

<p><b>Council Agenda Part A (Open Agenda)</b></p> <p><b>13.03.2023</b>  <b>Council Room – Level 2 ClockTower, Princes Street 22, Auckland</b>  <b>4:00pm</b></p>			<p>Page #</p>
<p><b>COUNCIL BRIEFINGS</b></p>	<p>These will take place prior to the meeting and include a Council only session.</p>		
<p><b>KARAKIA</b></p>	<p>The meeting will be opened with a karakia at the beginning of the briefings</p>		
<p><b>1. APOLOGIES</b></p>	<p><b>The Chancellor moves</b> that the apologies, if any, be <b>noted</b>.</p>		
<p><b>2. DISCLOSURES OF INTEREST BY MEMBERS</b></p>	<p>The attention of Members is drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.</p>	<p><b>The Chancellor moves</b> that the disclosures, if any, be noted and the action taken be <b>endorsed</b>.</p>	
<p><b>3. HONOURS/AWARDS - NEW YEAR HONOURS</b></p>	<p><b>DAME COMMANDER OF THE MOST EXCELLENT ORDER OF THE BRITISH EMPIRE</b></p> <p>Dame Julie Maxton DBE - For the application of science for human benefit</p> <p><b>THE NEW ZEALAND ORDER OF MERIT</b></p> <p><b>Dames Companion</b></p> <p>Professor Farah R Palmer DNZM  Ms Miranda C Harcourt DNZM</p> <p><b>Knights Companion</b></p> <p>Dr Haare M Williams KNZM  Professor Ashley Bloomfield KNZM</p> <p><b>Companions</b></p> <p>Dr Bruce Campbell - For services to plant and food research  Mr Michael F Barnett - For services to business  Mr Tawhiri R Williams - For services to Māori and education</p>		

	<p>Mrs Kaa Williams - For services to Māori and education          Professor Helen V Danesh-Meyer - For services to ophthalmology</p> <p><b>Officers</b></p> <p>Ms Christine A Rogan - For services to alcohol harm reduction          Dr Apisalome S Talemaitoga - For services to health and the Pacific community          Mr Brian P Donnelly - For services to social housing          Mr Ian MacEwan - For services to addiction services          Dr Bruce Clarkson - For services to ecological restoration          Mr Kenneth M Williams - For services to governance and the community          Ms Michele E A'Court - For services to entertainment &amp; comedy industries          Ms Anita J Mazzoleni - For services to corporate governance          Ms Lisa-Jane Taouma - For services to Pacific arts &amp; the screen industry          Mr Mitchell K Pham - For services to technology &amp; NZ-Asia relations          Dr Natalie J Gauld - For services to pharmacy and health          Ms Pania C Papa - For services to Māori language and education</p> <p><b>Members</b></p> <p>Ms Bonnie Robinson - For services to seniors and social services          Dr Alana M Lopesi - For services to the arts          Ms Debra Lampshire - For services to mental health          Ms Penelope J Jackson - For services to art crime research &amp; visual arts          Mr David C Chapple - For services to community &amp; heritage preservation          Dr Timothy R Bevin - For services to health          Dr John D Armstrong - For services to Māori health          Dr Gregory H Sherley - For services to conservation          Mr Chris Grinter - For services to education and Māori          Mr Paul Hodge - For services to the hospitality industry          Dr Jane E Skeen - For services to children with cancer          Professor Christine M Rubie-Davies - For services to education          Mrs Carlotta B Dann - For services to addiction advocacy          Ms Betty-Anne M Monga For services to music          Mr Brian R Coffey - For services to people with disabilities          Ms Mary Cornish - For services to the performing arts          Ms Marilyn R Kohlhase - For services to Pacific arts and education          Mr John M Inger - For services to education          Mr Christopher S Rooney - For services to education          Mr Charles B Wilkinson - For services to arts governance and community          Dr Gina A Cole - For services to literature          Mr David W Bain - For services to health and the community          Ms Rosanna Raymond - For services to Pacific art          Ms Shirleen V Prasad - For services to addiction service &amp; Asia community</p>	
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	<p><b>QUEEN'S SERVICE ORDER</b></p> <p>Mr John R Dobson - For services to people with disabilities</p> <p><b>QUEEN'S SERVICE MEDA</b></p> <p>Maria K Lafaele - For services to Pacific health  Mrs Kinaua Ewels - For services to the Kiribati community  Mr James Ngarewa - For services to the community and education  Mr Donald B Thomas - For services to the community &amp; legal profession  Ms Nina Kirifi-Alai - For services to education &amp; the Pacific community  Mr Geoffrey D Clews - For services to the arts and the community  Mr Richard J Higham - For services to rugby and education</p>		
<b>4. COUNCIL MEETINGS</b>	<p><b>4.1 Council, Draft Minutes (Part A), 12.12.2022</b></p>	<p><b>The Chancellor moves</b> that the Minutes (Part A),12.12.2022 be taken as <b>read and confirmed.</b></p>	<b>8</b>
	<p><b>4.2 Matters arising from the Minutes (Part A), 12.12.2022 not elsewhere on the Agenda</b></p> <ul style="list-style-type: none"> <li>• With regard to item 9.3, Philanthropic Gift Acceptance Policy, the Deputy Vice-Chancellor Strategic Engagement, Dr Lithander advised that the Policy would be resubmitted for rewording to the Foundation.</li> <li>• With regard to item 9.and more specifically regarding the possibility to increase the length of the term of office for students on Council to two years, the Returning Officer, Mrs Adrienne Cleland, advised the following: <i>"The <a href="#">Gazette notice</a> states that each Council member can be appointed or elected for any period of not more than four years (and for no more than 3 such periods). This is consistent with the requirements of clauses <a href="#">3</a> and <a href="#">6</a> of Schedule 11 (Councils) of the Education and Training Act 2020. Accordingly, it is Council's decision (reflected in the Council Appointments Statute) as to the term of appointment of the elected student representative, provided that the term is not more than 4 years. The rationale for the shorter term for student representatives was most likely to allow each shifting cohort of students a voice on who should be their representative".</i></li> </ul>		
<b>5. VICE-CHANCELLOR'S REPORT</b>		<p><b>The Chancellor moves</b> that the Vice-Chancellor's Report be <b>noted.</b></p>	<b>15</b>
<b>6. REPORTS OF COUNCIL COMMITTEES</b>	<p><b>6.1 AUDIT AND RISK COMMITTEE</b></p>	<p><b>The Chancellor moves</b> that the Audit and Risk Committee Minutes (Part A) 02.03.2023 be <b>received.</b></p>	<b>29</b>
	<p><b>6.1.1 Minutes, (Part A), 02.03.2023</b></p>		

	<p>6.2 <b>FINANCE COMMITTEE</b></p> <p>6.2.1 <b>Minutes, (Part A), 01.03.2023</b></p>	<p><b>The Chancellor moves</b> that the Finance Committee Minutes (Part A) 01.03.2023 be <b>received</b>.</p>	<p><b>31</b></p>
<p><b>7. SENATE MATTERS</b></p>	<p>7.1 <b>REPORT OF SENATE, 27.02.2023</b></p> <p>Part A1 a-c: Policy and other matters requiring to be considered/received by Council</p> <p>Part A2 1-6: Policy and other matters requiring Council <b>approval</b></p> <p>Part C 1-3: Matters handled under Delegated Authority</p>	<p><b>The Chancellor moves</b> that the recommendations in Part A of the Report of Senate, 27.02.2023 be <b>adopted</b> and C be <b>noted</b></p>	<p><b>34</b></p>
<p><b>8. CORRESPONDENCE REFERRED BY THE CHANCELLOR</b></p>	<p>No items received</p>		
<p><b>9. OTHER MATTERS FOR DECISION OR NOTING</b></p>	<p>9.1 <b>SEAL</b></p> <p>Since the Council meeting on 112.12.2022 the seal has been applied to the following documents in accordance with the Council resolution of 18.02.1991:</p> <ul style="list-style-type: none"> <li>• Deed of Variation of Lease – 7 Kitson Place, Mt Wellington - Kitson Investment Ltd (Landlord) and the University of Auckland (Tenant)</li> <li>• Deed of Renewal of Sublease - 19A Princes St, Auckland - Grange Road Holdings Ltd (sublandlord) &amp; the University of Auckland (sub Tenant)</li> </ul>	<p><b>The Chancellor moves</b> that the affixing of the seal to the listed document be <b>noted</b>.</p>	
<p><b>10. ELECTIONS - APPOINTMENTS</b></p>	<p>None</p>		
<p><b>11. GENERAL BUSINESS</b></p>	<p>None</p>		
<p><b>12. LEAVE OF ABSENCE</b></p>	<p>(for the meeting of 27.04.2023)</p>		

## **PUBLIC EXCLUSIONS**

**The Chancellor moves** that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

Item No. 1.1	Council Minutes (Part B 12.12.2022)
Item No. 2.1.1	Auckland health Research Ethics Committee
Item No. 2.2.1	University of Auckland Biological Safety Committee
Item No. 2.3.1	University of Auckland Human Participants Ethics Committee
Item No. 2.4.1	Audit and Risk Committee Minutes Part B 02.03.2023
Item No. 2.4.2	2022 Draft Annual Report
Item No. 2.4.3	Pastoral Care Code of Practice Attestation
Item No. 2.5.1	Finance Committee Minutes Part B, 02.03.2023
Item No. 2.5.2	Financial Performance 2022
Item No. 2.5.3	Treasury Policy Report and updates
Item No. 2.5.4	Bank facility
Item No. 2.6.1	University Honours Committee
Item No. 4.1	UniServices

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Adrienne Cleland, Professors Linton, Bloomfield and Metson, Andrew Phipps, Tim Bluett, Pamela Moss, Helen Cattanach, Julian Michael, Anthony Brandon and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.



**Council Minutes Part A (Open Minutes)**  
**12.12.2022**  
**4:00pm**  
**Council Room – Level 2 ClockTower, Princes Street 22, Auckland**

<b>PRESENT:</b>	Ms Tarrant (Chair), Professor Freshwater (Vice-Chancellor), Professor Curtin, Dr Prasad, Ms Dunphy, Ms Barakat, Ms Quinn, Mr McDonald, Mr Paitai, Ms Dawson, Mr Mason and Ms Newsome	
<b>IN ATTENDANCE:</b>	Mrs Cleland, Professors Linton, Metson, Kool; Dr Lithander, Dr Ten Eyck, Ms Moss, Mr Phipps, Mr Bluett, Ms Cattanach, and Ms Verschaeren	
<b>COUNCIL BRIEFINGS</b>	These took place prior to the meeting and included a Council only session.	
<b>KARAKIA</b>	The briefings were opened with a Karakia by Mr Paitai.	
<b>1. APOLOGIES</b>	None	
<b>2. WELCOME</b>	The Chancellor <b>welcomed</b> Ms Hala Barakat to her first meeting as member of Council.	
<b>3. DISCLOSURES OF INTEREST BY MEMBERS</b>	The attention of Members was drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.  No further disclosures were made.	
<b>4. COUNCIL MEETINGS</b>	4.1. <b>Council, Draft Minutes (Part A), 10.03.2022</b>	<b>RESOLVED</b> (Chancellor/Mr Paitai): that the Minutes (Part A), 10.03.2022 be taken as <b>read</b> and <b>confirmed</b> .
	4.2 <b>Matters arising from the Minutes (Part A), 10.03.2022 not elsewhere on the Agenda...</b>	

<p><b>5. VICE-CHANCELLOR'S REPORT</b></p>	<p>The Report was taken as read.</p> <p>The Vice-Chancellor, Professor Freshwater, presented this item. Council noted that:</p> <ul style="list-style-type: none"> <li>• 2022 had been a challenging and busy year but it had also been productive. The Vice-Chancellor acknowledged the staff and student success and the continuing support given by the University's alumni.</li> <li>• The external engagement had increased in the last six months and included Government engagement, external activities and events.</li> <li>• Semester Two had been the first COVID restriction free semester in three years and it was great to see the campus coming back to life.</li> <li>• The Mātauranga Māori Symposium took place at the end of November in the Whare Nui. Next year, a public lecture series would be bringing people together on panels to engage in critical conversations around various matters of concern.</li> <li>• A very productive constitutional korero took place in the Faculty of Law in November</li> <li>• The Vice-Chancellor also acknowledged the significant effort made to remain in the top-10 of the Times Higher Education Innovation and Impact Rankings and the work undertaken for the Learner Success Plan which had been highly commended by the TEC.</li> </ul> <p>Council asked to make typographical corrections on p.1 and 6 of the report.</p>	<p><b>RESOLVED</b> (Chancellor/Ms Newsome): that the Vice-Chancellor's Report be <b>noted</b>.</p> <p><b>RESOLVED</b> (Chancellor/Ms Quinn): that the Vice-Chancellor, her team, and all staff of the University be thanked for all the work they undertook in 2022.</p>
<p><b>6. REPORTS OF COUNCIL COMMITTEES</b></p>	<p>6.1 <b>AUDIT AND RISK COMMITTEE</b></p> <p>6.1.1 <b>Minutes, (Part A), 22.11.2022</b></p>	<p><b>RESOLVED</b> (Chancellor/Ms Dawson): that the Audit and Risk Committee Minutes (Part A) 22.11.2022 be <b>received</b>.</p>
	<p>6.2 <b>CAPITAL EXPENDITURE COMMITTEE</b></p> <p>6.2.1 <b>Minutes, (Part A), 10.11.2022</b></p> <p>6.2.2 <b>Terms of reference – updated</b></p> <p>Council noted that the inclusion of a Māori member in the Capital Expenditure Committee membership could be considered at a later date.</p>	<p><b>RESOLVED</b> (Chancellor/Ms Barakat): that the Capital Expenditure Committee Minutes (Part A) 10.11.2022 be <b>received</b>.</p> <p><b>RESOLVED</b> (Chancellor/Dr Prasad): that the amended terms of reference be <b>adopted</b></p>
	<p>6.3 <b>FINANCE COMMITTEE</b></p> <p>6.3.1 <b>Minutes, (Part A), 24.11.2022</b></p>	<p><b>RESOLVED</b> (Chancellor/Mr McDonald): that the Finance Committee Minutes (Part A) 24.11.2022 be <b>received</b>.</p>

	<p>6.4 <b>UNIVERSITY EQUITY LEADERSHIP COMMITTEE (UELCC)</b></p> <p>6.4.1 <b>December 2022 Report</b>                  The Vice-Chancellor reminded Council that it had been a year since the reframing of this Committee and significant progress had been made under the leadership of the Pro Vice-Chancellor Equity, Professor Cathy Stinear. She had collaborated with the Pro Vice-Chancellor Māori, Professor Te Kawehau Hoskins, the Pro Vice-Chancellor Pacific, Associate Professor Jemaima Tiatia, and with the Deputy Vice-Chancellor Strategic Engagement, Dr Erik Lithander.                  The Vice-Chancellor congratulated the Pro Vice-Chancellor Equity on her outstanding leadership in pulling together Te Ara Tautika - Equity Policy and building a Community of Practice to engage in strategic priorities for the University.</p>	<p><b>RESOLVED</b> (Chancellor/Ms Dunphy): that the Equity leadership Report – December 2022 be <b>received</b>.</p>
<p><b>7. SENATE MATTERS</b></p>	<p>7.1 <b>REPORT OF SENATE, 28.11.2022</b></p> <p>Part A1, a-c: Policy and other matters requiring to be received by Council                  Part A2 1-7: Policy and other matters requiring Council <b>approval</b>                  Part C, 1-5: Matters handled under Delegated Authority</p> <p>The Pro Vice-Chancellor Education, Professor Bridget Kool drew Council’s attention to the 2023 Omnibus Amendment.                  She explained that in January of this year, an Omnibus Amendment Statute was introduced to make interim changes to a number of Teaching &amp; Learning related Regulations &amp; Policies in order to preserve the highest standards of excellence in education and to prevent undue hardship to students and staff in the context of the ongoing impacts of the emergency created by the COVID-19 pandemic. The University would like to retain the majority of the changes made for 2022 as business as usual for 2023. Some of the changes would be continued for another year and then reviewed to assess their impact. In addition, some new changes had been identified that would be required for 2023. Therefore, a new Omnibus Amendment Statute 2023 had been developed to capture all changes. The new statute, replacing the current Omnibus Amendment Statute, would be in force from 1 January 2023 until 31 December 2023. The 2023 Statute would be reviewed prior to the end of next year and the changes made for 2023 either made permanent (through a further amending statute) or discontinued.</p>	<p><b>RESOLVED</b> (Chancellor/Professor Curtin): that the recommendations in Part A of the Report of Senate, 28.11.2022 be <b>adopted</b> and Part C be <b>noted</b></p>

<p><b>8. CORRESPONDENCE REFERRED BY THE CHANCELLOR</b></p>	<p>No items received</p>	
<p><b>9. OTHER MATTERS FOR DECISION OR NOTING</b></p>	<p>9.1 <b>SEAL</b></p> <p>Since the Council meeting on 13.06.2022 the seal had been applied to the following documents in accordance with the Council resolution of 18.02.1991:</p> <ul style="list-style-type: none"> <li>• Deed relating to Extension and Variation of Lease for Level 5, 67 Symonds Street – SIPKA Properties Symonds St Ltd (The Lessor) and The University of Auckland (The Lessee)</li> <li>• Deed relating to Extension and Variation of Lease for Level 9, 67 Symonds Street – SIPKA Properties Symonds St Ltd (The Lessor) and The University of Auckland (The Lessee)</li> <li>• Deed relating to Extension and Variation of Lease for Level 11, 67 Symonds Street – SIPKA Properties Symonds St Ltd (The Lessor) and The University of Auckland (The Lessee)</li> <li>• Deed of Partial Surrender and Variation of Commercial Areas Lease 2021 – B311 – Rooms 117, 117A, 124, 166, 167 &amp; 143 – The University of Auckland (Landlord) and Auckland University Students Association Inc (Tenant)</li> <li>• Deed of Partial Surrender and Variation of Commercial Areas Lease 2021 – B311 – Rooms 406 &amp; 408 – The University of Auckland (Landlord) and Auckland University Students Association Inc (Tenant)</li> <li>• Deed of Partial Surrender and Variation of Non-Commercial Areas Lease 2021 – B311 – Rooms 412, 412A-F, 402 &amp; 404 – The University of Auckland (Landlord) and Auckland University Students Association Inc (Tenant)</li> </ul>	<p><b>RESOLVED</b> (Chancellor/Vice-Chancellor): that the affixing of the seal to the listed document be <b>noted</b>.</p>
	<p>9.2 <b>DELEGATION OF POWERS UNDER SECTION 285 OF THE EDUCATION AND TRAINING ACT – CUAP and Graduating Year reviews</b></p> <p>9.2.1 <b>Memorandum, 30.11.2022 from Professor Bridget Kool, Pro Vice-Chancellor (Education)</b></p> <p>Council agreed with the delegation but asked Senate to report back to Council about the matters approved under this delegation.</p>	<p><b>RESOLVED</b> (Chancellor/Mr Paitai): that Pursuant to section 285(1) of the Education and Training Act 2020 (Act), <b>Council DELEGATES to Senate</b> its function and power to approve CUAP proposals and Graduating Year Reviews for submission.</p>

	<p>9.3 <b>PHILANTROPIC GIFT ACCEPTANCE POLICY</b></p> <p>9.3.1 <b>Memorandum, 08.11.2022 from Mark Bentley, Director Alumni relations &amp; Development (including the updated policy in the appendix)</b></p> <p>Council suggested rephrasing the third bullet point under clause 20 to clarify that the ARD prospect research team was satisfied that no new concerns had been identified.</p> <p>Council also asked if the Deputy Vice-Chancellor Strategic Engagement could ask the Foundation to review the wording of clauses 31, 32 and 33 (Gifts of Stock).</p> <p>Council noted that clarification regarding the ethics and standards of the University which could be found in the Philanthropic Gift Acceptance Guidelines and asked if this document could be loaded onto the Diligent Resource Centre.</p>	<p><b>RESOLVED</b> (Chancellor/Ms Quinn): that the updated policy be <b>approved</b> subject to the approval of the changes by the Chancellor, the Chair of the Audit and Risk Committee and the Foundation Manager.</p>
	<p>9.4 <b>STATUTES AND PROCEDURES UPDATE</b></p> <p>9.4.1 Memorandum, 17.10.2022 from the Deputy Vice-Chancellor (Operations) and Registrar, Mrs Adrienne Cleland</p> <p>9.4.2 Council Appointments Statute</p> <p>9.4.3 Council Appointments Procedure</p> <p>9.4.4 Court of Convocation Statute</p> <p>9.4.5 Election Procedures</p> <p>Council asked if the possibility of increasing the length of the term of office for students on Council to two years could be investigated, taking care not to disadvantage other students who might want to stand for election and only might have one year left before completing their degree. The Returning Officer, Mrs Adrienne Cleland would report back on this at the next meeting under matters arising.</p>	<p><b>RESOLVED</b> (Chancellor/Ms Barakat): that Council <b>approve</b> the renewal of the mentioned Statutes and Procedures for a further 5 years until December 2027.</p>
<p><b>10. ELECTIONS - APPOINTMENTS</b></p>	<p>None</p>	

<p><b>11. GENERAL BUSINESS</b></p>	<p>11.1 <b>MEETING AND GRADUATION DATES 2023</b></p> <p><b>Council meeting dates:</b> 13.03.2023, 27.04.2023, 12.06.2023, 21.08.2023 16.10.2023 &amp; 11.12.2023</p> <p><b>Finance Committee dates:</b> 01.03.2023, 24.05.2023, 02.08.2023, 20.09.2023 &amp; 22.11.2023</p> <p><b>Audit and Risk Committee dates:</b> 02.03.2023, 19.05.2023, 27.09.2023 &amp; 16.11.2023</p> <p><b>Capital Expenditure:</b> 29.05.2023, 31.07.2023 &amp; 27.11.2023</p> <p><b>Graduation:</b> Autumn: 02, 03 &amp; 04.05.2023 Spring: 18 &amp; 19.09.2023 FMHS: 14.12.2023 Tai Tokerau: TBC</p>	<p><b>RESOLVED</b> (Chancellor/Ms Dunphy): that Council <b>note</b> the meeting and Graduation dates for 2023</p>
<p><b>12. LEAVE OF ABSENCE</b></p>	<p>for the meeting of 13.03.2022 No leave was requested at this time.</p>	

**PUBLIC EXCLUSIONS**

**RESOLVED** (Chancellor/Vice-Chancellor): that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

Item No. 1.1	Council Minutes (Part B), 03.10.2022
Item No. 2.1.1	University of Auckland Animal Ethics Committee
Item No. 2.2.1	University of Auckland Human Participants Ethics Committee
Item No. 2.3.1	Audit and Risk Committee Minutes Part B 21.11.2022
Item No. 2.4.1	Capital Expenditure Committee Minutes, Part B 10.11.2022
Item No. 2.4.2	RWC budget revision
Item No. 2.5.1	Finance Committee Minutes Part B, 24.11.2022
Item No. 2.5.2	Budget Report 2023
Item No 4	2023 KPIs

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Adrienne Cleland, Professors Linton and Metson, Brian Ten Eyck, Andrew Phipps, Tim Bluett, Simon Neale, Pamela Moss, Helen Cattanach, and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

**The meeting closed at 6.20pm**

**The meeting went into Public Excluded session at 5.10pm**

**Approved as a true and correct record.**

**Cecilia Tarrant, Chancellor**

**Date**

## Vice-Chancellor's Report to Council | 13 March 2023

### General

#### External, Policy and Government Update

The extreme weather events in January and early February impacted the University community, just as it did the rest of the Nation. Several members of the University's staff, students, and whānau were affected by both the floods and the cyclone; fortunately, no one was injured on any of our campuses, and damage to our buildings and properties was limited. Leveraging experience gained during the pandemic, staff were able to flip classes and exams to online at short notice during the storms while damage assessment and clean-up proceeded swiftly after they passed.

The new Prime Minister Chris Hipkins announced a new cabinet on 31 January which saw him relinquish the Education portfolio. Former Associate Education Minister Jan Tinetti takes on the full Education portfolio including tertiary education. She is now ranked number 6 in the cabinet line-up, with Te Pūkenga and compulsory school attendance and performance her primary concerns. Also elevated is Research, Science and Innovation (RSI) Minister Ayesha Verrall to number 8 in the cabinet. She holds the RSI portfolio and gains Health. Minister Verrall is well known to the University having visited on a number of occasions. In her RSI portfolio, the Minister has already indicated her 2023 focus will be on advancing the White Paper *Te Ara Paerangi, Future Pathways*, starting with drawing up a set of national research priorities.

The Vice-Chancellor travelled to India for the QS G20 India Summit in Goa from 19-21 February. The University was a primary sponsor for the event, an agreement made pre-Covid-19. The University hosted a summit workshop on "Learning through the life course: Exploring Future Possibilities for Universities" with Faculty of Education and Social Work Dean Professor Mark Barrow and the Faculty's Associate Dean International Professor Marek Tesar. Professor Freshwater hosted a panel on "Research Excellence Amid a Changing Landscape" and took part in a panel on "Women's Empowerment." During the opening ceremony and her welcome remarks, Professor Freshwater met with India's Minister of State and Parliamentary Affairs Shri Arjun Ram Meghwal and Minister of State for Education Dr Subhas Sarkar.

Research, Science and Innovation Minister Ayesha Verrall hosted a MedTech Innovation Showcase at Parliament's Banquet Hall on 23 February 2023. The MedTech Innovation Showcase highlighted the research translation capability and entrepreneurial spirit in Aotearoa New Zealand. The Showcase brought together researchers, healthcare professionals, companies, investors and government partners around a vision to nurture the fast-growing and lucrative technology sector.

On 28 January 2023, China's Ministry of Education announced that they will no longer recognise foreign qualifications obtained via distance (online) learning, instead encouraging students to undertake their international university studies on-campus. At this point, the changes are not deemed to be a major concern for the University, we continue to work closely with Education New Zealand, Immigration New Zealand, and other agencies to monitor the situation.

UoA has joined Future17, a global education programme through which our students have the opportunity to work in international, multi-cultural teams together with peers from partner universities around the world, solving real-world challenges related to the UN Sustainable Development Goals. The first cohort of 20 students begin their projects on 27 February.

### **Internal Update**

There has been a strong interest across the sector in ChatGPT and other artificial intelligence (AI) technologies that are now widely available, and their impact on education. Staff have been collaborating to harness this technology to the best for teaching and research while helping students understand their responsibilities in terms of learning and academic integrity. In response, the 22 February All Staff Forum featured a panel of internal experts who discussed the emerging uses of AI and associated issues such as assessment security, academic integrity and assessment design. Additional staff resources and University-wide communications regarding AI technologies have also been developed.

### **Employment agreement status**

On 1 February, all staff on Individual Employment Agreements received the 5% general revision salary increase. Meanwhile, collective bargaining continues with the unions (TEU, PSA, and E-Tu), with further bargaining meetings scheduled in March. TEU held a stop work meeting on 17 February and, as a result, on 22 February the TEU notified management of a week-long strike action to a ban working more than ordinary hours. Members subsequently conducted a 23-hour work stoppage spanning 1-2 March. Additional strike actions are anticipated, with plans in place to minimise impact on students, should they eventuate.

## **1. Education and Student Experience**

### **Overview**

The weather-related disruptions through mid-February, combined with lower-than-anticipated enrolments in Summer School, have contributed to lower EFTS achieved relative to targets for this stage of the year. Enrolments are continuing to be finalised, however, and strong interest in enrolment advice sessions is resulting in daily changes to EFTS numbers. Forecasts for 2023 will be reviewed in March.

Domestic enrolments have been impacted by the lower proportion of school leavers eligible to enrol. The proportion of school leavers who gained University entrance among the 2022 cohort was lower than in prior years, and a lower proportion of those gaining entrance met the subject and score requirements for many specialised programmes. The high employment rate and ease of entering the job market, coupled with the relatively high costs of living, have had an impact on retention into year 2 and on postgraduate enrolments. In addition, undergraduate programmes with significant adult student numbers, such as the Teacher Education programmes, have also experienced lower enrolments.

International student enrolments and EFTS are higher than the budget targets with increased Semester 1 and strong increases, relative to this time in 2022, in Semester 2. Many international students have yet to have their visas finalised and are challenged to obtain flights. Arrangements are in place for students to transition to on-campus study during this semester, which may account for the unusual balance of enrolments between semesters.

Indicators	Last Year	Current Year			
		To Date (20 Feb 2023)		End of Year	
	Actual	Target	Actual	Target	Forecast
Total EFTS	<b>35,827</b>	<b>27,893</b>	<b>26,934</b>	<b>36,259</b>	<b>36,263</b>
Domestic Funded	30,291	24,261	22,536	30,593	30,596
International Full Fee	4,999	3,547	4,221	5,172	5,163
% Postgraduate EFTS	24.2%	23.5%	22.6%	25.2%	25.1%

**Note:** EFTS information does not include enrolments in the ELA and other sources

#### **EFTS in priority groups**

	2022 (21 Feb 2022)	2023 (20 Feb 2023)	% Change
Total Māori EFTS	1,936	1,766	-8.8%
Māori School leavers	273	271	-0.8%
Total Pacific EFTS	2,604	2,312	-11.2%
Pacific School leavers	429	386	-9.9%
Total Postgraduate	6,126	6,268	2.3%
Domestic residency	4,789	4,368	-8.8%
International residency	1,337	1,901	42.2%

**Note:** EFTS priority group information includes school leavers, does not include transfers

## Progress against priorities

**Priority 1: Accessible, equitable lifelong higher education opportunities**

**Priority 2: Student-centric learning, co-curricular and extra-curricular cultures**

**Priority 3: Education that is research-informed, transdisciplinary, relevant and with impact for the world**

**Priority 4: Graduates who make the world better tomorrow than it is today**

### *Priorities 1-4: The Curriculum Framework Transformation (CFT) Project*

The case to secure FY23 funding was approved, supporting CFT Phase 3 (2023-2026) implementation, grouped around five key CFT projects covering regulations, programmes, signature pedagogical practices, and two projects on hallmark elements. Detailed work on programme redesign continues while resources are being developed to assist staff implement the Signature Pedagogical Practices. The [TeachWell website](#) went live in February, providing a one-stop-shop to support excellence in learning and teaching practices. A hallmark element relates to Mātauranga Māori and Te Tiriti work and contains five projects owned by the Office of PVC Māori.

### *Priority 2: Future of Assessment*

The cross-University Assessments Future project, considering the types and form of future assessment strategies, is delivering outcomes which will help students clearly understand how they are advancing in their studies, while also assuring academic integrity and managing workloads.

### *Priorities 1-4: Cycle 6 Academic Audit*

Work continues on our response to the 14 recommendations contained in the Academic Quality Agency (AQA) Cycle 6 Academic Audit report and advancing enhancement initiatives, with achievements to be detailed in the 1-year follow-up report (due November 2023).

### *Priorities 1 & 2: Learner Success Plan (LSP)*

LSP initiatives being progressed by the Education Office include the Twenty Critical Courses Project (20CC) and the establishment of a Student Voice and Engagement Framework. The 20CC working group, co-chaired by a representative from the PVC Māori's Office, the PVC Pacific's Office, and the PVC Education, ran a successful pilot initiative over Summer School for students in these courses.

A Student Voice working group has been established and is co-chaired by student leaders, a representative from Campus Life, and the PVC Education. The group have prepared an initial draft Student Voice Policy, and Student Voice Guideline. These, and other LSP-related activities, are overseen by the LSP Governance Oversight Group, composed of the Provost, DVC Operations, PVC Māori, PVC Pacific, and PVC Equity. TEC representatives, visiting in mid-February, were pleased with the quality of and progress against, the University's LSP and its initiatives.

## 2. Research and Innovation

Indicators	Last Year	Current Year			
		To Date (31 Oct 2022)		End of Year	
	Actual	Target	Actual	Target	Forecast
Research revenue (\$M)	251.4	227.0	219.3	268.8	282.4

**Note:** *The revenue includes University of Auckland revenue only*

### Overview

Following the release of MBIE's Te Ara Paerangi Future Pathways White Paper in December last year, there have been further discussions with the DVCRs to provide detail on some of the initiatives that will get underway in the first half of 2023. MBIE has indicated that a process for identifying around eight Tier 1 national research priorities will be completed by the end of quarter 2, which will likely involve the establishment of an Independent Strategic Panel to provide recommendations to the Minister. Approximately \$50M per annum will be ringfenced for National mission-led funding and will replace the current National Science Challenges. By Quarter 2 MBIE will have also released a policy statement on giving effect to Te Tiriti. Further, there are plans to have a position paper ready for consultation, setting out options for institutional reform (including CRIs and other research related organisations). MBIE have also prioritised workforce development in year one, including the expansion of a research fellowship scheme, with the first initiative for Māori and Pacific already announced, as well as the design of other initiatives including an applied doctoral scheme to link students with industry, and the expansion of mechanisms to attract international research talent (including reviewing the Entrepreneurial Universities scheme).

### Progress against priorities

#### Priority 2: A global powerhouse of innovation, creativity and entrepreneurship

The Business Case for a five-year investment in MedTech-iQ Tamaki Makaurau has now been approved. Implementation planning is in progress to establish MedTech Tamaki Makaurau at Auckland Bioengineering Institute as host, including recruitment of the operational team and the establishment of governance and reporting. The project team have also completed the development of collateral for inclusion in MedTech Innovation Showcase and media releases in preparation for the Beehive tour.

#### Priority 3: Relevant, purposeful, impactful research for our communities

The Open Access (OA) Business Case has now been approved. Planning is in progress for the development of researcher training and content enhancement in OA, noting the need to educate researchers more broadly and specifically in response to the recent MBIE OA

policy which mandated Green OA. The upgrade of ResearchSpace, the institutional repository, has also started this year. The technical upgrade of the repository will be a key dependency for OA compliance.

#### **Priority 4: Ambitious research confronting humanity's greatest challenges**

The release of the estimated PBRF quality profiles was delayed at the end of the last year. This work is now on track for a February release. The PBRF quality profiles are generated by a PBRF Quality Score Model developed by the University's Planning and Information Office. Profiles will inform staffing strategies and research development plans to ensure an optimal PBRF submission in 2026 and will be reviewed and validated by Deans/Directors and Heads of School.

#### **Priority 5: Nurturing, recruiting and retaining outstanding research talent**

In 2023, the Researcher Skills and Development programme will transition to a Business-as-Usual service delivery model, spanning Office of Research Strategy & Integrity, Organisational Development, School of Graduate Studies, and Centre of eResearch. All roles approved as part of the service model have now been recruited. The team will provide ongoing support to researchers and develop a sustainable, world class researcher development programme of offerings aligned to the University's strategic aspirations.

Fofonga for Pacific Research Excellence, our University-wide Pacific research platform led by the OPVC Pacific, is working closely with the University's PECAN (Pacific Early Career Academics Network) and tracking well in achieving OPVCP's 2022 objective 2 (supporting and growing Pacific research capacity and capabilities). In February, Fofonga appointed Ioana Hill as its Research Programme Manager. Māori University-wide research and capability platform will begin development this year, alongside the new arrangements to increase Māori participation in the URC and the work of the Māori research governance group.

#### **Priority 6: A research ecosystem characterised by collaboration, agility, simplicity, engagement, and empowerment**

##### *Research Services Design*

A draft Future State Research Support Service report outlining recommendations for optimising the current end-to-end service to researchers has been presented to members of UEC and senior leadership at UniServices. Further iteration and refinement of the proposed options will continue with next steps to be agreed with the incoming DVCR.

##### *Research Data Management*

Secure Research Environment (SRE) from Data Safe Haven (DaSH) user stories have been created to link user requirements with technical capabilities. 2023 engagement plans have been created and a draft report on the SRE approach for 2023 has been completed and shared with the Advisory Group for feedback. A meeting with NeSI is planned to share our findings and work to date.

### 3. Partnerships and Engagement

#### Overview

Planning for and preparing the large-scale return of new on-campus international students has been a key area of focus in our external engagement activity in recent weeks; NZ visa processing delays and logistical challenges relating to the availability of international flights have been an ongoing concern, but thankfully the indications are that the vast majority of our new international students are able to join us in person. This impact will be positively felt on campus and will provide some reassurance in terms of tuition fee income projections for 2023.

#### Progress against priorities

##### Priority 1: Strengthen and deepen our relationships with tangata whenua

Waipapa Taumata Rau is proud to sponsor Te Matatini 2023, New Zealand's national Kapa Haka festival, which takes place at Nga Wai Ana (Eden Park) on 22-25 February. UoA will play an active part in the festival, including co-creating the Mātauranga Village to showcase the impact of education. Over 30,000 attendees are anticipated, and the event will be internationally televised.

##### Priority 2: An ambitious and relevant partner that is globally networked

In November 2022, the Chinese Ministry of Education approved the Joint Undergraduate Programme in Mathematics and Applied Mathematics between UoA and the Northeastern University in Qinhuangdao (NEUQ). NEU is one of China's national key state universities, and UoA is their first partner in the Pacific region, with up to 120 UoA students expected to enrol each year.

Four new international articulation agreements were signed in December 2022 and January 2023 by the Faculties of Law, EDSW and Science, involving partner universities in China, India, Vietnam and Hong Kong.

##### Priority 3: Deep engagement with diverse Aotearoa and Asia-Pacific communities

Between December 2022 and February 2023, 360 International supported nine group programmes under the Prime Ministers' Scholarship for Asia and Prime Minister's Scholarship for Latin America (PMSLA). Interest in these programmes was particularly high among Māori students: 24 of the 93 UoA participants (26%) were Māori. Māori students' participation rates were notably high in the Global Internships in Bangkok, iWinterMX Global Business Programme at Tec de Monterrey, Social Realities in Mexico with Ibero, and the Indigenous Human Rights and Histories in Brazil programme, which was co-created with Campus b to provide Māori and Pacific students with a dedicated space to engage in a meaningful learning abroad experience.

Te Papa Ako o Tai Tonga | South Auckland campus continues to strengthen relationships with the Manukau community in a bid to promote and reinvigorate the campus among Māori and Pacific communities, families and potential students.

**Priority 4: Enduring relationships with prospective students, students, alumni and donors**

The annual Legacy Lunch, recognising and celebrating intended legacy givers to the University, took place at the Clock Tower on 12 December 2022.

On 22 February, the University signed an MOU and participated in a roundtable on Digital Health for Enhancing Cancer Care with Tata Memorial Centre in Mumbai. UoA staff were in attendance in person and virtually, including Professor Frank Bloomfield, incoming DVC Research, attending virtually on behalf of UEC.

An alumni engagement event took place on 23 February at the New Zealand High Commission in New Delhi. Attendees included alumni from the region, students in the region enrolled in Semester 2 study, and selected high school directors and counsellors.

The Schools and Community Engagement team are recruiting a new Pathway Programmes team to support the STEM Online, Pacific Academy and UE Success programmes, which help school students transition to tertiary study and/or support schools to deliver key foundational knowledge in mathematics and sciences. These programmes are a vital component of our commitment to uplift the ability of all students, and especially Māori and Pacific students, to succeed in tertiary education as outlined in our Learner Success Plan.

**Priority 5: Diverse student body reflecting our communities**

The number of Manaaki scholars (funded through the New Zealand Aid Programme to build potential leaders and sustainable development in partner countries) has increased from 26 (in 2021 and 2022) to 61 due to start in Semester 1, 2023, though their arrival was delayed by Cyclone Gabrielle. Of the 61, 57 are new scholars while 4 were studying offshore in Semester 2, 2022. These scholars are from the Pacific (Fiji, Kiribati, Niue, Papua New Guinea, Samoa, Solomon Islands and Tonga) and Asia (Cambodia, Indonesia, Lao PDR, Malaysia, Myanmar, Philippines, Thailand and Viet Nam). A further 18 scholars are expected to arrive later this year.

The International Office Scholarship continues to provide opportunities for outstanding students to pursue their studies at our institution. To date, 639 applications have been received for 2023 study for the four available scholarships (ASEAN High Achiever Scholarship, India High Achiever Scholarship, International Excellence Scholarship, and Vietnam Excellence Scholarship), and of these 178 students have been awarded a scholarship (actual number to be confirmed post-ATCE).

## 4. Enabling our People and Culture

### Overview

Operational plan activities continue to be scoped and prioritised.

Progress across each of the Priority areas is steady, including the launch of the Senior Leadership programme, the finalisation of the Future Academic Workforce implementation plan, launch of the Recruitment Campaign, and the launch of the Academic Develop platform (Teachwell).

The HR Ecosystem project (replacing PeopleSoft HR) is progressing with Due Diligence workshops with the preferred implementation partner. We are in the final stage of selecting our Workday system integrator to partner with the University in this multi-year implementation programme; project planning is set to take place from April through to end of July 2023.

### Progress against priorities:

#### Priority 1: Live our values and purpose

The Values Led Culture programme will focus on the following four key areas:

1. The development of a Senior Executive Leadership Programme;
2. Cascading values-led culture practices — tools and facilitated sessions for leaders and teams;
3. Integrating our values and Te Ao Māori principles in systems, processes, policies, and decision-making to deliver an improved employee experience; and
4. Deciding on an Employee Engagement Survey Tool and communication approach.

All workstreams are engaging with key stakeholders around further defining the “Leadership Principles” and gathering data and user insights related to “our People Experience (PX) and policies.”

The Executive Leadership Programme launched in December 2022, and senior leaders are currently completing the 360Degree survey and interviews in preparation for the Culture Summit Workshops on 28 February and 1 March 2023. The provider, Corporate Evolution, undertook a version of Te Akoranga Kairangi to prepare them for the cultural and social context of Aotearoa New Zealand. The team alignment workshops follow a week later with UEC, PDDC and PSLT.

The Leadership Principles workstream has completed 98% of Executive and senior leader 1:1 interviews and group sessions (UEC, ALT, PSLT, 5D Leaders, DFO/IOM's). Over the next two months they will be co-creating and refining the draft leaderships principles with involvement and input from various key groups across the University.

The People Experience and Policy workstream has started the scoping activities focussed on their initial critical process (Onboarding), with a strong focus on co-designing and co-creating this with staff from across the University.

The Staff Experience survey will commence in March 2023.

**Priority 2: Develop a future-ready workforce**

Projects within this strategic priority aim to identify and define a comprehensive and cohesive set of workforce initiatives (a Workforce implementation roadmap). This implementation roadmap identifies, builds, and supports the Academic Workforce to enable us to deliver the outcomes from Taumata Teitei. Related initiatives will focus on the Future Academic Workforce, including new roles (academics, community engagement), new capabilities (digital, Mātauranga Māori, transdisciplinary), new ways of working, and new recognition and reward structures. Close alignment with the Curriculum Transformation project and the Ranking Strategy is vital in delivering against this priority area.

*Future Academic Workforce:* The workforce strategy was endorsed by UEC in October, and the team is now finalising their implementation roadmap, including engaging inter-related projects (e.g., Values Led Culture, Waipapa Tangāta Rau).

*Academic Standards Review:* This project is progressing with a light review with the aim of going to consultation either in tandem or after the workload analysis principle, and guidelines. Recommendations are still anticipated in Q2.

*Workload Analysis:* The team has developed drafts of the university-level principles. The current work is to refine and prepare for consultation with the academics.

*Academic Development:* Learning & teaching is currently delivering to its project plan, with the successful launch of the Teachwell platform on 1 February 2023. The project team is also in the final stages of planning a series of Pilot programmes to be run in the first half of 2023. They are also finalising their 3rd and 4th deliverables for signoff by the Steering Committee.

**Priority 3: Build a high-performing, diverse, inclusive and equitable community**

The Office of PVC Pacific is continuing with consultations around the Pacific Staffing Plan. The Plan seeks to increase leadership and decision-making roles for Pacific staff; support a pipeline of initiatives and early career and leadership pathways across Waipapa Taumata Rau; prioritise key workforce areas; and invest in Pacific cultural competencies. Initial consultations began in June 2022 with the Fofonga, a group of Pacific senior leaders from across faculties and a Pacific advisory group of senior professional staff from across faculties and service divisions. Consultations with Pacific alumni and a wider network of Pacific staff will continue in early 2023.

People and Culture are collaborating with Pro Vice-Chancellor (Māori) to co-sponsor the development of a Māori staffing plan (Waipapa Tangāta Rau). UEC endorsed the initial staffing plan in December 2022, and the small project team is busy scoping of the implementation plan approach and timing and resource requirements.

**Priority 4: Activate manaakitanga, whanaungatanga and kaitiakitanga across our People and Culture practices**

*Te Akoranga Kairangi:* A cultural and Tiriti competency programme within the Taumata Ngaio Project has launched, with the first staff cohorts enrolled and the programme delivered by two teams.

The team is working on planning for Kaimahi Māori Taumata Ngaio offerings in 2023; 2023 governance refresh and transition to BAU. Current enrolment is progressing well: M131 Te Taumata Ngaio is now full for Semester One 2023, with a waitlist of 15.

## 5. Our Enabling Environment

### Overview

Indicators	Last Year	Current Year			
		To Date (31 October 2022)		End of Year	
	Actual	Target	Actual	Target	Forecast
Total revenue (\$M)	1,333.1	1,196.4	1,285.0	1,344.6	1,381.4
Revenue achieved as a % of budget	104%	100%	107.4%	100%	102.7%

### Progress against priorities:

#### Strategic Priority 1: Mana-enhancing services and practices

The Student Services Function Review Phase 2 programme of work is in-progress to ensure we will fully comply with the Pastoral Care Code of Practice. A separate attestation document indicating levels of code compliance has been provided for Council consideration. Other updates on this programme of work include:

- A comprehensive review of roles and responsibilities has been undertaken and development of a proposed future model that will deliver a whole of institution approach is in progress.
- University-wide reporting requirements have been documented and are under consideration by the Planning and Information Office on if/how the needs can be met.
- A cross-university team comprising representatives from Massey, AUT, and VUW, led by UoA, has completed design of a standard online training module for non-support (i.e., academic and professional) staff. This will be released in Q1 2023.

Symplicity Access software has been implemented as a specialised disability case management system. It will be used to manage support for current and future students with disabilities at Waipapa Taumata Rau in one dedicated system, bringing together multiple systems into one platform. The system facilitates student access to disability support through their own student portal and also greatly simplifies the process for staff, particularly within Ratonga Hauātanga Taura | Student Disability Services (SDS), to deliver disability support to students. The implementation of Symplicity Access aligns with objectives within the University's Disability Action Plan.

The Wellbeing Assessment Survey has been sent to 6,470 new students. 1,438 have completed the survey so far—and of those, 114 responses indicated significant concerns. These have been escalated to Campus Care and are being offered personalised support through a specialist case manager before Semester 1 has begun.

### **Strategic Priority 2: Efficient, effective, prudent, transparent, and informed operations**

*Archives New Zealand* audits public entities as part of their leadership role in regulating information management in the government sector under the Public Records Act 2005. The audit occurs on a 10-year cycle; the University is currently being audited and a self-review portfolio has been submitted. The review panel will visit the University in March 2023 to review our public records practices with respect to student journey checkpoints from admissions through to graduation, committee minutes, programme regulations and development, outsources activities and functions such as HR, finance and IT.

*Cybersecurity*: The threat landscape across all sectors remains high, and cybersecurity continues to be a key focus for the University. In 2023 we have planned another year of significant investment within our technology portfolio. These initiatives will further strengthen our cyber security capabilities supporting the core functions of Identify, Protect, Detect, Respond and Recover. Initiatives include replacing our firewalls, introducing new network segmentation capabilities, progressing the scope of our modern data protection platform, and uplifting the advanced threat protection suite of services. In addition, a multi-year modernisation programme will help to rationalise legacy systems that can introduce vulnerabilities (i.e., due to obsolescence).

*Microstrategy*: Microstrategy is the enterprise reporting tool for the University of Auckland. It was first implemented in 2015 and has become one of the most widely used tools in the University, with over 3,000 users. In support of the University's Digital and Data Strategies we plan to migrate the on-premises implementation to the Microstrategy Cloud offering.

### **Strategic Priority 3: Seamless, effective, and equitable user experiences across social, physical, and digital environments**

The curated pathways initiative, supporting the Learner Success Plan, is being piloted for a cohort of school leavers starting their studies in the Faculty of Arts in Semester One, 2023. This pilot targets Year 13 Māori and Pacific school leavers and those from lower decile schools, who based on their prior NCEA study may benefit from a curated pathway into their studies. As at 20 February, there are 45 students enrolled in the curated pathway pilot.

Digital Services and OPI are partnering with Academic Heads on improving their experience of internal services. Insights were gained by interviewing Academic Heads, using a technique called customer journey mapping. From this information an Academic Head Experience Improvement Plan (EIP) was developed. The EIP outlines and monitors priority improvements to enhance the way Academic Heads experience University services.

### **Strategic Priority 5: A commitment to achieve net-zero carbon status and to publish meaningful metrics of the University's progress towards overall sustainability**

Decarbonisation audit reports, produced by professional consultants and co-funded under EECAs Energy Transition Accelerator program, have been delivered and are undergoing internal QA. In addition, the 2022 Carbon inventory is being prepared ahead of the annual external audit, which is due in May.

Dawn Freshwater  
Vice-Chancellor

**Appendix 1: University Gifts and Pledges [November-December 2022]**

The following major new gifts and pledges were received by Alumni Relations and Development in November and December 2022, totalling nearly \$7.6M:

- \$908,725 from the Pindrop Foundation to support the Clinical Director role of the Cochlear Implant Programme and for salary funding at Ophthalmology, both at Medical and Health Sciences
- \$700,000 from The Anne Reid Memorial Trust to support The Anne Reid Memorial Trust Scholarships in Art History, English Literature, Music and Fine Arts
- \$535,396 from the Auckland Medical Research Foundation for 'The importance of the lens and vitreous humour in maintaining oxygen levels in the eye 'study and for the Physiologic Reserve and Emergency Laparotomy - Douglas Goodfellow Fellowship, both at Medical and Health Sciences
- \$500,000 from an anonymous donor to support spinal cord injury research at Medical and Health Sciences
- \$500,000 from the Energy Education Trust of New Zealand to support the Energy Centre at the Business School
- \$466,063 from the Vision Research Foundation Charitable Trust for a senior research fellowship in Ophthalmology at Medical and Health Sciences
- \$442,000 from Blind Low Vision NZ for a population-based eye health survey collaboration and staffing costs at Medical and Health Sciences
- \$397,452 from Cancer Society Auckland Northland for the Auckland Cancer Society Research Centre at Medical and Health Sciences
- \$381,415 from JDRF International for a feasibility study of the THRIVE App at Medical and Health Sciences
- \$319,998 from the Neuro Research Charitable Trust for Parkinson's disease research at Medical and Health Sciences
- \$300,001 from the Cancer Society of New Zealand for the 'Discovery of novel and unique biomarkers associated with endometrial cancer study at Medical and Health Sciences
- \$274,376 from the Cancer Research Trust New Zealand for the 'Development and implementation of liquid biopsy tools for lung cancer in Aotearoa New Zealand 'study and the 'Bringing Clarity to Variants of Uncertain Significance in Cancer 'study, both at Medical and Health Sciences

- \$270,265 from the Buchanan Charitable Foundation for a postdoctoral research fellowship in Health Systems Research for Eye Health and to support the Associate Professor, School of Optometry & Vision Sciences, both at Medical and Health Sciences
- \$260,000 from the Ross Charitable Trust for the David and Dian Ross Undergraduate Scholarship at Arts
- \$232,500 from the Vernon Tews Education Trust for the Vernon Tews Trust Pharmacy PhD Scholarship at Medical and Health Sciences
- \$210,000 from The Marie Clay Literacy Trust to establish The Marie Clay Literacy Trust Doctoral Scholarship at Education and Social Work
- \$201,793 from the Auckland District Health Board for funding of principal investigators at Medical and Health Sciences
- \$90,000 from the New Zealand Lottery Grants Board for the Campus Connection programme at Education and Social Work
- \$71,250 from Henry Hudson for Huntington's disease research at the Centre for Brain Research at Medical and Health Sciences
- \$64,350 from Youthtown for the Youthtown PhD Scholarship at Education and Social Work
- \$61,687 from the Auckland Library Heritage Trust to support the Auckland Heritage Library Trust John Stacpoole Summer Scholarships
- \$60,500 from the Anne and David Norman Trust for leukaemia and lymphoma research at Medical and Health Sciences
- \$45,000 from Tonkin & Taylor for the Tonkin & Taylor Masters Scholarship in Coastal Engineering at the Faculty of Engineering
- \$40,000 from an anonymous donor to support the Kate Snow Hardship Awards at Medical and Health Sciences
- \$32,000 from the Scott Family Trust for the Scott Family First in Family Scholarship
- \$32,000 from Margaret Scott for the Scott Family First in Family Scholarship
- \$28,985 from the Braemar Charitable Trust for the 'The patient and whanau journey through the New Zealand health system following the diagnosis of a permanent prelingual hearing loss 'study at Medical and Health Sciences
- \$28,978 from the Figment Foundation for student scholarships at Engineering
- \$25,000 from the NZ Audiological Society Inc for the NZAS Master of Audiology Award for Māori Students at Medical and Health Sciences
- \$US60,000 from Scott McPhee for support for the Centre for Brain Research at Medical and Health Sciences

CHAIR APPROVED BUT NOT CONFIRMED BY THE COMMITTEE

**Audit and Risk Committee MINUTES | PART A**  
**02 March 2023**  
**HYBRID | Council Meeting Room and via Zoom**  
**08.00am to 10.00am**



<p><b>Present:</b> Jonathan Mason (Chair), Dr Rajen Prasad, Cecilia Tarrant and Jan Dawson</p> <p><b>In Attendance:</b> Professor Dawn Freshwater, Adrienne Cleland, Tim Bluett, Rachelle Miller, Brent Penrose, Isti Hudaya, Lisa Muller, Bineeta Nand, Jacqui Metcalf, Angus Clark, Jason Mangan, James Harper and Julian Michael</p>		
<b>1. Apologies</b>	Rachael Newsome was noted as an apology.	
<b>2. Disclosures of Interest</b>	No further disclosures were made.	
<b>3. Minutes of Audit and Risk Committee of 22 November 2022</b>	<p><b>Item No 3.1: Minutes, Part A</b></p> <p><b>Item No 3.2: Matters Arising</b> from the Minutes, Part A, not elsewhere on the agenda.</p>	<p><b>RESOLVED (Chair   Cecilia Tarrant)</b> that the Minutes, Part A, of the Audit and Risk Committee held on 22 November 2022 be taken as read and confirmed.</p>
<b>4. Other Matters for Decision or Noting</b>	<p><b>4.1 Committee Responsibilities and Processes</b></p> <p>This paper was noted.</p>	
<b>5. Leave of Absence</b>	No leave of absence was requested for the next meeting.	
<b>6. Public Exclusions</b>	<p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p> <p>General subject of each matter to be considered:</p> <p><b>Item No 7.1: Audit and Risk Committee Meeting 22 November 2022, Minutes Part B</b></p> <p><b>Item No 7.2: Audit and Risk Committee Meeting 22 November 2022, Matters Arising from Minutes, Part B</b></p> <p><b>Item No 8.0: 2022 Annual Report (Draft)</b></p> <p><b>Item No 9.0: 2022 EY Closing Report</b></p> <p><b>Item No 10.0: 2022 Management Judgements</b></p>	<p><b>RESOLVED (Jan Dawson   Cecilia Tarrant)</b> that the public be excluded from Part B of this meeting AND THAT Professor Freshwater, Mrs Cleland, Mr Bluett, Mrs Miller, Ms Nand, Mr Penrose, Ms Muller, Ms Hudaya, Mr Mangan, Mr Harper, Mr Clark, Mr Michael, and Mrs Metcalf be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed.</p> <p>This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.</p>

6.1

**CHAIR APPROVED BUT NOT CONFIRMED BY THE COMMITTEE**

	<p><b>Item No 11.0: Combined Assurance Report</b>  <b>Item No 12.0: Health &amp; Safety Programme</b>  <b>Item No 13.0: Digital Programme</b>  <b>Item No 14.0: Enterprise Risk Programme</b>  <b>Item No 15.0: Independent Reviews</b>  <b>Item No 16.0: Pastoral Care Code of Practice (PCCP) Attestation</b></p> <p>Reason for passing this resolution in relation to each matter:                  The protection of the interests mentioned below.                  Grounds under section 48(1) for the passing of this resolution:                  Those in Section 9 of the Official Information Act 1982                  namely:</p> <ul style="list-style-type: none"> <li>i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations; and</li> <li>ii) To enable the University to carry on without prejudice or disadvantage negotiations; and</li> <li>iii) To prevent the disclosure or use of Official Information for improper gain or advantage.</li> </ul>	
<p><b>The meeting moved into a Public Excluded Session at 08.05 am.</b>  <b>The meeting closed at 10.20 am.</b>  <b>Approved as a true and correct record.</b></p> <p>_____</p> <p><b>Jonathan Mason, Chair</b>  <b>Date:</b></p>		

6.1

CHAIR APPROVED BUT NOT CONFIRMED BY THE COMMITTEE

**Finance Committee MINUTES | PART A**

**01 March 2022**

**HYBRID | Council Meeting Room and Via Zoom**

**08.00 am to 10.00 am**



<p><b>Present:</b> Rob McDonald (Chair), Cecilia Tarrant, Professor Dawn Freshwater, John Paitai, Professor Jennifer Curtin, Catherine Dunphy and Hala Barakat  <b>In Attendance:</b> Adrienne Cleland, Tim Bluett, Helen Cattanaach, Mudasir Matto and Jacqui Metcalf</p>			
<p><b>1. Apologies</b></p>	<p>Cathy Quinn was noted as an apology.</p>		
<p><b>2. Disclosures of Interest</b></p>	<p>No further disclosures were made.</p>		
<p><b>3. Minutes of Finance Committee of 24 November 2022</b></p>	<table border="1"> <tr> <td> <p><b>Item No 3.1: Minutes, Part A</b>  <b>Item No 3.2: Matters Arising</b> from the Minutes, Part A, not elsewhere on the agenda.</p> </td> <td> <p><b>RESOLVED (Chair   Professor Dawn Freshwater)</b> that the Minutes, Part A, of the Finance Committee held on 24 November 2022 be taken as read and confirmed.</p> </td> </tr> </table>	<p><b>Item No 3.1: Minutes, Part A</b>  <b>Item No 3.2: Matters Arising</b> from the Minutes, Part A, not elsewhere on the agenda.</p>	<p><b>RESOLVED (Chair   Professor Dawn Freshwater)</b> that the Minutes, Part A, of the Finance Committee held on 24 November 2022 be taken as read and confirmed.</p>
<p><b>Item No 3.1: Minutes, Part A</b>  <b>Item No 3.2: Matters Arising</b> from the Minutes, Part A, not elsewhere on the agenda.</p>	<p><b>RESOLVED (Chair   Professor Dawn Freshwater)</b> that the Minutes, Part A, of the Finance Committee held on 24 November 2022 be taken as read and confirmed.</p>		
<p><b>4. Other Matters for Decision or Noting</b></p>	<p><b>Item No 4.1: Committee Responsibilities and Processes</b>                  This paper was noted.</p>		
<p><b>Other Matters for Decision or Noting</b></p>	<p>The following section has been approved for insertion in Part A of the minutes.                   It contains the 2022 Financial Performance highlights for the Finance Committee.</p>		

6.2

CHAIR APPROVED BUT NOT CONFIRMED BY THE COMMITTEE

<b>PART A</b> <b>2022 FINANCIAL PERFORMANCE</b>																																																																																																																											
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A favourable mix was achieved with internationals up 337 EFTS, while domestic numbers were down 721. The international EFTS increment was a positive influence on student revenues.</p> <p>A higher level of research activity than budget occurred, in part reflecting higher levels of new activity in Medical and Health Sciences, Science and UniServices.</p> <p>Expenditure fell below expected levels in both core teaching activities as well infrastructural and strategic projects. Border closures continued to limit travel and international recruitment while supply chain disruptions restricted some activities and purchasing.</p> <p>A series of non-core transactions have improved the surplus in 2022. These are unlikely to reoccur regularly and include the revaluation of investments in UniServices and the fair value revaluation of interest-free loans.</p> <p>Cost inflation is a key risk. Inflation had a gradual impact in 2022, but a step change to the cost base is expected to be driven by inflation in 2023. The University is not able to pass on the inflationary impacts into all its revenue streams.</p> <p>The improved surplus achieved in 2022 has significantly contributed to operating cashflows and lead to a favourable year end net cash position compared to budget. This also provides important resilience to help address the increasing inflationary imbalance and other economic pressures expected in 2023.</p>	
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<p>Note: Surplus and revenue in 2022 and 2021 include non-cash fair value gain accounting adjustments linked to Crown Investment Partners Interest Free Loan</p>																																																																																																																											
<p><b>5. Leave of Absence</b></p>	<p>No leave of absence was requested for the next meeting.</p>																																																																																																																										
<p><b>6. Public Exclusions</b></p>	<p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p> <p>General subject of each matter to be considered:</p> <p><b>Item No 7.1: Finance Committee Meeting 24 November 2022, Minutes Part B</b></p> <p><b>Item No 7.2: Finance Committee Meeting 24 November 2022, Matters Arising from Minutes, Part B</b></p> <p><b>Item No 8.0: Financial Performance for 2022</b></p> <p><b>Item No 9.0: Treasury Reports</b></p>		<p><b>RESOLVED (Chair   Catherine Dunphy)</b> that the public be excluded from Part B of this meeting AND THAT Mrs Cleland, Mr Bluett, Mr Matto, Mrs Cattanaach and Mrs Metcalf be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.</p>																																																																																																																								

6.2

**CHAIR APPROVED BUT NOT CONFIRMED BY THE COMMITTEE**

	<p>Reason for passing this resolution in relation to each matter:                  The protection of the interests mentioned below.                  Grounds under section 48(1) for the passing of this resolution:                  Those in Section 9 of the Official Information Act 1982 namely:</p> <ul style="list-style-type: none"> <li>i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations; and</li> <li>ii) To enable the University to carry on without prejudice or disadvantage negotiations; and</li> <li>iii) To prevent the disclosure or use of Official Information for improper gain or advantage.</li> </ul>	
<p><b>The meeting moved into a Public Excluded Session at 08.03 am.</b>  <b>The meeting closed at 09.15 am.</b>  <b>Approved as a true and correct record.</b></p> <p>_____</p> <p><b>Rob McDonald, Chair</b>  <b>Date:</b></p>		

6.2

**THE UNIVERSITY OF AUCKLAND**  
**MATERIAL FOR COUNCIL FROM**  
**THE MEETING OF SENATE 27.02.2023**

**PART A:****1. RECOMMENDATIONS FROM SENATE REQUIRING TO BE CONSIDERED/RECEIVED BY COUNCIL****a) Senate Working Group on Academic Freedom and Freedom of Expression**

Professor Hunter, Chair of the Senate Working Group on Academic Freedom and Freedom of Expression, advised Senate that the Working Group had finalised the discussion document on Academic Freedom and Freedom of Expression. The aim had been to submit this to the Senate meeting of 27.02.2023. However, the University Executive Committee (UEC) had asked to have further discussions with the Pro Vice-Chancellor (Māori) and the Pro Vice-Chancellor (Pacific) prior to the submission to Senate at the meeting of 3 April 2023.

**b) Senate Review**

Professor Mathew, Chair of the Senate Review Reference Group advised Senate that, for the Senate review, two questionnaires had been finalised: one for Senate members and one for non-members. Both were submitted to UEC where a few changes were requested. These surveys would be launched soon after the necessary adjustments were made.

This item would also be submitted for discussion to Senate at its meeting of 03.04.2023.

**c) REVIEWS****1. Review of the Department of Marketing – Further Progress Report**

**Senate 27.02.2023 RECOMMENDS to Council that it receive the Further Progress Report as a final response to the 2019 Review of Department of Marketing**

**2. RECOMMENDATIONS FROM SENATE REQUIRING COUNCIL CONSIDERATION/APPROVAL****ACADEMIC MATTERS AND REGULATIONS****1. GRADUATING YEAR REVIEWS (GYRs)**

**Senate, 27.02.2023 advises Council that the following GYRs were approved for notification to CUAP:**

**Creative Arts and Industries**

16 UA17	PGCertHerCons	Postgraduate Certificate in Heritage Conservation
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**Business & Economics**

17 UA17 & 06 UA17	MHRM, MMGT in HRM	Master of Human Resource Management; Master of Management with a specialisation in Human Resource management
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**Education & Social Work**

10 UA19	MEdPrac	Master of Education Practice
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**Engineering**

14 UA15 & 15 UA17	MEnergy, PGDipEnergy, PGCertEnergy	Master of Energy (180-point plan); Postgraduate Diploma in Energy and Postgraduate certificate in Energy
11 UA17	MEPM, PGCertPM	Master of Engineering Project Management; Postgraduate Certificate in Engineering Project Management

20 UA16	FoundStCertificate	Foundation Studies Certificate
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7.1

**2. REGULATION AMENDMENTS**

**Senate, 27.02.2023 RECOMMENDS that Council approve the following Regulation Amendments:**

**a) Regulation Amendment 2023-900: Academic Statutes and Regulations/General Statutes and Regulations - Examination Regulations**

To add additional clauses to the Examination Regulations to provide flexibility for the awarding of grades in extraordinary circumstances, effective immediately if approved.

**b) Regulation Amendment 2023-903: Academic Statutes and Regulations/General Regulations: Masters Degrees**

This document was submitted for approval to Council in December 2022 (then number 2022-924). However, after the approval, further changes needed to be made. The proposed one-month extension for Masters programmes and the provision for the Pro Vice-Chancellor Education to approve extensions beyond those approved within faculties, although clearly described in the justification and purpose, did not carry through to the regulations in the amendment and therefore a new regulation amendment needed to be submitted.

**3. Delegations to the Academic Programmes Team**

This relates to a delegation to enable editorial amendments to Statutes and Regulations in the Calendar, including the correction of minor errors, consequential renumbering of Statutes or Regulation clauses, or changes following an approved organisational restructure, to be actioned by the Academic Programmes team. Previously these changes required approval from APC or EC, followed by Senate and Council. The document also includes a schedule of the proposed changes that are in scope.

**Senate 27.02.2023 RECOMMENDS that Council approve the Delegations to the Academic Programmes Team**

**4. Amendments to the Student Discipline and Student Academic Conduct Statute**

The proposed amendments to the statute are minor. The Discipline Committee has seen a steady increase in caseload over the past few years due in part to an increase in academic misconduct but also as a result of increased awareness of academic integrity among staff & students (including the introduction of Academic Integrity Advisors and the new case management system)

In order to deal with the increase, it is proposed to:

- a. Redefine the quorum for meetings: two students (as before), from four to one member of Senate, from one to two sub-professorial members; and
- b. Address the backlog and flow of 'review' cases (minor and category 1 exams cases) by enabling the Provost to appoint alternative reviewers and decide upon these cases.

A fully reviewed Student Discipline and Student Academic Conduct Statute will progress to Senate at a later date.

**Senate 27.02.2023 RECOMMENDS that Council approve the Amendments to the Student Discipline and Student Academic Conduct Statute**

**5. Proposal for a new closing date for FMHS Clinical Admissions Applications of Saturday, July 1<sup>st</sup> and a shift in MMI dates to August /September 2023**

**Senate 27.02.2023 RECOMMENDS that Council approve the Proposal for a new closing date for FMHS Clinical Admissions Applications of Saturday, July 1<sup>st</sup> and a shift in MMI dates to August /September 2023**

**6. Amendments to the Doctoral Supervision Policy and procedures**

Memorandum, 13.01.2023 from the Director Graduate Studies, Helen Ross, regarding Doctoral Supervision

**Senate 27.02.2023 RECOMMENDS that Council approve the Amendments to the Doctoral Supervision Policy and procedures**

**PART B: ITEMS FROM SENATE FOR NOTING BY COUNCIL**

None

**PART C: MATTERS RECEIVED AND APPROVED UNDER DELEGATED AUTHORITY**

**1. The new Phd subject Global Studies**

**Senate, 27.02.2023 advises Council that it approved the PhD subject area of Global Studies**

**3. Change to the membership of the Academic Programmes Committee**

**Senate, 27.02.2023 advises Council that it approved the changes to the membership of the Academic Programmes Committee and the continued appointment of Dr Muhammad Hanif until 31.01.2024**

#### 4. NEW SCHOLARSHIP, PRIZE & AWARD REGULATIONS

Senate, 27.02.2023 advises Council that it approved the following:

- a) **Eight new Scholarship, Prize & Award Regulations:**
  - 1. Auckland Bioengineering Institute Prize for Best Doctoral Thesis
  - 2. Edmund Crampin Bioengineering Masters Scholarship
  - 3. Jonathan Mane-Wheoki Fine Arts Award for Māori and Pacific Students
  - 4. Marcus Gerbich Memorial Honours/Masters Scholarship in Motor Neuron Disease Research
  - 5. The Marie Clay Literacy Trust Doctoral Scholarship
  - 6. Master of Design Diversity Award
  - 7. Seth and Flavia Rankin MBChB First in Family Scholarship
  - 8. Victoria League Nursing Doctoral Fees Award
  
- b) **The following two PhD and Doctoral Scholarship and Bursary schemes**
  - 1. UoA PhD Top-up Scholarship scheme
  - 2. UoA Doctoral Bursary Scheme (subject to financial approval)

7.1