

<b>Council Agenda Part A (Open Agenda)</b>			Page #
<b>27.04.2022</b> <b>Council Room – Level 2 ClockTower, Princes Street 22, Auckland</b> <b>4:30pm</b>			
<b>1. APOLOGIES</b>	<b>The Chancellor moves</b> that the apologies, be <b>noted</b> .		
<b>2. FAREWELL</b>	The Chancellor <b>farewells</b> Sir Michael Daniell		
<b>3. DISCLOSURES OF INTEREST BY MEMBERS</b>	The attention of Members is drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.	<b>The Chancellor moves</b> that the disclosures, if any, be noted and the action taken be <b>endorsed</b> .	
<b>4. CONFERMENT OF DEGREES</b>	In accordance with the provisions of the Conferment of Academic Qualifications and Academic Dress Statute 1992 the Chancellor will confer the degrees listed (as attached) by stating: <i>By the authority vested in me by resolution of The University of Auckland Council I, CECILIA TARRANT, Chancellor, confer the degrees stated upon those who, within their several faculties, have satisfied the requirements of this University.</i>		<b>6</b>
<b>5. COUNCIL MEETINGS</b>	<b>5.1 Council, Draft Minutes (Part A), 14.03.2022</b>	<b>The Chancellor moves</b> that the Minutes (Part A),14.03.2022 be taken as <b>read</b> and <b>confirmed</b> .	<b>7</b>
	<b>5.2 Matters arising from the Minutes (Part A), 14.03.2022 not elsewhere on the Agenda</b> <ul style="list-style-type: none"> <li>• With regard to item 9 Vice-Chancellor’s Report: the discussion about Kōtuitanga with Ngāti Whātua Ōrākei mentioned on p.7 of the Report was further deferred until the meeting of 13.06.2022.</li> <li>• With regard to item 14 General Business: the letters from the Minister of education and TEC, discussed at the meeting of 14.03.2022, have been circulated to the members on 18.03.2022.</li> </ul>		
<b>6. VICE-CHANCELLOR’S REPORT</b>	<b>The Chancellor moves</b> that the Vice-Chancellor’s Report be <b>noted</b> .		<b>15</b>

<b>7. REPORTS OF COUNCIL COMMITTEES</b>	<b>7.1 STUDENT APPEALS COMMITTEE</b> <b>7.1.1 Report of the Student Appeals Committee 03.03.2022</b>	<b>The Chancellor moves</b> that Council <b>note</b> the Report, 03.03.2022 of the Student Appeals Committee	<b>29</b>
<b>8. SENATE MATTERS</b>	<b>8.1 REPORT OF SENATE, 11.04.2022</b> Part A 1-4: Policy and other matters requiring Council <b>approval</b> Part C, 1-: Matters handled under Delegated Authority	<b>The Chancellor moves</b> that the recommendations in Part A of the Report of Senate, 11.04.2022 be <b>adopted</b> and Part C be <b>noted</b>	<b>30</b>
<b>9. CORRESPONDENCE REFERRED BY THE CHANCELLOR</b>	No items received		
<b>10. OTHER MATTERS FOR DECISION OR NOTING</b>	<b>10.1 SEAL</b> Since the Council meeting on 14.003.2022 the seal has been applied to the following document in accordance with the Council resolution of 18.02.1991:  Deed of Lease Variation – 128 Anzac Ave & 131A Beach Road, Central, Auckland – NZ Education Holdings Beach Ltd (Landlord) & the University of Auckland (Tenant)	<b>The Chancellor moves</b> that the affixing of the seal to the listed document be <b>noted</b> .	
<b>11. GENERAL BUSINESS</b>	None		
<b>12. LEAVE OF ABSENCE</b>	(for the meeting of 13.06.2022)		

## **PUBLIC EXCLUSIONS**

**The Chancellor moves** that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

Item No. 1.1	Council Minutes (Part B), 14.03.2022
Item No. 2.1	University of Auckland Animal Ethics Committee Annual report
Item No. 2.2	University of Auckland Biological Safety Committee Membership
Item No. 2.3	University of Auckland Human Participants Ethics Committee Membership
Item No. 2.4	Honours Committee
Item No. 4.1	EFTS Forecast 2022
Item No. 4.2	Forecast Financial Performance 2022 update

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Adrienne Cleland, Professors Linton and Metson, Andrew Phipps, Tim Bluett, Pamela Moss, Todd Somerville, Helen Cattnach, Anthony Brandon and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

**The University of Auckland Council 27.04.2022**

**CONFERMENT OF DEGREES**

**MASTER OF ENGINEERING STUDIES WITH SECOND CLASS HONOURS FIRST DIVISION**

Qiuyu Pan

**BACHELOR OF COMMERCE**

Jinwen Zhang

<p><b>Council Minutes Part A (Open Minutes) 14 March 2022 (meeting was held virtually via Zoom)</b></p>		
<b>PRESENT:</b>	Ms Tarrant (Chair), Professor Freshwater (Vice-Chancellor), Dr Prasad, Mr Mason, Professor Curtin, Mrs Dunphy, Ms Dawson, Mr Paitai, Mr McDonald, Mr Wang, Ms Newsome, and Ms Quinn	
<b>IN ATTENDANCE:</b>	Mrs Cleland, Professors Kool, Linton, Metson, Stinear; Associate Professors Hoskins; Mr Bluett, Ms Moss, Mr Neale, Ms Cattanach, Mr Michael, Mr Brandon, Mr Stevenson, and Ms Verschaeren	
<b>KARAKIA</b>	A karakia had been offered by John Paitai at the beginning of the Briefings so an additional karakia was not required. The meeting was opened with a mihi by the Chancellor	
<b>1. APOLOGIES</b>	None	
<b>2. FAREWELL</b>	As this Council meeting was held virtually via Zoom, the Chancellor proposed to invite Sir Michael Daniell to the meeting of 27.04.2022 for a formal farewell.	
<b>3. WELCOME</b>	The Chancellor <b>welcomed</b> Mr Jonathan Mason as Ministerial appointee.	
<b>4. DISCLOSURES OF INTEREST BY MEMBERS</b>	The attention of Members was drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.  No further disclosures were made.	
<b>5. HONOURS/AWARDS - NEW YEAR HONOURS</b>	<p><b>THE NEW ZEALAND ORDER OF MERIT</b></p> <p><b>Dames Companion</b> Dame Marie J Shroff CNZM, CVO for services to the State and the community</p> <p><b>Companions</b> Dr Arapera Royal Tangaere for services to Māori and education Dr Geoff B Lorigan for services to business and leadership development</p>	<p><b>RESOLVED</b> (Chancellor/Ms Quinn) that Council <b>note</b> the Honours conferred on those persons with links to the University and that the Chancellor and Vice-Chancellor have already sent congratulatory letters to the persons involved.</p>

5.1

	<p>Mr John D Rosser for services to choral music                  Dr Michèle M Hawke for services to gymnastic                  Mr Neil A Richardson for services to business and philanthropy                  Professor Emeritus Stuart A Middleton ONZM, JP for services to education</p> <p><b>Officers</b>                  Mr Campbell R Smith for services to the music industry                  Ms Celia M Patrick for services to tennis                  Dr Chris Moyes for services to health                  Professor Evan P Moon for services to education and historical research                  Mr John W Blakey for services to education                  Dr Linda J Bryant for services to pharmacy and health                  Dr Lindsay F Mildenhall for services to neonatal intensive care and resuscitation training                  Mr Phillip R Ker for services to tertiary education                  Emeritus Professor Ralph P Cooney for services to science and innovation                  Mr Richard B Hoskin for services to the blind and people with low vision</p> <p><b>Members</b>                  Dr Alison P Barrett for services to women's health                  Dr Angela D Sharples for services to education                  Ms Frian Wadia for services to disability and education                  Dr Graeme H Fenton for services to Māori and rural health                  Ms Gwendoline Smith for services to mental health                  Professor Jacinta A Ruru for services to Māori and the law                  Joshua J Robertson for services to the Pacific community                  Mrs Kolokesa U Mahina-Tuai for services to cultures and the arts                  Mx Moira J Clunie for services to LGBTQI+ communities                  Mr Nigel J Borell for services to Māori art</p> <p><b>THE QUEEN'S SERVICE ORDER</b></p> <p><b>Companion</b>                  Ms Ann M Hartley for services to local government and the community                  The Hon Steve A Chadwick for services to local government and Parliament</p> <p><b>THE QUEEN'S SERVICE MEDAL</b>                  David D Hinman for services to tramways and heritage                  Mrs Jennie E Oakley for services to the community and the arts                  Mr Thomas E Howard for services to conservation, particularly wildlife conservation</p>	
<p><b>6. CONFERMENT OF DEGREES</b></p>	<p>With the authority of Council, the Chancellor <b>conferred</b> the degrees as per the schedule held by the Graduation Office.</p>	

<p><b>7. AWARD OF DIPLOMAS</b></p>	<p>With the authority of Council, the Chancellor <b>awarded</b> the diplomas, as per the schedule held by the Graduation Office.</p>	
<p><b>8. COUNCIL MEETINGS</b></p>	<p>8.1.1 <b>Council, Draft Minutes (Part A), 08.12.2021</b></p>	<p><b>RESOLVED</b> (Ms Newsome/Dr Prasad): that the Minutes (Part A), 08.12.2021 be taken as <b>read</b> and <b>confirmed</b>.</p>
	<p>5.1.2 <b>Council, Draft Minutes (Part A), 20.01.2022</b></p>	<p><b>RESOLVED</b> (Chancellor/Professor Curtin): that the Minutes (Part A), 20.01.2022 be taken as <b>read</b> and <b>confirmed</b>.</p>
	<p>8.2 <b>Matters arising from the Minutes (Part A), 08.12.2021 and 20.01.2022 not elsewhere on the Agenda.</b></p> <p>None</p> <p>...</p>	
<p><b>9. VICE-CHANCELLOR'S REPORT</b></p>	<p>The report was taken as read.</p> <p>The Vice-Chancellor, Professor Freshwater, gave Council the following update about the report:</p> <ul style="list-style-type: none"> <li>• With regard to the External, Policy and Government Update on p.1 of the Report, the Vice-Chancellor commented that in a letter sent by the Minister of Education to all Vice-Chancellors he advised that the focus would be placed on learners at the centre of the education system while emphasising the collaboration between the universities. Following this the Chancellors and Vice-Chancellors had a meeting with the Minister where the collaboration was further discussed.</li> <li>• With regard to the 5000 international students, eligible to enter the country from April 2022, the number allocated to the University of Auckland still needed to be determined. During a meeting with the Minister of Immigration it was clear there was an appetite to accelerate the reopening of the border and consideration needed to be given to international students in that context.</li> <li>• Work had been undertaken with the Times Higher Education on our sustainability agenda and these rankings would be released shortly.</li> <li>• As an internal update, the Vice-Chancellor acknowledged the enormous response from the University's staff and students going into a third year of COVID-19. A significant effort had been put into the preparation of Summer School, Quarter One and Semester One. She specifically acknowledged the Campus Life and Incident Management Teams who had worked hard on</li> </ul>	

	<p>maintaining the health, safety and wellbeing of students and staff on campus.</p> <ul style="list-style-type: none"> <li>• Last year Council noted that the vaccine mandate at the University would be reviewed after six months. The Vice-Chancellor stated that this mandate would be reviewed together with the decision to move back to campus post the mid-semester break. Under red level settings, the University required staff and students to show their vaccine certificates. However, when moving into the orange level setting, this was no longer a requirement. The University was monitoring this very carefully while balancing the health, safety and wellbeing of staff and students with the return to a vibrant campus experience. The decision to move back to campus after the mid-semester break would be made shortly and the University was collecting advice from experts in this regard.</li> <li>• An extra webinar on the Omicron variant was planned for Tuesday 15.03.2022. The Panel of this webinar would include the University's Omicron experts and they would be answering questions from staff.</li> <li>• The Vice-Chancellor asked Council to note the appointment of an acting Pro Vice-Chancellor (Pacific): Associate Professor Jemaima Tiatia.</li> <li>• She also drew Council's attention to the last page of the Report and specifically to the level of engagement and the number of gifts and pledges the University continued to receive from its alumni and donors.</li> </ul> <p>In a discussion that followed Council noted that the Kōtuitanga with Ngāti Whātua Ōrākei mentioned on p.7 of the Report had been deferred to the meeting of 27.04.2022.</p>	
<p><b>10. REPORTS OF COUNCIL COMMITTEES</b></p>	<p>10.1 <b>AUDIT AND RISK COMMITTEE</b></p> <p>10.1.1 <b>Minutes, (Part A), 04.03.2022</b></p> <p>10.1.2 <b>Audit and Risk Committee Terms of Reference</b></p>	<p><b>RESOLVED</b> (Chancellor/Ms Dawson):that the Audit and Risk Committee Minutes (Part A), 04.03.2022 be <b>received</b>.</p> <p><b>RESOLVED</b> (Chancellor/Ms Dawson):that the amended Audit and Risk Committee Terms of Reference be adopted.</p>
	<p>10.2 <b>CAPITAL EXPENDITURE COMMITTEE</b></p> <p>10.2.1 <b>Minutes, (Part A), 02.03.2022</b></p>	<p><b>RESOLVED</b> (Chancellor/Ms Quinn):that the Capital Expenditure Committee Minutes (Part A), 02.03.2022 be <b>received</b>.</p>
	<p>10.3 <b>FINANCE COMMITTEE</b></p> <p>10.3.1 <b>Minutes, (Part A), 02.03.2022</b></p>	<p><b>RESOLVED</b> (Chancellor/Mr McDonald):that the Finance Committee Minutes (Part A), 02.03.2022 be <b>received</b>.</p>

	<p>10.4 <b>STUDENT APPEALS COMMITTEE</b></p> <p>10.4.1 <b>Report of the Student Appeals Committee 03.02.2022</b></p>	<p><b>RESOLVED</b> (Ms Newsome/Ms Dunphy):that Council <b>note</b> the Report, 03.02.2022 of the Student Appeals Committee.</p>
<p><b>11. SENATE MATTERS</b></p>	<p>11.1 <b>REPORT OF SENATE, 28.02.2022</b></p> <p>Part Ai 1-2: Reviews <b>to be received</b> by Council  Part Aii 3-7: Policy and other matters requiring Council <b>approval</b>  Part B, 1: Matters for <b>noting</b> by Council  Part C, 1-3: Matters handled under Delegated Authority</p> <p>The Vice-Chancellor commented that most Senate meetings in the last year had been held virtually by Zoom which was unfortunate, as it did not allow for debate. It was however anticipated that, with the return to Campus further discussions regarding Freedom of Expression, Academic Freedom and the Code of Conduct could be held in person.</p> <p>The Vice-Chancellor also reminded Council that she had asked the Dean of Law, Professor Mathew, to undertake a review of Senate with the aim to revitalise Senate and enhance the engagement of Senate with academic matters. The outcome of this review would be reported to Council in due course.</p> <p>The Pro Vice-Chancellor (Education), Professor Kool, drew Council’s attention to the following items:</p> <ul style="list-style-type: none"> <li>• The Review of the School of Population Health and the Review of Languages and Literacies in Education. Both these reviews had been positively received with some useful action areas highlighted by the panels.</li> <li>• The following two submissions for the Committee on University Academic Programmes (CUAP): <ul style="list-style-type: none"> <li>○ Amendment 2021/801 was a new interfaculty programme in Regional Development hosted by the Faculty of Education and Social Work and coordinated by the Tai Tokerau campus. This included a blended delivery of the programme.</li> <li>○ Amendment 2022/802 which deleted the Postgraduate Certificate in Academic Practice which had been replaced by a Masters in Higher Education.</li> </ul> </li> <li>• Ten Graduating Year Reviews (GYRs) which would be notified to CUAP. GYRs were conducted for new programmes after the first cohort of students had completed the programme. Three of the 10 GYRs recommended discontinuation of programmes due to insufficient enrolments.</li> </ul>	<p><b>RESOLVED</b> (Chancellor/Ms Newsome):that the recommendations in Part A of the Report of Senate, 28.02.2022 be <b>adopted</b> and Parts B and C be <b>noted</b>.</p>

	<ul style="list-style-type: none"> <li>• The Digital Badge Policy and Procedures: this provided a framework for the development, approval, design and issuing of digital badges which could be used by students and staff to promote the University qualifications on digital platforms.</li> <li>• The updated Assessment (Coursework, Test and Examinations) Procedures: this removed the restrictions imposed on Professional Teaching Fellows and Senior Tutors being appointed as course directors by Academic Heads and added requirements for Academic Heads to consider the teaching experience of appointees.</li> </ul> <p>The Deputy Vice-Chancellor (Research), Professor Metson, also drew Council's attention to the update of Guiding Principles for Conducting Research with Human Participants. These needed to be updated to include name changes of ethics committees and updated procedures.</p>	
<p><b>12. CORRESPONDENCE REFERRED BY THE CHANCELLOR</b></p>	<p>No items received</p>	
<p><b>13. OTHER MATTERS FOR DECISION OR NOTING</b></p>	<p>13.1 <b>SEAL</b></p> <p>Since the Council meeting on 14.06.2021 the seal had been applied to the following documents in accordance with the Council resolution of 18.02.1991:</p> <ul style="list-style-type: none"> <li>- Deed of Variation of Lease &amp; Renewal of Lease – 1-11 Short Street, Auckland – Sunshine Capital Ltd (Lessor) &amp; The University of Auckland (Lessee)</li> <li>- Deed of Variation of Lease and Renewal of Lease – Premises at Petherick Tower, 31 Waring Taylor Street, Wellington – Midland Towers Ltd (Lessor) &amp; The University of Auckland (Lessee)</li> <li>- Deed of Novation – The Selwyn Village - The University of Auckland (the Continuing Party), The Selwyn Foundation (Retiring Party) &amp; Metlifecare Retirement Villages Ltd (the Substitute Party)</li> <li>- Deed of Variation of Services Agreement – Service Agreement the Selwyn Village - The Selwyn Foundation and the University of Auckland (the Contracting Party)</li> </ul>	<p><b>RESOLVED</b> (Chancellor/Mr Wang): that the affixing of the seal to the listed documents be <b>noted</b>.</p>
	<p>13.2 <b>APPLICATION OF SEAL AND QUALIFICATIONS – DELEGATED AUTHORITY</b></p>	<p><b>RESOLVED</b> (Chancellor/Mr Wang): that the affixing of the common seal of the University to the listed certificates consistent with Council's delegation of 19 June 2006 be <b>noted</b>.</p>

	<p>13.3 <b>DRAFT FREEDOM OF EXPRESSION POLICY &amp; PROCEDURES</b></p> <p>13.3.1 Draft Freedom of Expression Policy</p> <p>13.3.2 Draft Freedom of Expression Procedures</p> <p>These documents would return to Council after consultation had taken place.</p>	<p><b>RESOLVED</b> (Chancellor/ Mr Paitai): that Council <b>note and endorse</b> the Draft Freedom of Expression Policy and Procedures as final drafts <b>to be submitted for consultation.</b></p>
	<p>13.4 <b>AEGROTAT AND COMPASSIONATE CONSIDERATION – WAIVER OF FEES</b></p> <p>13.4.1 Memorandum, 03.03.2022 from the Pro Vice-Chancellor (Education), Professor Kool</p> <p>13.4.2 Fees Schedule A – All Students amended</p> <p>This item was presented by the Pro Vice-Chancellor (Education). She commented that the University was also considering a general review of the Examination Regulations and it was expected that the proposed fees waiver, currently only proposed for 2022, would continue.</p>	<p><b>RESOLVED</b> (Chancellor/Ms Dunphy): that Council <b>agree</b> to waive the application fees associated with the aegrotat and compassionate consideration process.</p>
	<p>13.5 <b>CAMPUS RULES POLICY</b></p> <p>13.5.1 Memorandum 20.01.2022 from the Deputy Vice-Chancellor (Operations) and Registrar, Mrs Cleland and the Campus Rules</p>	<p><b>RESOLVED</b> (Chancellor/Dr Prasad): that the University Campus Rules be <b>re-approved</b> for a further five-year period without amendment.</p>
<p><b>14. GENERAL BUSINESS</b></p>	<p>The Chancellor asked the Vice-Chancellor to forward the letters from the Minister of Education and TEC discussed to Council.</p>	
<p><b>15. LEAVE OF ABSENCE</b></p>	<p>(for the meeting of 27.04.2022)</p> <p>No leave of absence was requested.</p>	

**PUBLIC EXCLUSIONS**

**RESOLVED** (Chancellor/Mr McDonald): that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

- Item No. 1.1 Council Minutes (Part B), 08.12.2021
- Item No. 2.1 Auckland Health Research Ethics Committee Annual Report
- Item No. 2.2 University of Auckland Biological Safety Committee Annual Report
- Item No. 2.3 University of Auckland Human Participants Ethics Committee Annual Report and Membership
- Item No. 2.4.1 Audit and Risk Committee Minutes (Part B), 04.03.2022
- Item No. 2.4.2 2021 Draft Annual report
- Item No. 2.5.1 Capital Expenditure Committee Minutes 02.03.2022
- Item No. 2.5.2 Old Choral Hall change request
- Item No. 2.5.3 Business Case
- Item No. 2.6.1 Finance Committee, Minutes (part B), 02.03.2022
- Item No. 2.6.2 Financial Performance for 2021
- Item No. 2.7 Honours Committee
- Item No. 4.1 University of Auckland Disability Action Plan
- Item No. 5.1 Council Committee Membership for 2022

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Adrienne Cleland, Professors Linton and Metson, Andrew Phipps, Tim Bluett, Pamela Moss, Simon Neale, Todd Somerville, Julian Michael, Helen Cattanach, Anthony Brandon and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

**The meeting closed at 6.15**

**The meeting went into Public Excluded session at 5.00pm**

**Approved as a true and correct record.**

**Cecilia Tarrant, Chancellor**

**Date**

## Vice-Chancellor's Report to Council | 27 April 2022

### General

#### External, Policy and Government Update

##### *International Education & Immigration*

The Government permitted 5,000 visas for international students from April effectively allowing entry for the second semester. Of these, c350 visas are available to UoA students. In October the border will be fully open to international students. There is a remote chance this date could be brought forward. Key to the return of international students are the yet-to-be announced new visa conditions particularly as they relate to post study work rights - a key consideration for students when they review study destinations. The new provisions are expected to be announced early May.

##### *Free Speech & the Royal Society*

A draft freedom of expression policy and procedures was considered at the March Council meeting and approved for consultation.

The recently formed Free Speech Union is currently targeting universities for members and seeking to hold events on campuses around the country.

The Royal Society announced in March that it had convened an initial investigation panel to consider complaints about 'the Listener letter'. The panel concluded that the complaints should not proceed to a complaints determination committee.

##### *QS Rankings*

The latest QS Subject Rankings sees the University ranked with 12 subjects in the Top 50 in the world (in comparison to 10 subjects last year). The subjects are Geography #30, Pharmacy & Pharmacology #31, Sports-related Subjects #32=, Linguistics #36=, Anthropology #37, Education #37, Engineering - Civil and Structural #40=, Psychology #42, English Language and Literature #42=, Nursing #42=, Archaeology 43, Accounting & Finance #46=. The rankings reinforce the University's position as the leading university New Zealand, with scores judged on both academic and employer reputation as well as a new International Research network metric that assesses international diversity in terms of research collaboration. The University is in the Top 100 in a further 10 subjects and ranks in 44 of the 51 discipline-specific subject tables. It was ranked first overall amongst New Zealand universities and in 37 of the 44 subjects.

## Internal Update

### *Covid 19*

Significant planning is underway in support of the return to full on campus teaching and learning on May 2. Through the peak of the Omicron wave, the University recorded slightly over 400 COVID cases amongst staff members. The impact on operations was predominantly in Ngā Wharenoho, our Accommodation halls. Te Papa Manaaki | Campus Care continues to support students who test positive for Covid-19. To date, they have contacted 1,176 Covid-19 positive students to offer support, and specific follow-up as needed. Vaccination rates amongst our staff members and students are higher than the national average, at 99% and 97% respectively.

Following the government's announcement that workforce vaccine mandates be removed on 5 April 2022, the University Executive Committee made the decision to retire the University's Vaccine Policy on the same date. The decision means that unvaccinated staff members, students and visitors will be able to come on to campus. Vaccine mandates will remain in some areas of the University where they are still legally required (such as in the health workforce) or where a significantly increased risk resulting from infection may be present, such as interaction with vulnerable individuals. Ongoing mandates will only be used in exceptional circumstances. Controls of maintaining appropriate distancing, mask usage and increased air circulation remain. The University has a large stock of RAT kits, which have been distributed to enable surveillance testing of people where there may be an increased risk of spreading the virus on campus.

### *Harmful Sexual Behaviours*

Following the recent cases involving harmful sexual behaviours and the questions raised around the University's handling of the disciplinary responses to these cases, we have committed to holding an independent review of our disciplinary processes to determine where they can be improved. In the interim, all cases involving harmful sexual behaviour will be reviewed to ensure that the appropriate pathway to resolution is taken. Our intention is always to seek a fair, transparent and timely resolution, within the jurisdiction of the University and its powers.

## 1. Education and Student Experience

### **Overview**

The March 2022 EFTS forecast has been finalised in discussion with faculties and LSRIs. Total EFTS are 152 or 0.4% below target, domestic EFTS are 693 or 2.2% below budget, with International EFTS are nearly 11% or 509 EFTS above target.

Lower Māori and Pacific school leaver numbers had not been anticipated. A combination of improved employment opportunities and lower outcomes from secondary schooling have contributed to the reduction in the school leaver intakes. The domestic down-turn is also seen in the postgraduate cohort, although part-time study options offered through Auckland Online have good growth. Continuing constraints on international students, including international doctoral students, are contributing to the reduced postgraduate EFTS. The forecast is 420 EFTS 5.5% below budget.

The return to Campus after the Easter break and for Semester 2 will provide certainty for students and provide an opportunity to improve on the current forecast.

International student EFTS are also forecast to be lower in 2022 than in 2021. Around 2,000 of nearly 5,000 international students are studying offshore. Course materials and lecture recordings are made available for students unable to study on campus for an agreed set of courses. The Learning Centres in China and India provide support and a study location for many students. Students who are not currently in New Zealand have very limited opportunities to return in 2022.

Indicators	Last Year	Current Year			
		To Date (31 Mar 2022)		End of Year	
	Actual	Target	Actual	Target	Forecast
Total EFTS	36,748	30,566	29,623	35,960	35,808
Domestic Funded	30,960	26,471	25,711	31,027	30,334
International Full Fee	5,391	3,405	3,725	4,662	5,171
% Postgraduate EFTS	25%	23%	23%	24.6%	24%

**Note:** EFTS information does not include enrolments in the ELA and other sources

*EFTS in priority groups*

	2021 (31 Mar 21)	2022 (31 Mar 22)	% Change
Total Māori EFTS	2,242	2,146	(4%)
Māori School leavers	334	369	(9%)
Total Pacific EFTS	2,722	2,651	(3%)
Pacific School leavers	553	522	(6%)
Total Postgraduate	7,221	6,794	(6%)
Domestic	6,491	6,015	(7%)
International	869	688	(21%)

**Progress against priorities****Priorities 1-4: The Curriculum Framework Transformation Project**

The Curriculum Framework Transformation (CFT) Project is the realisation of multiple priorities of the *Education and Student Experience*, underpinned by Waipapa Toitū and Taumata Teitei. The CFT programme has moved from Phase 1: Ideation and design to Phase 2: Refine, approve, and prepare (Jan–Aug 2022). This phase will lay the foundations for implementation across 2023–2025.

**Priority 1: Accessible, equitable lifelong higher education opportunities**

The Summer Start Final report has been prepared and, although the numbers enrolled were lower than anticipated due to Covid (n=251 cf. 400 budgeted), the benefits of this initiative are evident with 47% of the students receiving an A grade for their course, and 90% of students enrolling for Semester One courses. Teaching continuity has been maintained despite a number of staff absences due to Covid. Planning is underway for a return to on campus teaching from 2 May (the start of the second half of semester).

**Priority 2: Student-centric learning, co-curricular and extra-curricular cultures**

The School Leaver Taskforce has been focusing on the coordination of additional support for first year undergraduate students, whose last two years of schooling have been impacted by Covid-related disruptions.

**Priority 3: Education that is research-informed, transdisciplinary, relevant and with impact for the world**

Faculty consultation on the Portfolio Tool (PT) is in progress to gain feedback and to ensure that the PT is useful for decision making.

**Priority 4: Graduates who make the world better tomorrow than it is today**

The Graduate Profile (GP) working group are reviewing feedback received to on the refreshed GP, with formal approval planned for June.

## 2. Research and Innovation

Indicators	Last Year	Current Year			
		To Date (31 Mar 22)		End of Year	
	Actual	Target	Actual	Target	Forecast
Research revenue (\$M)	251.2	69.3	62.8	268.8	287.8

**Note:** The revenue includes University of Auckland revenue only

### Progress against priorities

#### Priority 1: World-class research inspired by our place in Aotearoa and the Pacific

Internal planning is underway to establish the operational requirements and delivery model for managing the 2025 submission to the PBRF. This will be informed by the sector-wide consultation process, led by PBRF Sector Reference Group, who are responsible for operationalising changes to the 2025 Quality Evaluation.

#### Priority 2: A global powerhouse of innovation, creativity and entrepreneurship

Internal workshops have now been run to refine and prioritise short term activities within the Medtech-iQ programme. Socialising and communication of the Medtech-iQ programme more broadly across the UoA community will be a key focus over the next few months, including considerations around internal and external branding.

#### Priority 3: Relevant, purposeful, impactful research for our communities

Research Impact is currently an area focus in the R&I portfolio. Research Impact training offerings are being developed to support the research community with developing their own understanding of research impact and how to achieve it.

#### Priority 5: Nurturing, recruiting and retaining outstanding research talent.

The need for additional training for researchers has been highlighted as a major pain point in a recently completed Researcher Journey Mapping exercise. The Researcher Skills and Development (RSD) project seeks to address this major pain point. The focus in 2022 will be to develop tools for researchers to self-assess their proficiency in key capability areas; move towards personalisation of researcher development based on

disciplinary context, career stage and time with the University; scale researcher development offerings in high priority areas such as Digital Literacy for Researchers, Māori responsiveness and Supervision; and foster a culture of researcher development through communities of interest, local champions and mentoring.

### **Priority 6: A research ecosystem characterised by collaboration, agility, simplicity, engagement, and empowerment**

Researcher Journey Mapping has now been completed. This exercise aimed to identify improvement opportunities for researchers using research support services at Waipapa Taumata Rau. A Research Service Improvement Plan will now be developed to capture a 'whole of University' response to the top pain points highlighted by researchers.

Two web-based tools will be launched in April to improve researchers experience of research systems at the University. Discovery Profiles is a tool that will showcase and improve the discoverability of our research and researchers to internal and external audiences. ResearchHub is a platform that aims to improve the discoverability of services, support and people.

## **3. Partnerships and Engagement**

### **Overview**

The gradual reopening of the New Zealand border is allowing the University to cautiously restart in-person activity across a range of areas: international student recruitment, alumni engagement, conference attendance and philanthropy. The wish to catch up on lost time and reaffirm the University's international presence and visibility is being carefully balanced with financial and sustainability considerations.

### **Priority 2: An ambitious and relevant partner that is globally networked.**

We are looking forward to the prospect of restarting our international student exchange programmes in Semester Two 2022 after a pandemic-induced hiatus of over two years. The International Office has nominated 81 students to participate in the Semester Exchange programme with our partners in Australia, Canada, China/Hong Kong, Denmark, France, Germany, Ireland, Japan, Korea, the Netherlands, Singapore, Spain, Taiwan, UK and USA. A robust pre-departure process is underway to support the restart of the exchange programme, ensuring students are well informed and supported in planning for the additional risks and considerations that need to be made in the current environment.

An exchange agreement between the Auckland Law School and the University of Cambridge Law School has been formalised, allowing up to three high-achieving students from each institution to attend the partner institution for one year of studies towards their undergraduate Law degree, on an annual basis. The Law School also intends to support staff mobility opportunities through this relationship.

At a ceremony attended by New Zealand Trade Commissioner and Consul-General to Vietnam, Joseph Nelson, the University of Auckland and Ho Chi Minh City University of Technology (HCMUT) signed an MOU and agreements for two undergraduate and postgraduate articulation

arrangements in late March. The universities also progressed several long-term partnerships in training, research, students and staff exchanges. The two joint programmes will provide students at HCMUT the opportunity to gain advanced standing into either Computer or Data Science programmes offered at the Faculty of Science.

#### **Priority 4: Enduring relationships with prospective students, students, alumni and donors**

The DVC Strategic Engagement and senior colleagues from Communications and Marketing are developing the consultation approach for reviewing the University's visual identity among key stakeholder groups in alignment with the goals and aspirations of Taumata Teitei and the gifting of Waipapa Taumata Rau. A working group is in the process of being established to oversee tailored engagement with students, future students, staff, alumni, key donors as well as Māori and Pacific communities.

A new brand campaign for the University launched on 4 April. The positioning slogan ("For Every World") embraces the excellence and leadership position of the brand, whilst also adopting a more welcoming and inclusive tone. Current UG and PG students are the faces and voices of the campaign.

Planning for Semester 2 UG and PG recruitment is well underway and its focus will align with the size, shape and composition (SSC) ambitions of the University. The marketing campaigns will be tailored to meet the needs of individual student segments/cohorts and will include a combination of paid advertising, marketing automation and social media.

#### **Priority 6: Recognised and valued by our communities for the contributions we make towards a more sustainable future for all.**

Wider internal and external consultation is beginning on the draft Sustainability Strategy, the development of which is being overseen by Professor John Hosking, Dean of Science.

#### **ARD:**

The 2022 ARD Events Calendar has been revised, with a programme of in-person events in New Zealand and overseas now in place. The programme begins with an Alumni and Friends Reception in London on 11 May where the guest speaker will be Alex Kendall, a UK-based alumnus and co-founder and CEO of autonomous vehicle start-up Wayve. On 17 May Professor Christine Woods, the University's inaugural Theresa Gattung Chair for Women in Entrepreneurship, will be guest speaker and MC at a similar event to be held in New York. The DVCSE Dr Erik Lithander will provide the University update at both events, with the Director of ARD, Mark Bentley, as MC in New York. At both events the key focus is on reconnecting, following two years of Covid-19 restrictions.

## 4. Enabling our People and Culture

### Overview

Operational plan activities continue to be scoped and priorities.

### Progress against priorities:

#### Priority 1: Live our values and purpose

The scope of this work is almost complete and will focus on the following 4 key areas:

- The development of a Senior Executive Leadership Programme;
- Cascading values-led culture practices – tools and facilitated sessions for leaders and teams;
- Integrating our values and Te Ao Māori principles in systems, processes, policies and decision making to deliver an improved employee experience;
- Deciding on an Employee Engagement Survey Tool and communication approach.

A business case has been submitted prioritising the key deliverables for each of the 4 key areas.

We have now begun the RFP process to select a provider to design and deliver the senior leadership programme.

The development of Leadership Principles which will support the Values-led Culture workstream will start at the strategic planning day. An engagement session with Deans and Directors has been designed to gather some early thinking as to the leadership principles that are required to support Taumata Teitei.

#### Priority 2: Develop a future-ready workforce

The purpose of this work is to identify and define a comprehensive and cohesive set of workforce initiatives (a Workforce Strategy) that identifies, builds, and supports the Academic Workforce to enable us to deliver the outcomes from Taumata Teitei. The project will focus on the future academic workforce including new roles (pracademics, community engagement), new capabilities (digital, Matauranga Māori, transdisciplinary), new ways of working and new recognition and reward structures. Close alignment with the Curriculum Transformation project and the Rankings Strategy are key in delivering this project.

Scenario planning workshops are underway with a diverse cross-section of academic staff to start developing the capabilities required in a future workforce. The current state analysis is also just starting. The steering committee has been established. The project is on track to deliver the first draft of a Workforce Strategy by end of May.

An international recruitment campaign is being finalised which aims to recruit to a number of senior level roles from across the University now that the borders are reopening,

### **Priority 3: Build a high-performing, diverse, inclusive and equitable community**

People and Culture and Pro Vice-Chancellor (Māori) are co-sponsoring the development of a Māori staffing plan. The first workshop including members from the Māori community occurred late last year.

The business case is being developed. The initial focus of the Māori Staffing plan will be on understanding the lived experience of current Māori staff in order to improve retention and to develop a recruitment strategy, in conjunction with the strategic hires.

Work towards a Pacific staffing plan continues, addressing cultural competency, leadership and equity. With the appointment of an Acting PVC (Pacific) this work will take a different route.

### **Priority 4: Activate manaakitanga, whanaungatanga and kaitiakitanga across our People and Culture practices**

*Te Akoranga Kairangi:* A cultural and Tiriti competency programme is being finalised for all staff, alongside plans to scale-up and roll out to priority groups in 2022. The Office of the PVC Māori with the Pūtoi Ako network are progressing plans through the Taumata Ngaio project to deliver Māori staff development programmes in 2022.

## 5. Our Enabling Environment

### Overview

Indicators	Last Year	Current Year			
		To Date (31 March 2022)		End of Year	
	Actual	Target	Actual	Target	Forecast
Total revenue (\$M)	1,333.1	560.3	553.5	1,344.6	1,350.4
Revenue achieved as a % of budget	104%	100%	99%	100%	100.4%

### Progress against priorities:

#### Strategic Priority 1: Mana-enhancing services and practices

As part of the Student Services Function Review (SSFR) (phase 1), a new staff capability framework, Te Herenga Taurira, has been launched for staff across the student services function. Te Herenga Taurira has a strong focus on mana-enhancing service delivery and is founded on Te ao Māori (Māori world view) principles. It will be rolled out to several hundred staff over 2022 and 2023.

Following on from mapping the journeys of different student cohorts, the University has commenced mapping staff journeys. The purpose is to identify opportunities and pain points for various roles across the University. The focus areas to date have been on Principal Investigators with respect to their research-support experience, and Heads of Department. Experience improvement plans are currently under development for the resolution of major pain points experienced by these roles. The Research Strategy and Integrity Office (ORSI) has taken responsibility for the improvement plan for researchers, and Organisational Performance and Improvement (OPI) for the plan for Heads of Department.

#### Strategic Priority 2: Efficient, effective, prudent, transparent, and informed operations

Work continues on the implementation of Whakamana Tangata (Student Services Strategy) priority initiatives:

- The Student Services Function Review (SSFR) (phase 1) is the most significant initiative in progress with the new student-centred service delivery model that went live on 4 April. Both generalist and specialist adviser roles have been created in the new structure to support students. In addition, new adviser roles in faculties support academic staff to provide high-quality educational experiences for students in their programme of study. Several teams have new specialist roles that focus on services for Māori taurira (students) and Pasifika students.

These appointments have strengthened the skills and capabilities across the function in supporting student success for these priority learners.

- A significant proportion of the in-scope staff in the function review were successful in being redeployed into new roles at a higher level than their previous role, providing opportunities for career enhancement. Where there were gaps in the new structure, or roles new to the university, a recruitment process was instigated and advertised both internally and externally. This provides a combination of experienced and new staff with a fresh approach to drive the new service delivery model forward.
- The redevelopment of the ground floor of the General Library at City Campus will be completed in April and will provide student hub facilities including improved study spaces. Work has commenced on developing the business case for the refurbishment of two further floors of the library. This will have a focus on spaces for individual and collaborative study and will also support the curriculum transformation programme outcomes.
- The scope of SSFR phase 2 has been re-prioritised to focus on compliance with the Pastoral Care Code of Practice, from January 2023, and developing the University's Learner Success Plan for the Tertiary Education Commission, due in July 2022.

Digital Services co-led the successful negotiation of a national sector-wide agreement with Microsoft, one that we understand to be the first of its kind in the world. All New Zealand universities transitioned to the new sector agreement at the start of March and most polytechnics will transition in April. The agreement will greatly improve the ability for New Zealand tertiary institutions to maximise their use of Microsoft products to protect, modernise and enable their operations for staff and students. Previously, much of the Microsoft suite of products has been cost-prohibitive for many institutions to deploy at scale.

Work has continued between Centre of eResearch and Digital Services on identifying a fit-for-purpose and accessible data safe-haven platform, to host sensitive research data. In support of this, joint resource plans have been developed to support the implementation of the Research Data Management programme of initiatives (pending business-case approval) to improve services provided to the research community.

Te Rautaki Raraunga | The Data Strategy is undergoing final consultation with key stakeholders before it is submitted to the Vice-Chancellor and UEC for approval. Once the Strategy is approved the focus will shift to the development and implementation of operating models across the three high-level domains.

The Finance team are prioritising end-to-end process improvement beginning with the documentation of three core processes together with supporting service catalogues (Procure to Pay, Record to Report, and Order to Cash). The outputs will determine 30, 60, and 90-day implementation plans of change commencing in May which will support functional efficiencies within Finance, while also starting to address a number of common pain points amongst internal users/customers.

### **Strategic Priority 3: Seamless, effective, and equitable user experiences across social, physical, and digital environments**

*Student well-being survey:* Campus Life has wrapped up the Semester One pilot programme designed to support transition and retention of new students joining the University. Students were surveyed on eight identified variables – living arrangements; transportation; finance and work; IT

equipment; transition preparedness; intent to engage; mental health and well-being; and physical well-being. Overall, 4,309 students took the survey. All students who responded with high well-being concerns have been referred to Te Papa Manaaki | Campus Care, for further assessment and support. The most acute concerns among respondents overall were time and interest for involvement in university life, preparedness, and financial support. Most respondents had suitable accommodation and the IT equipment needed for study.

Following endorsement of the Disability Action Plan at the 14 March Council meeting, The Equity Office Te Ara Tautika is scoping the activities that will form an implementation plan.

#### **Strategic Priority 4: A distinctive, capable, and flexible built environment that celebrates our place in Aotearoa New Zealand and the Pacific.**

The priorities of Te Rautaki Tūāpapa, the University's Estate Strategy, continue to be progressed. Current activity includes:

- Construction of the Recreation and Wellness Centre is currently four weeks behind programme due to the impact of the current Omicron outbreak on the workforce. This is in addition to some of the global supply-chain challenges, which the main contractor is managing. The letting of the trade packages continues and is due to be completed in the coming months.
- Construction of **Building 201** is progressing well, despite major delays and disruption due to Covid-19. The project remains on budget although supply-chain disruption and Covid-19 isolations are impacting the project teams and sub-contractors. It is anticipated that the dates for occupation of the building will be met.
- The **Building 104 | Old Choral Hall** project is progressing with the building consent process now complete. Capital Expenditure Committee and Council have approved the project budget and the procurement process is ongoing with construction scheduled to commence in May 2022.
- The **Te Tai Tokerau Campus** redevelopment has commenced with the team working through the selection, engagement and briefing of a local Te Tai Tokerau architectural practice to develop a cultural brief and narrative for the project and to help facilitate and engage with mana whenua groups.

#### **Strategic Priority 5: A commitment to achieve net-zero carbon status and to publish meaningful metrics of the University's progress towards overall sustainability**

The first University Greenhouse Gas Emissions Inventory has been sent to members of the Sustainable Estate and Operations Working Group alongside a set of draft context papers that include Carbon and Climate, Biodiversity, Energy and Utilities, Commuting Travel, and Waste. Planning for Greenhouse Gas Emissions Inventories 2020 and 2021 is underway with auditing and verification expected to take place in Quarter 3. A Draft Net Zero Strategy is progressing well, and work is underway, in collaboration with the Planning and Information Office, to enable reporting of related measures.

The **Energy Monitoring and Reporting project** has been established to consolidate University data on electricity, water and gas, on a platform that the vendors are expanding so their clients can undertake full ISO14064-1 carbon reporting.

Connect and Property Services are partnering on a proof of concept (PoC) for a '**digital twin**' building, in conjunction with an external partner, NextSpace. The new Science building (B302) is being used as a prototype for this. A demonstration of the final PoC has recently been delivered to stakeholders. *(A digital twin is a 3D digital representation of the inner workings of a building, with the purpose being to better understand building utilisation and identify sustainability improvements.)*

Dawn Freshwater  
Vice-Chancellor

**Appendix One: University Gifts and Pledges [February 2022]**

The following major new gifts and pledges were received by ARD in February 2022:

- \$1,000,000 from the Estate of Carlo Salvatore Fiorentino to establish a PhD Scholarship in Movement Disorders at the Auckland Bioengineering Institute;
- \$548,462 from the Auckland Medical Research Foundation for the 'From crisis to recovery: How family risk and resilience factors protect child health and well-being throughout the COVID-19 pandemic' study at Science; and the 'Females in Aotearoa with Ischemic Heart Disease and Acute Coronary Syndromes', 'Verification and functional characterisation of AQP3 in the lens', 'Douglas Goodfellow Fellowship: mechanisms of Post-Surgical Gastric Arrhythmias' studies and 'Improving patient safety by resolving latent safety threats identified through in-situ simulation: a multi-centre mixed methods study', all at Medical and Health Sciences;
- \$430,009 from the New Zealand Lottery Grants Board for the 'LHR T cell immunotherapy for Ovarian Cancer' study at Science and the 'PhD Scholarship: Reducing hospital readmissions in Aotearoa New Zealand by developing a novel hospital readmission risk prediction tool', the 'Ageing well in an Outdoor Gym' studies and LHR Funding for shared equipment (a force plate or sway platform) at Medical and Health Sciences;
- \$110,000 from Cure Kids for the 'Therapeutic strategies to improve placental vascular function and fetal growth' study at Medical and Health Sciences;
- \$81,500 from The Kelliher Charitable Trust for research at the Liggins Institute into nutritional and metabolic health in babies;
- \$80,000 from Cancer Research Trust New Zealand for the 'Predicting sites of cutaneous melanoma spread: a comprehensive statistical analysis of skin lymphatic drainage' study at the Auckland Bioengineering Institute;
- \$69,038 from The Royal Australasian College of Physicians for the 'Sean Seo Scholarship: Body surface mapping of colon - Development and validation of a tool for assessment of colonic function and recovery' study at Medical and Health Sciences;
- \$60,700 from the Freemasons Foundation for Optogenetics research at the Centre for Brain Research;
- \$60,000 from Glen Waterhouse for the Emma Waterhouse Scholarship for Women in Natural Sciences; and
- \$US41,980 from the Aotearoa Foundation to support the Blood Bank at the Centre for Brain Research.



## The University of Auckland

### REPORT OF STUDENT APPEALS COMMITTEE 3 March 2022 (9.00 am)

**Present:** Professor Jennifer Curtin, Mrs Catherine Dunphy, Ms Rachael Newsome (Chair), Mr John Paitai

**In attendance:** Miss Hannah Wightman (Committee Secretary), Appellant

#### 1. APPEAL AGAINST THE PENALTY OF DISCIPLINE COMMITTEE

A student appealed against the penalty imposed by the Discipline Committee in regard to a breach of the Statute Academic Conduct Statute.

The student attended the meeting. Following the departure of the Secretary and the appellant the Committee commenced deliberations. After considering the evidence, the Student Appeals Committee **RESOLVED:**

**The decision and penalty of the Discipline Committee on 20 January 2022 is upheld.**

7.1

**THE UNIVERSITY OF AUCKLAND**  
**MATERIAL FOR COUNCIL FROM**  
**THE MEETING OF SENATE 11.04.2022**

**1. Review of Senate**

At its meeting of 11.04.2022, Senate received the report of the Senate Review Working Group, 21.03.2022 and noted the update given by the Chair of the Senate Review Working Group, Professor Pene Mathew; she made the following comments:

- The Senate Review Working Group had met on 21.03.2022 to consider literature about Academic Boards as well as review information regarding Academic Boards at other tertiary institutions.
- Conversations about the Review had been initiated with the Chancellor, the Pro Vice-Chancellor Māori Office and with students.
- The Group had considered how to have an engaging discussion with Senate about topics like the composition of Senate and its Chair.
- Dr Richard Clark who was a member of the Working Group was currently developing a first draft of a briefing paper that would be submitted to Senate at the meeting in May.
- The plan was to hold a face-to-face panel discussion at the meeting of 30.05.2022 where the following issues would be raised:
  - the purpose of Senate;
  - its membership; and
  - its Chair.
- The panel would also report about academic boards at other tertiary institutions.
- After the May meeting, a survey about the Senate Review would be developed to get additional feedback from all Senate members with the aim to report back about these findings before the end of the year.

The Vice-Chancellor added that the purpose of the Review was to revitalise Senate with opportunities for engagement, not only to drive the academic mission of the University but also to consider how to implement strategy and address critical issues for the University.

**2. Draft Freedom of Expression Policy and Procedures**

Senate, 11.04.2022 received an update about the draft freedom of Expression Policy and Procedures; the Chair of the Freedom of Expression Working Group, Professor Peter Hunter, made the following comments:

- At its meeting of 14.03.2022, Council had endorsed the draft Freedom of Expression Policy and Procedures as final drafts to be submitted for consultation.
- The consultation page on the University website was currently being finalised and consultation would start shortly.
- A consultation paper would be submitted to Senate when an in-person meeting would be able to be held, hopefully on 30.05.2022.

Feedback received to date expressed concern about clause 5 of the Policy which stated that: *The University has a high tolerance for what might be seen as controversial, but public statements which are primarily intended to disparage or harm groups within the University are not permitted, even if they are within the law.*

Some of the concerns received related more to academic freedom rather than to freedom of expression.

The Chair of the Freedom of Expression Working Group advised Senate that originally it had been planned to consider Policies on Freedom of Expression and on Academic Freedom, including the Code of Conduct sequentially. However, as these topics overlapped considerably, it would be better for Senate to discuss these concurrently. A paper addressing FoE and Academic Freedom would be submitted to the next in-person meeting of Senate. The three questions that had already been received were deferred to be discussed face-to-face at the meeting on 30.05.2022. The membership of the Working Group needed to be expanded and the Chair asked the members of Senate who were interested in this, to email him. Care would be taken to ensure that the Group retained a balance between different points of view.

Senate noted that the Freedom of Expression Policy and Procedures continued to be a work in progress and would be resubmitted to Senate for discussion at the meeting of 30.05.2022 together with academic freedom.

**PART A:**

**1. RECOMMENDATIONS FROM SENATE REQUIRING COUNCIL CONSIDERATION/APPROVAL**

**ACADEMIC MATTERS AND REGULATIONS**

**1. CUAP AMENDMENTS AND GRADUATING YEAR reviews**

**Senate 11.04.2022 RECOMMENDS that Council approves the following Regulation Amendments for submission to CUAP: CUAP AMENDMENTS**

**Arts**

2022/003	MC, PGDipC	Introduces a Master of Communication, Postgraduate Certificate in Communication, COMMS 705-708, 714, 715, 747, 792, 793
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**Education and Social Work**

2022/300	PGCertEd, PGDipEd, BEd(Tchg)(Hons, MEdPrac, MEd	Introduces an Inclusive Education specialisation, EDPROF 732; amends Schedules, EDUC 759
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**Engineering**

2022/401	MProfEng	Introduces a Master of Professional Engineering, CIVIL 781, ENGEN 698, 699, 785A/B, ENVENG 708, ENGSCI 713
2022/402	MCivilEng, PGDipCivilEng, PGCertCivilEng	Introduces a Master of Civil Engineering, Postgraduate Diploma in Civil Engineering, Postgraduate Certificate in Civil Engineering; amends CIVIL 796A/B

**Medical and Health Sciences**

2022/600	PGCertHSc	Amends Schedule
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**Senate 11.04.2022 RECOMMENDS that Council approves the following Graduating Year Reviews for notification to CUAP:**

**Business and Economics**

09 UA16 10 UA16	MCom, MProp	Master of Commerce, Master of Property
04 UA17 09 UA16	BCom(Hons), MCom, PGDipCom	Global Management and Innovation for the BCom(Hons), MCom, PGDipCom

**2. Senate, 11.04.2022 RECOMMENDS that Council approve the following Regulation Amendments:**

- a) Regulation Amendment 2022-903:** Academic Statutes and Regulations - General Statutes and regulations – Credit Regulations
  - a) To amend the amount of credit to be awarded to students entering an undergraduate degree with a completed qualification.
  - b) To amend the requirement to apply to reassign a postgraduate course at the time of admission to a programme.
- b) Regulation Amendment 2022-905:** Key University Dates – Closing dates for Admission - Closing Dates for Applications for Admission Postgraduate Programmes  
To amend the closing date for applications to Master of Creative Writing.
- c) Regulation amendment 2022-906:** Closing Dates for Applications for Admission  
To add the 2022 closing date for applications to the Master of Physiotherapy Practice (MPhysioPrac) to the table of specified dates for the 2023 academic year.

**3. Minor amendments to the Doctoral Examination Procedures**

**Senate 11.04.2022 RECOMMENDS** that Council **approve** minor amendments to the Doctoral Examination Procedures

**4. Openness in Animal Research Policy**

**Senate 11.04.2022 RECOMMENDS** that Council **approve the** Openness in Animal Research Policy

**PART B: ITEMS FROM SENATE FOR NOTING BY COUNCIL**

No items for noting

**PART C: MATTERS RECEIVED AND APPROVED UNDER DELEGATED AUTHORITY**

**1. Membership Education Committee**

**Senate, 11.04.2022 advises Council that it approved** the addition of the Director of Learning and Teaching as ex-officio member to the membership of Education Committee