: Financial Performance & Forecast for 2021</p> <p>Item No 9.0: Treasury Management, Compliance and Cash Flow Report</p> <p>Item No 10.0: UoA Long-term Size, Shape & Composition Parameters</p> <p>Item No 11.0: Outlook for International Student Revenues</p> <p>Item No 12.0: Outlook for Research Revenue</p> <p>Item No 13.0: Long-term Financial Plan 2021-2030 Progress Report</p> <p>Reason for passing this resolution in relation to each matter:</p> <p>The protection of the interests mentioned below.</p> <p>Grounds under section 48(1) for the passing of this resolution:</p> <p>Those in Section 9 of the Official Information Act 1982, namely:</p> <ul style="list-style-type: none"> i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations; ii) To enable the University to carry on without prejudice or disadvantage negotiations; and iii) To prevent the disclosure or use of Official Information for improper gain or advantage. 	<p>excluded, because of their knowledge of the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.</p>
<p>The meeting moved into a Public Excluded Session at 08.04 am.</p> <p>The meeting closed at 10.05 am.</p> <p>Approved as a true and correct record.</p> <p>_____</p> <p>Chair, Sir Michael Daniell</p> <p>Date:</p>		

7.1



Rūnanga Report to Council Pro Vice-Chancellor Māori

July 2021

7.2

The new Ihonuku Māori, Dr Te Kawehau Hoskins, took up her role at the end of April this year. On May 17th a significant pōwhiri was held to welcome her attended by around 200 people including delegations from Ngāti Hau, Ngāti Wai, Ngāpuhi; and from local communities and the University community. The pōwhiri was followed by an afternoon symposium organised by the Ihonuku on *Māori Futures* with presentations by senior Māori University researchers.

The Office of Pro Vice-Chancellor Māori

The capacity of the PVC Māori office principally includes Kaiarataki Michael Steedman, and PVC M Dr Te Kawehau Hoskins. The office line manages two junior staff involved in Māori student focussed work (inherited as part of the division of Equity resources between the Māori and Pacific PVC offices). The office also currently manages the marae and its new Taurima Moeahi Kerehoma. Cathrine Taylor provides executive assistance to Michael Steedman, Te Kawehau Hoskins and supports the work of the office and associated staff.

Notwithstanding the welcome addition of the Kaiarataki, the strategic capacity of the office reflects the historical ‘advice and guidance’ role that the PVC M has primarily performed. As recognition of Te Tiriti and appreciation of the value of Te Ao Māori and Te Reo Māori has grown, there are increasing strategic, implementation and cultural demands on the office in every area of University life.

These developments along with the significant increase in daily requests for high level advice and guidance represent the need for a significant boost in the permanent resourcing of the office.

Toitū Waipapa and *Taumata Teitei* are watershed strategies for the University and provide for significantly more responsiveness to Te Tiriti and Māori across all portfolios. The PVC Māori office is the co-sponsor for a significant number of work plan initiatives across all areas for the 2021-2023 period and this pattern will likely continue.

The Strategic Plan *Taumata Teitei*

The PVC Māori office has worked over the last 8 weeks to surface a short-term Māori strategic plan, which combines the Māori focussed components of other portfolios in addition to a number of stand alone priorities and initiatives. The short-term nature of these is to match the ASTI and 2021-2023 timeframes to progress *Taumata Teitei*. A longer term Māori strategic plan is evolving alongside this current work.

The PVC Māori plans have been endorsed and are outlined below:

Objective: Ensure Waipapa Toitū (the Waipapa Framework) is developed to underpin the University's ongoing vision and strategic priorities.

Priorities:

1. Improved retention and success of Māori students (**E&SE; EE**)
2. Appropriate inclusion of Te Tiriti, Mātauranga Māori and Kaupapa Māori in University programmes and life (**E&SE; EE**)
3. System-wide capability and capacity development for research with and by Iwi-Māori; and Māori specific research capacity and capability development (**R&I**)
4. Māori staff development and whole of staff cultural competence (**P&C**)
5. Develop authentic mutually beneficial relationships with Iwi and Māori communities (**P&E**)

Initiatives 2021-2023:

1. **Strategic Leadership Capacity.** Second manager/analyst/comms person with specific Māori capability and capacity to help with the delivery of the Māori strategy and develop a business case that outlines a new support and services delivery model that allows the PVCM office to fulfil its extended role and responsibilities.
2. **Progress Toitū Waipapa (The Waipapa Framework) (PVC Māori)** Develop the place based Ngāti Whātua cultural and University narratives central to the Waipapa framework, and to underpin the curriculum transformation and staff development priorities.
3. **Flagship all-staff cultural competency programme (Joint PVCM & P&C)** Develop and all-staff programme and build a business case for scale up for 2022.
4. **A Māori staffing plan (Joint P&C & PVCM)** Form a Māori staffing plan reference group and lead qualitative engagement with Māori staff in the university to shape the plan.
5. **Leadership of Te Tiriti, Kaupapa Māori and Mātauranga Māori curriculum working group (Joint E & SE).** Ensure to ensure the Waipapa Framework underpins the development and develop curricula for competent Māori students.
6. **Phase TWO of Te Korowai Mātauranga (Research):** Develop best practice examples for UoA internal and external research partnerships with iwi- Māori (**PVCM & R & I**).
7. **Finalise Kawenata with Ngāti Whātua Orakei** and develop workplans for shared outcomes; Progress partnership relationships with Tai Tokerau iwi (Joint resource **PVCM & P & E**).

TECEF

In addition these initiatives, the office of the PVCMM is undertaking a review of the TECEF space with a view to refreshing best practice guidance for the faculties, increasing central programmes, and new approaches to outreach, marketing and recruitment.

Taumata Ngāio

Te Taumata Ngāio is the University's Te Reo Māori capability development programme for all permanent staff, and staff on a fixed-term contract of 36 months or longer. It is part of the Te Reo Revitalisation Plan. Taumata Ngāio launched in late June (see link below) and in three days had a waiting list for Te Reo Māori courses offered!

<https://www.staff.auckland.ac.nz/en/te-ao-maori/te-taumata-ngaio.html>

Naming Ceremony for Waipapa Taumata Rau

To be held on July 26th at Waipapa Marae from 7-10 am with Ngāti Whātua Orakei, the Council and University community. Nau mai, tautoko mai.

THE UNIVERSITY OF AUCKLAND
MATERIAL FOR COUNCIL FROM
THE MEETING OF SENATE 12.07.2021

1. Freedom of Expression: Rights and responsibilities – Policy and Procedures

Professor Peter Hunter, Chair of the Hot Topics Committee of Senate who presented the item, commented that, after the discussion at the Senate meeting in March and further consultation, a number of changes had been made to the Freedom of Expression document.

These changes included:

- Highlighting the difference between academic freedom and freedom of expression and emphasising that the document was dealing with freedom of expression and not academic freedom;
- Including a statement regarding the importance to recognise University values;
- Specifying that the link between material related to freedom of expression and potential harm could be very complex;
- Clarifying that, when material would appear on campus that was in clear violations of University principles, the Vice-Chancellor could make a quick decision without having to wait for an intervention by the panel.
This would also include the possibility to release a press statement expressing the view of the University of this matter;
- Recommending that the panel would include people with broad legal, ethical, and social expertise.

In a discussion that followed, Senate noted the following:

- It was important for the document to clarify that the panel would consider the intent in individual cases. The Response Panel needed to have sufficient expertise to distinguish between intent based on legitimate freedom of expression versus intent to cause harm, and the potential for hidden agendas needed to be considered by the panel.;
- It would be preferable to add the possibility of a nominee to the Pro Vice-Chancellor (Māori) in the panel membership (to read: “*Pro Vice-Chancellor (Māori) or nominee*”);
- The appointment of the student representative on the panel should be agreed by both Auckland University Students’ Association (AUSA) and the Postgraduate Students’ Association (PGSA);
- Although in some cases a rapid response was necessary, other cases, because of their complexity, could require more time. It was however important to note that, if making a fast decision was not possible, it was essential for the University to communicate the reason for the delay, as this communication could avoid the potential escalation of the issue.
- Professor Natasha Hamilton-Hart dissented from the majority opinion at senate; she did not support the document, as she was of the view that the principles of the proposed policy were incompatible with freedom of expression.

Senate 12.07.2021 recommends to Council that it approve in principal the Freedom of Expression: Rights and responsibilities – Policy and Procedures proposal; and note that the formal Policy and Procedures document will be submitted to the next meeting.

2. Review of Senate

Professor Pene Mathew, Dean of Law and Chair of the Senate Review gave an update about this item.

The last review of Senate dated from 2012 and one of the results of that review was the creation of the “hot topics” principle which had worked well.

She commented that the proposed terms of reference were very broad.

The membership of a reference group would be established in the near future. Professor Mathew had already approached some people, asking them to participate in the review, but also wanted other people who would be interested in contributing to contact her.

In a discussion that followed, Senate requested to also review its membership. The terms of reference were the following:

and asked to include this in the terms of reference; to read: "*The operation **and membership** of the University of Auckland Senate,*"

Senate 12.07.2021 approved the following terms of reference:

The operation and membership of the University of Auckland Senate, including the standing orders and other relevant documentation, is to be reviewed in order to ensure the early and active involvement of Senate regarding academic matters (as well as those matters delegated from Council to Senate) and to invigorate Senate as a forum for deliberation and advice to Council

PART A:

i) RECOMMENDATIONS FROM SENATE REQUIRING TO BE CONSIDERED/RECEIVED BY COUNCIL REVIEWS

1. Review of the School of Medicine

Senate 12.07.2021 **RECOMMENDS** to Council that it **receive** the Review of the School of Medicine

2. Review of the Department of Finance and Accounting – Further Progress Report

Senate 12.07.2021 **RECOMMENDS** to Council that it **receive** the Review of the Department of Finance and Accounting – Further Progress Report as final response to the 2018 Review.

3. Review of the Department of Marketing – Year-on Progress Report

Senate 12.07.2021 **RECOMMENDS** to Council that it **receive** the Review of the Department of Marketing – Year-on Progress Report

4. Review of the School of Critical Studies in Education – Year-on Progress Report

Senate 12.07.2021 **RECOMMENDS** to Council that it **receive** the Review of the School of Critical Studies in Education – Year-on Progress Report

5. Review of Anthropology, Development Studies, and Social Science for Public Health – Year-on Progress Report

Senate 12.07.2021 **RECOMMENDS** to Council that it **receive** the Review of Anthropology, Development Studies, and Social Science for Public Health – Year-on Progress Report

6. Review of Media & Communications – Year-on Progress Report

Senate 12.07.2021 **RECOMMENDS** to Council that it **receive** the Review of Media & Communications – Year-on Progress Report

8.1

7. Review of Te Kupenga Hauora Māori – Year-on Progress Report

Senate 12.07.2021 **RECOMMENDS** to Council that it **receive** the Review of Te Kupenga Hauora Māori – Year-on Progress Report

8. Review of the Department of Statistics – Year-on Progress Report

Senate 12.07.2021 **RECOMMENDS** to Council that it **receive** the Review of the Department of Statistics – Year-on Progress Report

Note: further progress reports were requested for items 3-8

ii) RECOMMENDATIONS FROM SENATE REQUIRING COUNCIL CONSIDERATION/APPROVAL

ACADEMIC MATTERS AND REGULATIONS

9. CUAP amendments

Senate 12.07.2021 **RECOMMENDS** that Council **approve** the following CUAP Amendments for submission to CUAP:

Arts

2021/029	MA	Amends Admission, Duration and Total Points Value, Structure and Content, Reassignment clauses, Schedule; adds ARTHIST 791, ASIAN 791, CHINESE 791, CRIM 793, DEVELOP 791, DRAMA 783, EDUC 79X, ENGLISH 789, FRENCH 791, GENDER 793, HISTORY 793, ITALIAN 791, JAPANESE 791, LANGTCHG 793, LINGUIST 791, MAORI 793, MUSEUMS 793, PHIL 793, SCREEN 792, SPANISH 791
2021/033	BA, BA/BAdvSci(Hons), BA/BCom, BA/BE(Hons), BA/BFA, BA/BFA(Hons), BA/BGlobalSt, BA/BHSc, BA/BMus, BA/BSc, BA/LLB, BA/LLB(Hons)	Introduces a Health and Society major, subject, ANTHRO 220, 376, HLTHSOC 100, 200-202, 300, 301, 303-305

Business and Economics

2021/102	MInfoGov	Amends Admission, Duration and Total Points Value, Structure and Content clauses, Schedule; adds Transfer from Postgraduate Certificate in Information Governance clause
2021/115	MBusAn, PGDipBusAn, PGCertBusAn	Introduces a FinTech specialisation, BUSINFO 716-719; amends Schedules, Reassignment clause

8.1

Creative Arts and Industries

2021/208	DocFA	Amends regulations
2021/209	MHousSt, MArch(Prof)HousSt, MUrbPlan(Prof)Hous St	Introduces a Master of Housing Studies, Master of Architecture (Professional) and Housing Studies, Master of Urban Planning (Professional) and Housing Studies, ARCHDES 703, ARCHGEN 790A/B; amends ARCHGEN 790, URBPLAN 713

Education and Social Work

2021/319	EdD	Amends regulations; adds EDUC 801-804
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Engineering

2021/400	ME	Amends Schedule
2021/403	MMaterialsEng, PGDipMaterialsEng, PGCertMaterialsEng	Introduces a Master of Materials Engineering, Postgraduate Diploma in Materials Engineering, Postgraduate Certificate in Materials Engineering, CHEMMAT 720, 723, 725; amends CHEMMAT 776A/B, 777A/B, 780, 780A/B, 795, 795A/B
2021/421	MMedicalEng, PGDipMedicalEng, PGCertMedicalEng	Introduces a Master of Medical Engineering, Postgraduate Diploma in Medical Engineering, Postgraduate Certificate in Medical Engineering, ENGGEN 790, 790A/B

Medical and Health Sciences

2021/604	MD	Amends regulations
2021/612	DHSc	To introduce a Doctor of Health Science, HLTHSCI 800-803, 897A/B
2021/621	MStrokeCare, PGDipStrokeCare, PGCertStrokeCare	To introduce a Master of Stroke Care, Postgraduate Diploma in Stroke Care, Postgraduate Certificate in Stroke Care, HLTHSCI 710-714, 792

Science

2021/701	MDataSci	Amends Admission clause
2021/703	BSc(Hons), MSc, PGDipSci	Amends Schedules
2021/704	BSc, BSc(Hons), PGDipSci, MSc	Amends name of Geophysics major/specialisation, Schedules; introduces an Environmental Physics subject identifier
2021/707	MChem	Introduces a Master of Chemistry, CHEM 712, 741, 791, 791A/B, 794, 794A/B
2021/708	BSc(Hons), PGDipSci	Amends Schedules
2021/709	MBiotech	To introduce a Master of Biotechnology, BIOSCI 704, 764, 765, BIOTECH 792
2021/710	MEcology	To introduce a Master of Ecology, BIOSCI 760, 763
2021/724	BSc	Introduces a Quantitative Economics specialisation
2021/730	MFoodSci	Introduces a Master of Food Science, FOODSCI 750-752, 790, 790A/B, 791, 791A/B
2021/735	DClinPsy	Amends regulations, Schedule; adds PSYCH 800, 890
2021/737	MEnvMgt, MSc, PGDipSci	Introduces a Master of Environmental Management, ENVMGT 701, 748, 749, 751; amends Schedules

Interfaculty

2021/813	MMathModel, PGDipMathModel, PGCertMathModel	Introduces a Master of Mathematical Modelling, Postgraduate Diploma in Mathematical Modelling, Postgraduate Certificate in Mathematical Modelling
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10. Proposed 2022 Programme Limitations from each Faculty, and for the Tertiary Foundation Certificate.

Senate 12.07.2021 **RECOMMENDS** to Council that it **approve** the Proposed 2022 Programme Limitations from each Faculty, and for the Tertiary Foundation Certificate.

11. Proposed Changes to School Leaver Requirements and UTAS Criteria for 2023 Admission

Senate 12.07.2021 **RECOMMENDS** to Council that it **approve** the Proposed Changes to School Leaver Requirements and UTAS Criteria for 2023 Admission

12. Guaranteed undergraduate entry requirements and English language requirements for 2021

Senate 12.07.2021 **RECOMMENDS** to Council that it **approve** the Guaranteed undergraduate entry requirements and English language requirements for 2021

13. Teaching and Learning Quality Committee (TLQC) June Item 9(i) - Lecture Capture and Release Policy

Senate 12.07.2021 **RECOMMENDS** to Council that it **approve** the Teaching and Learning Quality Committee (TLQC) June Item 9(i) - Lecture Capture and Release Policy

14. The PhD Subject Area Changes Policy and Procedures and PhD Subject Area Policy

Senate 12.07.2021 **RECOMMENDS** to Council that it **approve** the PhD Subject Area Changes Policy and Procedures and PhD Subject Area Policy

PART B: ITEMS FROM SENATE FOR NOTING BY COUNCIL

Senate 12.07.2021 **RECOMMENDS** that Council **note** the following research and study leave report and Survey:

1. Report on Leave

Name	School/Department
Dr Sturm Sean	Critical Studies in Education

2. The Learning and Teaching Survey results for 2020

PART C: MATTERS RECEIVED AND APPROVED UNDER DELEGATED AUTHORITY

1. ACADEMIC MATTERS

Senate, 12.07.2021 advises Council that it approved the following academic matters:

The new PhD subject area of Heritage Conservation for Creative Arts and Industries

2. NEW SCHOLARSHIP & AWARD REGULATIONS

Senate, 31.05. 2021 advises Council that the following five new Scholarship and Award Regulations were approved:

Brookfield Lawyers First in Family Scholarship

1. Cheryl Macaulay Foundation Scholarship
2. Crystal Arts Trust Master of Creative Writing Scholarship
3. Professor Brian Coote Memorial Scholarship
4. High Street Design Award



Internal Memorandum

To: Education Committee Chair	
From: Margaret Crannigan Allen – Manager, Scholarships and Graduation, Academic Services	
Copy: Joanna Browne, Director Academic Services	
Date: 10 June 2021	Telephone Ext: 87539
RE: Serena Sum-Yee Lee - Rescindment and re-awarding	

10.2

Council conferred the Master of Management on October 7 2020

This memo requests that the qualification of the Master of Management identified for the student above, be rescinded and re-awarded with Distinction. I can confirm the relevant checks and procedures have been undertaken.

Margaret Crannigan Allen
 Manager Scholarships & Graduation



Internal Memorandum

To: Education Committee Chair	
From: Margaret Crannigan Allen – Manager, Scholarships and Graduation, Academic Services	
Copy: Joanna Browne, Director Academic Services	
Date: 15 June 2021	Telephone Ext: 87539
RE: Kerstin Marie Bailey- Rescindment and re-awarding	

10.2

Council awarded the Postgraduate Diploma in Health Sciences on June 1 2021

This memo requests that the qualification of the Postgraduate Diploma in Health Sciences identified for the student above, be rescinded and re-awarded with Distinction. I can confirm the relevant checks and procedures have been undertaken.

Margaret Crannigan Allen
 Manager Scholarships & Graduation