

<p>Council Agenda Part A (Open Agenda)</p> <p>14.03.2022 Virtually via Zoom 4:00pm</p>			<p>Page #</p>
<p>1. APOLOGIES</p>	<p>The Chancellor moves that the apologies, be noted.</p>		
<p>2. FAREWELL</p>	<p>The Chancellor farewells Sir Michael Daniell</p>		
<p>3. WELCOME</p>	<p>The Chancellor welcomes Mr Jonathan Mason as Ministerial appointee.</p>		
<p>4. DISCLOSURES OF INTEREST BY MEMBERS</p>	<p>The attention of Members is drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.</p>	<p>The Chancellor moves that the disclosures, if any, be noted and the action taken be endorsed.</p>	
<p>5. HONOURS/AWARDS - NEW YEAR HONOURS</p>	<p>THE NEW ZEALAND ORDER OF MERIT</p> <p>Dames Companion Dame Marie J Shroff CNZM, CVO for services to the State and the community</p> <p>Companions Dr Arapera Royal Tangaere for services to Māori and education Dr Geoff B Lorigan for services to business and leadership development Mr John D Rosser for services to choral music Dr Michèle M Hawke for services to gymnastic Mr Neil A Richardson for services to business and philanthropy Professor Emeritus Stuart A Middleton ONZM, JP for services to education</p> <p>Officers Mr Campbell R Smith for services to the music industry Ms Celia M Patrick for services to tennis Dr Chris Moyes for services to health Professor Evan P Moon for services to education and historical research Mr John W Blakey for services to education Dr Linda J Bryant for services to pharmacy and health</p>		

	<p>Dr Lindsay F Mildenhall for services to neonatal intensive care and resuscitation training Mr Phillip R Ker for services to tertiary education Emeritus Professor Ralph P Cooney for services to science and innovation Mr Richard B Hoskin for services to the blind and people with low vision</p> <p>Members Dr Alison P Barrett for services to women's health Dr Angela D Sharples for services to education Ms Frian Wadia for services to disability and education Dr Graeme H Fenton for services to Māori and rural health Ms Gwendoline Smith for services to mental health Professor Jacinta A Ruru for services to Māori and the law Joshua J Robertson for services to the Pacific community Mrs Kolokesa U Mahina-Tuai for services to cultures and the arts Mx Moira J Clunie for services to LGBTQI+ communities Mr Nigel J Borell for services to Māori art</p> <p>THE QUEEN'S SERVICE ORDER</p> <p>Companion Ms Ann M Hartley for services to local government and the community The Hon Steve A Chadwick for services to local government and Parliament</p> <p>THE QUEEN'S SERVICE MEDAL David D Hinman for services to tramways and heritage Mrs Jennie E Oakley for services to the community and the arts Mr Thomas E Howard for services to conservation, particularly wildlife conservation</p>	
<p>6. CONFERMENT OF DEGREES</p>	<p>In accordance with the provisions of the Conferment of Academic Qualifications and Academic Dress Statute 1992 the Chancellor will confer the degrees listed on a list held in the Graduation Office by stating: <i>By the authority vested in me by resolution of The University of Auckland Council I, CECILIA TARRANT, Chancellor, confer the degrees stated upon those who, within their several faculties, have satisfied the requirements of this University.</i></p>	
<p>7. AWARD OF DIPLOMAS</p>	<p>In accordance with the provisions of the Conferment of Academic Qualifications and Academic Dress Statute 1992 the Chancellor will award the diploma listed on a list held in the Graduation Office by stating: <i>By the authority vested in me by resolution of The University of Auckland Council I, CECILIA TARRANT, Chancellor, award the diplomas stated to those who, within their several faculties, have satisfied the requirements of this University.</i></p>	

8. COUNCIL MEETINGS	8.1 Council, Draft Minutes (Part A), 08.12.2021 and 20.01.2021	The Chancellor moves that the Minutes (Part A),08.12.2021 and 20.01.2022 be taken as read and confirmed .	9
	8.2 Matters arising from the Minutes (Part A), 08.12.2021 and 20.01.2022 not elsewhere on the Agenda		
9. VICE-CHANCELLOR'S REPORT		The Chancellor moves that the Vice-Chancellor's Report be noted .	17
10. REPORTS OF COUNCIL COMMITTEES	10.1 AUDIT AND RISK COMMITTEE		
	10.1.1 Minutes, (Part A), 04.03.2022	The Chancellor moves that the Audit and Risk Committee Minutes (Part A), 04.03.2022 be received .	32
	10.1.2 Audit and Risk Committee Terms of Reference	The Chancellor moves that that the amended Audit and Risk Committee Terms of Reference be adopted.	35
	10.2 CAPITAL EXPENDITURE COMMITTEE		
	10.2.1 Minutes, (Part A), 02.03.2022	The Chancellor moves that the Capital Expenditure Committee Minutes (Part A), 02.03.2022	40
	10.3 FINANCE COMMITTEE		
	10.3.1 Minutes, (Part A), 02.03.2022	The Chancellor moves that the Finance Committee Minutes (Part A), 02.03.2022 be received ;	42
	10.4 STUDENT APPEALS COMMITTEE		
	10.4.1 Report of the Student Appeals Committee 03.02.2022	The Chancellor moves that Council note the Report, 03.02.2022 of the Student Appeals Committee	46

<p>11. SENATE MATTERS</p>	<p>11.1 REPORT OF SENATE, 28.02.2022</p> <p>Part Ai 1-2: Policy and other matters requiring to be received by Council</p> <p>Part Aii 3-7: Policy and other matters requiring Council approval</p> <p>Part B, 1: Matters for noting by Council</p> <p>Part C, 1-3: Matters handled under Delegated Authority</p>	<p>The Chancellor moves that the recommendations in Part A of the Report of Senate, 28.02.2022 be adopted and Parts B and C be noted</p>	<p>47</p>
<p>12. CORRESPONDENCE REFERRED BY THE CHANCELLOR</p>	<p>No items received</p>		
<p>13. OTHER MATTERS FOR DECISION OR NOTING</p>	<p>13.1 SEAL</p> <p>Since the Council meeting on 14.06.2021 the seal has been applied to the following document in accordance with the Council resolution of 18.02.1991:</p> <ul style="list-style-type: none"> - Deed of Variation of Lease & Renewal of Lease – 1-11 Short Street, Auckland – Sunshine Capital Ltd (Lessor) & The University of Auckland (Lessee) - Deed of Variation of Lease and Renewal of Lease – Premises at Petherick Tower, 31 Waring Taylor Street, Wellington – Midland Towers Ltd (Lessor) & The University of Auckland (Lessee) - Deed of Novation – The Selwyn Village - The University of Auckland (the Continuing Party), The Selwyn Foundation (Retiring Party) & Metlifecare Retirement Villages Ltd (the Substitute Party) - Deed of Variation of Services Agreement – Service Agreement the Selwyn Village - The Selwyn Foundation and the University of Auckland (the Contracting Party) 	<p>The Chancellor moves that the affixing of the seal to the listed documents be noted.</p>	

	<p>13.2 APPLICATION OF SEAL AND QUALIFICATIONS – DELEGATED AUTHORITY</p>	<p>The Chancellor moves that the affixing of the common seal of the University to the above certificates consistent with Council’s delegation of 19 June 2006 be noted.</p>	52
	<p>13.3 DRAFT FREEDOM OF EXPRESSION POLICY & PROCEDURES</p> <p>13.3.1 Draft Freedom of Expression Policy</p> <p>13.3.2 Draft Freedom of Expression Procedures</p>	<p>The Chancellor moves that Council note and endorse the Draft freedom of Expression Policy and Procedures as final drafts to be submitted for consultation.</p>	53
	<p>13.4 AEGROTAT AND COMPASSIONATE CONSIDERATION – WAIVER OF FEES</p> <p>13.4.1 Memorandum, 03.03.2022 from the Pro Vice-Chancellor (Education), Professor Kool</p> <p>13.4.2 Fees Schedule A – All Students amended</p>	<p>The Chancellor moves that Council agree to waive the application fees associated with the aegrotat and compassionate consideration process.</p>	60
	<p>13.5 CAMPUS RULES POLICY</p> <p>13.5.1 Memorandum 20.01.2022 from the Deputy Vice-Chancellor (Operations) and Registrar, Mrs Cleland and the Campus Rules</p>	<p>The Chancellor moves that the University Campus Rules be re-approved for a further five-year period without amendment.</p>	65
14. GENERAL BUSINESS	None		
15. LEAVE OF ABSENCE	(for the meeting of 27.04.2022)		

PUBLIC EXCLUSIONS

The Chancellor moves that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

Item No. 1.1	Council Minutes (Part B), 08.12.2021
Item No. 2.1	Auckland Health Research Ethics Committee Annual Report
Item No. 2.2	University of Auckland Biological Safety Committee Annual Report
Item No. 2.3	University of Auckland Human Participants Ethics Committee Annual Report and Membership
Item No. 2.4.1	Audit and Risk Committee Minutes (Part B), 04.03.2022
Item No. 2.4.2	2021 Draft Annual report
Item No. 2.5.1	Capital Expenditure Committee Minutes 02.03.2022
Item No. 2.5.2	Old Choral Hall change request
Item No. 2.5.3	Business Case
Item No. 2.6.1	Finance Committee, Minutes (part B), 02.03.2022
Item No. 2.6.2	Financial Performance for 2021
Item No. 2.7	Honours Committee
Item No. 4.1	University of Auckland Disability Action Plan
Item No. 5.1	Council Committee Membership for 2022

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Adrienne Cleland, Professors Linton and Metson, Andrew Phipps, Tim Bluett, Pamela Moss, Simon Neale, Todd Somerville, Julian Michael, Helen Cattanach, Anthony Brandon and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

Council Minutes Part A (Open Minutes) 8 December 2021 (meeting was held virtually via Zoom)		
PRESENT:	Ms Tarrant (Chair), Professor Freshwater (Vice-Chancellor), Dr Prasad, Sir Michael Daniell, Professor Curtin, Mrs Dunphy, Ms Dawson, Mr Paitai, Mr McDonald, Mr Wang, Ms Newsome and Ms Quinn	
IN ATTENDANCE:	Mrs Cleland, Professors Linton, Stinear and Metson; Associate Professors Hoskins and Kool; Mr Bluett, Ms Moss, Mr Neale, Ms Fitzpatrick, Mr Brandon, Mr Stevenson, Mr Browne, and Ms Verschaeren	
KARAKIA	The meeting was opened with a karakia by the Māori representative on Council, Mr Paitai.	
1. APOLOGIES	None	
2. DISCLOSURES OF INTEREST BY MEMBERS	<p>The attention of Members was drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.</p> <p>No further disclosures were made.</p>	
3. CONFERMENT OF DEGREES	With the authority of Council, the Chancellor conferred the degrees as per the schedule provided to the meeting.	
4. AWARD OF DIPLOMAS	With the authority of Council, the Chancellor awarded the diplomas, as per the schedule provided to the meeting.	
5. COUNCIL MEETINGS	5.1.1 Council, Draft Minutes (Part A), 11.10.2021	RESOLVED (Ms Newsome/Dr Prasad): that the Minutes (Part A), 11.10.2021 be taken as read and confirmed .
	5.1.2 Council, Draft Minutes (Part A), 30.11.2021	RESOLVED (Chancellor/Professor Curtin): that the Minutes (Part A), 30.11.2021 be taken as read and confirmed .

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	<p>5.2 Matters arising from the Minutes (Part A), 11.10.2021 and 30.11.2021 not elsewhere on the Agenda.</p> <p>None...</p>	
<p>6. VICE-CHANCELLOR'S REPORT</p>	<p>The report was taken as read.</p> <p>The Vice-Chancellor, Professor Freshwater, gave Council the following update about the report:</p> <ul style="list-style-type: none"> • A considerable amount of work had been undertaken in preparation for the return to campus. This included the possibility of having Summer School on campus and testing systems/ processes in preparation for Semester One. Consideration had also been given to the return of staff to campus and this included working through the complexities of managing the University's Vaccine Policy and the undertaking of a risk assessment. • Over the last four months, effort has been made for the University to balance a triangulation of its values, the responsibilities for Health, Safety and Wellbeing and the commitment to providing a lively and dynamic student and staff experience on campus. • The Government consultation around the High Value International Education Policy was currently still in process and a response could be expected early 2022. In the meantime, there was a possibility that the University would receive a small cohort of international students who already held exemptions to study in New Zealand prior to the current lockdown. • The work undertaken with the Government with regard to the MBIE research green paper included taking a strong and focused look at research work for the future. • The University continued to be strongly supported by its alumni donors during the pandemic. • The University had been working on developing a Freedom of Expression Policy. This work had been led by Professor Peter Hunter and a draft had been submitted to Senate and Council earlier this year. Currently a formal Policy document with Guidelines was being developed, taking into account connections to Academic Freedom and the University's Code of Conduct. The finalised document would be submitted to Senate and Council in 2022. • Earlier in 2021 the University commissioned an independent review of its processes, systems, practices and policies regarding Health and Safety for staff with inclusion of safety around social media and security. The report of this review had now been completed and had been submitted to the Audit and Risk Committee. 	<p>RESOLVED (Mr Wang/Mr McDonald): that the Vice-Chancellor's Report be noted.</p>

	<ul style="list-style-type: none"> • Earlier this year a letter regarding Mātauranga Māori was published in The Listener which received a lot of interest in the media. Currently the Royal Society Te Apārangi was investigating the letter which had created international media interest. Although the Royal Society Te Apārangi would formulate its own response to the letter which would differ from that from the University, it was important to follow up on it. • Media attention had also been given to the University’s Vaccine Policy which attracted different views. The University is part of International University networks which included more than 100 universities and had committed to working together on vaccine misinformation. • The Vice-Chancellor acknowledged the many positive awards and outcomes achieved by the University’s staff and students under very difficult circumstances. She also highlighted the significant progress that had been made on the implementation of the new strategy. <p>In a discussion that followed, Council noted that in 2021 all exams had been online with a small number of them having online invigilation for professional registration purposes. To ensure the integrity of the exams, the focus had been on working with staff to develop ways of designing exams that would minimise the risk of plagiarism.</p> <p>Not all courses at the University had exams. The rule used to be that 50% of Stage One courses were assessed by exams but this rule had been discontinued, as there were now more pedagogically appropriate ways to assess them. This was also being considered by the current Curriculum Transformation Project.</p> <p>Council acknowledged Associate Professor Salesa who had been appointed as Vice-Chancellor of Auckland University of Technology(AUT) for his work at the University as the first Pro Vice-Chancellor (Pacific). The Chancellor expressed the hope that his appointment would result in increased collaboration with AUT.</p>	
<p>7. REPORTS OF COUNCIL COMMITTEES</p>	<p>7.1 AUDIT AND RISK COMMITTEE</p> <p>7.1.1 Minutes, (Part A), 24.11.2021</p>	<p>RESOLVED (Ms Dawson/Dr Prasad): that the Audit and Risk Committee Minutes (Part A), 24.11.2021 be received.</p>
	<p>7.2 CAPITAL EXPENDITURE COMMITTEE</p> <p>7.2.1 Minutes, (Part A), 19.11.2021</p> <p>7.2.2 Terms of Reference for approval</p>	<p>RESOLVED (Chancellor/Sir Michael Daniell): that the Capital Expenditure Committee Minutes (Part A), 19.11.2021 be received.</p> <p>RESOLVED (Ms Newsome/Mr Wang): that the Capital Expenditure Committee terms of reference be approved.</p>

	<p>7.3 FINANCE COMMITTEE</p> <p>7.3.1 Minutes, (Part A), 23.11.2021</p>	<p>RESOLVED (Sir Michael Daniell/Professor Curtin): that the Finance Committee Minutes (Part A), 23.11.2021 be received.</p>
	<p>7.4 UNIVERSITY EQUITY LEADERSHIP COMMITTEE</p> <p>This item was presented by the Pro Vice-Chancellor (Equity), Professor Stinear. She advised Council that:</p> <ul style="list-style-type: none"> • The Equity Office was currently responding to the recommendations of the Equity Function Review with a few staffing appointments and decentralisation of the equity work across the organisation. • In the first quarter of 2022, the Disability Action Plan would be submitted to Council for endorsement. <p>In response to a question of Council about Ngā Taurira Māori and professional staff representation in the new Equity groups, the Pro Vice-Chancellor (Equity) responded that the Equity Office was currently consulting with Ngā Taurira Māori with regard to representation on the Committee. She also advised that representation of Service Divisions would include representation of professional staff on the Equity Action Network. The membership of the University Equity Leadership Committee was decided after careful consideration, but once the meetings started, further representation could be considered if necessary.</p> <p>7.4.1 December 2021 Report</p> <p>7.4.2 Equity Action Network Terms of Reference and Membership</p> <p>7.4.3 University Equity Leadership Committee Terms of Reference and Membership. This committee was replacing the current Equity Leadership Committee. The Terms of Reference of the current Equity Leadership Committee are included as a reference.</p>	<p>RESOLVED (Mrs Dunphy/Mr Paitai): that the Equity Leadership Report – December 2021 be received.</p> <p>RESOLVED (Chancellor/Dr Prasad): that the Equity Action Network Terms of Reference and Membership be endorsed.</p> <p>RESOLVED (Chancellor/Mrs Dunphy): that the University Equity Leadership Committee Terms of Reference and Membership be approved.</p>

<p>8. SENATE MATTERS</p>	<p>8.1 REPORT OF SENATE, 29.11.2021</p> <p>Part Ai 1: Policy and other matters requiring to be received by Council Part Aii 1-12: Policy and other matters requiring Council approval Part B, 1: Matters for noting by Council Part C, 1: Matters handled under Delegated Authority</p> <p>The Vice-Chancellor explained that it was decided to defer the Freedom of Expression Policy to next year, as the Zoom webinar format of the Senate meeting of 29.11.2021 did not really allow for an open discussion. The Pro Vice-Chancellor (Education) (PVC(E), Associate Professor Kool, drew Council’s attention to the following items:</p> <ul style="list-style-type: none"> • The ‘Concurrent Teaching policy’ (Part A: ii), 2 – p. 59) which ensured that courses taught concurrently across different levels of a programme maintained a clear difference, and that students were assessed at the level appropriate to their enrolment. The Policy was developed by Academic Programmes Committee as a result of CUAP feedback. Reports on Concurrent Teaching had traditionally gone to APC for review. This topic might shift to Education Committee in the future. • Learning and Teaching Policy Arrangements for 2022 (Part A: ii), 8 – p. 60) During the COVID-19 period in the last two years, the University had to develop interim policies to cover ‘teaching delivery, assessment, and sub-doctoral research’ for each semester. This carried an administrative burden and created uncertainty for staff and students. Therefore, the Provost Deans and Directors Committee and the Education Committee now endorsed the policy decisions for managing teaching delivery, assessment, and sub-doctoral research under continuing COVID-19 conditions in 2022. The proposed Omnibus Amendment Statute would temporarily vary specific provisions of relevant statutes, regulations and policies relating to teaching and delegated the authority to the Vice-Chancellor to further vary learning and teaching arrangements as required by emergency circumstances, or to prevent hardship to students and staff. The Vice-Chancellor could sub-delegate further to the Provost and Deans as necessary. The Omnibus Statute would remain in place for 2022. • The Student Academic Misconduct Statute (Part A: ii), 9 – p.60) had been updated to reflect changes to processes for academic misconduct in online examinations. Further changes were made to other parts of the Statute to capture the role of Academic Integrity Advisers and to ensure that academic misconduct investigations could be completed fairly, efficiently, and in a timely manner. 	<p>RESOLVED (Professor Curtin/Ms Newsome): that the recommendations in Part A of the Report of Senate, 29.11.2021 be adopted and Parts B and C be noted</p>
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<p>9. CORRESPONDENCE REFERRED BY THE CHANCELLOR</p>	<p>No items received</p>	
<p>10. OTHER MATTERS FOR DECISION OR NOTING</p>	<p>None</p>	
<p>11. ELECTIONS - APPOINTMENTS</p>	<p>11.1 ELECTION OF A SENATE MEMBER ON the UNIVERSITY HONOURS COMMITTEE</p> <p>In the 2021 Committee Elections one candidacy was received to fill two vacancies on the University Honours Committee. Professor Elizabeth Rata was elected unopposed; the unfilled vacancy now became a casual vacancy, and the Committee would be asked to submit a nomination.</p>	<p>RESOLVED (Chancellor/Professor Curtin): that the result of the election of a Senate member on the University Honours Committee be noted.</p>
<p>12. GENERAL BUSINESS</p>	<p>Council thanked Sir Michael Daniell whose final term of office would be ending on 25.02.2021, for the work he undertook for Council over the years. The Chancellor invited him to attend the first meeting of Council in March 2022 to officially celebrate his departure.</p>	
<p>13. LEAVE OF ABSENCE</p>	<p>(for the meeting of 14.03.2022)</p> <p>No leave of absence was requested.</p>	

PUBLIC EXCLUSIONS

RESOLVED (Chancellor/Mr McDonald): that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

- Item No. 1.1 Council Minutes (Part B), 11.10.2021 and 30.11.2021
- Item No. 2.1.1 Audit and Risk Committee Minutes (Part B), 24.11.2021
- Item No. 2.1.2 Code of Pastoral Care
- Item No. 2.2.1 Capital Expenditure Committee Minutes 19.11.2021
- Item No. 2.2.2 Business Case
- Item No. 2.3.1 Finance Committee, Minutes (part B), 24.11.2021
- Item No. 2.3.2 Update to Latest Estimate 2021 Year End Position
- Item No. 2.3.3 Budget Report 2022
- Item No. 2.4 Honours Committee
- Item No. 4.1 2021 key performance targets aligned to Taumata Teitei
- Item No. 4.2 Rankings Strategy
- Item No. 5.1 Council Committee Membership for 2022

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Adrienne Cleland, Professors Linton and Metson, Tim Bluett, Pamela Moss, Simon Neale, Todd Somerville, Bridget Fitzpatrick, Anthony Brandon and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

The meeting closed at 6.25

The meeting went into Public Excluded session at 4.45pm

Approved as a true and correct record.

Cecilia Tarrant, Chancellor

Date

Council Minutes Part A (Open Minutes) 20.01.2022 Virtual meeting via Zoom			
PRESENT:	Ms Tarrant (Chair), Sir Michael Daniell, Professor Curtin, Mrs Dunphy, Mr Wang, Ms Quinn, Ms Newsome, Mr McDonald		
IN ATTENDANCE:	Ms Crannigan-Allen, Mr Brandon, and Ms Verschaeren		
KARAKIA	The meeting was opened with a karakia by Mrs Dunphy		
1. APOLOGIES	Professor Freshwater (Vice-Chancellor), and Mr Paitai	RESOLVED that the apologies be noted .	
2. DISCLOSURES OF INTEREST BY MEMBERS	<p>The attention of Members was drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.</p> <p>No further disclosures were made.</p>		
3. CONFERMENT OF DEGREES	With the authority of Council, the Chancellor conferred the degree, as per as per the schedule provided to the meeting.		
KARAKIA	The meeting was closed with a karakia by the Chancellor.		

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Vice-Chancellor's Report to Council | 14 March 2022

General

External, Policy and Government Update

Tertiary Education Strategy

The Minister of Education has written to all Vice Chancellors with his thoughts on the most pressing opportunities in the university sector. The letter returns to the Government's focus on learners at the centre of the education system, highlights the Tertiary Education Strategy (TES) emphasis on improving systems and listening and acting on the learners' voice and underlines learner wellbeing and safety. The Minister is calling for a system transformation which will see the Aotearoa New Zealand system of universities become a global leader in a learner centric approach. Minister Hipkins calls for a more collaborative approach between universities to accelerate cross-discipline and cross-institution connections.

International Students

In February Cabinet announced 5,000 international students from across the education sector and including school students, will be eligible to enter the country from April 2022. Officials are yet to work out allocations and processes, however the university sector is expected to get 1,450 students to distribute amongst the eight. It is only when these are in place that students will be able to apply for visas (from 12 April).

Proposed new immigration settings for international students have been released by the Ministry of Business, Innovation and Employment and the Ministry of Education for consultation. These include changes to post study work rights, in study abroad in study work rights, research Masters and PhD students in study work rights, internships, sub-degree study and changes to living costs.

Review of Vocational Education (ROVE)

A December cabinet paper on the ROVE is now available and references the the unified funding system being developed. As part of Budget 2021, Cabinet agreed to \$279.5 million to support the implementation of the unified funding system over the next four years. This provides for an additional \$97 million per year for VET provision on an ongoing basis from 2023. Universities will experience small funding decreases and will be expected to manage within their existing allocation.

VC Lecture Series

Progress is underway to shape a series of lectures, under the banner of the Vice-Chancellor's lecture series, which will explore mātauranga Maori and science. It is expected that this series will be launched in the second half of the year. The Vice-Chancellor has replied to a letter from Lady Deborah Chambers QC on the Listener letter and the University's response. The Vice-Chancellor highlighted that she shared Lady Chambers' commitment to freedom of expression and academic freedom. The letter corrected some misrepresentations of the University response.

Koi Tu led a Seminar 'Te Tiriti, wananga and democracy?' The focus was on the deeper issues of the implications of the evolving understanding of the Treaty partnership and its implications for social cohesion and the balance between devolved empowerment and centralised governance for all Kiwis. The simplistic nature of the discussion around Mātauranga Māori and science on all sides demonstrated the need for academia to find a way to lead much more complex and nuanced discussions (which requires the University to adequately promote transdisciplinarity).

Internal Update

Acting PVC Pacific

Following Damon Salesa's appointment to the VC at Auckland University Technology, Associate Professor Jemaima Tiatia has been appointed to the Acting position of PVC Pacific. A recruitment process for the permanent position is underway.

Response to Covid and Vaccine Mandate

Summer pathway programmes, including Summer Start and Unibound, Summer School and Quarter 1 courses were delivered in face-to-face mode under the orange traffic-light setting and then under the red traffic-light setting. The smaller cohort of summer students enabled the social distancing requirements under red to continue to be met. However given the shift to the "red" setting and the anticipated spike in transmission of the virus associated with the Omicron variant, the University announced a shift to on-line teaching, in the main, for the first part of Semester 1. Other activities that are able to be undertaken in compliance with the red setting social distancing requirements have continued on campus.

As anticipated, there are a number of Covid cases amongst our staff and students and the level of impact continues to increase. Lack of available staffing has already led to reduced services in several areas and it is expected that further areas will be affected in the coming weeks. All impacted staff and students are receiving support with a specific and significant support effort established in our accommodation facilities. A supply of RAT tests has been secured which will assist the University to ensure safe working environments for "essential" workers and for other teams that need to work on campus.

A review of our vaccine policy which mandates that staff and students are fully vaccinated before they attend campus will be reviewed shortly.

1. Education and Student Experience

Overview

The overall student enrolments have resulted in EFTS to date that are consistent with the budget. Domestic enrolments are slightly lower than expected (1% only), whereas international student enrolments are above budget expectations. Postgraduate enrolments, especially international doctoral are significantly constrained by the restrictions on students coming into New Zealand and are below 2021 numbers and below the budget numbers.

Improved employment opportunities have had an impact on postgraduate enrolments. The enrolment patterns vary across the University, for example the high enrolments in postgraduate teacher education programmes experienced in 2021 have not been repeated this year. The forecast provided for a reduction from the 2021 numbers, but enrolments are lower than expected.

Programmes offered through Auckland Online are largely postgraduate and have had significant growth. Enrolments to date have resulted in 466 EFTS including 16 International. This is 200 EFTS above the 2021-year end total of 267 EFTS. The range of programmes offered has been expanded to provide the life-long learning opportunities as anticipated in Taumata Teitei.

Our commencing school-leaver numbers are strong, as expected the very high enrolments in 2021 have not been repeated but with 5,106 domestic school leavers in Semester 1 (417 lower than in 2021) we are close to our target. Enrolments are strong in Science, where 2021 enrolment numbers have been maintained but are weaker in other areas of the University. The school-leaver cohort has had a different experience during their last years of secondary school than previous intakes and the impact of this is apparent in the reduced numbers of Māori and Pacific school-leaver enrolments. Anecdotally, many more Māori and Pacific students left secondary school not having completed the requirements for their final year and university entrance, than in previous years.

Indicators	Last Year	Current Year			
		To Date (24 February)		End of Year	
	Actual	Target	Actual	Target	Forecast
Total EFTS	36,748	27,690	27,776	35,960	35,960
Domestic Funded	30,960	24,511	24,189	31,027	31,027
International Full Fee	5,391	3,170	3,475	4,662	4,662
% Postgraduate EFTS	25%	23.6%	22.6%	24.6%	24.6%

Note: EFTS information does not include enrolments in the ELA and other sources

EFTS in priority groups

	2021 (25.02.21)	2022 (24.02.22)	% Change
Total Māori EFTS	2,021	1,995	(1%)
Māori School leavers	326	308	(6%)
Total Pacific EFTS	2,389	2,400	0.5%
Pacific School leavers	456	432	(5%)
Total Postgraduate	6,527		(4%)
Domestic	5,753		(2%)
International	756		(17%)

Progress against priorities

The Education and Student Experience plans for 2022 derived from Taumata Teitei have been developed and socialised with University staff via Faculty and LSRI channels and an all staff zoom meeting.

Priorities 1-4: The Curriculum Framework Transformation Project

The realisation of multiple priorities of the *Education and Student Experience* strategy are being considered through the Curriculum Framework Transformation Project underpinned by Waipapa Toitū and Taumata Teitei. A refreshed Graduate Profile has been developed and is currently out for formal consultation with the University.

The Pūtoi Ako network (sponsored by the Office of PVCM) has reconvened for 2022 to continue work on the Curriculum Transformation Framework project. OPVCM is working to ensure on the ongoing sustainability of this group to lead staff and student facing developments (Māori and non- Māori) in this space ongoing.

Priority 1: Accessible, equitable lifelong higher education opportunities

Summer Start and Unibound Summer successfully ran in person over the summer break. Teaching continuity plans have been developed by Faculty staff to ensure Semester 1 teaching delivery can be maintained during the likely staff absences over the ensuing weeks due to Omicron. The University is launching a new video platform for capturing and sharing video content for teaching, the features available will increase student's ability to engage with lecture content.

Recruitment for the Māori segment lead role (Poutaki Hāpai Tauria) has commenced. This role will work with OPVCM and other service leaders to develop Māori student plans.

Priority 2: Student-centric learning, co-curricular and extra-curricular cultures

Summer School proceeded in person, with lectures held in person and exams online. In anticipation of the likely increased in requests for aegrotat and compassionate consideration for Omicron affected exams and tests, a revised simplified process has been developed which will reduce the administrative burden on both students and staff. Given the different preparedness of first year students, who have had two years of COVID affected schooling, a taskforce has been working on additional support for these students to ensure that they make a successful transition to University.

Priority 3: Education that is research-informed, transdisciplinary, relevant and with impact for the world

Initial outputs from the Portfolio Analysis Tool, developed to help the University and faculties understand how well programmes are performing against Council-agreed *Taumata Teitei* KPIs and strategic priorities, have been discussed with faculty Deans. Refinements are being made based on feedback received. Work continues to see how the tool can be integrated into the institution's operations and planning cycle.

2. Research and Innovation

Indicators	Last Year	Current Year			
		To Date (1 January)		End of Year	
	Actual	Target	Actual	Target	Forecast
Research revenue (\$M)	251.4	22.9	22.8	268.8	268.8

Note: The revenue includes University of Auckland revenue only

Overview

It has been a busy start to the year with assessment of proposals for University level research centres and responses to the PBRF Sector Reference Group position papers, response to the MBIE "Future Pathways" document on the Research System and response to the MBIE Workforce survey, in addition to managing Omicron response to sustain critical research facilities.

Progress against priorities**Priority 1: World-class research inspired by our place in Aotearoa and the Pacific**

In the *Hīkina kia Tūtuki Grand Challenges Fund* to establish University level Research Centres, 10 of 25 EOIs have progressed to full application stage. Covid related pressures on staff mean timelines will slip a little on the submission and assessment process, but establishment of the first

of these still targeted for Q3. Successful EOIs include the James Henare Centre and a Centre for Pacific and Global Health which will be aligned with a wider Pacific Research Entity. Full proposal should be completed and logged with Review group by 29th April 2022.

Ongoing strategic support and development of UoA Māori research centre as part of the *Hikina kia Tūtuki Grand Challenges process*.

Pacific Research Entity: The University has committed investment for a university-wide Pacific research entity; the first of its kind in this country. This will be a focal point for Pacific research expertise and is expected to be organised around priority areas (i.e., climate change, health and wellbeing and education and social justice). This has made excellent progress, with support for a direction of travel endorsed and a leadership group agreed upon. Further progress is expected in early March.

Priority 2: A global powerhouse of innovation, creativity and entrepreneurship

Work on the MedTech Innovation Quarter is proceeding well with the Economic Impact study being developed by Deloitte and the internal implementation and resource planning underway. The first meeting was held of Te Titoki Mataora the Board overseeing the Med Tech Translator, essentially the successor to the Med Tech CoRE.

Priority 3: Relevant, purposeful, impactful research for our communities

OPVCM is working with ORSI to finalise governance and policy developments for research with Māori. The Poutiaki Rangahau Māori appointment is imminent. This role will develop plans for the stewardship of Māori data across the University.

Openness in Research:

- The business case for the Open Access project has been approved. Work on delivery approach and stakeholders' engagement will start soon. Openness in Animal Research policy is under development to be approved at Senate in Quarter 1. Operationalisation of the policy is also underway.

Priority 4: Ambitious research confronting humanity's greatest challenges.

Transdisciplinary Ideation Fund (TIF); In 2022, TIF work is focusing on developing new collaboration and partnerships to deliver high-quality transdisciplinary research outcomes.

Priority 5: Nurturing, recruiting and retaining outstanding research talent.

A wider recruitment drive for the University is under development and opportunities for strategic appointments around research capabilities continue. The Covid Hardship support fund was established late in 2021, particularly to help early career researchers over the impacts of Covid lockdowns and travel restrictions etc, is now operating well with more than \$1m already granted under this scheme.

Researcher Skills & Development (RSD):

- A series of 3 workshops were held in December 2021 to gather feedback from Researchers on current state and future state of the Skills and Development offerings. The outcomes of the workshops will inform the priorities for 2022 RSD delivery.
- Developed RSD service model and fully costed Business Case for delivering RSD in 2022 and beyond - waiting for final approval.

Priority 6: A research ecosystem characterised by collaboration, agility, simplicity, engagement, and empowerment

Research Infrastructure (RI) and Research Platforms

- Ongoing work to progress the two key projects enabling the Research Platforms: Research Platforms ecosystem and Flexible work allocation (for Technical Support and Facilities function).

3. Partnerships and Engagement

Overview

Activity in the Strategic Engagement portfolio has, as in other parts of the University, largely focused on delivering as much activity as is feasible within the well-documented restrictions of the current public health settings, most notably as they related to the relative impossibility of international travel and the limitations on gathering sizes. And although it is only March, attention is turning to how we can best prepare the University for what will hopefully be a more familiar landscape in 2023. Ensuring that we can attract a large and diverse cohort of international students for Semester 1, 2023 is a particularly high priority.

Priority 1: Strengthen and deepen our relationships with tangata whenua.

The Council has the Kōtuitanga with Ngāti Whātua Ōrākei before it for discussion. It has the support of the OPVCM who will now look to implementation plans for the partnership, alongside relationship building activities in Tai Tokerau.

In acknowledgement of the gifting of a new Māori name (*Waipapa Taumata Rau*) by Ngāti Whātua Ōrākei, the University has incorporated *Waipapa Taumata Rau* into the University's official logo, replacing the former more literal translation, (*Te Whare Wānanga o Tāmaki Makaurau*). This is an interim measure while the University develops a plan to consult on options for evolving a new visual identity that reflects more fully our diverse student, staff, alumni and community relationships, and our unique place in the world.

Guidance on the use and inclusion of *Waipapa Taumata Rau* and te reo translations for position titles and departments in staff email has also been circulated to all staff.

Priority 2: An ambitious and relevant partner that is globally networked.

The University is leading on the delivery of the New Zealand Regional Report at the Asia-Pacific Association of International Education (APAIE) Conference 2022 (taking place 27-31 March), providing an update on the recent border announcement and showcasing examples of opportunities and activities for international students related to sustainability and climate action.

278 University of Auckland students took part in a virtual global learning programme with the support of the International Office (360 International) during the NZ summer period, between Nov 2021-Feb 2022. Programmes ranged from the Climate Action Internships to a 3-week programme on Indigenous Rights and Histories in Brazil; and short courses with Masaryk University in the Czech Republic.

Priority 4: Enduring relationships with prospective students, students, alumni and donors.

As part of the ongoing implementation of the new Schools and Community Engagement structure, the Kaihautū and her leadership team continue to meet with internal partners to map all current outreach, engagement and recruitment activities against the University's *pōwhiri model* and begun reaching out to contacts in key schools and communities to further understanding about external stakeholder needs.

Planning is also at an advanced stage for this year's Link Conference with all schools Careers Advisers for a more formal launch of and briefing on the new Student Services Function with contributions planned across Student and Academic Services, the Library and Learning Services as well as the Schools and Community Engagement team.

In February ARD made the decision to again postpone the Chancellor's Dinner due to the restrictions of the Covid-19 red level setting. A combined 2021/2022 Chancellor's Dinner has been announced, which will bring together two years of this important event on Saturday 5 November 2022 at the Auckland Museum.

The Taumata event, to celebrate the Distinguished Alumni Awards, is still scheduled for 11 June.

Planning has commenced for the recommencement of international alumni relations and development events with London, New York and Boston trips scheduled for May.

Priority 5: Diverse student body reflecting our communities.

The Schools Community and Engagement Team continue to deliver 'business as usual' University overview presentations to schools throughout New Zealand. Such presentations are currently delivered digitally due to the ongoing logistical challenges of COVID-19 and the Omicron outbreak.

Priority 6: Recognised and valued by our communities for the contributions we make towards a more sustainable future for all.

A first draft of the new Sustainability Strategy (Te Rautaki Aronga Toitū) is nearing completion and the consultation process will intensify in the coming weeks. Valuable input has been generated from a Māori caucus convened by the PVC (Māori). The Sustainability Steering Committee will meet on 8 March to determine the consultation dates.

4. Enabling our People and Culture

Overview

Operational plan activities continue to be scoped and prioritised.

Progress against priorities:

Priority 1: Live our values and purpose

The scope of this work is almost complete and will focus on the following 4 key areas:

- The development of a Senior Executive Leadership Programme;
- Cascading values-led culture practices – tools and facilitated sessions for leaders and teams;
- Integrating our values and Te Ao Māori principles in systems, processes, policies and decision making to deliver an improved employee experience;
- Deciding on an Employee Engagement Survey Tool and communication approach.

A business case has been submitted prioritising the key deliverables for each of the 4 key areas. It is intended that we will progress each of the key areas in 2022, with particular emphasis on developing and implementing an executive leadership programme and integrating our values and Te Ao Māori principles into our systems and processes.

Priority 2: Develop a future-ready workforce

A business case has been submitted to support this project. The purpose of this work is to identify and define a comprehensive and cohesive set of workforce initiatives (a Workforce Strategy) that identifies, builds, and supports the Academic Workforce to enable us to deliver the outcomes from Taumata Teitei. The project will focus on the future academic workforce including new roles (pracademics, community engagement), new capabilities (digital, Matauranga Māori, transdisciplinary) new ways of working and new recognition and reward structures. Close alignment with the Curriculum Transformation project and the Ranking Strategy are key in delivering this project.

Priority 3: Build a high-performing, diverse, inclusive and equitable community

People and Culture and Pro Vice-Chancellor (Māori) are co-sponsoring the development of a Māori staffing plan. The first workshop including members from the Māori community occurred late last year. A follow-up hui is occurring the week of the 18 February to determine priority areas.

Work towards a Pacific staffing plan continues, addressing cultural competency, leadership, equity. With the appointment of an Acting PVC (Pacific) this work will take a different route.

Priority 4: Activate manaakitanga, whanaungatanga and kaitiakitanga across our People and Culture practices

Te Akoranga Kairangi: A cultural and Tiriti competency programme is being finalised for all staff, alongside plans to scale-up and roll out to priority groups in 2022. The OPVCM with the Pūtoi Ako network are progressing plans through the Taumata Ngaio project to deliver Māori staff development programmes in 2022.

5. Our Enabling Environment

Overview

Indicators	Last Year	Current Year			
		To Date (31 January)		End of Year	
	Actual	Target	Actual	Target	Forecast
Total revenue (\$M)	1,333.1	335.1	338.4	1,344.6	1,344.6
Revenue achieved as a % of budget	104%	100%	101%	100%	100%

Progress against priorities:

Strategic Priority 1: Mana-enhancing services and practices

Following on from mapping the journeys of different student cohorts, the University has commenced **mapping staff journeys**. The purpose is to identify opportunities and pain points for various roles across the University.

OPI is in the process of introducing new service-design principles for functions. These incorporate continuous-improvement initiatives identified from exercises such as student and staff journey mapping.

Version 2.0 of *Kāhu*, the University's app for students, has been released, incorporating a comprehensive redesign to deliver a new look and feel, and navigation, as well as the introduction of role-based personas (based on membership groups in our identity and access management system) that enable personalised information to be provided to the student according to their level of study and the point they are at in the student journey. A key next step

is to establish student and stakeholder feedback loops post-releases to capture user-experience insights and inform the product roadmap. During 2021, Kāhu had around 28,700 users, with the personalised timetable being the most well-used feature (by 37.4 per cent of users).

OPVCM is organising wellness packages for the Māori students with Covid to assist in managing symptoms and recovery from Omicron.

Strategic Priority 2: Efficient, effective, prudent, transparent, and informed operations

Work continues on the implementation of Whakamana Tangata (Student Services Strategy) priority initiatives. The Student Services Function Review (phase 1) (SSFR) is the most significant initiative in progress with the transition-requirement continuing this quarter in preparation for 'go live' on 4 April 2022. Student hubs that provide in-person support to any current or future student are a key element of the new service delivery model. These will be operated by Te Tumu Herenga and established on City, Epsom, Grafton, Tai Tonga and Tai Tokerau campuses. AskAuckland Central and the faculty student centres will close on 1 April. All hubs will be operational from 4 April, with staff in their new roles and locations. Supply-chain issues impacting the construction works mean the City Campus hub will initially be operating from a temporary facility on level 1 of the General Library, until the permanent ground-floor space can be occupied, provisionally on 11 April.

Discovery and planning activities are underway to support future work in four additional priority areas of student services and support, being: 1. Wellbeing & Safety, 2. Retention & Success, 3. Co-curricular & Social Engagement and 4. Social Spaces. The review scope is designed to address Whakamana Tangata priorities, align with Taumata Teitei and ensure the University is well positioned to meet expectations of the Pastoral Care Code of Practice and the Learner Success Plan.

The University's Institutional Information Framework has been strengthened through the endorsement of the new University Data Strategy by the Data Governance, Analytics and Business Intelligence Committee. The strategy, which was developed this year through an inclusive process, will next be considered by UEC. The Data Strategy acknowledges the commonality of the policy framework, data governance and data literacy across the three high-level data domains of Research and Innovation, Teaching and Learning and Institutional and Administrative data. A Data Strategy Operational Plan is now in development.

The CFO has finalised a number of Finance-function service-delivery-model changes, with support from HR. New senior appointments have been made to the Finance leadership team, supported by an organisational realignment of the functional teams. The refreshed leadership team are documenting prioritised plans to support the transformational objectives and Taumata Teitei. The plan will address a number of the finance-related pain points being identified in the Academic Heads and Researcher Journey maps referenced above.

Work has continued across Digital Services and Organisational Performance and Improvement (OPI) on the development of an **improved operating model** that envisions the organisation as a network of high-performing teams, aligned to the University's five strategic themes, with each pursuing clear, end-to-end customer/stakeholder-oriented outcomes and possessing the requisite skill set.

Strategic Priority 3: Seamless, effective, and equitable user experiences across social, physical, and digital environments

The HR ecosystems business case has been finalised and will be tabled at Capital and Expenditure Committee to gain approval to proceed to RFP and the first phase of programme delivery. The broader ERP strategy proposes a phased migration from the PeopleSoft applications to modern cloud-based solutions, over the next decade, with the HR ecosystem being the first to progress.

Connect and Student Services support staff are continuing to partner to provide technology support, to ensure students have equitable access to devices and broadband to participate in classes and learning. For students unable to study at home, the information commons at City, Tai Tonga and Tai Tokerau campuses remain open. In 2021, more than 1100 laptops and 300 internet connections were deployed to students.

The Equity Office Te Ara Tautika has developed the University's Disability Action Plan, which was adopted by UEC in January and is coming to Council for their endorsement. The next step will be to establish an implementation plan, which will involve collaboration across the University.

In preparation for various scenarios related to the Covid protection Framework (traffic-light system), additional equipment to facilitate **automatic live-streaming of lectures**, has been designed and installed in 321 teaching spaces across all campuses. This mitigates the halving of occupancy numbers in some spaces, due to distancing requirements. The livestream also benefits overseas students and those unable to come onto campus.

For the start of Semester One, some teaching staff have requested to use the empty lecture theatres for online delivery to take advantage of **specialist equipment and other facilities** in lecture theatres, instead of being limited to a laptop and webcam if teaching from home.

To support transition and retention of new students joining the University, a pilot programme for Semester One has been introduced to survey students' well-being and preparedness based on eight identified variables – living arrangements; transportation; finance and work; IT equipment; transition preparedness; intent to engage; mental health and well-being; and physical well-being. As of 15 February, 3,888 students had completed the survey, with 742 being identified as low concern, 2,363 as moderate concern, and 783 as high concern. Of the 'high concern' students, 330 have shown concern about suitability of living arrangements, financial circumstances, or mental health. All students identified as being of high concern have been referred to Te Papa Manaaki | Campus Care, for further assessment and support.

Strategic Priority 4: A distinctive, capable, and flexible built environment that celebrates our place in Aotearoa New Zealand and the Pacific.

The priorities of Te Rautaki Tūāpapa, the University's Estate Strategy, continue to be progressed. Current activity includes:

- The new Digital Services workspace in the **Newmarket Innovation Centre** opened on 21 February. The flexible, purposely designed space provides modern, collaborative areas using new technology and innovative equipment, like soundproof privacy meeting booths.
- Construction of the **Recreation and Wellness Centre** and **Building 201** are progressing well, despite major delays and disruption due to Covid-19. The projects remain on budget although supply-chain disruption and Covid-19 isolations are impacting the project teams and sub-contractors.

- The **Building 104 | Old Choral Hall** project is on track with the building consent process now complete. Subject to approval of Capital Expenditure Committee, construction is planned to commence in April 2022.
- The **Te Tai Tokerau Campus** redevelopment is due to commence its planning phase. Ihonuku Te Kawehau Hoskins is chairing the Steering Committee and the team are excited to be advancing a practice that prioritises partnering with local Māori and other expertise.
- The **MedTech Innovation Quarter** Steering Group is meeting regularly, with Deloitte appointed to assist with developing the strategic case.

The deferred maintenance programme for 2022 has been established with a total of **95 projects with a combined value of approximately \$20 million** either in delivery or planning phases. Some of these projects are to ensure there is a pipeline of maintenance projects for delivery over the next two years in order to protect University assets.

Strategic Priority 5: A commitment to achieve net-zero carbon status and to publish meaningful metrics of the University's progress towards overall sustainability

The first **University Greenhouse Gas Emissions Inventory** has been successfully audited and verified to ISO14064-1:2018.* The University obtained the second-highest rating score 'good'. The development of the net-zero carbon strategy is in advanced stage, with the Sustainable Estate and Operations Working group considering the first draft in the coming weeks.

The **Energy Monitoring and Reporting project** has been established to consolidate University data on electricity, water and gas, on a platform the vendors are expanding so their clients can undertake full ISO14064-1 carbon reporting. The interface between the Building Management System and the Monitoring and Reporting system is now complete with some 980 BMS connected check meters automatically uploading their 15-minute data on a daily basis. The data interface workstream linking the Monitoring and Reporting system to the University's data warehouse is progressing well.

**Toitū Envirocare Joint Accreditation System of Australia and New Zealand (JAS-ANZ) and were the world's first to be accredited under ISO 14065. Accreditation is the third-party endorsement of competence to issue certification. This accreditation allows Toitū to issue certification against ISO 14064-1 and PAS 2050.*

Connect is leading a proof of concept for a '**digital twin**' building in conjunction with Property Services and an external partner, NextSpace. A digital twin is a 3D digital representation of the inner workings of a building. The purpose is to better understand building utilisation and identify sustainability improvements.

Dawn Freshwater
Vice-Chancellor

Appendix One: University Gifts and Pledges | September – December 2021 & January 2022

- A very significant gift in kind from Trimble Europe BV for equipment for the Trimble Technology Lab at Engineering;
- \$1,600,000 from an anonymous donor to support leadership and governance activities at the Business School;
- \$1,000,000 from the Aotearoa Foundation to support the Digi Mini Me project at the Auckland Bioengineering Institute;
- \$883,775 from the Auckland Medical Research Foundation to support three research projects at Medical and Health Sciences: the AMRF Doctoral Scholarship study into 'Pancreatic Exocrine Insufficiency: Advancing Diagnosis and Treatment', 'A strain-specific approach: Identifying proteins associated with unique alpha-Synuclein polymorphs for the distinction and treatment of different synu' and 'Obesity and Breast Cancer: a novel 3D organoid model to study cancer-adipocyte crosstalk'; and the 'Capturing the well-being dividend: Methodological innovation to model mental health outcomes for refugees' study at Education and Social Work;
- \$841,613 from Cancer Society Auckland Northland to support the Auckland Cancer Society Research Centre at Medical and Health Sciences;
- \$832,443 from Cure Kids to support the Nourishing Hawke's Bay: Knowledge Exchange and Action Network, the 'Building resilience in young people through sensing technology' study and the 'Five year research plan: Reduce SUDI deaths to less than 0.1 per 100 babies in Aotearoa, New Zealand by 2025 through smart digital technology' study, all at Medical and Health Sciences;
- \$510,000 from an anonymous donor to support research at Medical and Health Sciences into antibacterial and antifungal properties of fungi;
- \$450,000 from New Zealand Carbon Farming Group for research at Medical and Health Sciences into antibiotic properties of fungi;
- \$429,093 from the Human Frontier Science Program Organization for the 'How life got moving: reconstructing and re-evolving the bacterial flagellar motor, piece-by-piece' project at Science;
- \$350,000 from Rank Group Limited to support the Centre for Informed Futures at Arts;
- \$331,168 from the Sir John Kirwan Foundation to support the Centre for Arts and Social Transformation at Education and Social Work;
- \$300,000 from the Waiwetu Trust to support the Centre For Brain Research at Medical and Health Sciences;
- \$210,433 from the Heart Foundation for the 'Modulating mitochondrial calcium to improve energy supply in the human heart' research fellowship at Medical and Health Sciences;
- \$200,000 from the Maurice and Phyllis Paykel Trust to support the 'Targeting insulin signalling as an approach to weight loss' study at Medical and Health Sciences;
- \$198,600 from Bruce Aitken to support a PhD scholarship in kauri dieback research at Science;
- \$184,184 from the Tamariki Pakari Child Health and Wellbeing Trust to support staff positions in the Department of Paediatrics at Medical and Health Sciences;
- \$152,480 from the Victoria League to support the Victoria League Postgraduate Nursing Scholarship at Medical and Health Sciences;
- \$150,000 from Vernon Tews Education Trust to support a PhD scholarship in Ophthalmology and the Vernon Tews Trust Pharmacy PhD Scholarship, both at Medical and Health Sciences;

- \$136,878 from the Ralph and Eve Seelye Charitable Trust to support Ralph and Eve Seelye Scholarships;
- \$110,000 from the NZ Association of Optometrists Education and Research Fund for 'NERF Postdoctoral Research Plan Seed Funding' at Medical and Health Sciences;
- \$100,000 from AFT Pharmaceuticals Ltd to support a postdoctoral fellowship in Anaesthesiology at Medical and Health Sciences;
- \$70,000 from the Frederich Kurstein Anthonsen Research Charitable Trust to support Ophthalmology research at Medical and Health Sciences;
- \$60,870 from AGMARDT for the 'Biostimulating nitrogen uptake to boost crop productivity and reduce environmental impact' study at Science;
- \$60,000 from Warren and Mahoney for the Warren and Mahoney Maori and Pasifika Masters Scholarship in Architecture at Creative Arts and Industries;
- \$50,000 from the Estate of Ernest H Davis and Ted and Mollie Carr to purchase a portable echocardiology machine for the Manaaki Manawa Centre for Heart Research at Medical and Health Sciences;
- \$50,000 from the New Zealand Dental Association for the 'Oral Health status of New Zealand Children' study at Medical and Health Sciences;
- A \$US1,500,000 (\$NZ value \$2,241,810) bequest from the Alan Maxwell Revocable Trust for Alan Maxwell Science Scholarships in the areas of Mathematics, Physics and Astronomy;
- \$US202,000 from Hadley Wickham to support staff and students in Data Science and Statistics; and
- \$US28,688 from Grant Biggar to support the Kupe Leadership Scholarships.

Chair Approved but not confirmed by the Committee

Audit and Risk Committee Agenda | PART A

4 March 2022

Via Zoom

9 am to 11 am



<p>Present: Jan Dawson (Chair), Dr Rajen Prasad, Rachael Newsome, Cecilia Tarrant, Rob McDonald and Jonathan Mason</p> <p>In Attendance: Professor Dawn Freshwater, Adrienne Cleland, Tim Bluett, Andrew Phipps, Rachelle Miller, Stephen Whiteside, James Harper, Angus Clark, Julian Michael, Brent Penrose, Michelle Chaloner, Lisa Muller, Anthony Steele, Yoonyoung Lee and Jacqui Metcalf</p>		
1. Apologies	No apologies were noted.	
2. Disclosures of Interest	No further disclosures were noted.	
3. Minutes of Audit and Risk Committee of 24 November 2021	<p>Item No 3.1: Minutes, Part A</p> <p>Item No 3.2: Matters Arising from the Minutes, Part A, not elsewhere on the agenda.</p>	<p>RESOLVED (Jan Dawson Cecilia Tarrant) that the Minutes, Part A, of the Audit and Risk Committee held on 24 November 2021 be taken as read and confirmed.</p>
4. Other Matters for Decision or Noting	<p>Item No 4.1: Committee Responsibilities and Processes This paper was discussed and noted.</p> <p>Item No 4.2: Audit and Risk Committee Terms of Reference The Committee Terms of Reference was reviewed. The Committee requested the following minor changes.</p> <p>a) <i>Insurance</i> Each year the Committee will review and approve the University's insurance policies cover and any policy changes which may be recommended.</p> <p>b) 9. Authority The Committee has the following delegated authorities:</p> <ul style="list-style-type: none"> • appointment of the Internal Auditors • approval of the University's significant accounting policies and changes to them 	<p>RESOLVED (Cecilia Tarrant Rachael Newsome) that:</p> <p>i) The paper be received and noted; and ii) Audit & Risk Committee recommend to Council that the amended Committee Terms of Reference be adopted.</p>
5. Leave of Absence	No leave of absence was requested for the next Committee meeting.	

10.1

Chair Approved but not confirmed by the Committee

<p>6. Public Exclusions</p>	<p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p> <p>General subject of each matter to be considered:</p> <p>Item No 7.1: Audit and Risk Committee Meeting 24 November 2021, Minutes Part B</p> <p>Item No 7.2: Audit and Risk Committee Meeting 24 November 2021, Matters Arising from Minutes, Part B</p> <p>Item No 8.0: 2021 Draft Annual Report</p> <p>Item No 9.0: EY Reporting</p> <p>Item No 10.0: 2021 Management Judgements</p> <p>Item No 11.0: Combined Assurance Report</p> <p>Item No 12.0: Outcome of Internal Audit RFP</p> <p>Item No 13.0: Health & Safety Programme Update</p> <p>Item No 14.0: Digital Programme Update</p> <p>Item No 15.0: Independent Reviews</p> <p>Reason for passing this resolution in relation to each matter:</p> <p>The protection of the interests mentioned below.</p> <p>Grounds under section 48(1) for the passing of this resolution:</p> <p>Those in Section 9 of the Official Information Act 1982 namely:</p> <ul style="list-style-type: none"> i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations; ii) To enable the University to carry on without prejudice or disadvantage negotiations; and iii) To prevent the disclosure or use of Official Information for improper gain or advantage. 	<p>RESOLVED (Jan Dawson Dr Rajen Prasad) that the public be excluded from Part B of this meeting AND THAT Professor Freshwater, Mrs Cleland, Mr Bluett, Mrs Miller, Mr Steele, Ms Lee, Mr Penrose, Ms Chaloner, Ms Muller, Mr Michael, Mr Phipps, Mr Whiteside, Mr Clarke, and Mrs Metcalf be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed.</p> <p>This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.</p>
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Chair Approved but not confirmed by the Committee

The meeting moved into a Public Excluded Session at 09.08 am.

The meeting closed at 10.59 am.

Approved as a true and correct record.

Jan Dawson, Chair

Date:

AUDIT AND RISK COMMITTEE

Terms of reference 2022

The Audit and Risk Committee is a committee of the University of Auckland Council, with the delegated responsibilities, authorities and accountabilities set out in this Terms of Reference approved by Council on 14 March 2022-16.03.2020.

1. Purpose

The purpose of the Audit and Risk Committee is to provide Council with an assurance that:

- All financial statements released to the public, stakeholders, lenders, or any regulatory body comply with accounting standards, fairly represent the financial position and performance, and
- An appropriate system of internal controls and an appropriate legal compliance framework operates throughout the University, and
- The University Risk Appetite is confirmed, and all material risks are identified and appropriately managed

2. Responsibilities

The Committee is responsible for:

Appointment of external auditors

The Committee shall liaise with the Auditor General in relation to the appointment ~~by him~~ of an Audit Service Provider in accordance with the Public Audit Act 1989.

Annual report and financial statements

The Committee is responsible for making a recommendation to Council concerning the adoption of the University's Annual Report and Financial Statements.

Accounting policies and standards

The Committee will review significant proposed accounting policy changes and discuss the nature and impact of such changes. Where appropriate, the Committee will discuss such changes with Finance Committee.

The Deputy Vice-Chancellor (Operations) and Registrar will keep Committee members up to date with current accounting standards and, in particular, any changes which could affect the University's financial statements.

Internal controls

The Committee will review systems of internal control throughout the University with internal and external audits to determine the extent to which the systems and audits of them can be relied upon to detect and control weaknesses or fraud.

Internal audit

The Committee is responsible for appointing the Internal Auditors, reviewing and approving the Internal Audit Programme, considering Internal Audit Reports and monitoring follow-up actions.

Insurance

Each year the Committee will review and approve the University's insurance ~~policies~~cover and any policy changes which may be recommended.

Risk

The Committee is responsible for confirming the University Risk Appetite monitoring the management of all material business risks and ensuring that appropriate procedures and conducts are in place to mitigate or manage those risks.

Legislative compliance

The Committee is responsible for overseeing compliance with legislation and policies relating to legislative compliance.

Litigation

The Committee will monitor any legal proceedings involving potential liability or contingent liability of the University.

Subsidiary companies

The Committee's Terms of Reference include all subsidiary companies. However, where a subsidiary such as Auckland UniServices has its own audit committee, then the subsidiary's audit committee shall have delegated to it the above responsibilities. Annually each such subsidiary audit committee shall, through its Board, provide a written report to the Committee identifying any accounting, internal control or risk matters that are material at a group level and any matters on which the subsidiary audit committee has taken a different position to that advocated by either external or internal auditors or legal counsel. Audit Committee minutes will be provided in a timely manner to the University Audit and Risk Committee.

3. Review of Terms of Reference

The Committee shall review its Terms of Reference every two years and report to Council the outcome of that review. These Terms of Reference replace those in force.

Audit and Risk Committee_04 March 2022_Terms of Reference (Committee to review)

4. Membership

The Committee shall comprise three lay members of Council, appointed by Council, and the Chancellor (ex-officio). At least two members of the Audit Committee should not be members of the Finance Committee.

The Committee shall have the power to co-opt an additional member to provide specialist skills.

5. Term of Appointment

The term of office of each member shall be one year.

6. Meetings

The Committee shall normally meet four times a year, on an approximately quarterly basis.

7. Attendance by Management and Advisors

The Vice-Chancellor, or nominee, and the Deputy Vice-Chancellor (Operations) and Registrar will normally attend committee meetings but at least twice a year and always including the meeting considering the Annual Report and Financial Statements the Committee shall meet with the internal and external auditors without management being present. Additional members of management may be invited to attend for particular items by either the Chairperson or the Vice-Chancellor.

The external and internal auditors may be invited to attend Committee meetings. The internal and external auditors will have access to the Chair of the Committee at any time. If the University is engaged in any litigation or defence of an action by any regulatory agency, the Committee may invite the University's legal advisor to attend its meeting and advise on any liability or contingent liability.

The Committee may request management to procure external professional advice where the Committee considers this necessary to meet its responsibilities.

8. Secretarial Support

The Deputy Vice-Chancellor (Operations) and Registrar, or nominee, will act as secretary of committee meetings and will circulate minutes of the meetings to committee members, the Vice-Chancellor, the external auditors and to the secretary of Council. The Deputy Vice-Chancellor (Operations) and Registrar, or nominee, will ensure that a complete record of the proceedings of the Committee, including agendas, minutes and papers, proposals, advice and reports provided to the Committee, is maintained in permanent form.

9. Authority

The Committee has the following delegated authorities:

- appointment of the Internal Auditors
- approval of the University's significant accounting policies and changes to them

10. Reporting

When the Committee is considering a recommendation to Council concerning the adoption of the University's Annual Report and Financial Statements, reports presented to the Committee at that meeting shall include:

- the draft Annual Report and Financial Statements
- the external auditors' Management Letter
- a report from the external auditors on any matters they believe the Committee needs to be aware of
- a report from the Deputy Vice-Chancellor (Operations) and Registrar on judgments made by management in the course of preparing the Financial Statements which have a material impact on the Financial Statements

At other meetings during the year, according to a schedule approved by the Committee at the beginning of each year, reports presented to the Committee shall include:

- internal Audit reports, including management responses to the recommendations
- annual reviews of the rolling Internal Audit programme
- reports on compliance monitoring of internal controls
- reports on each insurance renewal round
- half-yearly updates of the University's risk assessment
- reports of any significant changes to legislation or regulations

The Committee will provide the minutes of each meeting to Council.

11. Conflicts of Interest

Committee members must declare any real or perceived conflicts of interest in line with the requirements of the University's Conflicts of Interest Policy.

12. Confidentiality

Committee members have a responsibility to treat all information with appropriate confidentiality. This includes matters tabled or discussed at the Committee meetings, as well as any additional issues that are raised outside meetings.

Chair Approved but not confirmed by the Committee

Capital Expenditure Committee MINUTES | PART A

02 March 2022

Via Zoom

8 am to 9.30 am



Present: Cecilia Tarrant (Chair), Jan Dawson, Rob McDonald, Cathy Quinn and Professor Dawn Freshwater		
In Attendance: Adrienne Cleland, Tim Bluett, Simon Neal, Andrew Phipps, Stephen Whiteside and Jacqui Metcalf		
1. Apologies	No apologies were noted.	
2. Disclosures of Interest	No further disclosures were made.	
3. Minutes of Capital Expenditure Committee of 19 November 2021	<p>Item No 3.1: Minutes, Part A</p> <p>Item No 3.2: Matters Arising from the Minutes, Part A, not elsewhere on the agenda.</p>	RESOLVED (Jan Dawson Rob McDonald) that the Minutes, Part A, of the Capital Expenditure Committee held on 19 November 2021 be taken as read and confirmed.
4. Other Matters for Decision or Noting	<p>4.1 Committee Responsibilities and Processes</p> <p>This paper was noted.</p>	
5. Leave of Absence	No leave of absence was noted as the next meeting has not been scheduled.	
6. Public Exclusions	<p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p> <p>General subject of each matter to be considered:</p> <p>Item No 7.1: Capital Expenditure Committee Meeting 19 November 2021 and 14 December 2021, Minutes Part B</p> <p>Item No 7.2: Capital Expenditure Committee Meeting 19 November 2021 and 14 December 2021, Matters Arising from Minutes, Part B</p> <p>Item No 8.0: LTFP Capital Programme Update</p> <p>Item No 9.0: Old Choral Hall Change Request</p> <p>Item No 10.0: HR Ecosystem Business Case</p> <p>Item No 11.0: Vice-Chancellor Capex Delegation Report</p>	RESOLVED (Cecilia Tarrant Rob McDonald) that the public be excluded from Part B of this meeting AND THAT Mrs Cleland, Mr Bluett, Mr Neale, Mr Phipps, Mr Whiteside and Mrs Metcalf be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.

10.2

Chair Approved but not confirmed by the Committee

	<p>Reason for passing this resolution in relation to each matter: The protection of the interests mentioned below. Grounds under section 48(1) for the passing of this resolution: Those in Section 9 of the Official Information Act 1982 namely:</p> <ul style="list-style-type: none"> i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations; ii) To enable the University to carry on without prejudice or disadvantage negotiations; and iii) To prevent the disclosure or use of Official Information for improper gain or advantage. 	
<p>The meeting moved into a Public Excluded Session at 08.04 am. The meeting closed at 09.28 am. Approved as a true and correct record.</p> <hr/> <p>Cecilia Tarrant, Chair Date</p>		

10.2

Chair Approved but not confirmed by the Committee

Finance Committee MINUTES | PART A

2 March 2022

Via Zoom

09.30 am to 11 am



Present: Rob McDonald (Chair), Cecilia Tarrant, Cathy Quinn, Professor Dawn Freshwater, John Paitai, Professor Jennifer Curtin, Catherine Dunphy and Junyi (Johnny) Wang

In Attendance: Adrienne Cleland, Tim Bluett, Helen Cattnach, Mudasir Matto and Jacqui Metcalf

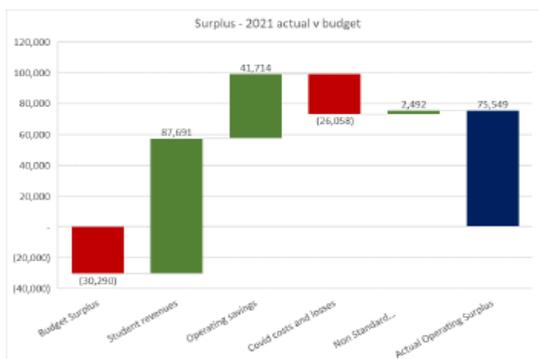
1. Apologies	No apologies were noted.	
2. Disclosures of Interest	No further disclosures were made.	
3. Minutes of Finance Committee of 23 November 2021	<p>Item No 3.1: Minutes, Part A</p> <p>Item No 3.2: Matters Arising from the Minutes, Part A, not elsewhere on the agenda.</p>	<p>RESOLVED (Rob McDonald Cathy Quinn) that the Minutes, Part A, of the Finance Committee held on 23 November 2021 be taken as read and confirmed.</p>
4. Other Matters for Decision or Noting	<p>Committee Responsibilities and Processes</p> <p>This paper was noted.</p>	
Other Matters for Decisions or Noting	<p>The following section has been approved for insertion in Part A of the minutes.</p> <p>It contains the Financial Performance for 2021 highlights to the Finance Committee.</p>	

10.3

Chair Approved but not confirmed by the Committee

1.0 Financial Highlights

The 2021 financial year is defined by a historic high in total student enrolments, which drives a significantly improved outturn compared to budget. The budget was set in the early stages of the pandemic and anticipated the situation would only worsen. The very unusual circumstances of border closures and lockdown related savings in the face of record enrolments have contributed to a significantly positive outcome for 2021.



This has placed the University in a good position to begin the 2022 financial year allowing flexibility to better mitigate emergent risks and harness opportunities on its pathway to business recovery targets of 2023.

The graph shows the main movements between budget and actual results. An operating surplus of \$75.5m or 5.7% of revenue was achieved. This largely reflects significant student enrolments, TEC and fee revenue combined with border control or lockdown related operational savings that more than offsets incremental Covid-19 mitigation initiatives or losses.

The cash effect of the increased revenue and delays to capital spend has had a positive effect on cashflows however, much of the delays in capital spend will impact 2022. Supply chain interruptions and labour resources are attracting higher costs than originally anticipated and pose an additional financial risk.

Student Revenues

Student enrolments and, consequently, revenue have played the most significant part in exceeding budget expectations. TEC revenue, domestic and international fees and other student related revenues combined to contribute \$87.7m.

Student EFTS were up by 9.7% on 2020, with strong growth in the pipeline from school leavers and 24% growth in postgraduate taught programmes. The focus on retaining international students and gaining new students from initiatives like the China Learning Centres has exceeded expectations, and it is estimated that 41% of international students are offshore.

Operating savings

Teaching and research people costs fell well short of budget, with this resulting from a combination of savings made with an eye to meeting future business recovery targets and difficulty in recruiting staff due to the border closures. Utilities, cleaning, security and associated property costs combined to deliver material savings. In addition to border closures affecting the ability of staff to travel, supply chains were also impacted, bringing the total operational cost savings to \$41.7m.

Covid-19 specific impacts

Some of the positive initiatives that drove the increased student revenues had associated unbudgeted costs.

- Student accommodation revenue suffered from the absence of internationals on campus and the lockdown rent rebates offered.
- China Learning Centres, which continue to be effective and popular, however, came at a cost.
- Additional costs were incurred to support students adversely affected or to encourage retention of enrolments.

Research revenue was deferred by \$10.8m, responding to the disruption of being locked out of critical research lab environments. Short term strategic and tactical initiatives have been commenced and funded from existing 2021 budgets. These initiatives are led by Taumata Teitei and will help position the University well for 2022 and beyond.

Chair Approved but not confirmed by the Committee

Table 1: Financial Highlights										
EFTS / \$000s	2021				2020			2019		2018
	Actual	Budget	var	var %	Actual	2021 v 2020 var	2021 v 2020 var %	Actual	Actual	
EFTS										
Undergraduate	27,315	24,950	2,365	9%	25,503	1,812	7%	25,736	25,388	
Postgraduate	8,904	8,067	837	10%	8,184	720	9%	8,086	7,950	
Other*	528	489	39	8%	562	(33)	(6%)	389	467	
	36,748	33,506	3,241	10%	34,248	2,500	7%	34,211	33,805	
Domestic	30,828	28,849	1,979	7%	28,697	2,131	7%	28,368	28,346	
International	5,391	4,168	1,223	29%	4,990	401	8%	5,454	4,993	
Other	528	489	39	8%	562	(33)	(6%)	389	467	
	36,748	33,506	3,241	10%	34,248	2,500	7%	34,211	33,805	
Revenue										
TEC funding	379,169	356,396	22,773	6%	350,679	28,490	8%	337,382	333,742	
Fees	381,941	332,556	49,385	15%	352,220	29,721	8%	351,561	326,486	
Research	306,409	299,481	6,928	2%	279,756	26,653	10%	282,890	277,175	
Other	253,537	259,737	-6,200	(2%)	251,042	2,495	1%	263,393	264,841	
Total revenue	1,321,056	1,248,171	72,885	6%	1,233,697	87,359	7%	1,235,225	1,202,245	
Expenses										
People	667,725	670,422	2,697	-	707,011	39,286	6%	669,181	655,871	
Operating costs & leases	419,671	445,052	25,381	6%	397,848	(21,823)	(5%)	371,352	354,573	
Depreciation	158,111	162,987	4,876	3%	158,763	652	-	144,236	133,116	
Total Expenses	1,245,507	1,278,461	32,954	3%	1,263,623	18,116	1%	1,184,768	1,143,559	
Operating surplus	75,549	(30,290)	105,839	(349%)	(29,926)	105,475	(352%)	50,457	58,685	
Interest – loan fair value**	12,019		12,019			12,019				
Net surplus	87,568	(30,290)	117,859	(389%)	(29,926)	117,494	(393%)	50,457	58,685	
Operating surplus %	5.7%	(2.4%)			(2.4%)			4.1%	4.9%	
EBITDA	236,856	132,414	104,442	79%	127,360	109,496	6%	192,204	185,299	
Cash, Debt & Capital										
Operating cash flows	233,411	101,258	132,153	131%	216,455	16,956	8%	242,445	165,565	
Cash & short-term invest	70,632	24,570	46,062	(187%)	51,552	19,079	(37%)	49,379	77,807	
Funding facility	(45,000)	(260,903)	215,903	83%	(137,500)	92,500	67%	(180,000)	(50,000)	
Capital expenditure	178,025	237,193	59,168	25%	158,654	(19,372)	(12%)	410,668	319,628	

*Other EFTS include out of time PhD, Nursing, ICT, and TFC. **Financial Instrument – Interest revenue associated with the restatement of Crown loan to fair value

Non-standard transactions
A net positive variance of \$2.5m resulted from movements in balance sheet accounts, employee liabilities and asset revaluations.

Cash & Debt and Capital Expenditure
Operating cashflows of \$233.4m were achieved as favourable working capital shifts ensure that the cash effect of the operating surplus is \$132.2m higher than budget.

Lockdowns and supply chain issues have slowed progress on building projects reducing the cash impact of capital expenditure by a net of \$58.3m across the interconnected investing and financing cashflows.

Closing bank debt was just \$45m, down from the \$137.5m of 2020 and below the \$260.9m budgeted. The University operated within banking covenants and satisfied TEC risk ratios.

5. Leave of Absence No leave of absence was requested for the next meeting.

6. Public Exclusions
The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:
General subject of each matter to be considered:

RESOLVED ((Professor Jennifer Curtin | Catherine Dunphy) the public be excluded from Part B of this meeting AND THAT Mrs Cleland, Mr Bluett, Mr Matto, Mrs Cattnach and Mrs Metcalf be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed.

Chair Approved but not confirmed by the Committee

	<p>Item No 7.1: Finance Committee Meeting 23 November 2021, Minutes Part B</p> <p>Item No 7.2: Finance Committee Meeting 23 November 2021, Matters Arising from Minutes, Part B</p> <p>Item No 8.0: Financial Performance for 2021</p> <p>Item No 9.0: Treasury Management, Compliance and Cash Flow Report</p> <p>Reason for passing this resolution in relation to each matter: The protection of the interests mentioned below. Grounds under section 48(1) for the passing of this resolution: Those in Section 9 of the Official Information Act 1982 namely:</p> <ul style="list-style-type: none"> i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations; ii) To enable the University to carry on without prejudice or disadvantage negotiations; and iii) To prevent the disclosure or use of Official Information for improper gain or advantage. 	<p>This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.</p>
<p>The meeting moved into a Public Excluded Session at 10.35 am.</p> <p>The meeting closed at 09.36 am.</p> <p>Approved as a true and correct record.</p> <p>_____</p> <p>Rob McDonald, Chair</p> <p>Date:</p>		

10.3



The University of Auckland

REPORT OF STUDENT APPEALS COMMITTEE 3 February 2022 (9.30 am)

Present: Professor Jennifer Curtin, Mrs Catherine Dunphy, Ms Rachael Newsome (Chair), Mr Junyi Wang

In attendance: Miss Hannah Wightman (Committee Secretary), Appellant

1. APPEAL AGAINST THE DECISION OF DISCIPLINE COMMITTEE

A student appealed against the decision and the penalty imposed by the Discipline Committee in regard to a breach of the Statute for Student Discipline.

The student attended the meeting. Following the departure of the Secretary and the appellant the Committee commenced deliberations. After considering the evidence, the Student Appeals Committee **RESOLVED:**

The decision and penalty of the Discipline Committee on 2 November 2021 is upheld.

10.4

THE UNIVERSITY OF AUCKLAND
MATERIAL FOR COUNCIL FROM
THE MEETING OF SENATE 28.02.2022

■ ■ ■

1. Draft Freedom of Expression Policy and Procedures (see also item 13.3 on the agenda)

A discussion document about Freedom of Expression had been submitted to Senate in 2021. The current draft Policy and Procedures had been abstracted from that discussion document. After the meeting of 28.02.2022, the draft policy and procedures will be going for consultation, prior to being submitted for approval to Council. There would also be further conversations about freedom of expression, academic freedom and the Code of Conduct with Senate. However, at this point, taking into account the work that had been undertaken in the past two years, it was important to already progress the Freedom of Expression Policy and Procedures.

Professor Peter Hunter, who Chaired the working group on Freedom of Expression, gave a brief introduction. He commented that the discussion paper that was submitted to Senate in 2021 maintained a balance between the rights and responsibilities for freedom of expression within the University and dealt with three competing issues:

- Universities were places where people traditionally could debate virtually any topic and where being offended would not be considered a reason for inhibiting speech. This was seen by many as a vital function of universities.
- Universities should not provide a platform for people whose primary intent was to harm or disparage others.
- It was reasonable to expect a level of scholarship from staff in an environment hosted by the University or in public statements.

These considerations led to the draft Policy and Procedures document submitted to Senate on 28.02.2022.

A new paper was currently being prepared to address a wider range of issues related to academic freedom which will be presented to Senate later this year.

In a discussion that followed, Senate noted the following:

- The rapid response Panel had been set up to help differentiate between the intention or perception to harm or disparage and to deal with this on a case-by-case basis. It would be very difficult to determine this in a generic way.
- The distinction between speaking as an academic expert and speaking out as an individual had been considered by the working group when they discussed the difference between freedom of expression and academic freedom. Academic freedom gave academics the right to pursue any line of enquiry and to publicly address any issue related to topics that fell within their broad expertise, including the University governance. However, when academics made comments in a private capacity about topics outside of their broad expertise, they were exercising their right of freedom of expression rather than academic freedom. This distinction was included in the discussion document. A separate document regarding academic freedom would be submitted to Senate for discussion later this year.
- The use of the term "content-neutral processes" in clause 3 of the Policy was intended to clarify that the processes did not depend on particular topics that were being discussed. However, Senate members could suggest other more suitable terminology.

More comments were made which required further legal advice and would be addressed after the meeting of 28.02.2022. Professor Hunter asked if any further comments on the draft Policy and procedures could be emailed to him.

Senate noted that it was important to keep the policy succinct and representative of the discussions rather than include all the details. However, references could be made to content available elsewhere on the University website.

2. Review of Senate

Further engagement about the Review of Senate, led by the Dean of Law, Professor Mathew, would take place later this year.

PART A:

1. RECOMMENDATIONS FROM SENATE REQUIRING TO BE CONSIDERED/RECEIVED BY COUNCIL REVIEWS

1. **Review of the School of Population Health**
2. **Review of Languages and Literacies Education**

Senate 28.02.2022 **RECOMMENDS** to Council that it **receive** the Review of the School of Population Health and the Review of Languages and Literacies Education Social Work – Further Progress Report

2. RECOMMENDATIONS FROM SENATE REQUIRING COUNCIL CONSIDERATION/APPROVAL

ACADEMIC MATTERS AND REGULATIONS

3. REGULATION AMENDMENTS

Senate 28.02.2022 RECOMMENDS that Council approves the following Regulation Amendments for submission to CUAP: CUAP AMENDMENTS

2022/801	PGCertRegDev, MRegDev	Introduces a Postgraduate Certificate in Regional Development, Master of Regional Development, adds subject, REGDEV 701, REGDEV 702, SOCCLEAD 707
2022/802	PGCertAcadPrac	Deletes qualification

Senate 28.02.2022 RECOMMENDS that Council approves the following Graduating Year Reviews for notification to CUAP:

Creative Arts and Industries

1.	20 UA15 21 UA15 22 UA15 23 UA15 24 UA15	MArch(Prof)HerCons, MArch(Prof)UrbDes, MArch(Prof)UrbPlan(Prof) , MUrbPlan(Prof)HerCons, MUrbPlan(Prof)UrbDes	Master of Architecture (Professional) and Heritage Conservation Master of Architecture (Professional) and Urban Design Master of Architecture (Professional) and Urban Planning (Professional) Master of Urban Planning (Professional) and Heritage Conservation Master of Urban Planning (Professional) and Urban Design
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Education and Social Work

2.	11 UA16	PGCertSCL MSCL	Postgraduate Certificate in Social and Community Leadership Master of Social and Community Leadership
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Engineering

3.	09 UA17	PGDipEng	Postgraduate Diploma in Engineering
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Medical and Health Sciences

4.	03 UA15	BHSc	Bachelor of Health Sciences
5.	15 UA16	BBiomedSc(Hons) PGDipBiomedSc MBiomedSc	Bachelor of Biomedical Science (Honours) Postgraduate Diploma in Biomedical Science Master of Biomedical Science

Science

6.	05 UA15	BSc	Biotechnology for the BSc
7.	10 UA15	BSc(Hons), PGDipSci, MSc	Biotechnology for the BSc(Hons) Biotechnology for the PGDipSci Biotechnology for the MSc
8.	09 UA15	BSc(Hons)	Medical Physics and Imaging Technology for the BSc(Hons)
9.	11 UA16	BSc(Hons)	Photonics for the BSc(Hons)
10.	10 UA16	MMarineSt	Master of Marine Studies

Senate, 28.02.2022 RECOMMENDS that Council approve the following Regulation Amendments:

- a) Regulation Amendment 2022-900:** Academic Statutes and Regulations - General Statutes and regulations – Fees and Fees Statute 2001
To amend section references to the Education and Training Act 2020 in the Fees Statute 2001.
- b) Regulation Amendment 2022-901:** General Statutes and Regulations – Conferment of Academic Qualifications and Academic Dress Statute 1992 - 2022 Calendar
 1. To add regalia specifications to the Conferment of Academic Qualifications and Academic Dress Statute for the Degrees of Bachelor of Communication (BC), Master of Translation (MTrans).
 2. To add regalia specifications to the Conferment of Academic Qualifications and Academic Dress Statute for the Degrees of Master of Business Management (MBM), Master of Business Analytics (MBusAn), Master of Business Development (MBusDev), Master of Information Governance (MInfoGov), Master of Property Practice (MPropPrac), Master of Supply Chain Management (MSCM).
 3. To add regalia specifications to the Conferment of Academic Qualifications and Academic Dress Statute for the Degrees of Bachelor of Design (BDes), Master of Housing Studies (MHousSt), Master of Architecture (Professional) and Housing Studies (MArch(Prof)HousSt), Master of Urban Planning (Professional) and Housing Studies (MUrbPlan(Prof)HousSt).
 4. To add regalia specifications to the Conferment of Academic Qualifications and Academic Dress Statute for the Degrees of Bachelor of Early Childhood Studies (BECSt), Master of Higher Education (MHigherEd).
 5. To add regalia specifications to the Conferment of Academic Qualifications and Academic Dress Statute for the Degrees of Master of Aerospace Engineering (MAeroSpaceEng), Master of Earthquake Engineering (MEqEng), Master of Infrastructure Asset Management (MInfraAssetMgt), Master of Materials Engineering (MMaterialsEng), Master of Medical Engineering (MMedicalEng), Master of Robotics and Automation Engineering (MRobotEng).
 6. To add regalia specifications to the Conferment of Academic Qualifications and Academic Dress Statute for the Degrees of Master of Global Studies (MGlobalSt), Master of Mathematical Modelling (MMathModel).
 7. To add regalia specifications to the Conferment of Academic Qualifications and Academic Dress Statute for the Degrees of Bachelor of

11.1

- Pharmacy (Honours) BPharm(Hons), Master of Nursing Science (MNSc), Master of Stroke Care (MStrokeCare).
8. To add regalia specifications to the Conferment of Academic Qualifications and Academic Dress Statute for the Degrees of Master of Biotechnology (MBiotech), Master of Chemistry (MChem), Master of Ecology (MEcology), Master of Food Science (MFoodSci), Master of Environmental Management (MEnvMgt), Master of Physiotherapy Practice (MPhysioPrac).
 9. To add regalia specifications to the Conferment of Academic Qualifications and Academic Dress Statute for the Degree of Doctor of Health Sciences (DHSc).
- c) Regulation Amendment 2022-902:** Key University Dates – Closing dates for Admission - Closing Dates for Applications for Admission to Undergraduate and Postgraduate Programmes
To remove the closing date for applications to MTchg(Primary)

4. Digital Badge Policy and Procedures

Senate 28.02.2022 **RECOMMENDS** that Council **approve** the Digital badge Policy and Procedures

5. Undergraduate English Language Proficiency Requirements and International English Language Equivalencies – update for 2022

1. Memorandum, 01.12.2021 from Michael Alderton, Senior Assessment Adviser, Applications and Admissions.
2. 2022 UG English Language Requirements (EC)
3. Programme-specific entry requirements for applicants with international foundation qualifications (attached for reference)

Senate 28.02.2022 **RECOMMENDS** that Council **approve** the Undergraduate English Language Proficiency Requirements and International English Language Equivalencies – update for 2022

6. Update of the Assessment (Coursework, Tests and Examinations) Procedures

Senate 28.02.2022 **RECOMMENDS** that Council **approve the** Update of the Assessment (Coursework, Tests and Examinations) Procedures

7. Guiding Principles for Conducting Research with Human Participants

Senate 28.02.2022 **RECOMMENDS** that Council **approve** the Guiding Principles for Conducting Research with Human Participants

PART B: ITEMS FROM SENATE FOR NOTING BY COUNCIL

Senate 28.02.2022 **RECOMMENDS** that Council **note** the following research and study leave report:

1. Report on Leave

Name	School/Department
Assoc Prof Barbara Kensington-	School of Curriculum & Pedagogy,

PART C: MATTERS RECEIVED AND APPROVED UNDER DELEGATED AUTHORITY

1. NEW SCHOLARSHIP, PRIZE & AWARD REGULATIONS

Senate, 28.02.2022 advises Council that the following four new Scholarship, Prize & Award Regulations were approved:

1. John and Rose Dunn Scholarship for Pacific Island Medical Students Koha Refugee Award
2. Keystone Vital Healthcare Property Scholarship
3. Michael J Pender Prize in Geotechnical Engineering
4. Tompkins Wake Prize in Competition Law

2. Senate membership – Lecturers’ Representatives on Senate, Faculties of Arts, Law and Science

Senate, 28.02.2022 advises Council that it approved the appointment of Associate Professor Stephen Winter and Dr Lisa Ivy Pilkington to fill casual vacancies for Lecturers’ Representatives on Senate from the Faculties of Arts and Science respectively for a term ending 31.01.25 and the appointment of Ms Nikki Chamberlain to fill a casual vacancy for a Lecturers’ Representative on Senate from the Faculty of Law for a term ending 31.01.2023.

3. Committee memberships

Senate, 28.02.2022 advises Council that it approved the following appointments to the membership of Academic Programmes Committee:

- Professor Nancy November, as Professorial Representative for a term ending 31.01.2024
- Associate Professors Viji Sarojini, Te Oti Paipeta Rakena, as sub-professorial representatives for a term ending 31.01.2025
- Dr Muhammad Hanif to replace a member currently on research and study leave.

Senate, 28.02.2022 advises Council that it approved the following appointment to the membership of Libraries and Learning Services Committee:

- The re-appointment of Mr Tae Lee for a three-year term ending 31.01.2025

**APPLICATION OF SEAL AND QUALIFICATIONS – DELEGATED AUTHORITY
COUNCIL 14 MARCH**

APPLICATION OF SEAL AND QUALIFICATIONS – DELEGATED AUTHORITY

Council at its meeting 19 June 2006 resolved:

That acting pursuant to section 167 of the Education Act 1989, the Council hereby authorises the person for the time being holding Office as Registrar of The University (and thereby a member of the staff of the University) to execute on behalf of the University documents conferring a degree or awarding a diploma granted by the University or replacing such documents previously conferred or awarded by the University by:

- i) affixing the common seal of the University to each of those awards pursuant to this resolution; and
- ii) counter-signing the affixing of the seal by the application of a facsimile of his or her signature.

In accordance with this resolution, the Seal was applied to:

- **6,690** degree and diploma certificates presented at the Winter 2021 graduation ceremonies; and
- **29** degree and diploma certificates presented at the Tai Tokerau 2021 graduation ceremony.

In addition, **1,288** degrees and diplomas certificates were conferred/awarded in absentia at Council meetings and certificates were subsequently issued.

During the year 2021, the Registrar's signature was also applied to:

- **537** New certificates for Certificate level courses;
- **51** replacement certificates which were issued as the originals were declared lost or damaged;
- **2** replacement certificates which were issued to reflect graduate name changes; and
- **5** replacement certificates made on behalf of the former Auckland College of Education.

In the year 2021, Spring Graduation was cancelled due to COVID-19 level restrictions. These qualifications were conferred/awarded in September and November Council.

Freedom of Expression: Rights and Responsibilities Policy Draft

Sections

- Application
- Purpose
- Background/Introduction
- Policy
- Definitions
- Key relevant documents
- Document management and control

Application

This policy applies to all members of the University community, including, but not limited to, staff, students, contractors, and visitors.

Purpose

This policy outlines the rights and responsibilities of members of the University in relation to freedom of expression. It reinforces the values of the University, guides decision-making powers given to individuals (from the Vice-Chancellor down) and details the channel for resolution of complaints by members of the University community on matters relating to issues concerning freedom of expression.

Background/Introduction

This policy is the result of discussion, debate and consultation arising from the 2019 hot topic debate “Under what circumstances should the University prohibit potentially inflammatory speakers on campus”.

The development of this policy is in accordance with the University’s commitment to Te Tiriti o Waitangi. It is also guided by and attentive to the Values and Principles laid out in [Taumata Teitei Vision 2030 and Strategic Plan 2025](#)

The University is legally bound to uphold Academic Freedom under the [Education and Training Act 2020](#). In addition, the [NZ Bill of Rights Act 1990](#) constrains the ability of government, and bodies performing public functions, to restrict the rights of an individual (Section 5): “... *the rights and freedoms contained in this Bill of Rights may be subject only to such reasonable limits prescribed by law as can be demonstrably justified in a free and democratic society.*”

The [Human Rights Act 1993](#) deals with discrimination and governs the work of the New Zealand Human Rights Commission. That Act also includes both a civil and a criminal prohibition on publications inciting racial disharmony.

Finally, the University is an owner and occupier of its physical premises with rights under the [Property Law Act 2007](#) to remove material posted on campus (notice boards or elsewhere).

In making determinations on matters related to freedom of expression within its facilities, the University does not have an obligation to make its facilities available to persons who are not members of the University community.

Policy

1. Freedom of expression is fundamental to academic scholarship of the institution. Members of the University must not place inappropriate constraints on the freedom to express the exchange of ideas
2. Members of the University community have a responsibility to act ethically and within the law when exercising their right to freedom of speech
3. Persons who are members and who wish to use facilities owned or operated by the University, must follow appropriate content-neutral University procedures for bookings and poster placement. All speech must be lawful and must not violate the Code of Conduct
4. In the event that materials (such as posters or leaflets) are found on campus that clearly contravene the zero tolerance for discrimination position of the University, the Communications Office is to liaise directly with the VC to have the offending materials removed and to put out a press release as soon as possible that states the University's condemnation of such views.
5. The University has a high tolerance for what might be seen as controversial, but public statements which are primarily intended to disparage or harm groups within the University are not permitted, even if they are within the law.
6. When several members of the University believe that an event or activity breaches this policy, they should lodge a formal submission outlining their objections to the Freedom of Expression Response Panel. The submission must be supported by a minimum of 15 signatures from the university staff and/or students.
Note - the procedures for submission are outlined in the Freedom of Expression Rights and Responsibilities Procedures (link to be added)
7. The Freedom of Expression Response Panel will fairly assess the impact of the event, activity or materials including the potential to harm vulnerable groups to decide whether the perceived breach is upheld, denied or if the event or activity may proceed if conditions are met.
Note: the composition of the Freedom of Expression Panel and the procedures it will follow are outlined in the Freedom of Expression Rights and Responsibilities Procedures and the Freedom of Expression Response Panel Guidelines (links to be added)
8. The Response Panel will operate within the principles and values set by Taumata Teitei Vision 2030 and Strategic Plan 2025:
Manaakitanga – Caring for those around us in the way we relate to each other.
Whanaungatanga – Recognising the importance of kinship and lasting relationships.
Kaitiakitanga – Valuing stewardship and guardianship and our relationship with the natural world.

Definitions

The following definitions apply to this document:

Staff member refers to an individual employed by the University on a full or part time basis

Student means an enrolled student of the University of Auckland

University means the University of Auckland and includes all subsidiaries.

University community includes all staff members (whether full time or part time, permanent, fixed term or casual), honorary staff, students (whether full time or part time), alumni, or official visitors or guests of members of the University or UniServices

Key relevant documents

Include the following: *(in hierarchical order)*

- [Education and Training act 1990](#)
- [Human Rights Act 1993](#)
- [NZ Bill of Rights Act](#)
- [Property Law Act 2007](#)
- [Taumata Teitei Vision 2030 and Strategic Plan 2025](#)
- [Addressing Bullying Harassment and Discrimination Policy and Procedures](#)
- [Event Management Policy](#)
- [University of Auckland Code of Conduct](#)
- Freedom of Expression Rights and Responsibilities Procedures
- Freedom of Expression Response Panel Guidelines

- *Forms which need to be created*
- *Other useful resources*

13.3

Document management and control

Owner: *Vice-Chancellor*

Content manager: *Deputy Vice-Chancellor Administration and Registrar*

Prepared by: *Avette Kelly*

Approved by: *Council*

Date approved: *When was the policy approved?*

Review date: *When will the policy be reviewed?*

Note – the default period is 3 years unless the owner requests a shorter period

Freedom of Expression: Rights and Responsibilities Procedures Draft

Sections

- Application
- Purpose
- Procedures
- Definitions
- Key relevant documents
- Document management and control

Application

These procedures apply to all members of the University community including, but not limited to, staff, students, contractors, and visitors.

Purpose

This document outlines the procedures relating to the Freedom of Expression Complaints Process and the Response Panel that will assess complaints made in relation to freedom of expression matters. They should be read in conjunction with the Freedom of Expression: Rights and Responsibilities Policy

Procedures

Procedure for lodging a complaint

1. A complaint by several University staff members or students about any material, event or action that they view as primarily intended to disparage or harm groups within the University, is to be lodged at *URL to be confirmed*

The threshold for initiating a discussion by the Freedom of Expression (FoE) Response Panel

1. When several members of the University believe that an event, material or activity breaches the Freedom of Expression: Rights and Responsibilities Policy, they may lodge a formal submission outlining their objections.
2. The submission must be supported by a minimum of 15 signatures from the University staff members and/or students. This includes all staff, emeritus professors and holders of honorary academic appointments.
3. The members of the Response Panel will vote in response to every official submission (provided it has 15 signatories).
4. If at least 2 members vote Yes, the submission will proceed to formal evaluation and the Response Panel will convene.
5. The Response Panel will automatically convene for any submission with 100 or more named university staff members or student signatories.
6. The Response Panel can also be convened at the request of the Vice-Chancellor, the Senior Leadership Forum and the University Executive Committee, the Event Coordination Group, or a convened Strategic Response Team or Incident Management Team.
7. A submission template will be available to students and staff on the university website, which clearly lays out the cause of the submission, the reason(s) for the

submission, relevant evidence, and the signatures. *(link to be added once form has been created)*

8. This can apply to scheduled as well as unscheduled events and activities, such as poster, leaflet and sticker campaigns, demonstrations, and social media campaigns.
9. Staff members and students will be advised of the support available to them.

Composition of Response Panel

10. The Freedom of Expression Response Panel will include people with broad legal, ethical, and social expertise and who can together exercise balanced judgement to fairly assess the impact of an event, activity or materials, including the potential to harm vulnerable groups.
11. The membership of the Panel will include:
 - A panel convenor who decides who needs to be invited in addition to the standing members
 - Pro Vice-Chancellor Equity
 - Two standing members elected by Senate
 - A student representative, to be agreed by both the Auckland University Students' Association (AUSA) and the Postgraduate Students' Association (PGSA) Pro Vice-Chancellor (Māori) or nominee
 - One or more members of academic staff with appropriate expertise in law and ethics
 - Seconded representatives with expertise relevant to the issue
12. The panel must have at least 6 standing members, with more seconded to provide appropriate expertise in dealing with a specific situation.
13. The panel will seek expert advice from members of the potentially targeted University group/s.
14. Quorum: At least 4 members of the Panel must be in attendance (in person or online) for the decision to be made
15. All panel members will be required to complete a training session on the relevant policies and regulations, the University's incident and risk framework as well as equity training (including understanding our [Te Tiriti responsibilities](#))

Response Panel outcomes:

16. Upheld: The event or activity may not proceed
17. Denied: The event or activity is allowed to proceed
18. Conditional: The event or activity may proceed if certain conditions are met, including but not limited to -
 - A forum where issues can be discussed and alternative views can be presented
 - Sufficient advance warning in consideration of potentially affected staff and students
 - Provisions for student and staff support
 - Additional security (cost borne by the University)
 - The event proceeds, but the University may take the opportunity to reiterate its own relevant policies, positions and values, or to make it clear that the positions likely to be advanced at the event are disputed by experts (including those at the

University of Auckland).

19. **Remedial actions** may include:

- **Houhanga rongō**
- A rebuttal event
- Trespass notices
- Student and staff support services
- Public statement

Definitions

The following definitions apply to this document:

Houhanga rongō: A formal process that achieves a peaceful resolution. The University of Auckland is committed to Manaakitanga, Whanaungatanga and Kaitiakitanga as outlined in Taumata Teitei. These commitments obligate the University to consider the importance of kinship and relationship, how we care and relate to those around us and recognise our role as stewards and guardians of our relationship with the natural world.

Remedial: applies to an event or activity that has already happened but is deemed to breach the Freedom of Expression Policy.

Staff member refers to an individual employed by the University on a full or part time basis

Student means an enrolled student of the University of Auckland

University means the University of Auckland and includes all subsidiaries.

University community includes all staff members (whether full time or part time, permanent, fixed term or casual), honorary staff, students (whether full time or part time), alumni, or official visitors or guests of members of the University or UniServices

Key relevant documents

Include the following: *(in hierarchical order)*

- [Education and Training act 1990 Council](#)
- [Human Rights Act 1993](#)
- [NZ Bill of Rights Act](#)
- [Property Law Act 2007](#)
- [Taumata Teitei Vision 2030 and Strategic Plan 2025](#)
- [Addressing Bullying Harassment and Discrimination Policy and Procedures](#)
- [Event Management Policy](#)
- Freedom of Expression: Rights and Responsibilities policy
- [University of Auckland Code of Conduct](#)
- Freedom of Expression Complaint Form (to be created)

Document management and control

Owner: *Vice-Chancellor*

Content manager: *Deputy Vice-Chancellor Administration and Registrar*

Prepared by: *Avette Kelly*

Approved by: *Who is the approval authority? Note- this is determined by the Policy Framework Policy*

Date approved: *When was the document approved?*

Review date: *When will the document be reviewed?*

Note – the default period is 3 years unless the owner requests a shorter period

13.3



Internal Memorandum

Date: 3 March 2022
To: Council
From: Professor Bridget Kool, Pro Vice-Chancellor (Education)
CC:
Subject: Amendment to Fees Statute – Removing fees associated with Aegrotat and Compassionate Consideration Applications for tests and exams

On 2 March 2022, the Vice-Chancellor used her delegated authority (approved by Council in December 2021 pursuant to the Omnibus Amendment Statute) to approve temporary alterations to the Examination Regulations in order to streamline the aegrotat and compassionate consideration application process for students and staff to deal with the anticipated surge in applications in the omicron environment.

To compliment these amendments, a change to the Fees Statute is proposed, as attached, whereby the application fees associated with the aegrotat and compassionate consideration process are waived.

The fees act as a barrier to students applying for Aegrotat and Compassionate Consideration when illness or other exceptional circumstances mean that they are unable to complete, or their performance or preparation is impaired in an assessment. Many students are likely to have their assessments impacted during the current Omicron outbreak, and it is a concern if students cannot access the Aegrotat and Compassionate Consideration process on an equitable basis.

The University does not set aegrotat and compassionate consideration application fees on a profit or break-even basis; the income received is nominal and the cost of administering and processing these applications greatly exceeds the application fees charged. The University's gross income from aegrotat and compassionate consideration application fees for 2020 was \$66,320 (inclusive GST). The University's

gross income from aegrotat and compassionate consideration application fees from 1 January 2021 until 14 October 2021 was \$54,000 (inclusive GST).

The proposed changes to the Fees Statute would alleviate undue hardship for students unable to pay the application fee and ensure equity for all students regardless of financial situation. It is our intention to undertake a comprehensive review of the Examination Regulations which will include consideration of removal of the application fee on a permanent basis.

Note the requirement for 'special conditions' application to incur a fee was removed some years ago, however, the fees schedule has not been updated to reflect this. Therefore reference to this in the Fees Schedule has also been removed.

It is proposed that Council agree to waive the application fees associated with the aegrotat and compassionate consideration process.

Fees Schedule A - All Students

	2022 Fees
Admission (domestic students only)	
Admission ad eundem statum through overseas tertiary study	\$100
Admission ad eundem statum through overseas secondary study	\$85
Discretionary Entrance, Special Admission	\$60
Admission (international)*	
Admission ad eundem statum through overseas tertiary study	\$100
Admission ad eundem statum through overseas secondary study	\$85
<i>*Fee does not apply to applicants applying through a registered Agent, under an Articulation Agreement with partner institutions, through Study Abroad Agreements or to NZ Aid Scholarship applicants.</i>	
External Transfer Credit	
Each application from any study undertaken at another tertiary institution (eg, Summer School, concurrent enrolment at another institution)	\$85
Each application from any study undertaken at an overseas tertiary institution	\$85
Enrolment Fees	
Enrolment after enrolment closing date and before the addition/deletion date	\$60 per course
Late enrolment after addition/deletion date and before the last day of lectures	\$120 per course
Reinstatement/Late Reinstatement	
Course reinstatement fee, per course (after an enrolment in a course has been cancelled or deleted)	\$30
Refund Processing	
Refund processing fee	\$60
International admission administration fee (applies to new international students only) charged at time of refund	\$1,000
Instalment Payment, Deferred or Delayed Payment Surcharge	
Instalment payment, deferred or delayed payment surcharge	\$60
Late Payment Fee	
Late Payment Fee (tuition fees and student services fees)	\$120

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Late Payment Fee (examination fines and charges)	\$60
Academic transcripts and letters	
ID card replacement	\$20
Hard copy transcript or official letter	\$30
Hard copy transcript or official letter – urgent delivery	\$120
Each additional hard copy – transcript or official letter	\$10
Special statements (eg, admission to the Bar)	\$30
Reconsideration of Academic Standing	\$60
Reconsideration of Academic English Language Requirements discontinuation	\$60
Digital transcript for Graduands/Alumni via My eQuals from 2010 onwards	NIL
Digital transcript via My eQuals – with any changes to enrolment post-Graduation or for students who have not completed a formal award or for Alumni graduated prior to 2010	\$30
Digital letter via My eQuals	\$30
Degree or Diploma Certificate	
Hard copy certificate at Graduation or in Absentia	NIL
Digital certificate via My eQuals – following Graduation	NIL
Replacement of hard copy certificate	\$85
Courier and handling charges	
Within New Zealand	\$10
To Australia	\$30
To all other countries	\$60
Examinations	
Recount of marks, each course (refundable if successful)	\$60
Examination script (per copy)	\$15
Aegrotat and Special Conditions	
Each examination application (per course)	\$30 <u>NIL</u>
– up to maximum of	\$50 <u>NIL</u>
Each test application (per course)	\$10 <u>NIL</u>

Examinations sat in New Zealand but outside University of Auckland campuses	
Application for single examination per venue	\$140
Application for each additional examination at the same venue	\$30
Examinations outside New Zealand	
Application for single examination per venue	\$175
Application for each additional examination at the same venue	\$30
Examinations sat outside the timetable	
Application for single examination on a day other than timetabled	\$120
Application for further examination on a day other than timetabled	\$30
<i>+Declined applications will receive a 50 percent refund of the relevant examination application fee.</i>	
Student Services Fee*	
Charged based on campus as follows:	
Students studying on City, Epsom, Grafton, Newmarket campuses	\$8.16 per point
Students studying on South Auckland and Tai Tokerau campuses	\$4.08 per point
Domestic students overseas – studying online (NO campus)	\$4.08 per point
International students overseas – studying online (OO campus)	\$4.08 per point
Students studying overseas as part of an approved exchange scheme	Exempt
All other students	\$4.08 per point



INTERNAL MEMORANDUM

Date: 20 January 2022
To: University Executive Committee
From: Adrienne Cleland
Deputy Vice-Chancellor (Operations) and Registrar
Reviewed By: Rebecca Ewert
General Counsel
Subject: Review of the University Campus Rules

Vice Chancellor's Office
22 Princess Street
Auckland, New Zealand
T +64 9 373 9953
E a.cleland@auckland.ac.nz
W auckland.ac.nz
The University of Auckland
Private Bag 92019
Auckland 1142
New Zealand

Purpose:

The University's Campus Rules were last approved by Council on the 7th December 2015. They are overdue for review.

This memorandum proposes that UEC recommends to Council that the University Campus Rules be re-approved for a further 5 year period without amendment.

Background:

The University's Campus Rules apply to all persons on the University campuses which is described as "all land and buildings occupied or controlled by the University and all University vessels, vehicles and other equipment". The Campus rules enable University disciplinary procedures to apply to breaches of the law or of University policies, rules and regulations.

The General Counsel has reviewed the Campus Rules and has no changes to recommend.

Campus Rules

Sections

- Application
- Purpose
- Policy
- Definitions
- Key relevant documents
- Document management and control

Application

These **rules** apply to all persons on the **University campus**

Purpose

To ensure that behaviour on campus is consistent with the good functioning and interests of the University and the safety of all persons by setting rules which apply generally across campus

Rules

1. Every person on campus must comply with the laws of New Zealand, all University statutes, policies, standards, procedures and all other University rules and regulations
Note: some University facilities and premises are govern by specific rules
2. Every person in any **class** must behave in a manner consistent with a positive learning environment and comply with any rules governing the class
 - The person in charge of a class may exclude from that class and up to three subsequent classes any student who behaves in a disruptive manner or otherwise inconsistent with the rules governing the class
3. Every person must comply with all instructions conveyed by authorized notices displayed on campus
4. No person may possess or bring any firearm (whether disabled or not) onto campus
5. No person may possess or bring any other weapon or knife onto the campus without reasonable excuse
Example 1: in normal circumstances, a person using a taiaha (a traditional Maori weapon) as part of an authorized Maori cultural performance on campus would have a "reasonable excuse" for bringing that taiaha onto campus.
Example 2: in normal circumstances, a person bringing a small fruit knife onto campus for the sole purpose of peeling fruit would have a "reasonable excuse" for bringing that knife onto campus

6. No person may be accompanied by a dog (other than a guide or licensed support dog) or any other pet on campus
7. No person may bring or consume alcohol on campus, other than licenced premises, without the approval of the Registrar or nominated representative
Note: the Registrar or nominated representative may grant approval for consumption of alcohol at an event in accordance with the provisions of the Event Management Policy relating to the service and the supply of alcohol

Definitions

The following definitions apply to this document:

Campus includes all land and building occupied or controlled by the University and all University vessels, vehicles and other equipment

Class include any lecture, tutorial, field trip, seminar, laboratory, or similar classification (whether physical or online) of students at which tuition or instructions is being given and includes any lecture or address at which students are present or participate

Rule means any written direction or requirement made by the Council, Senate, any of their Committees, or any authorized person of which notice has been given by:

- (a) publication in the University Calendar whether online or in hard copy; or
- (b) publication online in the University Policy Hub; or
- (c) other means

University means the University of Auckland

Key relevant documents

Include the following:

Access to the University Facilities Policy
Car Parking Policy
Civil Defence Policy
Equity Policy and Procedures
Event Management Policy
Health, safety and wellbeing Policy
Library Statute
Policy Framework Policy

Policy Framework Procedures
Prevention of Bullying and Harassment Policy, Procedure and Guidelines
Smoke-free Policy
Staff with Disabilities Policy
Work on Building and Services Policy

Document management and control

Content Owner: Director Campus Life
Owner: Registrar
Approved by: [Council]
Date approved: 7/12/2015 (to be reviewed March 2022)
Review date: Proposed new revised date March 2027