

<p>Council Agenda Part A (Open Agenda) Draft</p> <p>12.06.2023 Council Room – Level 2 ClockTower, Princes Street 22, Auckland 4:00pm</p>			Page #
COUNCIL BRIEFINGS	These will take place prior to the meeting and include a Council only session.		
KARAKIA	The meeting will be opened with a karakia at the beginning of the briefings		
1. APOLOGIES	The Chancellor moves that the apologies, if any, be noted .		
2. FAREWELL	The Chancellor farewells Ms Rachael Newsome		
3. WELCOME	The Chancellor welcomes Ms Julia Arnott-Neenee to her first meeting as member of Council.		
4. DISCLOSURES OF INTEREST BY MEMBERS	The attention of Members is drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.	The Chancellor moves that the disclosures, if any, be noted and the action taken be endorsed .	
5. CONFERMENT OF DEGREES	In accordance with the provisions of the Conferment of Academic Qualifications and Academic Dress Statute 1992 the Chancellor will confer the degrees listed (as attached) by stating: <i>By the authority vested in me by resolution of The University of Auckland Council I, CECILIA TARRANT, Chancellor, confer the degrees stated upon those who, within their several faculties, have satisfied the requirements of this University.</i>		10
6. AWARD OF DIPLOMAS	In accordance with the provisions of the Conferment of Academic Qualifications and Academic Dress Statute 1992 the Chancellor will award the diplomas listed (as attached) by stating: <i>By the authority vested in me by resolution of The University of Auckland Council I, CECILIA TARRANT, Chancellor, award the diplomas stated to those who, within their several faculties, have satisfied the requirements of this University.</i>		20

<p>7. HONOURS/AWARDS</p>	<p>The Chancellor and Vice-Chancellor will send congratulatory letters to those persons with links to the University.</p>		
<p>8. COUNCIL MEETINGS</p>	<p>8.1.1 Council, Draft Minutes (Part A), 13.03.2023</p>	<p>The Chancellor moves that the Minutes (Part A),13.03.2023 be taken as read and confirmed.</p>	<p>24</p>
	<p>8.1.2 Council, Draft Minutes (Part A), May e-meeting</p>	<p>The Chancellor moves that the Minutes (Part A),May e-meeting be taken as read and confirmed.</p>	<p>32</p>
	<p>8.2.1 Matters arising from the Minutes (Part A), 13.03.2023 not elsewhere on the Agenda</p> <p>With regard to item 4.2: The Deputy Vice-Chancellor (Operations) & Registrar, Ms Cleland, has invited the Auckland University Students’ Association (AUSA) to provide advice by 31.07.2023. Follow up on the possibility to extend the term of office for the elected student representative on Council up to a maximum of two years or for the remainder of their degree is deferred to the meeting in August.</p> <p>With regard to item 5 and more specifically Council’s request to have a briefing on the University focus on research in the next two years, The Deputy Vice-Chancellor Research (DVCR), Professor F Bloomfield, and Professor Metson responded the following: the white paper on the future of research in NZ does not give much detail and is not really informing the framework for research focus at present. There will be significant focus on the opportunity presented by New Zealand becoming an Associate Member state for Horizon Europe. This is expected to be signed mid-year but New Zealand institutions already are eligible to be part of consortium bids in anticipation of the formal signing.</p> <p>With regard to item 7.1: A presentation regarding the review of the Discipline Statute had been scheduled for the Council briefings of 21.08.2023 and Dr Prasad has been sent the relevant information with regard to the review.</p>		
	<p>8.2.2 Matters arising from the Minutes (Part A), May e-meeting not elsewhere on the Agenda</p>		
<p>9. VICE-CHANCELLOR’S REPORT</p>	<p>The Chancellor moves that the Vice-Chancellor’s Report be noted.</p>		<p>33</p>

<p>10. REPORTS OF COUNCIL COMMITTEES</p>	<p>10.1 AUDIT AND RISK COMMITTEE 10.1.1 Minutes, (Part A), 19.05.2023</p>	<p>The Chancellor moves that the Audit and Risk Committee Minutes (Part A) 19.05.2023 be received.</p>	<p>47</p>
	<p>10.2 CAPITAL EXPENDITURE COMMITTEE 10.2.1 Minutes, (Part A), 29.05.2023</p>	<p>The Chancellor moves that the Capital Expenditure Committee Minutes (Part A) 29.05.2023 be received.</p>	<p>49</p>
	<p>10.3 FINANCE COMMITTEE 10.3.1 Minutes, (Part A), 24.05.2023</p>	<p>The Chancellor moves that the Finance Committee Minutes (Part A) 24.05.2023 be received.</p>	<p>51</p>
	<p>10.4 STUDENT APPEALS COMMITTEE 10.4.1 Report of the Student Appeals Committee 07.03.2023 10.4.2 Report of the Student Appeals Committee 14.03.2023</p>	<p>The Chancellor moves that Council note the Reports, 07.03.2023 and 14.03.2023 of the Student Appeals Committee</p>	<p>54</p>
	<p>10.5 UNIVERSITY EQUITY LEADERSHIP COMMITTEE (UELC) 10.5.1 June 2023 Report</p>	<p>The Chancellor moves that the Equity leadership Report – June 2023 be received.</p>	<p>56</p>
<p>11. SENATE MATTERS</p>	<p>11.1 REPORT OF SENATE, 03.04.2023 Part A1 a-c: Policy and other matters requiring to be considered/received by Council Part A2 1-4: Policy and other matters requiring Council approval Part C 1-3: Matters handled under Delegated Authority</p>	<p>The Chancellor moves that the recommendations in Part A of the Report of Senate, 03.04.2023 be adopted and C be noted</p>	<p>62</p>

	<p>11.2 REPORT OF SENATE, 29.05.2023</p> <p>Part A1 a-c: Policy and other matters requiring to be considered/received by Council</p> <p>Part A2 1-7: Policy and other matters requiring Council approval</p> <p>Part B 1: Items from Senate for noting by Council</p> <p>Part C 1: Matters handled under Delegated Authority</p>	<p>The Chancellor moves that the recommendations in Part A of the Report of Senate, 29.05.2023 be adopted and B and C be noted</p>	<p>65</p>
<p>12. CORRESPONDENCE REFERRED BY THE CHANCELLOR</p>	<p>No items received</p>		
<p>13. OTHER MATTERS FOR DECISION OR NOTING</p>	<p>13.1 SEAL</p> <p>Since the Council meeting on 13.03.2023 the seal has been applied to the following documents in accordance with the Council resolution of 18.02.1991:</p> <ul style="list-style-type: none"> • Deed of Partial Surrender of Lease - 49-51 Symonds St, Auckland - Wilson Car Parking NZ Ltd (Tenant) & the University of Auckland (Landlord); • Variation to the Deed of Lease - 128 Anzac Ave & 131A Beach Road Central Auckland - NZ Education Holdings Beach Ltd (Landlord) & the University of Auckland (Tenant); • Deed of Rent Review - 70 Symonds St, Auckland - TGB Properties (NZ) Pte Ltd (Landlord) & the University of Auckland (Tenant); and • Deed of Variation and Renewal of Lease – 8th Floor, 38 Waring Taylor St, Wellington – Midland Towers Ltd (Lessor) & the University of Auckland (Assignee & Lessee) • Deed of Partial Surrender of Lease - 49-51 Symonds St, Auckland - Wilson Car Parking NZ Ltd (Tenant) & The University of Auckland (Landlord) • Variation to the Deed of Lease - 128 Anzac Ave & 131A Beach Road Central Auckland - NZ Education Holdings Beach Ltd (Landlord) & The University of Auckland (Tenant) 	<p>The Chancellor moves that the affixing of the seal to the listed document be noted.</p>	

	<ul style="list-style-type: none"> • Deed of Rent Review - 70 Symonds St, Auckland - TGB Properties (NZ) Pte Ltd (Landlord) & The University of Auckland (Tenant) • Deed of Variation and Renewal of Lease – 8th Floor, 38 Waring Taylor St, Wellington – Midland Towers Ltd (Lessor) & The University of Auckland (Assignee & Lessee) • Deed of Novation – Energy Services Panel – Ministry of Foreign Affairs & Trade, Auckland UniServices Ltd & the University of Auckland • Deed of Novation – Science & Research Services Panel – Head Agreement – Auckland UniServices Ltd – The Sovereign in right of NZ acting by and through the Secretary for the Environment (The Ministry) & The University of Auckland • Deed of Novation – Study Group Agreement – The University of Auckland & Study Group NZ Ltd & Study Group NZ (Auckland) Ltd & SG Global Topco Ltd 		
	<p>13.2 RESCINDMENTS</p> <p>13.2.1 Memorandum, 28.05.2023 from the Graduation Office requesting the rescindment of the conferral of a Master of Urban Planning (Professional) and Master of Urban Planning (Professional) and Urban Design degrees awarded to the listed students with an incorrect milestone or no milestone, as the research masters course attached to the programmes was not identified as able to attract the correct class of honours for a research masters course. Council is also asked to re-award the degrees with a class of honours where applicable.</p>	<p>The Chancellor moves that Council rescind the conferral of a Master of Urban Planning (Professional) and Master of Urban Planning (Professional) and Urban Design degrees to the listed students, and re-award these to them with a class of honours where applicable in accordance with the memorandum of 28.05.2023..</p>	<p>69</p>
	<p>13.3 KNOWLEDGE EQUITY NETWORK (KEN)</p> <p>13.3.1 Memorandum, 27.04.2023 from the Vice-Chancellor regarding the Approval to join the Knowledge Equity Network as a partner.</p> <p>13.3.2 Declaration on Knowledge Equity</p>	<p>The Chancellor moves that Council approve the University becoming a Key Partner in the Knowledge Equity Network.</p>	<p>71</p>

	<p>13.4 COUNCIL APPOINTMENTS STATUTE 2022 – REVIEW</p> <p>13.4.1 Council Appointments Statute 2022 with mark up</p> <p>13.4.2 Council Appointments Statute 2022 clean copy</p>	<p>The Chancellor moves that Council Approve the reviewed Council appointments statute 2022</p>	<p>75</p>
<p>14 ELECTIONS - APPOINTMENTS</p>	<p>14.1 RESIGNATION/CASUAL VACANCY</p> <p>Professor Jennifer Curtin, elected representative of academic staff on Council whose term of office was scheduled to end on 31.12.2023, has resigned from Council with effect on 01.07.2023. This creates a casual vacancy, and another person must be appointed by the procedure by which the Council member became a member.</p> <p>However, if the office becomes vacant within 6 months before the end of the member’s term of office, Council may decide that the vacancy does not need to be filled under this clause. (Education and Training Act 2020, Schedule 11, section 9 (1) and (3)).</p> <p>The election of a new academic staff representative will take place during the Council elections later this year.</p>	<p>The Chancellor moves that:</p> <ul style="list-style-type: none"> • Council Note the resignation of the academic staff representative with effect on 01.07.2023; and • decide not to fill the casual vacancy. 	
<p>15 GENERAL BUSINESS</p>	<p>15.1 Report of Vice Chancellor exercise of Delegation to set fees for non-SAC funded microcredentials.</p> <p>At the October 2022 meeting of Council, it was resolved to “authorise the Vice-Chancellor to set fees for non-SAC funded Microcredentials and for University programmes delivered offshore, subject to such fees being reported to the Council meeting immediately following.”</p> <p>The Vice Chancellor has exercised this delegation to approve a tuition fee for the microcredential The New Science of Mind and Brain being \$1,300 (\$86.67 per point) inclusive of GST.</p>	<p>The Chancellor moves that Council note that the Vice Chancellor has exercised this delegation to approve a tuition fee for the microcredential The New Science of Mind and Brain being \$1,300 (\$86.67 per point) inclusive of GST.</p>	
<p>16 LEAVE OF ABSENCE</p>	<p>(for the meeting of 21.08.2023)</p>		

PUBLIC EXCLUSIONS

The Chancellor moves that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

Item No. 1.1	Council Minutes (Part B 13.03.2023)
Item No. 2.1.1	Animal Ethics Committee
Item No. 2.2.1	University of Auckland Human Participants Ethics Committee
Item No. 2.3.1	Audit and Risk Committee Minutes
Item No. 2.4.1	Capital Expenditure Committee, Minutes
Item No. 2.4.2	Business Case
Item No. 2.5.1	Finance Committee, Minutes
Item No. 2.5.2	Financial Performance 2023 to 2025
Item No. 2.5.3	Fees Statute amendment
Item No. 2.6	University Honours Committee Reports
Item No. 2.7	University Naming Committee Report
Item No. 3.1	Appointment of a Council member
Item No. 4.1	2023 Early Outlook
Item No. 4.2	Drug checking licence

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Adrienne Cleland, Professors Linton, Bloomfield and Metson, Andrew Phipps, Tim Bluett, Simon Neale, Brian Ten Eyck, Pamela Moss, Helen Cattanach, Anthony Brandon and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

The University of Auckland

Council 12 June 2023

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CONFERMENT OF DEGREES

DOCTOR OF PHILOSOPHY

Jai Jarred Bentley-Payne in Sociology

Yuxing Cai in Translation Studies

Kylie Chen in Computer Science

Seung Sub Choi in Biological Sciences

Zhenyun Deng in Computer Science

Elrasheid Mohamed Abdalla Elkhidir in Civil Engineering

Ehsan Izadpanahi in Operations and Supply Chain Management

Raewyn Carol Laurenson in Education

Grace Lydia Telfer Low in Criminology

Leanne Maire Te Karu in General Practice

Manish Tewary in Computer Systems Engineering

Johan Sten Viklund in Psychology

MASTER OF ARTS WITH FIRST CLASS HONOURS

Kate Elizabeth Harris in Anthropology

MASTER OF ARTS WITH SECOND CLASS HONOURS FIRST DIVISION

Delshad Shahzad Kalantary in Psychology

Sarah Maria Krieg von Bellikon in Media and Communication

MASTER OF ARTS WITH SECOND CLASS HONOURS SECOND DIVISION

Josiah John Wood in Screen Production

MASTER OF BIOMEDICAL SCIENCE WITH SECOND CLASS HONOURS SECOND DIVISION

Romika Patel

MASTER OF COMMERCE WITH SECOND CLASS HONOURS FIRST DIVISION

Zhang Liyan in Accounting

MASTER OF COMMERCE WITH SECOND CLASS HONOURS SECOND DIVISION

Jingshu Lu in Accounting

MASTER OF COUNSELLING WITH FIRST CLASS HONOURS

Debra Ann Hunter

MASTER OF COUNSELLING WITH SECOND CLASS HONOURS SECOND DIVISION

Luca Szabo

MASTER OF CREATIVE WRITING WITH SECOND CLASS HONOURS SECOND DIVISION

David Michael Ciurlionis

MASTER OF EDUCATION WITH SECOND CLASS HONOURS FIRST DIVISION

Xi Zhou

MASTER OF EDUCATIONAL LEADERSHIP WITH DISTINCTION

Fiona Irene Woodgate

Weihong Zheng

MASTER OF EDUCATIONAL LEADERSHIP WITH MERIT

Liu Yang

MASTER OF EDUCATIONAL LEADERSHIP

Laura Susana Levi

MASTER OF ENERGY WITH SECOND CLASS HONOURS FIRST DIVISION

Junhwa Bae

MASTER OF ENGINEERING STUDIES WITH FIRST CLASS HONOURS

Adelle Irene Rodda in Sustainable Resource Recovery

MASTER OF ENGINEERING WITH SECOND CLASS HONOURS SECOND DIVISION

Osamah Alomirah in Civil Engineering

MASTER OF FOOD SCIENCE WITH SECOND CLASS HONOURS FIRST DIVISION

Srishti Bajaj

MASTER OF HEALTH PSYCHOLOGY WITH SECOND CLASS HONOURS FIRST DIVISION

Holly Christine Wilson

MASTER OF HIGHER EDUCATION WITH FIRST CLASS HONOURS

Hamish Graeme Cowan

MASTER OF INFORMATION TECHNOLOGY WITH FIRST CLASS HONOURS

Ningning Sun

Justine Rose Waterson

Yawei Zhou

MASTER OF INFORMATION TECHNOLOGY WITH SECOND CLASS HONOURS FIRST DIVISION

Tianci Liu

Nianchen Shen

Matthew Jonathon Waiariki

Haotian Yao

MASTER OF INFORMATION TECHNOLOGY WITH SECOND CLASS HONOURS SECOND DIVISION

Yidi Hu

MASTER OF LAWS WITH FIRST CLASS HONOURS

Deborah Rose Gelb

MASTER OF LAWS WITH DISTINCTION

Wencheng Liu

MASTER OF LAWS

Joydeep Kaur

MASTER OF MARINE CONSERVATION WITH FIRST CLASS HONOURS

Rebekah Gael Van Dort

MASTER OF MARINE STUDIES WITH SECOND CLASS HONOURS FIRST DIVISION

Yangyang Hao

MASTER OF MARKETING

Siti Maryam Binti Mohd Shukri

MASTER OF MATHEMATICAL MODELLING WITH SECOND CLASS HONOURS SECOND DIVISION

Luochen Xiong

MASTER OF NURSING WITH FIRST CLASS HONOURS

Sun-Kang Her

MASTER OF NURSING

Azure Xueju Oxner

MASTER OF PUBLIC HEALTH WITH FIRST CLASS HONOURS

Brooklyn Maree Mellar

MASTER OF SCIENCE WITH SECOND CLASS HONOURS FIRST DIVISION

Fariha Fatima in Pharmacology

Yining Shi in Biological Sciences

Jinghong Zeng in Statistics

MASTER OF SCIENCE WITH SECOND CLASS HONOURS SECOND DIVISION

Jiafa Tang in Biological Sciences

MASTER OF SCIENCE

Ao Zou in Biological Sciences

MASTER OF SPEECH LANGUAGE THERAPY PRACTICE WITH SECOND CLASS HONOURS FIRST DIVISION

Georgina Marie Hartnett

MASTER OF SPEECH LANGUAGE THERAPY PRACTICE WITH SECOND CLASS HONOURS SECOND DIVISION

Alina Rahimi

MASTER OF URBAN DESIGN WITH DISTINCTION

James Diarmid Paxton

Kevin Ralph Simpson

MASTER OF URBAN DESIGN

Yujing Liu

Fanlin Zeng

BACHELOR OF ARTS (HONOURS) WITH FIRST CLASS HONOURS

Katherine Rose Nani Ellison in English

Tendai Mutambu in Art History

Nikita Heather Parkin in Psychology

BACHELOR OF ARTS (HONOURS) WITH SECOND CLASS HONOURS FIRST DIVISION

Ella Mary Rickit in Political Studies

BACHELOR OF EDUCATION (TEACHING) (HONOURS) WITH FIRST CLASS HONOURS

Adam Maxey-Morrison

BACHELOR OF ENGINEERING (HONOURS) WITH SECOND CLASS HONOURS SECOND DIVISION

Jonathan St George Dragon-Slayer Bidmead in Mechatronics Engineering

Zheru Si in Mechanical Engineering

Russell Malcolm Stewart in Engineering Science

Zixiang Wei in Computer Systems Engineering

BACHELOR OF HEALTH SCIENCES (HONOURS) WITH FIRST CLASS HONOURS

Olivia Catherine Pearless

BACHELOR OF SCIENCE (HONOURS) WITH FIRST CLASS HONOURS

Daniel Josef Kennedy in Psychology

Zac Matthew Pullar-Strecker in Computer Science

BACHELOR OF SCIENCE (HONOURS) WITH SECOND CLASS HONOURS FIRST DIVISION

Jiejie Ma in Chemistry

BACHELOR OF URBAN PLANNING (HONOURS) WITH SECOND CLASS HONOURS FIRST DIVISION

Xingyi He

Jinyi Yang

BACHELOR OF ARTS

Tebarae Aribo Amuera

Elizabeth Melanie Beaumont

Ziqi Liu

Megan Grace Metcalfe

Tendai Mutambu

Evelina Nestecka

Ruby Grace Robinson-Shaw

Hans-Georg Ramon Ruthenberg

Shiqing Xiao

Yuxin Xiong

Yige Xu

Yufei Su

BACHELOR OF COMMERCE

Dorian Philip Dixon

David Andreevich Goncharov

Yingzi Huang

Muzhi Li

Indigo Sam Alger Rhodes

Nahyung Woo

Yingying Zha

BACHELOR OF EDUCATION (TEACHING)

Tayla Channer

Kayla Amy Esapour

BACHELOR OF ENGINEERING

Marvin Evangelista Randle in Electrical and Electronic Engineering

BACHELOR OF FINE ARTS

Summer Belle Knight

Poppy Emilia Oliver

BACHELOR OF SCIENCE

Lauren Mackenzie Chambers

Sophie Natalya Drummond-Haakman

Shaolong Hu

Yi Luo

Suwesh Ranjitkar

Bowen Yang

The University of Auckland

Council 12 June 2023 -

late additions

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CONFERMENT OF DEGREES

DOCTOR OF PHILOSOPHY

Woo-Jin Kang in Obstetrics and Gynaecology

MASTER OF ARTS WITH SECOND CLASS HONOURS FIRST DIVISION

Maya Hay in History

The University of Auckland

Council 12 June 2023

AWARD OF DIPLOMAS

POSTGRADUATE DIPLOMA IN ARTS

Tausala Leiataua in Geography

POSTGRADUATE DIPLOMA IN BIOSCIENCE ENTERPRISE WITH DISTINCTION

Ahmed Halabi

POSTGRADUATE DIPLOMA IN CLINICAL PHARMACY

Ruth Andrea Lumukana

POSTGRADUATE DIPLOMA IN COUNSELLING THEORY WITH MERIT

Elizabeth Anne Reynecke

Luca Szabo

POSTGRADUATE DIPLOMA IN HEALTH SCIENCES WITH DISTINCTION

Caela Maree Cranch in Advanced Nursing

Sun-Kang Her in Advanced Nursing

POSTGRADUATE DIPLOMA IN HEALTH SCIENCES WITH MERIT

Karen Rose Ballard in Advanced Nursing

Louise Fraser Hawksley in Advanced Nursing

Kim Ellen Henneker in Infant, Child and Adolescent Mental Health

Ashamol Thoppil Mathew in Advanced Nursing

Jessica Isobel Willox in Magnetic Resonance Imaging

Alexandra Eliza Woodmass in Advanced Nursing

POSTGRADUATE DIPLOMA IN HEALTH SCIENCES

Keshni Chand in Infant, Child and Adolescent Mental Health

Dean Steven James in Mental Health Nursing

Deepti Mathew in Advanced Nursing

Yousun Park in Ultrasound

Stephanie Mary Finau Tiseli in Advanced Nursing

POSTGRADUATE DIPLOMA IN OBSTETRICS AND MEDICAL GYNAECOLOGY WITH MERIT

Jessica Huhana Cameron

POSTGRADUATE DIPLOMA IN OBSTETRICS AND MEDICAL GYNAECOLOGY

Sophie Rinal Gandhi

POSTGRADUATE DIPLOMA IN PUBLIC HEALTH

Greer Hannah Thomson

POSTGRADUATE DIPLOMA IN SCIENCE WITH DISTINCTION

Annabel Kate Worn in Biological Sciences

POSTGRADUATE DIPLOMA IN SCIENCE WITH MERIT

Sirithi Sahantha Medagoda in Biological Sciences

Ivan Wei Chuen Siau in Exercise Science Physiology

POSTGRADUATE DIPLOMA IN SCIENCE

Gerard-Luke Malgas in Exercise Science Physiology

GRADUATE DIPLOMA IN SCIENCE

Michael Carson Myers

GRADUATE DIPLOMA IN TEACHING (EARLY CHILDHOOD EDUCATION)

George Rose Banach-Salas

Sarah Dianne Bewick

Olivia Angela Racz

Ying Yang

GRADUATE DIPLOMA IN TEACHING (PRIMARY)

Samantha Lee Ashton

Lucy Rose Morrison Gregory

Josie Ting O'Donoghue

Sarah Jane Smith

GRADUATE DIPLOMA IN TEACHING (SECONDARY)

Vidya Grace

Tingting Fan

Niyanta Nand

Hollie Rae White

DIPLOMA IN PAEDIATRICS

Lucy Isobel Hepburn

Sharanya Sandeep Nair

Wendy Pang



<p>Council Minutes Part A (Open Minutes) 13.03.2023 4:00pm Council Room – Level 2 ClockTower, Princes Street 22, Auckland</p>	
PRESENT:	Ms Tarrant (Chair), Professor Freshwater (Vice-Chancellor), Professor Curtin, Dr Prasad, Ms Dunphy, Ms Barakat, Ms Quinn, Mr McDonald, Mr Paitai, Ms Dawson; and via Zoom: Mr Mason and Ms Newsome
IN ATTENDANCE:	Mrs Cleland, Professors Linton, Bloomfield, Metson, Kool; Dr Ten Eyck; Ms Moss, Mr Bluett, Ms Cattanach, Mr Michael and Ms Verschaeren
COUNCIL BRIEFINGS	These took place prior to the meeting and included a Council only session.
KARAKIA	The briefings were preceded by a Pōwhiri
ACKNOWLEDGMENT	The Chancellor acknowledged Geoffrey Ricketts, alumnus and Chair of The University of Auckland Foundation who passed away after a brief illness on 10.03.2023.
1. APOLOGIES	None
2. DISCLOSURES OF INTEREST BY MEMBERS	<p>The attention of Members was drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.</p> <p>Mr Mason declared that with regard to item 2.5.4 - Bank facility in part B, he had connections with the Westpac Bank. Although Westpac was not in scope for that item, he wanted to declare this potential conflict.</p> <p>It was agreed that this declared conflict would not preclude the member participating in the discussions and decision.</p>
3. HONOURS/AWARDS - NEW YEAR HONOURS	<p>DAME COMMANDER OF THE MOST EXCELLENT ORDER OF THE BRITISH EMPIRE</p> <p>Dame Julie Maxton DBE - For the application of science for human benefit</p>
	<p>RESOLVED (Chancellor/Vice-Chancellor): That the disclosures be noted and the action taken be endorsed.</p> <p>RESOLVED (Chancellor/Vice-Chancellor) that Council note the Honours conferred on those persons with links to the University and that the Chancellor and Vice-Chancellor have</p>

8.1

	<p>THE NEW ZEALAND ORDER OF MERIT</p> <p>Dames Companion</p> <p>Professor Farah R Palmer DNZM Ms Miranda C Harcourt DNZM</p> <p>Knights Companion</p> <p>Dr Haare M Williams KNZM Professor Ashley Bloomfield KNZM</p> <p>Companions</p> <p>Dr Bruce Campbell - For services to plant and food research Mr Michael F Barnett - For services to business Mr Tawhiri R Williams - For services to Māori and education Mrs Kaa Williams - For services to Māori and education Professor Helen V Danesh-Meyer - For services to ophthalmology</p> <p>Officers</p> <p>Ms Christine A Rogan - For services to alcohol harm reduction Dr Apisalome S Talemaitoga - For services to health and the Pacific community Mr Brian P Donnelly - For services to social housing Mr Ian MacEwan - For services to addiction services Dr Bruce Clarkson - For services to ecological restoration Mr Kenneth M Williams - For services to governance and the community Ms Michele E A'Court - For services to entertainment & comedy industries Ms Anita J Mazzoleni - For services to corporate governance Ms Lisa-Jane Taouma - For services to Pacific arts & the screen industry Mr Mitchell K Pham - For services to technology & NZ-Asia relations Dr Natalie J Gauld - For services to pharmacy and health Ms Pania C Papa - For services to Māori language and education</p> <p>Members</p> <p>Ms Bonnie Robinson - For services to seniors and social services Dr Alana M Lopesi - For services to the arts Ms Debra Lampshire - For services to mental health Ms Penelope J Jackson - For services to art crime research & visual arts Mr David C Chapple - For services to community & heritage preservation Dr Timothy R Bevin - For services to health Dr John D Armstrong - For services to Māori health Dr Gregory H Sherley - For services to conservation</p>	<p>already sent congratulatory letters to the persons involved.</p>
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	<p>Mr Chris Grinter - For services to education and Māori Mr Paul Hodge - For services to the hospitality industry Dr Jane E Skeen - For services to children with cancer Professor Christine M Rubie-Davies - For services to education Mrs Carlotta B Dann - For services to addiction advocacy Ms Betty-Anne M Monga For services to music Mr Brian R Coffey - For services to people with disabilities Ms Mary Cornish - For services to the performing arts Ms Marilyn R Kohlhase - For services to Pacific arts and education Mr John M Inger - For services to education Mr Christopher S Rooney - For services to education Mr Charles B Wilkinson - For services to arts governance and community Dr Gina A Cole - For services to literature Mr David W Bain - For services to health and the community Ms Rosanna Raymond - For services to Pacific art Ms Shirleen V Prasad - For services to addiction service & Asia community</p> <p>QUEEN'S SERVICE ORDER</p> <p>Mr John R Dobson - For services to people with disabilities</p> <p>QUEEN'S SERVICE MEDA</p> <p>Maria K Lafaele - For services to Pacific health Mrs Kinaua Ewels - For services to the Kiribati community Mr James Ngarewa - For services to the community and education Mr Donald B Thomas - For services to the community & legal profession Ms Nina Kirifi-Alai - For services to education & the Pacific community Mr Geoffrey D Clews - For services to the arts and the community Mr Richard J Higham - For services to rugby and education</p>	
<p>4. COUNCIL MEETINGS</p>	<p>4.1. Council, Draft Minutes (Part A), 12.12.2022</p> <p>4.2 Matters arising from the Minutes (Part A), 12.12.2022 not elsewhere on the Agenda ..</p> <ul style="list-style-type: none"> • With regard to item 9.3, Philanthropic Gift Acceptance Policy, the Deputy Vice-Chancellor Strategic Engagement, Dr Lithander advised that the Policy would be resubmitted for rewording to the Foundation. • With regard to item 9.and more specifically regarding the possibility to increase the length of the term of office for students on Council to two years, the Returning Officer, Mrs Adrienne Cleland, advised the following: 	<p>RESOLVED (Chancellor/Ms Barakat): that the Minutes (Part A), 12.12.2022 be taken as read and confirmed.</p>

	<p><i>"The Gazette notice states that each Council member can be appointed or elected for any period of not more than four years (and for no more than 3 such periods). This is consistent with the requirements of clauses 3 and 6 of Schedule 11 (Councils) of the Education and Training Act 2020.</i></p> <p><i>Accordingly, it is Council's decision (reflected in the Council Appointments Statute) as to the term of appointment of the elected student representative, provided that the term is not more than 4 years.</i></p> <p><i>The rationale for the shorter term for student representatives was most likely to allow each shifting cohort of students a voice on who should be their representative".</i></p> <p>Council recommended for University management to consult with the students about the possibility to extend the term of office for the elected student representative on Council up to a maximum of two years or for the remainder of their degree. This consultation would include the student associations.</p>	
<p>5. VICE-CHANCELLOR'S REPORT</p>	<p>The Report was taken as read.</p> <p>The Vice-Chancellor, Professor Freshwater, presented this item. The following was noted:</p> <ul style="list-style-type: none"> • The Vice-Chancellor thanked all staff and students, noting in particular the work undertaken by the Incident Management Team and the Strategic response Team during the floods in January and the cyclone in February. She also thanked staff who worked through the Christmas break to ensure students would get their grades awarded. • About 10% of visas for international students were currently taking longer than 41 days which was a significant improvement compared to 15% at the end of last year and the beginning of this year. • This morning astronauts Senator Bill Nelson and Pamela Melroy, the two most senior administrators at NASA visited the University Campus and more specifically, Te Pūnaha Ātea - Space Institute. The visitors were welcomed with a Pōwhiri at Waipapa Marae and gave a presentation in the Unleash Space. • Universities New Zealand - Te Pōkai Tara had shared an Economic Impact Assessment, produced by NZIER, which included a high-level analysis of the economic impact of the New Zealand University Sector. • The University will meet with the Tertiary Education Commission (TEC) on Friday 17.03.2023 to discuss the future of funding for the entire tertiary sector in the Auckland area. • The 2023 University staff experience survey would be going live on 20.03.2023 • The Senior Leadership Culture Transformation Programme had been launched recently. <p>In a discussion that followed Council suggested that, as the white paper on the future of research in NZ would be creating the framework for research funding, it might be useful to have a briefing on the University focus on research in the next two years.</p>	<p>RESOLVED (Chancellor/Ms Barakat): that the Vice-Chancellor's Report be noted.</p>

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<p>6. REPORTS OF COUNCIL COMMITTEES</p>	<p>6.1 AUDIT AND RISK COMMITTEE 6.1.1 Minutes, (Part A), 02.03.2023</p>	<p>RESOLVED (Chancellor/Mr Mason): that the Audit and Risk Committee Minutes (Part A) 02.03.2023 be received.</p>
	<p>6.3 FINANCE COMMITTEE 6.3.1 Minutes, (Part A), 01.03.2023</p>	<p>RESOLVED (Chancellor/Mr McDonald): that the Finance Committee Minutes (Part A) 01.03.2023 be received.</p>
<p>7. SENATE MATTERS</p>	<p>7.1 REPORT OF SENATE, 27.02.2023</p> <p>Part A1 a-c: Policy and other matters requiring to be considered/ received by Council Part A2 1-6: Policy and other matters requiring Council approval Part C 1-3: Matters handled under Delegated Authority</p> <p>The Vice-Chancellor advised Council that further work was being undertaken on the Free Speech Policy and a revised paper would be submitted to Senate for discussion and endorsement at its meeting of 03.04.2023. The work on the Review of Senate was also progressing: a short survey had been developed and would be sent out to relevant staff in the near future.</p> <p>The Pro Vice-Chancellor Education, Professor Bridget Kool drew Council's attention to the following two items:</p> <ul style="list-style-type: none"> • Delegations to the Academic Programmes Team: This related to a delegation to enable editorial amendments to Statutes and Regulations in the Calendar, including the correction of minor errors or consequential renumbering of Statutes or Regulation clauses to be actioned by the Academic Programmes Team. Previously these changes required approval from Academic Programmes Committee or Education Committee, followed by Senate and Council. It also included a schedule of the proposed changes that were in scope • Amendments to the Student Discipline and Student Academic Conduct Statute: The currently proposed amendments to the statute were minor while the Statutes were also being consolidated and undergoing a more substantial review. 	<p>RESOLVED (Chancellor/Dr Prasad): that the recommendations in Part A of the Report of Senate, 27.02.2023 be adopted and C be noted.</p>

	<p>The Discipline Committee had seen a steady increase in caseload over the past few years due in part to an increase in academic misconduct but also as a result of increased awareness of academic integrity among staff & students (including the introduction of Academic Integrity Advisors and the new case management system) In order to deal with the increase, it was proposed to:</p> <ol style="list-style-type: none"> a. Redefine the quorum for meetings: two students (as before), from four to one member of Senate, from one to two sub-professorial members; and b. Address the backlog and flow of 'review' cases (minor and category 1 exams cases) by enabling the Provost to appoint alternative reviewers and decide upon these cases. <p>Council noted:</p> <ul style="list-style-type: none"> • It was expected that internal conversations about the final reviewed consolidated Statute would be starting in May this year. • At the Discipline Committee level, care would be taken to ensure that there was consistency with regard to the expertise level of the members on the panel. • It was necessary to make the Student Appeals Process efficient and inform the students that, at the appeals level, the facts on which the decision was made would not be relitigated. <p>Council asked to include Dr Prasad, as Chair of the Student Appeals Committee, in the review of the Statute and asked if, later in the year a presentation could be given on the impact of the amendments to the Student Discipline and Student Academic Conduct Statute.</p>	
<p>8. CORRESPONDENCE REFERRED BY THE CHANCELLOR</p>	<p>No items received</p>	
<p>9. OTHER MATTERS FOR DECISION OR NOTING</p>	<p>9.1 SEAL</p> <p>Since the Council meeting on 12.12.2022 the seal has been applied to the following documents in accordance with the Council resolution of 18.02.1991:</p> <ul style="list-style-type: none"> • Deed of Variation of Lease – 7 Kitson Place, Mt Wellington - Kitson Investment Ltd (Landlord) and the University of Auckland (Tenant) 	<p>RESOLVED (Chancellor/Mr Paitai): that the affixing of the seal to the listed document be noted.</p>

	<ul style="list-style-type: none"> • Deed of Renewal of Sublease - 19A Princes St, Auckland - Grange Road Holdings Ltd (sublandlord) & the University of Auckland (sub-Tenant) 	
10. ELECTIONS - APPOINTMENTS	None	
11. GENERAL BUSINESS	None	
12. LEAVE OF ABSENCE	for the meeting of 27.04.2023 Ms Dawson, Dr Prasad, Mr McDonald and Ms Quinn requested leave for this meeting.	

PUBLIC EXCLUSIONS

RESOLVED (Chancellor/Ms Quinn): that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

- Item No. 1.1 Council Minutes (Part B 12.12.2022)
- Item No. 2.1.1 Auckland health Research Ethics Committee
- Item No. 2.2.1 University of Auckland Biological Safety Committee
- Item No. 2.3.1 University of Auckland Human Participants Ethics Committee
- Item No. 2.4.1 Audit and Risk Committee Minutes Part B 02.03.2023
- Item No. 2.4.2 2022 Draft Annual Report
- Item No. 2.4.3 Pastoral Care Code of Practice Attestation
- Item No. 2.5.1 Finance Committee Minutes Part B, 02.03.2023
- Item No. 2.5.2 Financial Performance 2022
- Item No. 2.5.3 Treasury Policy Report and updates
- Item No. 2.5.4 Bank facility
- Item No. 2.6.1 University Honours Committee
- Item No. 4.1 UniServices

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Adrienne Cleland, Professors Linton, Bloomfield and Metson, Andrew Phipps, Dr Ten Eyck, Tim Bluett, Pamela Moss, Helen Cattnach, Julian Michael, and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

The meeting closed at 5.55pm

The meeting went into Public Excluded session at 5.00pm

Approved as a true and correct record.

Cecilia Tarrant, Chancellor

Date

Council Minutes Part A (Open Minutes) e-meeting May 2023		
RESPONDED	Ms Tarrant (Chair), Professor Freshwater (Vice-Chancellor), Professor Curtin, Ms Quinn, Mr McDonald, Mr Paitai, Ms Dawson Mr Mason No negative responses were received	
1. DISCLOSURES OF INTEREST BY MEMBERS	No further disclosures were made	
2. SENATE MATTERS	2.1 REPORT OF SENATE, 03.04.2023 Part A 1-2: Policy and other matters requiring Council approval The report contained items that could not be deferred to the Council meeting on 12.06.2023	RESOLVED that the recommendations in Part A of the Report of Senate, 03.04.2023 be adopted

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Approved as a true and correct record.

Cecilia Tarrant, Chancellor

Date

Vice-Chancellor's Report to Council | 12 June 2023

External, Policy and Government Update

Budget 2023

This year's Government budget delivered a welcome five per cent across-the-board increase to tertiary institutions, still well below inflation. A further \$180.7 million baseline funding increase was announced to allow for an additional 16,000 FTE students expected in 2024 and 13,000 more in 2025. There was budget provision for a phased increase of 15 per cent for Level 3 (Te Pūkenga, private training establishments) and above for mātauranga Māori provision (including te reo Māori).

The Budget had a strong science and research focus, including a \$451 million funding envelope for three new Wellington-based multi-institution research hubs — the so-called "Wellington Science City." The funding covers capex and opex for hubs covering climate change and disaster resilience, health and pandemic readiness, and technology and innovation. The hubs will link university students to industry and Crown Research Institutes and increase opportunities for industry training and applied PhDs for careers in science and research. Provision of \$55.2 million has been made to retain and develop 260 research fellowships and PhD over 10 years, with dedicated awards for Māori and Pacific peoples. The Budget also commits \$37.6 million over four years to support NZ's association with Horizon Europe.

The Centres for Asia Pacific Excellence, or CAPEs, are a casualty of the Budget. UoA hosts the North Asia Cape, one of the three CAPEs. The CAPEs were established in 2017 to support language, culture, and political and economic understanding in the Asia Pacific region. The Budget ends funding on 30 June 2024.

National Election Policy

The National Party has indicated that it will not proceed with the Government's *Te Ara Paerangi, Future Pathways*, the multi-year programme to reform the science ecosystem. Party spokesperson Judith Collins says a future National-led Government will ensure science stands alone rather than integrated within the Ministry of Business, Science and Innovation.

Internal Update

ARD Events

Alumni and Friends events, targeted receptions, and board and donor meetings took place from 10-18 May in London, New York and Boston, organised by Alumni Relations and Development (ARD) and the Vice-Chancellor's Office. All alumni in the three regions were invited to the Alumni and Friends Receptions, where Professor Julian Paton, Director of Manaaki Manawa, the Centre for Heart Research was guest speaker. In London, additional events included a lunch hosted by New Zealand High Commissioner His Excellency the Honourable Phil Goff CNZM, a Kupe Leadership Scholarship programme dinner hosted by philanthropist John McCall MacBain, a UK Friends of the University of Auckland board meeting and a Women in Entrepreneurship Lunch. In New York, a Kea Lunch and a Women in Entrepreneurship Lunch took place, with a Supporters' Lunch being held in Boston.

140th Anniversary update

As part of the University's 140th Anniversary celebration, the Library is now featuring its second of four historical exhibits, this one focusing on the appointment and careers of Auckland University College's (as we were known then) first four professors. The exhibit will be on display outside Special Collections, Level G, General Library | Te Herenga Mātauranga Whānui until Friday 23 June. In addition, our Grounds Management team are planning a photo exhibition, "Mā ngā karu o he Kaitiaki māra | Custodian of the Gardens," celebrating the significance of the University's gardens and grounds to the University community over the past 140 years. I will open this exhibition on 15 June at Old Government House, where it will be on display for a month.

Autumn Graduation

The University graduated and celebrated over 4,500 students at this year's Autumn Ceremonies, with an additional 1,700 students graduating in absentia.

1. Education and Student Experience**Overview**

The tables below provide actual EFTS to 30 April and information from the March forecast. Domestic student enrolments for 2023 are impacted by the low youth unemployment and the availability of jobs. These factors have contributed to the low intake of school leavers in 2023, this exacerbated by the low NCEA pass rates and reduced full-time postgraduate enrolments. Although Semester 2 applicant numbers are an improvement on 2022, Semester 2 intakes will not result in a recovery to target levels for domestic enrolments. The Working Professional Market segment is experiencing increased applicants, with Auckland Online programmes having increased applicant numbers compared with Semester 1 2023.

International student enrolments were forecast in March to be above target. Applicants for Semester 2 are significantly higher than at the same time in 2022 and will result in a larger increase than expected in international student enrolments this year than the current forecast. Growth is anticipated in both undergraduate and postgraduate programmes.

Indicators	Last Year	Current Year			
		To Date (30 Apr 2023)		End of Year	
	Actual	Target	Actual	Target	Forecast
Total EFTS	35,827	30,920	30,226	36,259	35,777
Domestic Funded	30,291	26,867	25,275	30,593	29,350
International Full Fee	4,999	3,855	4,589	5,172	5,785
% Postgraduate EFTS	24.2%	24.7%	24.7%	25.2%	25.5%

Note: EFTS information does not include enrolments in the ELA and other sources

	2022 (30 Apr 2022)	2023 (30 Apr 2023)	% Change
Total Māori EFTS	2,183	2,044	-6.4%
Māori School leavers	320	333	4.1%
Total Pacific EFTS	3,030	2,766	-8.7%
Pacific School leavers	577	506	-12.3%
Total Postgraduate	7,142	7,460	4.5%
Domestic residency	6,328	5,999	-5.2%
International residency	715	1,408	96.9%

Note: EFTS priority group information includes school leavers, does not include transfers

Progress against priorities

Priority 1: Accessible, equitable lifelong higher education opportunities

Priority 2: Student-centric learning, co-curricular and extra-curricular cultures

Priority 3: Education that is research-informed, transdisciplinary, relevant and with impact for the world

Priority 4: Graduates who make the world better tomorrow than it is today

Priorities 1 & 2: Learner Success Plan (LSP) and Disability Action Plan (DAP)

TEC were satisfied with a January-April 2023 LSP progress report, which focused on the summer school Twenty Critical Courses pilot.

Workshops with faculties responsible for the ongoing delivery of the Twenty Critical Courses are outlining and sharing best practice, along with identifying short- and longer-term opportunities for enhancements to teaching delivery or student support.

The Student Voice working group, which is co-chaired by student leaders, a representative from Campus Life, and the PVC Education, is embarking on informal consultation with key stakeholder groups across the university on the draft Student Voice Policy and Student Voice Guideline.

The UDOIT (Universal Design Online content Inspection Tool) Advantage system has been deployed to scan all Canvas courses, identify accessibility issues, and provide guidance for fixing them. Learning technology staff have been hired and are supporting implementation.

Priorities 1-4: The Curriculum Framework Transformation (CFT) Project

A cross-faculty workshop was held in early May to refine the Master's programme structure recommendations and to assess the implications of their application for each faculty. The first of the three faculty CFT Waypoint check-ins took place during the week beginning 15 May, where Faculties met with the Curriculum Design Advisory Group to present their plans for changes to their undergraduate programmes to align with the Curriculum Framework recommendations, and to signal areas of programme or course rationalisation.

The OPVC Pacific completed research as part of the CFT to deepen understandings around how best to support Pacific success. The "Curriculum Transformation: Research for Pacific Success" was endorsed by PDDC (09 May) and presented to PSLT (11 May).

The OPVC Māori CFT team has developed terms of engagement with Ngāti Whātua Orākei and begun development of their content for the Waipapa Taumata Rau course.

A 2023 Student Centric Regulations and Admissions Principles project aims to simplify the student experience of programmes and course-related administration.

Faculties have commenced working on alignment of their programme curricula to their refreshed embedded graduate profiles.

A new "Change one thing Challenge" initiative, inspired by Dalhousie University, invited staff to submit one idea that has had a material impact on their students learning. Of these, fifteen were awarded a \$1,000 grant to support their professional development in teaching and learning. This is just one of a range of reward programmes in place to support the signature pedagogical practices, and overall learning experience of our students. <https://teachwell.auckland.ac.nz/awards-and-grants/change-one-thing-challenge/>

2. Research and Innovation

Indicators	Last Year	Current Year			
		To Date (30 April 2023)		End of Year	
	Actual	Target	Actual	Target	Forecast
Research revenue (\$M)	269.3	97.3	90.2	285.5	292.7

Note: *The revenue includes University of Auckland revenue only*

Overview

The release of the *Support for Today, Building for Tomorrow* 2023 budget on 18th May has confirmed that major investments in research science and innovation beyond Wellington Science city (which are largely infrastructure investments) have not eventuated due to the reforms underway through *Te Ara Paerangi*. However, the University welcomes the focus on Horizon Europe, additional funding for fellowships and support for the tertiary sector, as well as the \$30m investment by the Ministry of Social Development in Growing Up in NZ. It is clear that the University, with support from UniServices, will need to work hard and show leadership in areas alongside and beyond the major sectors outlined in Wellington science city to realise substantive research opportunities over the short to medium term.

Progress against priorities

Priority 1: World-class research inspired by our place in Aotearoa and the Pacific

Since its launch in April, the Fofonga for Pacific Research Excellence has established a robust online presence on various social media platforms, including Facebook, Twitter, Instagram, and LinkedIn. Through these channels, the programme regularly promotes Pacific knowledge systems and research, and provides a platform for experts to share their insights and for the wider community to engage with relevant topics.

A Fofonga Oversight Group, which is chaired by PVC Pacific and includes DVC Research, has been established and will commence meetings from second semester. The Oversight Group will play a crucial role in ensuring the programme's objectives align with its core values and providing guidance and support for its operations.

Priorities 1 and 4: World-class research inspired by our place in Aotearoa and the Pacific AND Ambitious research confronting humanity's greatest challenges

In March, estimated PBRF quality scores were released to Deans, Directors and Associate Directors PBRF who have shared these estimates with Academic Heads for an initial review. The revised and updated estimated quality scores will be released to individual researchers in early to mid-May. The TEC have also released the 10th Sector Reference Group Consultation Paper titled "Recognising the Impact of the COVID-19 Pandemic" which outlined options for declaring COVID-19 impacts on research activity.

University Research Centres (URCs) are progressing at varying rates based on their maturity levels. Newer Centres face challenges with recruitment and practical aspects of communications, such as website set-up and content generation. Established Centres are encountering difficulties with research-focused communications support. While URCs are steadily progressing, targeted support and intervention are needed for areas like engagement and communications to achieve global competitiveness aligned with our aspirations in *Taumata Teitei*.

Priority 2: A global powerhouse of innovation, creativity and entrepreneurship

Shortlisting is underway for a Research Operations Manager and a Research Operations Coordinator to support the implementation of MedTech-iQ Tamaki Makaurau. The team have recently conducted well-received internal socialisation sessions, engaging University stakeholders. They are also actively participating in industry networking events like "Bioengineering the Future" showcase. A new

governance model is forming with the appointment of Directors for MedTech-iQ implementation. Additionally, the University's Strategic Recruitment Programme seeks a Clinical Director.

Priority 3: Relevant, purposeful, impactful research for our communities

The process underway through *Te Ara Paerangi* to establish National Research Priorities (NRPs) involves a group of experts, including representatives from ORSI, who are working with MBIE on how the process to identify the Tier 1 National Research Priorities could be optimised to achieve impact. Tier 2 priorities, which are expected to be developed through a more bottom-up approach, are also likely to incorporate frameworks for identifying impact pathways.

Priority 5: Nurturing, recruiting and retaining outstanding research talent

While the first round of the new University of Auckland Doctoral Scholarships initiative is now completed, there is still work underway on various aspects of doctoral scholarships such as top-up scholarships, 50:50 funding, and bridging programme scholarships. Reporting is being designed to enable diversity and equity insights on scholarship applicants and recipients.

Priority 6: A research ecosystem characterised by collaboration, agility, simplicity, engagement, and empowerment

A Research Management & Administration (RMA) Function reset is underway which involves discontinuing various communities and groups formed after IRiS launch in 2018. The reset includes establishing a unified RMA Community of Interest supported by three Special Interest Groups: Research Reporting, Professional Development, and Process Improvements.

Our new digital budget tool, *Tahua Rangahau*, launched in early April. The tool supports the RMA community and streamlines grant budget management, addressing issues in the current process. Positive feedback shows it eases the workload for researchers and staff.

3. Partnerships and Engagement

Overview

A significant current focus is on student recruitment for Semester 2. It has also been a busy period for alumni and donor engagement, with events taking place in London, New York and Boston in May. The 2022 Annual Report to Donors, published in March, highlights another very successful year for philanthropy at the University.

Progress against priorities

Priority 1: Strengthen and deepen our relationships with tangata whenua

The Schools and Community Engagement Office is currently recruiting for the newly created role of Poutaki Taura Tangata Māori | Māori Relationship Manager. The Poutaki will work both internally and externally across schools, kura, iwi, related Community Groups, and

Representative bodies to identify opportunities to develop networks and promote partnerships that enable the School Leaver Segment and Māori Cohort Plans to be achieved.

Priority 2: An ambitious and relevant partner that is globally networked

In April, Dr Erik Lithander, as part of the ENZ India delegation and Chair of the Advisory Board of the New Zealand Centre at India Institute of Technology (IIT) Delhi, signed an agreement for a Fellowship programme which will enable four fellows from the eight New Zealand universities to visit IIT Delhi in exchange for four IIT Delhi fellows, for six weeks.

Priority 3: Deep engagement with diverse Aotearoa and Asia-Pacific communities

The Schools and Community Engagement team has established a new Pathway Programmes unit to consolidate coordination of several existing outreach programmes (STEM Online, UE Success and the Pacific Academy) designed to support learner-preparedness, access and transition to university education. Katalina Ma, previously Assistant Principal at Mangere College and Co-Founder of the South Auckland Mathematics Challenge, has been appointed as the unit's first Manager, responsible for overseeing and promoting greater engagement with these initiatives and will take up her role on 6 June.

Priority 4: Enduring relationships with prospective students, students, alumni and donors

The 2022 Annual Report to Donors was published at the end of the March, reporting a total of \$56 million received through nearly 5,000 gifts from well over 2,000 donors. This latest report includes an expanded financial reporting section, with information about how the Foundations operate and the Trustees' goal to balance optimal investment returns with best practices of responsible investing.

Advertising campaigns have been launched to promote the University's Mānawa Mai Open Day and Info Evening – marquee in-person and digital events aimed at the school leaver market – and to attract school leaver and working professional audiences to study with us in Semester 2.

So'ofau, a collaboration between OPVC Pacific and student clubs and associations, is a new initiative designed to support and empower Pacific students to thrive academically, while also engaging in extracurricular activities that promote cultural awareness and community involvement. So'ofau has fostered mentoring relationships among students by providing a platform for Pacific students to discuss their academic progress, challenges, and opportunities.

Priority 5: Diverse student body reflecting our communities

The Schools and Community Engagement Office have recently recruited 50+ Student Ambassadors from our student body across a diverse range of ethnicities, faiths, genders, former high schools, socioeconomic backgrounds, hometowns, and pathways into university. Ambassadors will engage with future students and their families to show them what it is like to be a student at Waipapa Taumata Rau.

Priority 6: Recognised and valued by our communities for the contributions we make towards a more sustainable future for all.

The Schools and Community Engagement Office is again partnering with the West Auckland Pasifika Forum (WAPFT), a charitable trust that empowers Pacific communities in West Auckland, to deliver the organisation's most successful programme, Tula'i. Tula'i is in its 11th year and is resourced by a shared funding model between Whau, Henderson-Massey, and Waitakere local boards.

4. Enabling our People and Culture

Overview

Progress across each of the Priority areas continues to be steady. We are successfully progressing various initiatives aligned with our strategic priorities. Notable achievements include the ongoing rollout of the Senior Leadership programme, the Future Academic Workforce Workload Analysis principles and guidelines consultation, finalizing the academic standards light review for consultation, and initiating the scoping of the Employee Value Proposition initiative.

We have rebranded the HR Ecosystem project as Hono | Human Connections, and the team is making steady progress. Our business implementation partner, Accenture, is onboard and actively working on designing business processes and enhancing employee experience. The Employee Relations module is currently undergoing testing, and we are collaborating with the UniServices team for the planned go-live of SmartRecruiters (Recruitment Module) in early June.

Progress against priorities:

Priority 1: Live our values and purpose

The Values Led Culture programme is focussing on four key areas:

1. The development of a Senior Executive Leadership Programme.
2. Cascading values-led culture practices — tools and facilitated sessions for leaders and teams.
3. Integrating our values and Te Ao Māori principles in systems, processes, policies, and decision-making to deliver an improved employee experience.
4. Deciding on an Employee Engagement Survey Tool and communication approach.

Over 60 leaders across the University have also provided input into draft leadership principles. Work will continue through May and June to refine these, with the final set of proposed leadership principles to be documented and presented to UEC by the end of July 2023.

Priority 2: Develop a future-ready workforce

This strategic priority included identifying and defining a comprehensive and cohesive set of workforce initiatives (the Future Academic Strategy implementation roadmap). This implementation roadmap identifies, builds, and supports the Academic Workforce to enable the

delivery of *Taumata Teitei*. Related initiatives will focus on the Future Academic Workforce, including new roles (academics, community engagement), new capabilities (digital, mātauranga Māori, transdisciplinary), new ways of working, and new recognition and reward structures. Close alignment with the Curriculum Transformation project and the Ranking Strategy is vital in delivering against this priority area.

Academic Standards Review: This workstream is progressing with a light review to go to consultation following from the workload analysis principle and guidelines consultation. Consultation on the new Standards will be undertaken from 17 May to 21 June. Recommendations are anticipated early in Q3.

Workload Analysis: The workstream has developed university-level principles and guidelines drafts. Consultation on the drafts closed on 15 May.

Priority 3: Build a high-performing, diverse, inclusive and equitable community

Tim Baice (OPVC Pacific Senior Researcher Policy Advisor) leads the work on the Pacific Staffing Plan in alliance with HR and continues the focus on the Pacific workforce analysis. The Pacific dashboard has now also been updated and securing an institutional license with IT is currently underway. He is working with AD EDI and OPVCM to co-ordinate, collaborate and share insights.

The Māori staffing plan (Waipapa Tangāta Rau) has now moved into an implementation planning phase. Phase 1 of the implementation will focus on Recruitment, On-Boarding and Progression, with recruitment underway for a Māori Engagement person who will lead the implementation of this work. Meanwhile, discussion is in progress with the portfolio managers to ensure appropriate inclusion within the current UEC priority strategic initiatives.

Priority 4: Activate manaakitanga, whanaungatanga and kaitiakitanga across our People and Culture practices

The team is working on delivery of Kaimahi Māori offerings in 2023 and the transition of most Taumata Ngaio activities to Organisational Development for BAU. An advisory group to oversee Taumata Ngaio work and future developments will be established from the existing steering committee with some additions. A partial FTE to work on other aspects of the Māori language plan, including the kuputaka, Te Kūaha app is in development. Current enrolments continue to progress well, with interest in places on all the courses for Semester Two in 2023.

Priority 5: Aspirational and inclusive leadership

The Values Led Culture programme (covered under priority 1 above) is the first step in the journey toward the development of a values-based leadership and leadership training framework.

5. Our Enabling Environment

Overview

Indicators	Last Year	Current Year			
		To Date (30 April 2023)		End of Year	
	Actual	Target	Actual	Target	Forecast
Total revenue (\$M)	1,390.4	910.5	935.5	1,449.8	1,473.9
Revenue achieved as a % of budget	103.4%	100%	102.8%	100%	101.7%

Progress against priorities:

Priority 1: Mana-enhancing services and practices

The new Head of Te Papa Ako o Tai Tonga Campus is leading an in-depth SWOT analysis of the South Auckland Campus's operations and performance. This analysis is an important step in developing a comprehensive and effective plan for the South Auckland Campus.

A solution for logging, responding to, and reporting on accessibility issues is being developed by Digital Services. This solution will provide a centralised system for students and staff to identify accessibility issues, and for their timely remediation, to achieve our Disability Action Plan objectives.

Implementation of Whakamana Tangata, the Student Services strategy, continues to be an area of focus:

- The **new operating model for academic & student services (SSFR1)** has now supported a full cycle of activity including the peak load of applications, admissions, and enrolments for Semester 1.
- The **Student Services Function Review Phase 2** programme of work is designed to ensure that we are fully compliant with the Pastoral Care Code of Practice. A new online training module called 'Building Connections – Our role in supporting student wellbeing and safety' for all staff is now available in Career Tools. This 20-minute module provides staff with the opportunity to learn more about what to do when they encounter students in distress, how to maintain safe working boundaries, knowing when and how to refer onto other services and understanding more about the role of the specialist services available.

Priorities 2 and 3: Efficient, effective, prudent, transparent, and informed operations AND Seamless, effective, and equitable user experiences across social, physical, and digital environments

The priorities of Te Tautaki Matihiko, the University's Digital strategy, continue to be progressed. Current activity includes:

- Digital Services is in the process of implementing the 2023 Technology Plan, focusing on four critical capability-related initiatives: to accelerate further the University's cloud capabilities (including developing skills in FinOps to achieve the best value); to extend automation skills and capabilities in collaboration with technologists across the University; to enhance the University's cybersecurity capabilities and awareness; and to create new capabilities for integration to enable a smoother digital journey and experience for all our service users.
- The **HR-Ecosystem** project Ceridian now has contracts in place for Workday (Human Capital Management), Ceridian aPay (payroll), and Accenture (Workday implementation partner) and have a full programme team in place with seven concurrent workstreams.
- The University has developed a new **framework to support the effectiveness of Professional Staff services**. Last year we introduced a function planning process, which replaced Service Division Annual Plans. Function Leaders are being provided with more information about Student and Employee Experience to support function plan priorities.
- Our Data Governance capability, an essential component of the **Data Strategy | Re Rautoki Rauranga**, has been strengthened by the adoption of the Alex Data Governance tool. Progress is being made on developing and making accessible business definitions of Critical Data Elements including those required for Taumata Teitei KPIs. Identifying the key data elements will enable elements to be protected during system and business process change. Progress is being made in transferring the University Data Warehouse to the cloud.

Priority 4: A distinctive, capable, and flexible built environment that celebrates our place in Aotearoa New Zealand and the Pacific.

- **Building 104 Old Choral Hall** All works proceeding to programme; seismic strengthening, council works; sprinkler valve room underway. Asbestos risks cleared.
- Options for refurbishing **Building 436 University Hall Apartments** are being studied. The apartments are currently unused due to their poor condition. The planned upgrade work will deliver an additional 106 apartment styled accommodation bed spaces back into use for 2025.
- Construction of **Building 113** Fitout works as part of the EDSW move to the City Campus will commence mid-July, with completion of the main works planned for December 2023. The relocation of Tūtahi Tonu whare is expected to be completed within Semester 1 2024.
- Construction works for **Building B201** has been slower than planned in 2023 to date. Adverse weather events and constrained labour supply have combined to push the forecast completion back to late August 2023. The staged move-in will ensure that other projects in the EDSW to City programme (namely, B113 and B114 retrofits) can still proceed when planned. There is no impact on the overall date for occupation and moves of Arts and EDSW ready for Semester 1 2024.

- Construction of the **Recreation and Wellness Centre** continues to progress well. The forecast completion date remains 07 June 2024 with the aim of opening the centre by 15 July 2024. Façade works have recently been delayed however these delays are expected to be mitigated and not to affect the overall completion date. The effects of severe weather events and water ingress continue to present challenges. The insurance claim from the 27 January weather event has been priced and the claim is being processed by the insurance assessor.

Priority 5: A commitment to achieve net-zero carbon status and to publish meaningful metrics of the University's progress towards overall sustainability

- The Greenhouse Gas (GHG) emissions inventory 2022 has been completed, internally and externally audited. The inventory is currently in post audit stage, and final results and certification are expected last week of June, pending technical updates in Toitu's management platform.
- UNZ SDG expert advisory group has agreed to develop sector-based Climate Related Scenario identification. Climate related risks and opportunities identification is one of the items in the proposed Sustainable Estate and Operations Implementation Plan. Work will commence soon by representatives of the different members (UoA, Victoria, Otago and AUT confirmed, Canterbury, Waikato, Massey and Te Pukenga pending confirmation).

Dawn Freshwater
Vice-Chancellor

Appendix 1: University Gifts and Pledges [March-April 2023]

The following major new gifts and pledges were received by Alumni Relations and Development in March and April 2023, totalling \$10.3M:

- \$3,099,198 from Wellcome Leap Inc. for the 'Predicting recovery and early detection of postoperative complications by point of care measurement of oxidative stress' study at Medical and Health Sciences
- \$2,526,615 from Beate Schuler for the Coastal Ecosystems Restoration Project at Science
- \$1,489,637 from the Neurological Foundation of New Zealand for the Neurological Foundation Human Brain Bank and for the 'Does Exendin-4 administration after therapeutic hypothermia reduce neuroinflammation and prevent brain damage after ischemia in the near-term fetal sheep?' study, both at Medical and Health Sciences
- \$803,700 from Cancer Society Auckland Northland for the Auckland Cancer Society Research Centre and for cancer research equipment at Medical and Health Sciences
- \$390,000 from The Sir William and Lady Manchester Charitable Trust Manchester for the Head and Neck Cancer Multi-Faceted Outcome Data Project at Medical and Health Sciences
- \$375,000 from the Donny Charitable Trust for the Donny Trust Palliative Care Nursing Fellowship at Medical and Health Sciences
- \$239,977 from the Auckland Medical Research Foundation for the 'Biomarkers in the retina of dopaminergic deficit: Is the eye a window to the diseased brain?' study and the 'Overturning the immunosuppressive tryptophan catabolism in cancer by silencing the arylformamidase enzyme' study, both at Medical and Health Sciences
- \$239,844 from The Fred Hollows Foundation NZ for a research partnership to assess the state of eye health in the Pacific, including a literature review and design of a survey, at Medical and Health Sciences
- \$226,064 from Live Ocean Charitable Trust for the Live Ocean Kelp Forest Fellowship at Science
- \$145,000 from the Shundi Group for PhD research into nutrition at the Liggins Institute
- \$120,000 from Richard Douglas to establish a PhD for a Pacific Island Scholar/Clinician in Surgery at Medical and Health Sciences
- \$120,000 from John Dunn to establish a PhD for a Pacific Island Scholar/Clinician in Surgery at Medical and Health Sciences
- \$109,763 from Cure Kids for the 'Exosome-based diagnostics: A Better Start For Baby's Brain' study at Medical and Health Sciences

- \$100,000 from The Kelliher Charitable Trust for Liggins Genomic Testing for Diseases at the Liggins Institute
- \$80,000 from Breast Cancer Cure for the 'One piece at a time: Patient-derived explants for precision medicine in Aotearoa NZ' study at Medical and Health Sciences
- \$51,310 from the Sir John Logan Campbell Medical Trust for the Logan Campbell Māori & Pacific Postgraduate Research Scholarship
- \$48,500 from the City of Nelson Civic Trust for the 'Hydrothermal liquefaction of CCA-Treated Timber' study at Engineering
- \$47,051 from the Estate of Brian Coote for the Professor Brian Coote Law School Endowment
- \$37,500 from Kerry Knight for the Kurt Kreielsheimer Scholarship at Science
- \$36,000 from Garth Maxwell for the Garth Maxwell Prize at Arts
- \$25,000 from Anna Nathan for the Anna Nathan Prize in Early Keyboard Music at Creative Arts and Industries

CHAIR APPROVED BUT NOT CONFIRMED BY THE COMMITTEE

Audit and Risk Committee MINUTES | PART A
19 May 2023
HYBRID | Council Meeting Room and via Zoom
08.00am to 10.30am



Present: Jonathan Mason (Chair), Dr Rajen Prasad, Rachael Newsome, Cecilia Tarrant and Jan Dawson		
In Attendance: Professor Dawn Freshwater, Adrienne Cleland, Tim Bluett, Rachelle Miller, Brent Penrose, Isti Hudaya, Murtaza Ali, Jason Mangan, James Harper, Angus Clark, Julian Michael and Jacqui Metcalf		
1. Apologies	No apologies were noted.	
2. Disclosures of Interest	No further disclosures were made.	
3. Minutes of Audit and Risk Committee of 02 March 2023	<p>Item No 3.1: Minutes, Part A</p> <p>Item No 3.2: Matters Arising from the Minutes, Part A, not elsewhere on the agenda.</p>	<p>RESOLVED (Chair Cecilia Tarrant) that the Minutes, Part A, of the Audit and Risk Committee held on 02 March 2023 be taken as read and confirmed.</p>
4. Other Matters for Decision or Noting	There were no other matters for decision or noting.	
5. Leave of Absence	No leave of absence was requested for the next meeting.	
6. Public Exclusions	<p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p> <p>General subject of each matter to be considered:</p> <p>Item No 7.1: Audit and Risk Committee Meeting 02 March 2023, Minutes Part B</p> <p>Item No 7.2: Audit and Risk Committee Meeting 02 March 2023, Matters Arising from Minutes, Part B</p> <p>Item No 8.0: Combined Assurance Report</p> <p>Item No 9.0: Independent Reviews</p> <p>Item No 10.0: Insurance Renewal Planning</p> <p>Item No 11.0: Health & Safety Programme</p>	<p>RESOLVED (Chair Cecilia Tarrant) that the public be excluded from Part B of this meeting AND THAT Professor Freshwater, Mrs Cleland, Mr Bluett, Mrs Miller, Mr Penrose, Ms Hudaya, Mr Ali, Mr Mangan, Mr Harper, Mr Clark, Mr Michael, and Mrs Metcalf be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed.</p> <p>This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.</p>

10.1

CHAIR APPROVED BUT NOT CONFIRMED BY THE COMMITTEE

	<p>Item No 12.0: Digital Programme</p> <p>Item No 13.0: Enterprise Risk Programme</p> <p>Reason for passing this resolution in relation to each matter:</p> <p>The protection of the interests mentioned below.</p> <p>Grounds under section 48(1) for the passing of this resolution:</p> <p>Those in Section 9 of the Official Information Act 1982 namely:</p> <ul style="list-style-type: none"> i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations; and ii) To enable the University to carry on without prejudice or disadvantage negotiations; and iii) To prevent the disclosure or use of Official Information for improper gain or advantage. 	
<p>The meeting moved into a Public Excluded Session at 08.05 am.</p> <p>The meeting closed at 10.18 am.</p> <p>Approved as a true and correct record.</p> <p>_____</p> <p>Jonathan Mason, Chair</p> <p>Date:</p>		

10.1

DRAFT ONLY AND NOT APPROVED BY CHAIR**Capital Expenditure Committee MINUTES | PART A****29 May 2023****HYBRID | Council Meeting Room and via Zoom****8 am to 10 am**

Present: Cecilia Tarrant (Chair), Cathy Quinn, Jonathan Mason (exited meeting at 9am), Rob McDonald and Professor Dawn Freshwater		
In Attendance: Adrienne Cleland, Tim Bluett, Simon Neale, and Jacqui Metcalf		
1. Apologies	No apologies were noted.	
2. Disclosures of Interest	No further disclosures were made.	
3. Minutes of Capital Expenditure Committee of 10 November 2022	Item No 3.1: Minutes, Part A Item No 3.2: Matters Arising from the Minutes, Part A, not elsewhere on the agenda.	RESOLVED (Chair Rob McDonald) that the Minutes, Part A, of the Capital Expenditure Committee held on 10 November 2022 be taken as read and confirmed.
4. Other Matters for Decision or Noting	There were no other matters for decision or noting.	
5. Leave of Absence	Rob McDonald and Cathy Quinn requested a Leave of Absence for the next meeting scheduled 10 August 2023. It was noted that the meeting of 10 August 2023 would be rescheduled to a new date in September 2023.	
6. Public Exclusions	<p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p> <p>General subject of each matter to be considered:</p> <p>Item No 7.1: Capital Expenditure Committee Meeting 10 November 2022 and 31 January 2023, Minutes Part B</p> <p>Item No 7.2: Capital Expenditure Committee Meeting 10 November 2022, Matters Arising from Minutes, Part B</p> <p>Item No 8.0: Hono Human Connections Programme Update</p> <p>Item No 9.0: LTFP Programme Update</p>	<p>RESOLVED (Chair Cathy Quinn) that the public be excluded from Part B of this meeting AND THAT Mrs Cleland, Mr Bluett, Mr Neale and Mrs Metcalf be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed.</p> <p>This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.</p>

10.2

DRAFT ONLY AND NOT APPROVED BY CHAIR

	<p>Item No 10.0: B614: O’Rorke Hall Stage 2 Business Case Item No 11.0: Newmarket Landscape Business Case Item No 12.0: Vice-Chancellor Delegation Report</p> <p>Reason for passing this resolution in relation to each matter: The protection of the interests mentioned below. Grounds under section 48(1) for the passing of this resolution: Those in Section 9 of the Official Information Act 1982 namely:</p> <ul style="list-style-type: none"> i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations; and ii) To enable the University to carry on without prejudice or disadvantage negotiations; and iii) To prevent the disclosure or use of Official Information for improper gain or advantage. 	
<p>The meeting moved into a Public Excluded Session at 08.07 am. The meeting closed at 09.29 am. Approved as a true and correct record.</p> <p>_____</p> <p>Cecilia Tarrant, Chair Date:</p>		

10.2

CHAIR APPROVED BUT NOT CONFIRMED BY THE COMMITTEE

Finance Committee MINUTES | PART A

24 May 2023

HYBRID | Council Meeting Room and via Zoom

08.00am to 10.00am



<p>Present: Rob McDonald (Chair), Cecilia Tarrant, Professor Dawn Freshwater, John Paitai, Professor Jennifer Curtin, Catherine Dunphy and Hala Barakat</p> <p>In Attendance: Adrienne Cleland, Tim Bluett, Mudasir Matto, Helen Cattanach and Jacqui Metcalf</p>			
<p>1. Apologies</p>	<p>Cathy Quinn was noted as an apology.</p>		
<p>2. Disclosures of Interest</p>	<p>No further disclosures were made.</p>		
<p>3. Minutes of Finance Committee of 01 March 2023</p>	<table border="1"> <tr> <td> <p>Item No 3.1: Minutes, Part A</p> <p>Item No 3.2: Matters Arising from the Minutes, Part A, not elsewhere on the agenda.</p> </td> <td> <p>RESOLVED (Chair Professor Jennifer Curtin) that the Minutes, Part A, of the Finance Committee held on 01 March 2023 be taken as read and confirmed.</p> </td> </tr> </table>	<p>Item No 3.1: Minutes, Part A</p> <p>Item No 3.2: Matters Arising from the Minutes, Part A, not elsewhere on the agenda.</p>	<p>RESOLVED (Chair Professor Jennifer Curtin) that the Minutes, Part A, of the Finance Committee held on 01 March 2023 be taken as read and confirmed.</p>
<p>Item No 3.1: Minutes, Part A</p> <p>Item No 3.2: Matters Arising from the Minutes, Part A, not elsewhere on the agenda.</p>	<p>RESOLVED (Chair Professor Jennifer Curtin) that the Minutes, Part A, of the Finance Committee held on 01 March 2023 be taken as read and confirmed.</p>		
<p>4. Other Matters for Decision or Noting</p>	<p>The following section has been approved for insertion in Part A of the minutes.</p> <p>It contains the 2023 Forecast Performance highlights to the Finance Committee.</p>		

10.3

CHAIR APPROVED BUT NOT CONFIRMED BY THE COMMITTEE

**PART A - PUBLIC
2023 FORECAST PERFORMANCE SUMMARY**



University & UniServices	2021 Actual	2022 Actual	2023 Forecast	2023 Budget	var
EFTS					
Domestic	30,828	30,159	29,221	30,484	(1,263)
International	5,391	4,999	5,785	5,172	614
Other	528	669	770	603	167
	36,748	35,827	35,777	36,259	(482)
Income & Expenditure (\$m)					
TEC funding	379.2	380.8	377.6	392.6	(15.1)
Domestic Fees	209.9	206.8	207.3	218.2	(10.9)
International Fees	167.4	175.4	213.9	192.4	21.5
Other T&R	115.1	118.8	125.8	114.0	11.8
	871.6	881.8	924.6	917.3	7.3
People Costs	319.2	327.4	353.0	358.3	5.3
Opex & depreciation	62.3	67.2	75.9	68.3	(7.6)
T&R Contribution	490.1	487.1	495.6	490.6	5.0
Research Revenue	311.5	347.2	362.9	355.0	7.9
Research Expenditure	281.0	305.1	330.2	326.0	(4.2)
Research Contribution	30.5	42.1	32.7	29.0	3.7
Other revenue	138.0	161.5	186.4	177.6	8.8
People Costs & Opex	398.6	422.4	492.2	499.1	6.9
Lease & Depreciation	184.4	176.8	185.6	188.3	2.7
Other contribution	(445.0)	(437.7)	(491.3)	(509.8)	18.4
Operating Surplus	75.5	91.5	37.0	9.8	27.2
CIP Loan Fair Value	12.0	31.5	28.2	25.3	2.9
Net Surplus	87.6	123.0	65.2	35.1	30.1

The first forecast of the year was completed in April, reflecting actual enrolments and results at 31 March. Forecasts and comparatives in the table to the left show the consolidation of the University and UniServices excluding the Foundation.

The forecast Operating Surplus is \$37m, falling well below the surpluses of the past two years but exceeding budget by \$27.2m.

Overall total 2023 enrolments numbers are a net 482 EFTS (equivalent full-time students) lower than budget. Demand for new undergraduate and postgraduate taught domestic places is 1,263 EFTS lower than budget reflecting local economic conditions and University Entrance rates. A spike in international enrolments partially offsets, limiting the revenue impact.

The reduced spending expected in direct teaching costs and slower than budget spending in strategic funding pools combines with project timing related property project cost savings. A further material rise in costs associated with SaaS (software as a service) software implementations also features.

Incremental interest revenue has a beneficial impact on the surplus and this reflects both higher cash and higher interest rates.

The Balance Sheet remains strong, with the CIP Government interest-free loan the only debt. TEC risk ratios remain at lower risk levels.

5. Leave of Absence

Cecilia Tarrant requested a leave of absence for the next meeting on 2 August 2023.

6. Public Exclusions

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

Item No 7.1: Finance Committee Meeting 01 March 2023, Minutes Part B

Item No 7.2: Finance Committee Meeting 01 March 2023, Matters Arising from Minutes, Part B

Item No 8.0: Financial Performance 2023 to 2025

RESOLVED (Chair | Cecilia Tarrant) that the public be excluded from Part B of this meeting AND THAT Mrs Cleland, Mr Bluett, Mr Matto, Mrs Cattanach and Mrs Metcalf be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.

CHAIR APPROVED BUT NOT CONFIRMED BY THE COMMITTEE

	<p>Item No 9.0: Treasury Report Item No 10.0: Amendment to Fees Statute</p> <p>Reason for passing this resolution in relation to each matter: The protection of the interests mentioned below. Grounds under section 48(1) for the passing of this resolution: Those in Section 9 of the Official Information Act 1982 namely:</p> <ul style="list-style-type: none"> i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations; and ii) To enable the University to carry on without prejudice or disadvantage negotiations; and iii) To prevent the disclosure or use of Official Information for improper gain or advantage. 	
<p>The meeting moved into a Public Excluded Session at 08.04 am. The meeting closed at 09.15 am. Approved as a true and correct record.</p> <p>Rob McDonald, Chair Date:</p>		

10.3



The University of Auckland

REPORT OF STUDENT APPEALS COMMITTEE 7 MARCH 2023 (9 AM)

Present: Dr Rajen Prasad (Chair), Mr John Paitai, Ms Catherine Dunphy, Ms Hala Barakat

In attendance: Ms Nadine Bargallo Riazantseva (Committee Secretary), Apellant

1. APPEAL AGAINST THE PENALTY OF DISCIPLINE COMMITTEE

A student appealed against the penalty imposed by the Discipline Committee in regard to a breach of the requirement in section 3(a) of the University's Statute for Student Discipline.

The student attended the meeting. Following the departure of the Secretary and the appellant the Committee commenced deliberations. After considering the evidence, the Student Appeals Committee **RESOLVED:**

The penalty imposed by the Discipline Committee on 26 January 2023 is overturned.

10.4



The University of Auckland

REPORT OF STUDENT APPEALS COMMITTEE 14 MARCH 2023 (9 AM)

Present: Dr Rajen Prasad (Chair), Mr John Paitai, Professor Jennifer Curtin, Ms Hala Barakat

In attendance: Ms Nadine Bargallo Riazantseva (Committee Secretary)

In attendance (Zoom): Appellant

1. APPEAL AGAINST THE PENALTY OF DISCIPLINE COMMITTEE

A student appealed against the penalty imposed by the Discipline Committee in regard to a breach of the Student Academic Conduct Statute.

The student attended the meeting via Zoom. Following the departure of the Secretary and the appellant the Committee commenced deliberations. After considering the evidence, the Student Appeals Committee **RESOLVED:**

The penalty imposed by the Discipline Committee on 26 January 2023 is upheld.

10.4



University Equity Leadership Committee Report to Council June 2023

1. University Equity Leadership Committee meetings

Note: This report summarises the March and May 2023 UELC meetings and subsequent progress on several items that were discussed. The next UELC meeting will be on 3 August 2023.

1.2 Disability Action Plan (DAP)

The PVC Equity updated UELC on progress of the DAP primary indicators attached at Appendix I noting these are framed to understand the overall effects of the Disability Action Plan and have been endorsed by the Disability Action Plan Reference Group (DAPRG).

The PVC Equity advised the first annual report to the DAP Reference Group (DAPRG) and UELC will occur mid-year and that TEC have expressed an interest in seeing this. She advised progress is on track for the majority of workstream deliverables identified in the DAP workstreams 1-5 Progress Report June-December 2022 and will be presented to the DAPRG's June meeting and UELC in August. She noted Workstream 2 Learning and Teaching, has no specific equity personnel and that she is collaborating with the Provost to identify how best to negotiate the workload, including resource.

TEC has sought feedback from the sector on the way that disability information is collected from students. We are awaiting final advice from TEC before working with the Planning Office to adjust the disability questions in the Enterprise Person Registry. The DAPRG have asked that these questions be prefaced with a reminder of information privacy and that people can update their information at any time.

The University is developing a definition of disability to be used in a range of contexts including policy work, scholarships, reasonable adjustments, and admissions. The draft definition below has been developed in consultation with the DAPRG, staff and student disability networks, Student Disability Services and Equity Action Network members. This draft definition reflects both the medical and social models of disability. Final feedback is now being collated and final wording will come to UELC for approval at their August meeting:

Waipapa Taumata Rau defines disability as any physical, psychological, cognitive, or sensory impairment which may, in interaction with social and systemic barriers, limit a person's full and effective participation in university life on an equal basis with others.

Subsequent progress:

- The Tertiary Education Commission has advised that universities are expected to have internal reporting on their Disability Action Plans with external reporting to TEC in line with the 3-yearly investment planning cycle. The Office of PVC Equity will work in collaboration with Planning Office on timings.
- Disability Action Plan reporting is moving to the Cascades software environment, that will ensure alignment with other university strategic reporting initiatives.

1.3 Equity related data and reporting

PVC Equity advised testing has been completed on the new Strategic Management Report (SMR) equity libraries and that EAN chairs now have access to their own equity dashboards. User guides have been prepared by OPVCE and training will be completed by mid-June. This will ensure faculty and LSRI Associate Deans and Directors Equity are able to access and use their faculty level equity data to achieve faculty and university-wide objectives.

Members discussed a range of issues and opportunities regarding the processes and systems for ethnicity data, the value of teaching staff being able to access these data in order to better support students, and a need for better clarity for students about who can see their personal information. The PVC Equity is working with the Planning and Information Office to explore technical possibilities for additional access.

The PVC Equity discussed the 2022 [University Demographic Data](#) noting the Demographics webpage content will be updated with these figures. She acknowledged Professor Holdaway's input has led to a one-page ethnicity summary being included in the downloadable documents. This provides more detailed information about the diverse ethnicities grouped together in the main report.

1.4 Gender Pay Gap

The University reported its [gender pay gap](#) of 9.2% for the first time this year. The New Zealand national gender pay gap is also 9.2%. Work is underway to deliver a detailed gender pay gap report on 18 September. Dr Merelo, Associate Director Staff Diversity, Equity and Inclusion, HR presented an update to UELC, noting the next three months will involve gender pay gap data disaggregation for academic, professional, and casual staff; ethnicity pay gaps; and pay gap identification for gender diverse people. The annual report will include identification of the key drivers for the gaps and strategies for addressing these.

Annual timelines will be:

- 8 March, UN International Women's Day will be the annual census date
- 18 September, UN International Equal Pay Day will be the annual reporting date.

1.5 Personal safety risks for fieldwork

UELC members received an update from Associate Professor Gaskett on changes needed to improve the currency and inclusivity of information for students and staff involved in fieldwork. She acknowledged the breadth of university policies available, and members noted information and training currently in place for all staff and students. It was noted that greater awareness is required and earlier, to help inform student choices before they enrol in a course or programme. Next steps are to integrate new work with current resources, training, and information available.

1.6 Policies, procedures, and guidelines

The PVC Equity noted the new Te Ara Tautika | Equity Policy approved in November 2022 by the Vice-Chancellor has been well received. She advised work is progressing with the Associate Director, Student Services on the review of the Undergraduate Targeted Admission Schemes Policy and Procedures. She noted the review will look at developing an overarching policy along with procedures that identify a portfolio of admissions schemes whose principles and responsibilities will align with Te Ara Tautika. The PVC Equity noted due to the complexity of this work that no deadline for completion has been set, and the focus is instead on maintaining momentum in the process.

The PVC Equity noted the Office of PVC Equity are supporting colleagues across a range of policies and procedures including: Teaching and Learning with the Lecture Recording Policy and Procedures, and updates to the Resolution of Student Academic Complaints and Disputes Statute; Curriculum Framework Transformation Regulations and Admissions Principles; and with HR the recently finalised Parental Leave Policy.

She noted that there is a policy and procedures for assessments, that include special conditions. The Provost has identified a need for policy and procedures for reasonable adjustments to other aspects of learning and teaching for students, and for reasonable adjustments for staff. Desktop research has been completed to understand requirements and best practices, in preparation for the development of Reasonable Adjustments policy and procedures, in collaboration with the Provost and HR.

1.7 Staff and Student equity and diversity networks

The PVC Equity advised three new staff networks have been established: Women of Colour, Asian staff network and Muslim staff network. These join the existing Staff Rainbow Network and Staff with Disabilities Network. She also noted a new Disabled Students' Association has been formed alongside the existing Rainbow Student Network. These are important networks for the OPVCE to collaborate with on current and future initiatives.

2. OPVCE Priorities

The PVC Equity presented the equity, diversity, and inclusion priorities for 2023 to UELC members attached at Appendix II. She advised this year's priorities, alongside BAU, are achievable as the OPVCE delegates to and supports EAN members and others with equity in their roles to engage in activities requiring equity perspectives across the university. She noted the bulk of equity related queries are now going directly to the appropriate teams in Campus Life and Staff DEI in HR, with more high-level issues coming to her role.

3. Knowledge Equity Network (KEN)

Professor Stinear discussed the Knowledge Equity Network and the background to this global network being led by the University of Leeds. She noted KEN are inviting organisations like ours to participate in improving global access to knowledge. She advised Waipapa Taumata Rau's role has not yet been discussed with Council members and that the VC and Council will decide on our level of involvement at their June meeting. The main concerns raised by UELC related to misalignment between some of the declaration's recommendations and our priorities and direction. There was concern about some statements in the declaration, and that endorsing the full declaration where there are elements we may not agree with was considered potentially problematic. Members were also concerned with the lack of acknowledgement of indigenous knowledge and data sovereignty. UELC members recommended caution and wider consultation before the University becomes a signatory.

4. Recommendations

1. That Council note receipt of the University Equity Leadership Committee mid-year report.
2. That Council note the OPVCE priorities for 2023.

APPENDIX I University of Auckland Disability Action Plan 2022-2025 progress reporting for July-December 2022

Indicator key	Primary Success Indicators	Status
P1	An increasing percentage of students disclose disabilities	On track The percentage of students who disclose disabilities has steadily risen from 4% in 2019 to 8% in 2022
P2	An increasing percentage of staff disclose disabilities [internal reporting only]	On track The percentage of staff who disclose disabilities has steadily risen from 3% in 2019 to 6% in 2022
E1	Increasing Learning and Teaching Survey scores for overall satisfaction from students with disabilities, to achieve parity	Not yet available
E2	Increasing Employee Experience Survey scores for overall satisfaction from staff with disabilities, to achieve parity [internal reporting only]	The overall average score for the Employee Experience Survey March 2023 was 54/100 for staff with disabilities, and 63/100 for staff without disabilities. This 9-point gap indicates that disabled staff are reporting less positive experiences on average than their non-disabled colleagues and was not statistically significant. These data form the baseline for future surveys.
A1	Increasing course completion rates for students with disabilities to achieve parity with non-disabled students	Not achieved The disabled student completion rate was 86% in 2019, rose to 89% in 2020, and has since fallen to 85% in 2021, and 84% in 2022. Disabled student completion rates were 3% lower than other students' in 2019 and 2022, and the gap widened to 5% lower in 2021 and 2022.
A2	An increasing percentage of disabled learners enters the workforce at the conclusion of their studies	On hold and awaiting TEC guidance for the sector.

APPENDIX II

OPVCE Priorities 2023

The University is committed to being safe, equitable, and inclusive. This commitment is explicit in the Taumata Teitei priorities highlighted below.

	EDUCATION & STUDENT EXPERIENCE	RESEARCH & INNOVATION	PARTNERSHIPS & ENGAGEMENT	ENABLING ENVIRONMENT	PEOPLE & CULTURE
Taumata Teitei Priorities	<ol style="list-style-type: none"> 1. Accessible, equitable lifelong higher education opportunities. 2. Student-centric learning, co-curricular and extra-curricular cultures. 3. Education that is research-informed, transdisciplinary, relevant and with impact for the world. 4. Graduates who make the world better tomorrow than it is today. 	<ol style="list-style-type: none"> 1. World-class research inspired by our place in Aotearoa and the Pacific. 2. A global powerhouse of innovation, creativity and entrepreneurship. 3. Relevant, purposeful, impactful research for our communities. 4. Ambitious research confronting humanity's greatest challenges. 5. Nurturing, recruiting and retaining outstanding research talent. 6. A research ecosystem characterised by collaboration, agility, simplicity, engagement and empowerment. 	<ol style="list-style-type: none"> 1. Strengthen and deepen our relationship with tangata whenua. 2. An ambitious and relevant partner that is globally networked. 3. Deep engagement with diverse Aotearoa and Asia-Pacific communities. 4. Enduring relationships with prospective students, students, alumni and donors. 5. Diverse student body reflecting our communities. 6. Recognised and valued by our communities. 	<ol style="list-style-type: none"> 1. Mana-enhancing services and practices. 2. Efficient, effective, prudent, transparent, and informed operations. 3. Seamless, effective and equitable user experiences across social, physical and digital environments. 4. A distinctive, capable, and flexible built environment that celebrates our place in Aotearoa New Zealand and the Pacific. 5. A commitment to achieve net-zero carbon status and to publish meaningful metrics of the University's progress towards overall sustainability. 	<ol style="list-style-type: none"> 1. Live our values and purpose. 2. Develop a future-ready workforce. 3. Build a high-performing, diverse, inclusive and equitable community. 4. Activate manaakitanga, whanaungatanga and kaitiakitanga across our People and Culture practices. 5. Aspirational and inclusive leadership.
2023 OPVCE Priorities	Policy and Language				
	Resources				
	Implementation				
	Measurement and Reporting				

10.5

The OPVCE priorities overleaf are in addition to BAU:

- influencing initiatives in all five Taumata Teitei priorities
- facilitation of the Disability Action Plan Reference Group, Equity Action Network, and University Equity Leadership Committee

Policy and Language

1. Revise UTAS policy and procedures to align with Te Ara Tautika | the Equity Policy
2. Revise Achievement Relative to Opportunity Policy and Procedures
3. Develop Reasonable Adjustments policy, with procedures for students and staff
4. Develop Knowledge Equity Statement

Resources

5. Scope a Staff Disability Service

Implementation

6. Initiate new Disability Action Plan tasks as current tasks are completed
7. Enable Associate Deans and Directors to use new SMR equity dashboards

Measurement and Reporting

8. Improve collection of identity data in the Enterprise Person Registry
9. Publish first annual Gender Pay Gap report
10. Establish UDOIT reporting on CANVAS accessibility

THE UNIVERSITY OF AUCKLAND
MATERIAL FOR COUNCIL FROM
THE MEETING OF SENATE 03.04.2023

PART A:**1. RECOMMENDATIONS FROM SENATE REQUIRING TO BE CONSIDERED/RECEIVED BY COUNCIL****a) Senate Working Group on Academic Freedom and Freedom of Expression**

Professor Hunter, Chair of the Senate Working Group on Academic Freedom and Freedom of Expression, advised Senate that two additional meetings of the Group had been scheduled to discuss principles and policy after which a paper will be submitted to the Senate meeting of 29.05.2023

b) Senate Review

- Draft Senate Review 2023 – member questionnaire; and
- Draft Senate Review 2023 – non-member questionnaire

Professor Mathew, Chair of the Senate Review Reference Group reminded Senate that the aim of the Senate review was to make Senate a vibrant and diverse forum for discussion. The Reference Group had, in a previous meeting, reported back to Senate about the research it had undertaken, including looking at other institutions. Consideration had been given to the mandates and Chairs of Academic Boards at other institutions, their makeup and relationships with their committees.

The Group was of the opinion that it would be beneficial to obtain the views of the members of Senate and of the academic community at the University and to this intent, it had developed two draft surveys: one for Senate members and one for non-members.

For distribution of the questionnaires, the following lists would be used:

- For members: the list used to distribute the agenda, papers and minutes.
- For non-members: the list used for Senate elections but with the addition of some senior professional staff members who were also members of the Professional Staff Service Leaders Forum.

In a discussion that followed the following comments were made:

- Senate asked about the analysis of the results, and it was suggested to ask the Planning and Information Office to help setting up the analysis to crystallise the findings.
- Senate noted that, although question 8 mentioned the possibility of 101-200 members as the highest numeric option for the ideal size of Senate, it also allowed to specify a different number under "other". In addition, the survey included in Question 7 the option to confirm that the current size of 450+ members was appropriate.
- Senate meetings in the past, even before COVID, often struggled to get a quorum. Although hybrid meetings could potentially resolve the diversity issue and allow members to attend the meetings electronically when they could not do so in-person, it was important to note that these hybrid meetings happened during the COVID period and did not increase attendance dramatically. The member questionnaire listed in question 21, the various factors that could affect the members' attendance and this included the possibility of hybrid meetings.
- In response to a question regarding the layout of question 21, Senate noted that, in the actual survey, this question would allow dragging each factor in the chosen box. The timing of the meeting in that question referred to the current meeting time (1.00pm – 3.00pm)

- Senate noted that the timing of the meetings was important and changing the time from 4.00pm-6.00pm to 1.00pm-3.00pm had improved attendance slightly.
- It was suggested to include the possibility of qualitative responses regarding the purpose of Senate in the non-member survey.

Moving forward, the Reference Group would be tweaking the questionnaires, based on the comments received today, organise test surveys and meet with the Planning Office regarding the possibility of analysis.

c) REVIEWS

1. Review of the Department of Engineering Science

Senate 03.04.2023 RECOMMENDS to Council that it receive the Review of the Department of Engineering Science

2. Review of the School of Counselling, Human Services & Social Work

Senate 03.04.2023 RECOMMENDS to Council that it receive the Review of the School of Counselling, Human Services & Social Work

3. Review of the Department of Commercial Law – Year-on Progress Report

Senate 03.04.2023 RECOMMENDS to Council that it receive the Review of the Department of Commercial Law – Year-on Progress Report as a final response to the 2020 Review of the Department of Commercial Law.

2. RECOMMENDATIONS FROM SENATE REQUIRING COUNCIL CONSIDERATION/APPROVAL

ACADEMIC MATTERS AND REGULATIONS

1. REGULATION AMENDMENT

Senate, 03.04.2023 RECOMMENDS that Council approve the following Regulation Amendment:

Regulation Amendment 2023-901: Academic Statutes and Regulations/General Statutes and Regulations - - Enrolment and Programme Regulations

1. To add a clause to the Restrictions regulations prohibiting the auditing of classes by students or members of the public unless in specific situations.
2. To revise roles and responsibilities specified in the Discontinuation and Late Deletion regulations.
3. To amend the appeal process in the Academic Standing regulations.

2. Delegation of Council Powers in relation to Limited Entry Programmes

- a. Memorandum, 08.12.2022
- b. Subdelegation of Authority

Senate 03.04.2023 RECOMMENDS that Council approve Delegation of Council Powers in relation to Limited Entry Programmes

3. Doctoral Candidates Resources Policy

Senate 03.04.2023 RECOMMENDS that Council approve the Doctoral Candidates Resources Policy

4. MPhil Procedures

Senate 03.04.2023 RECOMMENDS that Council approve the MPhil Procedures

PART B: ITEMS FROM SENATE FOR NOTING BY COUNCIL

None

PART C: MATTERS RECEIVED AND APPROVED UNDER DELEGATED AUTHORITY

1. CUAP AMENDMENTS

Senate, 03.04.2023 advises Council that the following CUAP AMENDMENTS approved for submission to CUAP.

Law

2023/500	MIP, PGCertIP	Master of Intellectual Property, Postgraduate Certificate in Intellectual Property
2023/501	JD	Juris Doctor

Interfaculty

2023/800	MPhil	Master of Philosophy
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2. NEW SCHOLARSHIP & AWARD REGULATIONS

Senate, 03.04.2023 advises Council that it approved the following:

Four new Scholarship & Award Regulations:

1. Allan Maxwell Science PhD Scholarship
2. Dr Bruce Goodfellow Postgraduate Award
3. Dr William Bruce Goodfellow Award for Primary Care Nursing
4. Marie Pearman Educational Leadership Scholarship

3. Research Data Management Policy

Senate 03.04.2023 RECOMMENDS that Council approve the Research Data Management Policy

THE UNIVERSITY OF AUCKLAND
MATERIAL FOR COUNCIL FROM
THE MEETING OF SENATE 29.05.2023

PART A:**1. RECOMMENDATIONS FROM SENATE REQUIRING TO BE CONSIDERED/RECEIVED BY COUNCIL****a) Senate Working Group on Academic Freedom (AF) and Freedom of Expression (FoE)****Two papers were submitted regarding AF and FoE:****i. Freedom of Expression and Academic Freedom discussion paper**

The Chair of the Senate Working Group on AF and FoE, Professor Hunter introduced this item and drew Senate's attention to the FoE and AF discussion paper and recommendations.

He advised that it was a critical time for FoE and an important debate to have currently at Senate. The Education and Training Act 2020 secured AF and the right for the University to act as an autonomous institution. The Universities were places where robust debate should take place, as this also strengthened the democracy. However, it was not possible to exercise FoE without being aware of the responsibilities that came with it.

The University also needed to respect to the values expressed in Taumata Teitei – Vision 2030 and Strategic Plan 2025.

The aim of the debate was to make recommendations to the Vice-Chancellor and Council.

ii. Feedback document prepared by the Māori, Pacific and Equity Reference Group

The Pro Vice-Chancellor Māori, Associate Professor Hoskins and the Pro Vice-Chancellor Equity, Professor Stinear introduced the feedback document prepared by the Māori, Pacific and Equity Reference Group.

The Pro Vice-Chancellor Māori commented that the Māori, Pacific and Equity Reference Group supported the principles of AF and FoE. The feedback paper was in most aspects aligned with the discussion paper. The key difference, however, between this feedback paper and the discussion paper from the Working Group exists in the priority stating that nothing outside of the law should limit FoE. It also highlights the importance of relationships and the role of the University to foster an environment that was supportive of AF and FoE within the law.

The question was also raised whether the creation of a policy was the right way to progress or whether a statement around these topics would be preferable in addition to more opportunities to continue the conversation.

Pro Vice-Chancellor Equity gave a few insights that could inform about the next steps to take: five of the Universities of the Group of Eight had policies related to AF and FoE and most of these had been developed since 2021.

Currently, no New Zealand University had FoE policies or statements and no New Zealand University had AF statements, but Massey University and Canterbury University had policies about this topic.

Instead of standalone policies, most universities in New Zealand, including the University of Auckland, had a range of policies and procedures that referred to AF and FoE.

If the decision was made to develop a policy around AF and FoE, then sponsorship by the Vice-Chancellor or Provost would be consistent with regional practices.

If the University decided not to develop a specific policy at this time, then the existing policies and documents could also still be updated successfully.

A robust discussion followed

While further work was necessary with regard to AF and the Code of Conduct, Senate then moved to anonymous voting about recommendations made by the Working Group. Senate noted, however, because of the ambiguity of some of the recommendations, that the voting was just considered as an opinion poll giving guidance to the Vice-Chancellor about the next steps to take.

The two documents discussed at Senate and the comments made will all be combined. Further work will be required on AF and the Code of Conduct through Senate and other relevant parties before a way forward could be undertaken.
At the next Senate meeting in July, a summary of today's discussion will be submitted together with the next steps to take with regard to Senate's work on AF and the alignment with the Code of Conduct.
The Vice-Chancellor acknowledged the members of the Working Group and Reference Group and whose work was finalised today.

b) Senate Review

A verbal update was given by Professor Mathew, Chair of the Senate Review Reference Group. She advised Senate that the feedback received on the members and non-members survey had been taken on board. Testing of the surveys on Qualtrics is currently taking place and the surveys will be sent out to the relevant people before the next Senate meeting in July.

c) REVIEWS

1. Review of the School of Nursing

The review panel was very impressed by the progress the School had made since the last review and the Chair noted that the School's self-review portfolio was exemplary.

Senate 29.05.2023 RECOMMENDS to Council that it receive the Review of the School of Nursing

2. Review of the the Languages and Literacies unit – Year-on Progress Report

Senate 29.05.2023 RECOMMENDS to Council that it receive the Review of the Languages and Literacies unit – Year-on Progress Report

3. Review of the School of Population Health – Year-on Progress Report

Senate 29.05.2023 RECOMMENDS to Council that it receive the Review of School of Population Health – Year-on Progress Report

4. Review of the Discipline of Sport, Health and Physical Education in Education and Social Work – Further Progress Report

Senate 29.05.2023 RECOMMENDS to Council that it receive the Review of the Discipline of Sport, Health and Physical Education in Education and Social Work – Further Progress Report

2. RECOMMENDATIONS FROM SENATE REQUIRING COUNCIL CONSIDERATION/APPROVAL

ACADEMIC MATTERS AND REGULATIONS

1. REGULATION AMENDMENTS

Senate, 29.05.2023 RECOMMENDS that Council approve the following Regulation Amendments:

a) Regulation Amendment 2023-904: Academic Statutes and Regulations/General Statutes and Regulations – General regulations – Masters and Bachelors Honours Degrees

1. To include provision for the Pro Vice-Chancellor (Education) to approve suspensions beyond those approved within faculties.
2. To include provision for reviewing a suspension decision made within a faculty.
3. To amend the regulations relating to Reassignments.

b) Regulation Amendment 2023-902: Academic Statutes and Regulations/General Statutes and Regulations – 2023 Programme Start Dates – Non-Standard Programme Start Dates

To amend the non-standard programme start dates for the Master of Public Policy (online), Master of Information Technology (240 points), and Postgraduate Certificate in Information Technology.

c) Regulation Amendment 2023-905: General Education Regulations

To remove Regulation 3 and amend 2a from the General Education Regulations.

2. Admissions – Memorandum, 23.04.2023 from FMHS

To open admission for 2023 Semester Two intake for the Bachelor of Nursing.

Senate 29.05.2023 RECOMMENDS that Council approve to open admission for 2023 Semester Two intake for the Bachelor of Nursing

3. Master Programme Structure Recommendations:

1. Recommendation 1: Consistent application of criteria for generic and named masters degrees
2. Recommendation 2: Offer research components in 30-point increments
3. Recommendation 3: Review structure of each masters programme in relation to its purpose
4. Recommendation 4: Introduce a 120-point Master of Research

Senate 29.05.2023 RECOMMENDS that Council approve the Master Programme Structure Recommendations

4. Doctoral Off-Campus Research Policy and Procedures

Senate 29.05.2023 RECOMMENDS that Council approve the amendments made to the Doctoral Off-Campus Research Policy and Procedures

5. Memo Miscellaneous Amendments to Policy and Procedures

Senate 29.05.2023 RECOMMENDS that Council approve the indicates Miscellaneous Amendments to Policy and Procedures

6. Amendments to Confirmation of Candidature Policy and Procedures

Senate 29.05.2023 RECOMMENDS that Council approve the Amendments to Confirmation of Candidature Policy and Procedures

7. Instrument of delegation for Senate – Addendum

Senate 29.05.2023 RECOMMENDS that Council approve Instrument of delegation for Senate – Addendum

PART B: ITEMS FROM SENATE FOR NOTING BY COUNCIL

Senate, 29.05.2023 advises Council that it noted the following:

1. Student Centric Regulations and Admissions Principles

PART C: MATTERS RECEIVED AND APPROVED UNDER DELEGATED AUTHORITY

1. NEW SCHOLARSHIP & AWARD REGULATIONS

Senate, 29.05.2023 advises Council that it approved the following:

New Scholarship & Award Regulations:

Lucy Ullrich Memorial Scholarship in Urban Design



NEW ZEALAND

INTERNAL MEMORANDUM

The University of Auckland
Private Bag 92019
Auckland, New Zealand



To The University of Auckland Council

From The Graduation Office

Date 29 May 2023

RE: Rescindment of Degrees

Rescindment of degrees and re-award with a class of honours where applicable

The following students with the Master of Urban Planning (Professional) and Master of Urban Planning (Professional) and Urban Design were awarded their degrees with an incorrect milestone or no milestone, as the research masters course attached to the programmes was not identified as able to attract the correct class of honours for a research masters course. This has caused the following students to have their milestones incorrectly calculated. The remaining students have had their milestones incorrectly calculated.

This is to request that the earlier qualifications be rescinded and re-awarded with the correct class of honours as noted in the lists below.

To be rescinded:

Name	Qualification	Specialisation	New milestone
Gabrielle Betsyn Johns	Master of Science	Biological Sciences	No milestone Second Class Honours First Division
Chloe Pauline Lee La Selle	Bachelor of Urban Planning (Honours)		Merit
Yin May Soe	Master of Urban Planning (Professional)		No milestone
Xinyu Zhang	Master of Urban Planning (Professional)		Merit
Jaime Elizabeth Tekura Short	Master of Urban Planning (Professional)		Distinction
Sophie Annabel Brocklesby	Master of Urban Planning (Professional)		Merit
Alicia Jane Mckenzie	Master of Urban Planning (Professional)		Distinction
Leyland, Katherine Marie	Master of Urban Planning (Professional)		Distinction
Jiayi Yan	Master of Urban Planning (Professional)		Distinction
Penny Kathleen Gaze	Master of Urban Planning (Professional) and Urban Design		Distinction
George Edward Kwong	Master of Urban Planning (Professional) and Urban Design		No milestone

13.2

Liam John Brady	Master of Urban Planning (Professional) and Urban Design	No milestone
Paige Lee Herbst	Master of Urban Planning (Professional) and Urban Design	Merit
Hannah Claire Adamson	Master of Urban Planning (Professional) and Urban Design	Distinction

To be awarded:

Name	Qualification	Specialisation	New milestone
Gabrielle Betsyn Johns	Master of Science	Biological Sciences	First Class Honours Second Class Honours First Division
Chloe Pauline Lee La Selle	Bachelor of Urban Planning (Honours)		Second Class Honours First Division
Yin May Soe	Master of Urban Planning (Professional)		Second Class Honours Second Division
Xinyu Zhang	Master of Urban Planning (Professional)		Second Class Honours First Division
Jaime Elizabeth Tekura Short	Master of Urban Planning (Professional)		First Class Honours
Sophie Annabel Brocklesby	Master of Urban Planning (Professional)		Second Class Honours First Division
Alicia Jane Mckenzie	Master of Urban Planning (Professional)		First Class Honours
Leyland, Katherine Marie	Master of Urban Planning (Professional)		First Class Honours
Jiayi Yan	Master of Urban Planning (Professional)		First Class Honours
Penny Kathleen Gaze	Master of Urban Planning (Professional) and Urban Design		First Class Honours Second Class Honours Second Division
George Edward Kwong	Master of Urban Planning (Professional) and Urban Design		Second Class Honours Second Division
Liam John Brady	Master of Urban Planning (Professional) and Urban Design		Second Class Honours First Division
Paige Lee Herbst	Master of Urban Planning (Professional) and Urban Design		First Class Honours
Hannah Claire Adamson	Master of Urban Planning (Professional) and Urban Design		First Class Honours

Regards

The Graduation Office
The University of Auckland



Internal Memorandum

Date: 27 April 2023
 To: University Council
 From: Professor Dawn Freshwater, Vice-Chancellor
 cc: Professor Cathy Stinear, Pro Vice-Chancellor, Equity
 Subject: **Approval to join the Knowledge Equity Network as a Key Partner**

Recommendation

That Council approve the University becoming a Key Partner in the Knowledge Equity Network.

Background

The Knowledge Equity Network was established in 2022 and is based at the University of Leeds. The network has finalised a Declaration on Knowledge Equity (appendix) and Council approval is needed for the University to become a signatory to this declaration. Doing so will position the University as a founding member and Key Partner of the Knowledge Equity Network (KEN).

Preliminary work

Professor Freshwater was a keynote speaker at the inaugural KEN 2022 conference and indicated her intention for Waipapa Taumata Rau to join the network. Professor Cathy Stinear, PVC Equity has discussed the commitments and opportunities of joining the network with Associate Professor Te Kawehau Hoskins, PVC Māori, Associate Professor Jemaima Tiatia, PVC Pacific, Professor Valerie Linton, Provost, Professor Frank Bloomfield, DVC Research, and the KEN team in Leeds.

Next steps

Council approval for the University to become a Key Partner in KEN will be followed by:

- The University becoming a signatory to the Declaration on Knowledge Equity at the Network's global launch in the week beginning 22 May 2023.
- The development of our Knowledge Equity Statement, sponsored by the Office of the PVC Equity and approved by UEC by the end of 2024. The Knowledge Equity Statement will:
 - Be developed using an open, collaborative process with students and staff.
 - Align with Taumata Teitei and Te Ara Tautika | the Equity Policy, so that it reflects our purpose, values, and vision.
 - Include tangible commitments aligned with selected recommendations in the Declaration (appendix), with a timeline to 2030.



Declaration on Knowledge Equity

Our planet faces unprecedented challenges including climate change, economic instability, inequality, poverty, and forced population displacements that threaten the health and wellbeing of humanity. Higher Education is at the forefront of addressing these profound problems. To do so effectively, we must continue to develop an open, collaborative approach, working as a global community, and reaffirm the core purpose of Higher Education; to improve the world for the benefit of all.

Meaningful global partnerships with fair and open access to quality education for all will help reduce inequalities across the world. Open collaboration and dissemination in research will lead to new ideas and discoveries and help us resolve challenges such as climate change, poverty, sustainable energy production, the maintenance and enhancement of ethical governance and human rights, and a high quality of life in general.

We will reconfirm the important roles Higher Education fulfils in society, and drive toward the open, collaborative culture and environment that will be required to make a meaningful difference to this world.

The Knowledge Equity Network will create a shared community of learners, practitioners, and innovators to unlock open and equitable access to knowledge. We believe that through the power of knowledge we will be able to reduce inequalities, and that collective intercultural creativity is crucial to generate the leadership and solutions to tackle global challenges. We will strive for equity and access for all and recommend several principles to make this possible.

Our principles are:

Universal: The Higher Education system is for the good of all, educating the workforce and leaders of tomorrow, enriching cultures, improving health and wellbeing, and driving innovation and entrepreneurship.

Collaborative: the need to prioritise collaboration over competition, working in inclusive, transdisciplinary partnerships with generosity and compassion.

Inclusive: the need to consider all potential beneficiaries of higher education, and the knowledge it creates, enabling practices that provide equal and fair access to all, leading to equitable outcomes.

Sustainable: the need to carry out these practices in a way that is sustainable for providers, funders, and participants.

We recognise that many institutions, funding agencies, publishers, organisations, networks and individuals are already encouraging improved practices to support different aspects of openness and knowledge equity. Our aim is to share and build upon these practices, developing a global approach for the benefit of all. Working with signatories, we will deliver a step change in global approaches to Higher Education, including (but not limited to):

- adoption of good governance principles (e.g. cohesion, openness, participation, effectiveness, and accountability);
- a cohesive approach to improve equity, diversity and inclusion;
- a move away from competition-focussed rankings;
- an increase in collaborative efforts to address UN Sustainable Development Goals;

- a wholehearted commitment to open publication and open practices;
- a significant increase in co-design and co-delivery of research and research-led education between the Global North and Global South; and
- addressing the demographic characteristics of learners that reflect our local and global populations.

The signatories of the Declaration on Knowledge Equity support the adoption of the following practices.

General Recommendations

1. The Higher Education system must create a culture and environment where there is **open and equitable access** to both research-led education and the benefits of knowledge and innovation that result from Higher Education.
2. The Higher Education system must **actively address inequalities** (e.g. social, geographical, financial) that prevent or limit open access to Higher Education.
3. The Higher Education system must move away from a competition-based environment to a **global collaborative endeavour** where partnership is actively sought, celebrated and rewarded.
4. The Higher Education system must create an environment that **respects and supports all knowledge systems**, acknowledging their importance and value.

For Higher Education Institutions

1. Publish a Knowledge Equity Statement for your institution by 2025, incorporating tangible commitments aligned with the principles and objectives below.
2. Commit to institutional action(s) to support a sustained increase of published educational material being open and freely accessible for all to use and reuse for teaching, learning, and research.
3. Commit to institutional action(s) to support a sustained increase of new research outputs being transparent, open and freely accessible for all, and which meet the expectations of funders.
4. Use openness as an explicit criteria in reaching hiring, tenure, and promotion decisions. Reward and recognise open practices across both research and research-led education. This should include the importance of interdisciplinary and/or collaborative activities, and the contribution of all individuals to activities.
5. Define Equity, Diversity and Inclusion targets that will contribute towards open and inclusive Higher Education practices, and report annually on progress against these targets.
6. To create new mechanisms in and between Higher Education Institutions that allow for further widening participation and increased diversity of staff and student populations.
7. Review the support infrastructure for open Higher Education, and invest in the human, technical, and digital infrastructure that is needed to make open Higher Education a success.
8. Promote the use of open interoperability principles for any research or education software/system that you procure or develop, explicitly highlighting the option of making all or parts of content open for public consumption.

1. <https://www.force11.org/group/fairgroup/fairprinciples>

9. Ensure that all research data conforms to the FAIR Data Principles¹: 'findable', accessible, interoperable, and re-useable.

For Funding Agencies

1. Publish a statement that open dissemination of research findings is a critical component in evaluating the productivity and integrity of research.
2. Incorporate open research practices into assessment of funding proposals.
3. Incentivise the adoption of Open Research through policies, frameworks and mandates that require open access for publications, data, and other outputs, with as liberal a licence as possible for maximum reuse.
4. Actively manage funding schemes to support open infrastructures and open dissemination of research findings, educational resources, and underpinning data.
5. Explicitly define reward and recognition mechanisms for globally co-produced and co-delivered open educational resources that benefit society.

For Publishers and Suppliers

1. Ensure the availability of fair, transparent and equitable routes by which research findings can be rapidly published in an open format.
2. Ensure that open educational resources can be freely shared through open licences, which facilitate use, revision, translation, improvement and sharing by anyone.
3. Ensure resources are published in formats that facilitate both use and editing, and that accommodate a diversity of technical platforms.
4. Ensure open resources are also available in formats that are accessible to people with disabilities and people without access to the internet.
5. Actively include and highlight open resources within resource repositories.
6. Conceive digital platforms with sharing and open APIs as a design principle.

For Individuals, Organisations and Networks

1. Champion the benefits of openness and knowledge equity at peer, institutional, sectoral, and cultural levels.
2. When involved in making decisions about funding, hiring, tenure, or promotion, ensure that due consideration is given for the contribution toward openness and knowledge equity.
3. Ensure that all research outputs are deposited in a way that is openly accessible immediately on publication on a platform with a licence that is as open as possible (CC BY equivalent), improving access to and impact of research findings.
4. Ensure that all research data conforms to the FAIR Data Principles¹: 'findable', accessible, interoperable, and re-useable.
5. Embrace educational practices built around collaboration, discovery, and the creation of knowledge; and inviting peers and colleagues to get involved.

1. <https://www.force11.org/group/fairgroup/fairprinciples>

Council Appointments Statute 2022

Title and commencement

- a) The **Council** of the **University** makes the following statute under [section 284 of the Education and Training Act 2020](#).
- b) This statute may be cited as the Council Appointments Statute 2022.
- c) This statute comes into force on 13 December 2022.
- d) The Council Appointments Statute 2019 is repealed from the date this statute comes into force.

Application

This statute applies to Council members and those eligible for appointment or election to Council.

Purpose

This statute governs the election and appointment of those members of the Council appointed to the Council by resolution, in accordance with the Amendment to the Constitution of the University of Auckland Council Notice 2019 and [section 276 of the Education and Training Act 2020 \(Act\)](#).

Contents

- Appointments by virtue of office
- Appointments by expressions of interest
- Appointments by election

Statute

(1) Appointments by virtue of office

- a) The Council is to resolve to appoint the Vice-Chancellor as a member of Council, by virtue of their holding office as the chief executive officer of the University of Auckland.
- b) The Vice-Chancellor is to be a member of Council for as long as they hold that office.

(2) Appointments by expressions of interest

- a) The Council is to resolve to appoint:
 - (i) One person, being Māori and able to advise Council on issues relevant to Māori, following a call for expressions of interest from iwi and other Māori individuals or groups
 - (ii) One person being an **alumnus** of the University of Auckland, following a call for expressions of interest from alumni
 - (iii) Two persons able to provide skills specified by Council, following a call for expressions of interest
- b) A person must not be disqualified from appointment under [section 277 of the Act](#).
- c) Expressions of interest will be sought, and recommendations made to Council for appointment by resolution, in accordance with the Council Appointments Procedures approved by Council.
- d) When appointing Council members, as far as is reasonably practicable, Council must have regard to the requirement that Council should reflect:

- (i) the ethnic and socio-economic diversity of the communities served by the University; and
- (ii) fact that approximately half the population of New Zealand is male and half the population is female.

However, Council must ensure that at least 1 Council member is Māori, and appoint people who (in Council's opinion):

- (i) have relevant knowledge, skills, or experience; and
- (ii) are likely to be able to fulfil their individual duties to Council; and
- (iii) together with the other members of Council, are capable of undertaking its responsibilities, duties, and functions.

e) Each person selected in accordance with the provisions of this section is to be appointed to Council by resolution for a period of not more than four years.

f) A person must not be appointed to Council for more than three periods of four years (except where a person was a member of Council on 31 December 2015, and has not completed their current term, they may serve up to four periods of no more than four years each).

(3) Appointments by election

a) The Council is to resolve to appoint one **permanent member** of the **academic staff** elected by the permanent members of that staff, one permanent member of the **professional staff** elected by the permanent members of that staff, and one **student** elected by the students of the University.

b) Except as otherwise provided by this statute, the elections are to be conducted in accordance with the Council Appointments Procedures and the provisions of the Election Procedures.

c) To be ~~eligible to be~~ a candidate in an election:

(i) academic or professional staff must:

- ~~be a permanent member of the academic staff or the professional staff;~~
- ~~provide a Notice of Candidacy in the format and within the timeframe specified by the Returning Officer~~
- ~~be employed on an employment agreement of sufficient duration to allow them to serve a four year appointment;~~
- ~~be available to attend Council meetings, participate in Council business and serve on Council committees;~~ and
- not be disqualified from appointment under [section 277 of the Act](#)

(ii) a student must:+

- ~~provide a Notice of Candidacy in the format and within the timeframe specified by the Returning Officer; and~~
- not be disqualified from appointment under [section 277 of the Act](#).

d) Any candidate statement submitted with the Notice of Candidacy is to address the skills and experience sought by Council, and must not exceed 500 words.

e) The Returning Officer is to compile a list of electors who are eligible to vote in each election, which is to include each permanent member of academic or professional staff, and each student, at a date to be determined by the Returning Officer.

f) Each person elected in accordance with the provisions of this statute:

(i) as academic or professional staff representative is to be appointed to Council by resolution for a term of four years or until they cease to be a member of the permanent academic or professional staff of the University of Auckland, whichever is the earlier event;

(ii) as representative of the students of the University is to be appointed to Council by resolution for a term of one year from 1 November of the current year until 31 October of the following year or until they cease to be an enrolled student of the University, whichever is the earlier event.

g) A person must not be appointed to Council:

(i) as academic or professional staff representative for more than three periods of four years (except where a person was a member of Council on 31 December 2015, and has not completed their current term, they may serve up to four periods of no more than four years each);

(ii) as representative of the students of the University for more than three periods of one year.

h) If they are not already members of Senate, the academic and professional staff members elected to Council will become members of Senate for the duration of their respective term on Council.

(4) Casual vacancies

a) If the office of a Council member becomes vacant in accordance with [clause 7 of Schedule 11](#) of the Act before the end of the member's term of office, another person must be appointed to the office by the procedure by which the Council member became a member (subject to sections 4(b) and (c) below).

b) If the appointment procedure is no longer available or applicable to the vacant position, Council must consider whether it is necessary to appoint another person under its constitution and, if so, both:

- i. determine the appropriate procedure for appointment of another person; and
- ii. appoint another person using it.

c) If the office becomes vacant within 6 months before the end of the member's term of office, the Minister or Council (as applicable) can decide that the vacancy does not need to be filled.

Definitions

The following definitions apply to this document:

Academic staff includes persons employed as a professor, associate dean, associate professor, senior lecturer, lecturer, senior tutor, tutor, professional teaching fellow, senior research fellow or research fellow, and such other positions as may from time to time be designated 'academic staff' by the Vice-Chancellor.

Act means the Education and Training Act 2020.

Alumnus means a person who has been awarded a qualification by:

- The University of Auckland
- The Auckland College of Education, or
- The Auckland College of the University of New Zealand.

Council refers to the Council, the governing body of the University of Auckland.

Permanent Member is, for the purpose of this statute, ~~taken as~~ defined in section 10(1) of the Act and ~~meaning~~, in relation to the ~~teaching academic staff or general professional staff*~~ of the University, a member of the staff who:

(a) is employed, on a full-time or part-time basis, for a period ending, unless sooner terminated, on the member's resignation or retirement;

or

(b) has been employed, on a full-time or part-time basis, whether under an employment agreement for a fixed term or otherwise, for at least 3 months;

or

(c) has been employed, on ~~either~~ a full-time or part-time basis, whether under an employment agreement for a fixed term or otherwise, for less than 3 months and whose employment is, in the opinion of the ~~chief executive of the institution~~ Vice-Chancellor, likely to continue for at least 3 months from the date of commencement of that employment.

**Note - The University uses the terms "academic staff" and "professional staff" instead of the wording "teaching" and "general" staff used by the Education and Teaching in the definition of "permanent member" in section 10(1) of the Act.-2020*

For the purposes of this statute, ~~permanent~~ means a member of academic or professional staff who is employed on an employment agreement with a duration of 12 months or more as at the date of eligibility determination.

Professional staff includes ~~persons~~ employed in administrative, technical and library positions, and such other positions as may from time to time be designated 'professional staff' by the Vice-Chancellor.

Student means an enrolled student of the University of Auckland.

University means Waipapa Taumata Rau | University of Auckland and includes all subsidiaries.

Key relevant documents

Include the following:

- [Education and Training Act 2020](#)
- [University of Auckland Act 1961](#)
- [Elections Procedures](#)
- [Council Appointments Procedures](#)

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Content manager: Registrar

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- a) The Council is to resolve to appoint:
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- b) A person must not be disqualified from appointment under [section 277 of the Act](#).
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However, Council must ensure that at least 1 Council member is Māori, and appoint people who (in Council's opinion):

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(ii) a student must:

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- (b) has been employed, on a full-time or part-time basis, whether under an employment agreement for a fixed term or otherwise, for at least 3 months; or
- (c) has been employed, on a full-time or part-time basis, whether under an employment agreement for a fixed term or otherwise, for less than 3 months and whose employment is, in the opinion of the Vice-Chancellor, likely to continue for at least 3 months from the date of commencement of that employment.

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Document management and control

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