

<b>Council Agenda Part A (Open Agenda) Draft</b>			Page #
21.08.2023 Tai Tonga Campus, c 4:00pm			
<b>COUNCIL BRIEFINGS</b>	These will take place prior to the meeting		
<b>KARAKIA</b>	The meeting will be opened with a karakia at the beginning of the briefings		
<b>1. APOLOGIES</b>	<b>The Chancellor moves</b> that the apologies, if any, be <b>noted</b> .		
<b>2. FAREWELL</b>	The Chancellor <b>farewells</b> Professor Jennifer Curtin who left Council on 01.07.2023 and thanks her for her contributions to the working of Council. She wishes her the best for the future.		
<b>3. DISCLOSURES OF INTEREST BY MEMBERS</b>	The attention of Members is drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.	<b>The Chancellor moves</b> that the disclosures, if any, be noted and the action taken be <b>endorsed</b> .	
<b>4. CONFERMENT OF DEGREES</b>	In accordance with the provisions of the Conferment of Academic Qualifications and Academic Dress Statute 1992 the Chancellor will confer the degrees listed (as attached) by stating: <i>By the authority vested in me by resolution of The University of Auckland Council I, CECILIA TARRANT, Chancellor, confer the degrees stated upon those who, within their several faculties, have satisfied the requirements of this University.</i>		<b>9</b>
<b>5. COUNCIL MEETINGS</b>	<b>5.1 Council, Draft Minutes (Part A), 12.06.2023</b>	<b>The Chancellor moves</b> that the Minutes (Part A),12.06.2023 be taken as <b>read</b> and <b>confirmed</b> .	<b>10</b>
	<b>5.2 Matters arising from the Minutes (Part A), 12.06.2023 not elsewhere on the Agenda</b>  <b>Regarding item 13.3:</b> With regard to the <b>KNOWLEDGE EQUITY NETWORK (KEN)</b> , the Vice-Chancellor advises that a knowledge equity framework, aligning with Te Ara Tautika   Equity Policy and Taumata Teitei, is being drafted by the Pro Vice-Chancellor Equity, Professor Stinear. This will be further		

	discussed at the University Executive Committee and submitted to the next University Equity Leadership Committee meeting. An update will be provided to Council at its meeting of 16.10.2023.		
<b>6. VICE-CHANCELLOR'S REPORT</b>		<b>The Chancellor moves</b> that the Vice-Chancellor's Report be <b>noted</b> .	<b>19</b>
<b>7. REPORTS OF COUNCIL COMMITTEES</b>	7.1 <b>FINANCE COMMITTEE</b> 7.1.1 <b>Minutes, (Part A), 02.08.2023</b>	<b>The Chancellor moves</b> that the Finance Committee Minutes (Part A) 02.08.2023 be <b>received</b> .	<b>33</b>
<b>8. SENATE MATTERS</b>	<b>8.1 REPORT OF SENATE, 24.07.2023</b>  Part A1 a-c: Policy and other matters requiring to be considered/received by Council Part A2 1-3: Policy and other matters requiring Council <b>approval</b> Part B 1-2: Matters for <b>noting</b> by Council Part C 1-6: Matters handled under Delegated Authority	<b>The Chancellor moves</b> that the recommendations in Part A of the Report of Senate, 24.07.2023 be <b>adopted</b> and B & C be <b>noted</b>	<b>36</b>
<b>9. CORRESPONDENCE REFERRED BY THE CHANCELLOR</b>	No items received		
<b>10. OTHER MATTERS FOR DECISION OR NOTING</b>	10.1 <b>SEAL</b>  Since the Council meeting on 12.06.2023 the seal has been applied to the following documents in accordance with the Council resolution of 18.02.1991: <ul style="list-style-type: none"> <li>• Deed of Gift – 63/143 Princes Wharf Auckland (Title NA 132A/852) and all the chattels and art located at the property "The Gift" from Stuart Feigin to The University of Auckland.</li> <li>• Deed of Gift – 63/143 Princes Wharf, Auckland (Title NA 132A/852) and all the chattels and art located at the property (Stuart Feigin - Deed of Gift). From The University of Auckland to The University of Auckland Foundation.</li> </ul>	<b>The Chancellor moves</b> that the affixing of the seal to the listed document be <b>noted</b> .	

	<ul style="list-style-type: none"> <li>• Deed of Lease – Carlaw Park Student Village, Stage 3 - Ergon Properties No.1 Limited (Landlord) and The University of Auckland (Tenant).</li> <li>• Deed of Rent Review – 66 Allens Road, East Tamaki, Auckland - Allens Road Joint Venture Nominee Ltd (Lessor) and The University of Auckland (Lessee).</li> <li>• Deed Recording Rent Review – 6 Osterley Way, Manukau– Takahe Properties Limited (Landlord) and The University of Auckland (Tenant).</li> </ul>		
	<p>10.2 <b>TERM OF OFFICE OF THE STUDENT REPRESENTATIVE ON COUNCIL</b></p> <p>10.2.1 <b>Memorandum, 08.08.2023</b> from the Deputy Vice-Chancellor (Operations) &amp; Registrar, Mrs Adrienne Cleland regarding changing the length of the term of office for the student representative on Council</p> <p>10.2.2 <b>Council Appointments Statute 2023 – amended</b></p> <p>10.2.3 <b>Memorandum, 27.07.2023 from the AUSA President, Mr Alan Shaker</b></p>	<p><b>The Chancellor moves</b> that Council:</p> <ol style="list-style-type: none"> <li>1. Consider the advice from the AUSA and SCG to extend the term of office for the student representative on Council from 1 to 2 years,</li> <li>2. Consider the maximum term of the student representative on Council. This is currently 3 terms of 1 year each (UCAS 3g(ii)).</li> <li>3. In the event Council resolves to extend the elected term to 2 years then options for the maximum term include 2 or 3 terms of 2 years each. <ol style="list-style-type: none"> <li>a. In the event the Council resolves. to so extend the term, then it is recommended that Council: Approve the revised Council Appointments Statute 2023 as attached.</li> </ol> </li> </ol>	<b>40</b>
<b>11 ELECTIONS - APPOINTMENTS</b>	<p>11.1 <b>ELECTION OF THE STAFF AND STUDENT REPRESENTATIVES ON COUNCIL</b></p> <p>The Returning Officer, Mrs Adrienne Cleland, advises Council that, in accordance with the <a href="#">Council Appointments Statute</a>, <a href="#">Elections Procedures</a> and <a href="#">Council Appointment Procedures</a>:</p> <ol style="list-style-type: none"> <li>a. <b>With regard to the election of staff representatives on Council</b>, nominations will be called for a four-year term of office from 01.01.2024-31.12.2027 for: <ul style="list-style-type: none"> <li>• One person being a permanent member of the academic staff of the University of Auckland elected by the permanent members of that staff; and</li> </ul> </li> </ol>	<p><b>The Chancellor moves</b> that the Returning Officer’s advice regarding the election of the staff end student representatives on Council be <b>noted</b>.</p>	

	<ul style="list-style-type: none"> <li>• One person being a permanent member of the professional staff of the University of Auckland elected by the permanent members of that staff.</li> </ul> <p>Notices of candidacy can be submitted from 12pm on Wednesday 30 August 2023 and until 12pm on Wednesday 20 September 2023</p> <p>Elections, should they be necessary, will take place by means of electronic voting via Qualtrics <b>commencing at 12pm on Wednesday 25 October 2023 and closing at 12pm on Wednesday 8 November 2023.</b></p> <p>The results of these elections will be advised to Council at its meeting in December.</p> <p>b. <b>With regard to the election of the student representative on Council:</b> Nominations have been called on 17.07.2023 and closed on 28.07.2023 for one person being an enrolled student of the University elected by the students of the University. This election, will take place by means of electronic voting via Engage <b>commencing at 9.00am on Monday 14 August 2023 and closing at 5.00pm on Friday 25 August 2023.</b></p> <p>The results of this election will be advised to Council at its meeting in October.</p>		
<p><b>12 GENERAL BUSINESS</b></p>	<p>12.1 <b>COUNCIL AND COMMITTEES MEETING DATES 2024</b></p> <p>The following 2024 meeting dates have been confirmed and electronic invitations have been sent to the members.</p> <p><b>Council Meeting dates:</b> 11.03.24 – 29.04.24 – 12.06.24 – 26.08.24 – 09.10.24 – 09.12.24</p> <p><b>Finance Committee dates:</b> 28.02.24 – 22.05.24 - 07.08.24 – 18.09.24 – 20.11.24</p> <p><b>Audit and Risk Committee dates:</b> 29.03.24 – 17.05.24 – 13.09.24 – 15.11.24</p>	<p><b>The Chancellor moves</b> that the Council and Committees meeting dates 2024 be <b>noted</b></p>	

	<b>Capital Expenditure Committee dates:</b> 10.04.24 – 16.08.24 – 06.11.24		
<b>13 LEAVE OF ABSENCE</b>	(for the meeting of 16.10.2023)		

## **PUBLIC EXCLUSIONS**

**The Chancellor moves** that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

- |                |  |
|----------------|--|
| Item No. 1.1   | Council Minutes (Part B 12.06.2023)                        |
| Item No. 2.1.1 | University of Auckland Biological Safety Committee         |
| Item No. 2.2.1 | University of Auckland Human Participants Ethics Committee |
| Item No. 2.3.1 | Finance Committee Minutes                                  |
| Item No. 2.3.2 | Financial Performance 2023 to 2025                         |
| Item No. 6.1.1 | Council Committee membership for the remainder of 2023     |

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Adrienne Cleland, Professors Linton and Bloomfield, Andrew Phipps, Tim Bluett, Brian Ten Eyck, Pamela Moss, Helen Cattnach, Anthony Brandon and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

The University of Auckland

Council 21 August 2023

4

**CONFERMENT OF DEGREES**

**MASTER OF MATHEMATICAL MODELLING WITH SECOND CLASS HONOURS SECOND DIVISION**

Tongchao Liu

**BACHELOR OF SCIENCE (HONOURS) WITH FIRST CLASS HONOURS**

Zhan Zhao

**BACHELOR OF ARTS**

Fuge Zhang

**BACHELOR OF LAWS**

Luda Lin



<b>Council Minutes Part A (Open Minutes) 12.06.2023 4:00pm Council Room – Level 2 ClockTower, Princes Street 22, Auckland</b>		
<b>PRESENT:</b>	Ms Tarrant (Chair), Professor Freshwater (Vice-Chancellor), Dr Prasad, Ms Dunphy, Ms Barakat, Ms Quinn, Mr McDonald, Mr Paitai, Ms Dawson; Mr Mason and Ms Arnott-Neenee	
<b>IN ATTENDANCE:</b>	Ms Newsome, Mrs Cleland, Professors Linton, Bloomfield, Stinear and Kool; Drs Lithander and Ten Eyck; Ms Moss, Mr Bluett, Mr Neale, Ms Cattanach, Mr Brandon and Ms Verschaeren	
<b>COUNCIL BRIEFINGS</b>	These took place prior to the meeting	
<b>KARAKIA</b>	The briefings were preceded by a Mihi Whakatau led by John Paitai	
<b>1. APOLOGIES</b>	Professor Curtin	<b>RESOLVED</b> (Chancellor/Ms Dawson): that the apologies be <b>noted</b> .
<b>2. FAREWELL</b>	The Chancellor thanked Ms Rachael Newsome for her contributions to the working of Council and the University and wished her the best for the future.	
<b>3. WELCOME</b>	The Chancellor welcomed Ms Julia Arnott-Neenee as Ministerial appointee.	
<b>4. DISCLOSURES OF INTEREST BY MEMBERS</b>	The attention of Members was drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.  No further disclosures were made.	
<b>5. CONFERMENT OF DEGREES</b>	With the authority of Council, the Chancellor <b>conferred</b> the degrees as per the attached list.	
<b>6. AWARD OF DIPLOMAS</b>	With the authority of Council, the Chancellor <b>awarded</b> the diplomas as per the attached list.	

5.1

<p><b>7. HONOURS/AWARDS</b></p>	<p>The Chancellor and Vice-Chancellor would send congratulatory letters to those persons with links to the University.</p>	
<p><b>8. COUNCIL MEETINGS</b></p>	<p>8.1.1 <b>Council, Draft Minutes (Part A), 13.03.2023</b></p>	<p><b>RESOLVED</b> (Chancellor/Ms Barakat): that the Minutes (Part A), 13.03.2023 be taken as <b>read</b> and <b>confirmed</b>, subject to a typographical correction.</p>
	<p>8.1.2 <b>Council, Draft Minutes (Part A), May e-meeting</b></p>	<p><b>RESOLVED</b> (Chancellor/Mr Paitai): that Council ratify the actions of the May e-meeting.</p>
	<p>8.2.1 <b>Matters arising from the Minutes (Part A), 13.03.2023 not elsewhere on the Agenda ...</b></p> <p><b>With regard to item 4.2:</b> Follow up on the possibility to extend the term of office for the elected student representative on Council up to a maximum of two years or for the remainder of their degree was deferred to the meeting in August.</p> <p><b>With regard to item 5</b> and more specifically Council’s request to have a briefing on the University focus on research in the next two years, the Deputy Vice-Chancellor Research (DVCR), Professor F Bloomfield, and Professor Metson responded the following: the white paper on the future of research in NZ did not give much detail and was not really informing the framework for research focus at present. There would be significant focus on the opportunity presented by New Zealand becoming an Associate Member state for Horizon Europe. This was expected to be signed mid-year but New Zealand institutions already were eligible to be part of consortium bids in anticipation of the formal signing.</p> <p><b>With regard to item 7.1:</b> A presentation regarding the review of the Discipline Statute had been scheduled for the Council briefings of 21.08.2023. Dr Prasad had yet to receive the relevant information with regard to the review and this would be followed up as ideally, he would see the revised statute in advance of the presentation to Council.</p>	
<p><b>9. VICE-CHANCELLOR’S REPORT</b></p>	<p>The Report was taken as read.</p> <p>The Vice-Chancellor, Professor Freshwater, presented this item. The following was noted:</p> <ul style="list-style-type: none"> <li>• The staff pulse survey took place in March 2023 and the results of the survey would be presented to Council in a briefing on 21.08.2023. With the results and the preliminary analysis available, the Vice-Chancellor undertook a tour around the campuses the previous week. In total, 3588 responses had been received (62% of the University staff) an increase in engagement compared to previous years. 11,000 qualitative comments were made.</li> <li>• Teacher education programmes were struggling nationally and the University together with the Faculty of Education and Social work is considering the future of its own teacher education programmes.</li> </ul>	<p><b>RESOLVED</b> (Chancellor/Mr McDonald): that the Vice-Chancellor’s Report be <b>noted</b>.</p>

	<ul style="list-style-type: none"> <li>The Autumn graduation ceremonies were successful; a review would be taking place to determine why graduates were leaving straight after they had received their certificates with a view to stopping this from happening. The Deputy Vice-Chancellor (Operations) and Registrar, Mrs Cleland had just finalised the terms of reference for the review of the current processes.</li> <li>A robust debate took place at the May Senate meeting in response to the paper prepared by the Freedom of Expression (FoE) Working Group in combination with work undertaken by the Pro Vice-Chancellors Māori, Pacific and Equity. This resulted in a number of recommendations for the Vice-Chancellor. The next step would be to integrate the work that had been undertaken into the Code of Conduct taking into account Academic Freedom (AF), the collective agreement and employment legislation.</li> <li>The Vice-Chancellor, the Deputy Vice-Chancellor (Operations) and Registrar and the Chief Financial Officer, Mr Bluett attended the annual finance update meeting with the Tertiary Education Commission (TEC) last week. The decline of the national student numbers was discussed at this meeting.</li> </ul>	
<p><b>10. REPORTS OF COUNCIL COMMITTEES</b></p>	<p>10.1 <b>AUDIT AND RISK COMMITTEE</b> 10.1.1 <b>Minutes, (Part A), 19.05.2023</b></p>	<p><b>RESOLVED</b> (Chancellor/Mr Mason): that the Audit and Risk Committee Minutes (Part A) 19.05.2023 be <b>received</b>.</p>
	<p>10.2 <b>CAPITAL EXPENDITURE COMMITTEE</b> 10.2.1 <b>Minutes, (Part A), 29.05.2023</b></p>	<p><b>RESOLVED</b> (Chancellor/Vice-Chancellor): that the Capital Expenditure Committee Minutes (Part A) 29.05.2023 be <b>received</b>.</p>
	<p>10.3 <b>FINANCE COMMITTEE</b> 10.3.1 <b>Minutes, (Part A), 24.05.2023</b>  <i>Note: The Chancellor asked the Chairs of the Audit and Risk and Finance Committees to consider whether more matters could be included in Part A of the Meetings</i></p>	<p><b>RESOLVED</b> (Chancellor/Mr McDonald): that the Finance Committee Minutes (Part A) 24.05.2023 be <b>received</b>.</p>
	<p>10.4 <b>STUDENT APPEALS COMMITTEE (SAC)</b> 10.4.1 <b>Report of the Student Appeals Committee 07.03.2023</b> 10.4.2 <b>Report of the Student Appeals Committee 14.03.2023</b></p>	<p><b>RESOLVED</b> (Chancellor/Dr Prasad): that Council <b>note</b> the Reports, 07.03.2023 and 14.03.2023 of the Student Appeals Committee.</p>

	<p>Dr Prasad, Chair of SAC, advised Council that in one of the meetings the penalty of the Discipline Committee was partially reduced while at the other meeting the decision was upheld. Council asked, if in the future, the reports could include some of the reasoning leading to the decisions.</p>	
	<p>10.5 <b>UNIVERSITY EQUITY LEADERSHIP COMMITTEE (UELCL)</b></p> <p>10.5.1 <b>June 2023 Report</b></p> <p>This item was presented by the Pro Vice-Chancellor Equity, Professor Stinear. Council noted that the definition of gender pay gap included the average hourly rate for all women across all jobs compared to the average hourly rate for all men across all jobs. This was not the same as the definition of equal pay which referred to the requirement for all people to be paid the same for the same job.</p> <p>Council noted that the term "gender pay gap" was potentially misleading, as there were more women in lower paying jobs; however, it provided a good challenge to hiring practices.</p>	<p><b>RESOLVED</b> (Chancellor/Ms Dawson): that the Equity leadership Report – June 2023 be <b>received</b>.</p>
<p><b>11. SENATE MATTERS</b></p>	<p>11.1 <b>REPORT OF SENATE, 03.04.2023</b></p> <p>Part A1 a-c: Policy and other matters requiring to be considered/received by Council Part A2 1-4: Policy and other matters requiring Council approval Part C 1-3: Matters handled under Delegated Authority</p>	<p><b>RESOLVED</b> (Chancellor/Dr Prasad): that the recommendations in Part A of the Report of Senate, 03.04.2023 be <b>adopted</b> and C be <b>noted</b></p>
	<p>11.2 <b>REPORT OF SENATE, 29.05.2023</b></p> <p>Part A1 a-c: Policy and other matters requiring to be considered/received by Council Part A2 1-7: Policy and other matters requiring Council approval Part B 1: Items from Senate for noting by Council Part C 1: Matters handled under Delegated Authority</p> <p>The Vice-Chancellor had already mentioned the ongoing work with regard to FoE under item 9.</p>	<p><b>RESOLVED</b> (Chancellor/Mr Mason): that the recommendations in Part A of the Report of Senate, 29.05.2023 be <b>adopted</b> and B and C be <b>noted</b></p>

	<p>The work on the review of Senate, under the leadership of Professor Mathew, was still ongoing. Shifting the Senate meeting time to 1pm instead of 4pm and including topics like FoE and AF had made a significant difference with regard to meeting attendance and engagement with the members.</p> <p>The Pro Vice-Chancellor Education, Professor Kool, drew Senate’s attention to the Master Programme Structure Recommendations which was part of the Curriculum Framework Transformation (CFT) work. This included the following four recommendations recommended by Senate to Council for approval:</p> <ol style="list-style-type: none"> <li>1.Recommendation 1: Consistent application of criteria for generic and named masters degrees</li> <li>2.Recommendation 2: Offer research components in 30-point increments</li> <li>3.Recommendation 3: Review structure of each masters programme in relation to its purpose</li> <li>4.Recommendation 4: Introduce a 120-point Master of Research</li> </ol>	
<p><b>12. CORRESPONDENCE REFERRED BY THE CHANCELLOR</b></p>	<p>No items received</p>	
<p><b>13. OTHER MATTERS FOR DECISION OR NOTING</b></p>	<p>13.1 <b>SEAL</b></p> <p>Since the Council meeting on 13.03.2023 the seal has been applied to the following documents in accordance with the Council resolution of 18.02.1991:</p> <ul style="list-style-type: none"> <li>• Deed of Partial Surrender of Lease - 49-51 Symonds St, Auckland - Wilson Car Parking NZ Ltd (Tenant) &amp; the University of Auckland (Landlord);</li> <li>• Variation to the Deed of Lease - 128 Anzac Ave &amp; 131A Beach Road Central Auckland - NZ Education Holdings Beach Ltd (Landlord) &amp; the University of Auckland (Tenant);</li> <li>• Deed of Rent Review - 70 Symonds St, Auckland - TGB Properties (NZ) Pte Ltd (Landlord) &amp; the University of Auckland (Tenant); and</li> <li>• Deed of Variation and Renewal of Lease – 8<sup>th</sup> Floor, 38 Waring Taylor St, Wellington – Midland Towers Ltd (Lessor) &amp; the University of Auckland (Assignee &amp; Lessee)</li> <li>• Deed of Partial Surrender of Lease - 49-51 Symonds St, Auckland - Wilson Car Parking NZ Ltd (Tenant) &amp; The University of Auckland (Landlord)</li> </ul>	<p><b>RESOLVED</b> (Chancellor/Ms Arnott-Neenee): that the affixing of the seal to the listed document be <b>noted</b>.</p>

	<ul style="list-style-type: none"> <li>• Variation to the Deed of Lease - 128 Anzac Ave &amp; 131A Beach Road Central Auckland - NZ Education Holdings Beach Ltd (Landlord) &amp; The University of Auckland (Tenant)</li> <li>• Deed of Rent Review - 70 Symonds St, Auckland - TGB Properties (NZ) Pte Ltd (Landlord) &amp; The University of Auckland (Tenant)</li> <li>• Deed of Variation and Renewal of Lease – 8<sup>th</sup> Floor, 38 Waring Taylor St, Wellington – Midland Towers Ltd (Lessor) &amp; The University of Auckland (Assignee &amp; Lessee)</li> <li>• Deed of Novation – Energy Services Panel – Ministry of Foreign Affairs &amp; Trade, Auckland UniServices Ltd &amp; the University of Auckland</li> <li>• Deed of Novation – Science &amp; Research Services Panel – Head Agreement – Auckland UniServices Ltd – The Sovereign in right of NZ acting by and through the Secretary for the Environment (The Ministry) &amp; The University of Auckland</li> <li>• Deed of Novation – Study Group Agreement – The University of Auckland &amp; Study Group NZ Ltd &amp; Study Group NZ (Auckland) Ltd &amp; SG Global Topco Ltd.</li> </ul>	
	<p>13.2 <b>RESCINDMENTS</b></p> <p>13.2.1 <b>Memorandum, 28.05.2023 from the Graduation Office</b> requesting the rescindment of the conferral of a Master of Science, Bachelor of Urban Planning (Honours), Master of Urban Planning (Professional) and Master of Urban Planning (Professional) and Urban Design degrees awarded to the listed students with an incorrect milestone or no milestone. Council is also asked to re-award the degrees with a class of honours where applicable.</p> <p>Council noted that:</p> <ul style="list-style-type: none"> <li>• While included in the itemised list, the introductory paragraph in the memorandum did not include the Master of Science and Bachelor of Urban Planning (Honours).</li> <li>• A process would be set up, as part of CFT, to avoid these kinds of rescindments in the future.</li> </ul>	<p><b>RESOLVED</b> (Chancellor/Mr Paitai): that Council <b>rescind</b> the conferral of a Master of Science, Bachelor of Urban Planning (Honours), Master of Urban Planning (Professional) and Master of Urban Planning (Professional) and Urban Design degrees to the listed students, and re-award these to them with a class of honours where applicable in accordance with the memorandum of 28.05.2023.</p>
	<p>13.3 <b>KNOWLEDGE EQUITY NETWORK (KEN)</b></p> <p>13.3.1 <b>Memorandum, 27.04.2023 from the Vice-Chancellor</b></p> <p>13.3.2 <b>Declaration on Knowledge Equity</b> The Vice-Chancellor introduced this item. She commented that the University was part of the World University Network (WUN). The universities that are part of the network were engaged in decolonisation of their</p>	<p><b>RESOLVED</b> (Chancellor/Ms Quinn): that Council <b>Support</b> the University to develop its own Knowledge Equity statement.</p> <p><b>RESOLVED</b> (Chancellor/Ms Dunphy): that Council <b>Support</b> further engagement with the Knowledge Equity Network to explore alignment.</p>

	<p>curricula and developing indigenous partnerships through collaboration between key stakeholders and communities. The Vice-Chancellor presented at the inaugural KEN conference in 2022 on the work undertaken in this regard at the University of Auckland. The University of Auckland was at that occasion asked if it wanted to join the KEN.</p> <p>KEN was not specifically a university network but rather a group of people within universities who operate within equity portfolios. Their aim was to raise the opportunity for people to develop their careers and also publish information in a similar way as their peers.</p> <p>A principles statement, the Declaration on Knowledge Equity, had been developed by KEN and the University had been asked to develop its own specific knowledge equity statement, as a partner of the KEN of the WUN.</p> <p>Council was currently asked to approve the direction of travel for this work Council noted that the KEN declaration included guiding principles and the opportunity for the University to develop its own statement in alignment with Taumata Teitei.</p> <p>After a discussion Council asked if this could be the subject of a briefing later this year.</p>	
	<p>13.4 <b>COUNCIL APPOINTMENTS STATUTE 2022 – REVIEW</b></p> <p>13.4.1 Council Appointments Statute 2022 with mark up</p> <p>13.4.2 Council Appointments Statute 2022 clean copy</p>	<p><b>RESOLVED</b> (Ms Quinn /Mr Mason): that Council <b>Approve</b> the revised Council appointments statute 2022</p>
<p><b>14. ELECTIONS - APPOINTMENTS</b></p>	<p>14.1 <b>RESIGNATION/CASUAL VACANCY</b></p> <p>Professor Jennifer Curtin, elected representative of academic staff on Council whose term of office was scheduled to end on 31.12.2023, has resigned from Council with effect on 01.07.2023. This creates a casual vacancy, and another person must be appointed by the procedure by which the Council member became a member.</p> <p>However, if the office becomes vacant within 6 months before the end of the member’s term of office, Council may decide that the vacancy does not need to be filled under this clause. (Education and Training Act 2020, Schedule 11, section 9 (1) and (3).</p> <p>The election of a new academic staff representative would take place during the Council elections later this year.</p>	<p><b>RESOLVED</b> (Chancellor/ Dunphy): that:</p> <ul style="list-style-type: none"> <li>• Council <b>Note</b> the resignation of the academic staff representative with effect on 01.07.2023; <b>and</b></li> <li>• <b>Decide</b> not to fill the casual vacancy.</li> </ul>

<p><b>15. GENERAL BUSINESS</b></p>	<p>15.1 <b>Report of Vice Chancellor exercise of Delegation to set fees for non-SAC funded microcredentials.</b></p> <p>At the October 2022 meeting of Council, it was resolved to “authorise the Vice-Chancellor to set fees for non-SAC funded Microcredentials and for University programmes delivered offshore, subject to such fees being reported to the Council meeting immediately following.”</p> <p>The Vice Chancellor has exercised this delegation to approve a tuition fee for the microcredential The New Science of Mind and Brain being <b>\$1,300 (\$86.67 per point) inclusive of GST.</b></p>	<p><b>RESOLVED</b> (Chancellor/Ms Quinn): that Council note that the Vice Chancellor has exercised this delegation to approve a tuition fee for the microcredential The New Science of Mind and Brain being <b>\$1,300 (\$86.67 per point) inclusive of GST.</b></p>
<p><b>16. LEAVE OF ABSENCE</b></p>	<p>for the meeting of 21.08.2023</p>	

5.1

**PUBLIC EXCLUSIONS**

**RESOLVED** (Chancellor/Vice-Chancellor): that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

- Item No. 1.1 Council Minutes (Part B 13.03.2023)
- Item No. 2.1.1 Animal Ethics Committee
- Item No. 2.2.1 University of Auckland Human Participants Ethics Committee
- Item No. 2.3.1 Audit and Risk Committee Minutes
- Item No. 2.4.1 Capital Expenditure Committee, Minutes
- Item No. 2.4.2 Business Case
- Item No. 2.5.1 Finance Committee, Minutes
- Item No. 2.5.2 Financial Performance 2023 to 2025
- Item No. 2.5.3 Fees Statute amendment
- Item No. 2.6 University Honours Committee Reports
- Item No. 2.7 University Naming Committee Report
- Item No. 3.1 Appointment of a Council member
- Item No. 4.1 Drug checking licence

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Adrienne Cleland, Professors Linton, Bloomfield and Metson, Andrew Phipps, Tim Bluett, Simon Neale, Brian Ten Eyck, Pamela Moss, Helen Cattanach, Anthony Brandon and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

**The meeting closed at 7.00pm**

**The meeting went into Public Excluded session at 5.30pm**

**Approved as a true and correct record.**

**Cecilia Tarrant, Chancellor**

**Date**

## Vice-Chancellor's Report to Council | 21 August 2023

### External, Policy and Government Update

#### *Trans-Tasman Dinner*

On 26 July, the Vice-Chancellor attended a bilateral Trans-Tasman Dinner hosted by PM Hipkins and PM Albanese. At this dinner, opportunities for enhancing partnerships and improving cross-border exchange were discussed.

#### *Sector Funding Review*

Ministry of Education officials have progressed the scoping of the planned HE Sector review work with a three-day visit to Auckland and the Waikato earlier this month. Consultation across the sector will inform papers being prepared for an incoming Education/Tertiary Education Minister. These papers are expected to provide advice on terms of reference for the review itself, with a spectrum of options taking in a small technical review, blue skies, what is wanted from the system, wider first principles and how they want the system to run.

#### *Hosting the APRU Annual President's Meeting 2024*

The Annual President's Meeting (APM) is the centrepiece of APRU's event calendar, where over 60 leaders of APRU member universities meet on major issues related to higher education and to oversee the work of the network. The 2024 APM will take place at the University of Auckland 24-26 June and will include keynote addresses, panel discussions, a presidential forum and caucus, and a business meeting—alongside social events.

### Internal Update

#### *Gender Pay Gap Report*

Work continues on our first Gender Pay Gap report. When complete, the full report will provide an important tool for the University to help us understand the areas where we need to focus our efforts on issues of fairness in pay across gender and for Māori, Pacific peoples, and other ethnicities.

## 1. Education and Student Experience

### Overview

The University's enrolments are largely in place for the year. We have had a strong return to campus by international students, especially for taught postgraduate programmes. Our domestic intake, especially school leavers, has not recovered from the relatively poor university entrance pass rate and the January weather events in Auckland.

At 4,759 EFTS (5,074 people), our domestic school leavers are 10% (490 EFTS) below our final intake for 2022. School leavers are marginally above our 2020 intake by 2.8% (133 EFTS) and headcount 4.3% (210). The relative difference between the numbers of students and the EFTS they consume reflects a lower school leaver student load across the year and the relatively high number of first year students who enrolled for one semester only. 9.4% of this year's domestic intake enrolled in Semester 1 only.

Working Professionals as a market segment remains an area of growth and attracts higher proportions of Māori and Pacific enrolments than other postgraduate segments. The high employment rate and high costs of living make the online and block teaching options provided by the Working professionals segment a key source of growth.

Indicators	Last Year	Current Year			
		To Date (31 July 2023)		End of Year	
	Actual	Target	Actual	Target	Forecast
Total EFTS	<b>35,827</b>	35,976	34,989	<b>36,259</b>	<b>35,465</b>
Domestic Funded	30,291	30,454	28,919	30,593	29,228
International Full Fee	4,999	5,316	5,557	5,172	5,687
% Postgraduate EFTS	24.2%	24.9%	25.1%	25.2%	25.2%

**Note:** EFTS information does not include enrolments in the ELA and other sources

	2022 (01 Aug 2022)	2023 (31 July 2023)	% Change
Total Māori EFTS	2,409	2,266	-5.9%
Māori School leavers	362	374	3.3%
Total Pacific EFTS	3,419	3,150	-7.9%
Pacific School leavers	652	571	-12.4%
Total Postgraduate	8,495	8,777	3.3%
Domestic	6,499	6,040	-7.1%
International	1,996	2,736	37.1%

**Note:** EFTS priority group information includes school leavers, does not include transfers

## Progress against priorities

**Priority 1: Accessible, equitable lifelong higher education opportunities**

**Priority 2: Student-centric learning, co-curricular and extra-curricular cultures**

**Priority 3: Education that is research-informed, transdisciplinary, relevant and with impact for the world**

**Priority 4: Graduates who make the world better tomorrow than it is today**

*Priorities 1 & 2: Learner Success Plan (LSP) and Disability Action Plan (DAP)*

TEC met with the group of staff leading different aspects of work in the LSP on 23 June. TEC staff were updated on LSP projects, having a particular interest in the Twenty Critical Courses and Curated Pathways projects, and the whole of University approach.

Insights gained from the delivery and approach taken in the Twenty Critical Courses project is informing the development of a 'good practice' guide to support our ongoing enhancements.

The Student Voice working group—which is co-chaired by student leaders, a representative from Campus Life, and the PVC Education—will commence formal consultation on the draft Student Voice Policy and Student Voice Guideline in August.

*Priorities 1-4: The Curriculum Framework Transformation (CFT) Project*

At the recent postgraduate CFT Waypoint check-in Faculties met with the Curriculum Design Advisory Group to present their plans for changes to their programmes to align with the CF recommendations.

The OPVC Māori CFT team continues their work on developing the structure and content for the Waipapa Taumata Rau courses; two courses will be piloted in 2024.

*Priority 3: Education that is research-informed, transdisciplinary, relevant and with impact for the world*

A number of professional learning opportunities have been delivered to support colleagues to rethink assessment design in light of increasing availability of generative artificial intelligence. This continues the development of staff capability to support learning delivery.

The 'Curriculum Transformation: Insights for Pacific Success' report (Uperesa et al. 2022) has been disseminated to all senior leaders across Waipapa Taumata Rau. This report provides robust high-level findings for Pacific success and shares best practice for Pacific learners and staff at the University.

## 2. Research and Innovation

Indicators	Last Year	Current Year			
		To Date (30 June 2023)		End of Year	
	Actual	Target	Actual	Target	Forecast
Research revenue (\$M)	269.5	144.9	140.5	285.5	292.5

**Note:** The revenue includes University of Auckland revenue only

### Overview

Forecast research revenue for 2023 is on track to exceed target. The University's aim to increase institutional preparedness for Horizon Europe and the new National Research Priorities (NRPs) is being established as part of *Te Ara Paerangi* reforms. The strategic activity that the University has undertaken pursuant to *Taumata Teitei* in areas such as University Research Centres, MedTech-iQ and research platforms have enabled the University to respond effectively to these changes. New revenue streams will be of critical importance as existing funding streams associated with the National Science Challenges (NSCs) and TEC Entrepreneurial Universities schemes come to an end in 2024.

### Progress against priorities

#### Priority 1: World-class research inspired by our place in Aotearoa and the Pacific

An important milestone was reached in July 2023, with all seven University Research Centres (URCs) and 25 Faculty Research Centres (FRCs) submitting their forward plans for review by University Research Committee in August.

Fofonga for Pacific Research Excellence launched its University-wide Pacific researcher mentoring scheme in July. This was followed by a Pacific researcher fono/hui 8 August that socialised the Fofonga work programme, advanced Pacific-led transdisciplinary research collaborations, and provided consultations from as many Pacific researchers as possible across UoA.

#### Priority 2: A global powerhouse of innovation, creativity and entrepreneurship

The operations team for Medtech-iQ Tāmaki Makaurau is now in place. The governance model will be formally initiated in August, with the appointment of Directors and the first meet of the Oversight Group meeting taking place. Medtech-iQ team members successfully co-hosted a networking evening during HealthTech week for national collaborators, and also participated in a study tour of Australian Innovation Hubs with national counterparts. This is an important step toward activating Medtech-iQ Aotearoa, a connected hub model.

**Priority 3: Relevant, purposeful, impactful research for our communities**

A new Open Access Support Fund (OASF) was launched in July that provides \$500,000 per annum to faculties and LSRI's to support the payment of Open Access fees (and related costs) for researchers publishing high-impact research. Priority cohorts include Doctoral Candidates, indigenous researchers and Early Career Researchers.

The Fofonga Director has commenced his Fofonga Pacific tour, with stops in Sāmoa in late July and the Cook Islands planned for September. This included engagements with the Vice Chancellors of the National University of Samoa, USP, Campus Director of USP Sāmoa, and key climate change leads at the South Pacific Regional Environment Programme.

**Priority 4: Ambitious research confronting humanity's greatest challenges.**

In late June 2023, the PBRF project team released the estimated PBRF Quality scores to more than 2,000 researchers. These scores provide the opportunity for researchers and academic leaders to take a planned approach to retaining or improving PBRF scores in the lead up to Quality Evaluation 2026. Final PBRF guidelines will be released in November 2023.

Following the formal execution of the Horizon Europe association agreement in early July, the University has secured its first Horizon Europe project grant. This achievement, along with a series of awareness raising activities, has generated a high level of interest from researchers across the University.

**Priority 5: Nurturing, recruiting and retaining outstanding research talent.**

The University is taking active steps to recognise the achievements of the research community through the internal awards portfolio, with the annual Research Excellence Medal, Early Career Excellence Awards and Research Impact Awards to be announced in August and celebrated at the Celebrating Research Excellence event in October 2023.

**Priority 6: A research ecosystem characterised by collaboration, agility, simplicity, engagement, and empowerment**

The new Research Data Management (RDM) Policy came into effect on 1 July and is an important aspect to the University's response to domestic and global pressures in areas such as foreign interference, export controls and research integrity. Internationally, there have been recent high-profile instances of research misconduct in publications which can (in part) be mitigated through the use of data availability statements and other measures required under the new RDM Policy. The University is also in the process of establishing a Research Integrity Officer (RIO) role to scale the University's research integrity training and support.

Current foci for strategy and policy work include: eResearch, human health research, export controls and intellectual property. The DVCR has sponsored the development of a new eResearch strategy for the University, which will inform operating models and investment in this rapidly evolving area. The Human Health Research Governance Group has recently been reconvened and will be well placed to advise the University about changes to the landscape, such as the new Therapeutic Products Bill which will introduce new regulatory requirements for clinical trials from September 2026.

### 3. Partnerships and Engagement

#### Overview

The Strategic Engagement portfolio has welcomed three new senior staff: Kiri Coughlan (Ngāpuhi, Ngāti Pākau) as the Director of Communications and Engagement, Mark Howard as the Director of Marketing and Recruitment, and Professor Gillian Lewis as the Director of the newly established Sustainability Hub.

#### Progress against priorities

##### Priority 2: An ambitious and relevant partner that is globally networked

A delegation from Northeast Forestry University (NEFU), led by President Professor Song Wenlong, visited UoA on 20 July. A three-party Memorandum of Understanding was signed between NEFU, UoA and AEMG Education to formalize their joint commitment to future Joint Education Programmes. The International Office also welcomed a delegation from Tsinghua University to discuss staff and student exchange and collaborations, including the possibility of transnational education (TNE) partnerships.

Articulation arrangements have been established between:

- The Faculty of Creative Arts and Industries and Beijing Dance Academy, China's leading tertiary dance institution, strengthening their existing relationship.
- The Faculty of Engineering and Singapore Polytechnic, which has strong potential to attract Singaporean engineering diploma holders to further study at UoA.

On 19 May, the International Office and the India New Zealand Business Council hosted the first-of-its-kind Education Roundtable hybrid event, bringing together over 50 international education practitioners, business leaders and government stakeholders to examine opportunities for collaboration and growth in International Education between India and New Zealand.

The University of Auckland was successful in receiving funding from two bids in the latest application round for the Prime Minister's Scholarship Programmes for Asia and Latin America. This will send 24 students from New Zealand universities (including UoA) on a study tour of India, and seven UoA students on a short course at Pontifical Universidad de Chile. Meanwhile, 15 of the first Indonesian International Student Mobility Awards recipients have arrived at UoA.

##### Priority 3: Deep engagement with diverse Aotearoa and Asia-Pacific communities

Pacific Academy, a math and science tutoring programme for year 11-13 Māori and Pacific students, has been brought into the Schools and Community Engagement (SCE) portfolio. Pacific Academy aims to support more young people from South Auckland into STEM pathways, connecting success in STEM subjects at secondary level with achievement in tertiary studies. SCE have also established a West Auckland pilot of Pacific Academy with the same objectives in mind.

**Priority 4: Enduring relationships with prospective students, students, alumni and donors**

Mānawa Mai's Info Evening on 27 June hosted almost 1,000 school leavers online, with 1,200 hours of digital presentations and Q&As available for prospective students and their parents to explore. Mānawa Mai's Open Day, scheduled for 26 August, is anticipated to attract 10,000 visitors. Piki Mai, our new online event to showcase our postgraduate offerings, will be held on 5 October, and aims to attract working professionals and postgraduate pathway segments.

Te Papa Ako o Tai Tonga will host South Auckland secondary school students on campus in coming weeks. The 'Tai Tonga Open Week' will feature lectures, campus activities and enable South Auckland school leavers to experience the campus. South Auckland secondary schools will have the opportunity to network with other school leavers, hear about programmes and offerings at Tai Tonga Campus which will segway into the Mānawa Mai Open Day.

International Orientation for Semester 2, hosted on 12 July, saw around 700 of the 900 registered new international students attending.

Alumni events were held in Hamilton and Hong Kong in June, and informal alumni gatherings in South Korea in June and Kuala Lumpur in July. The Celebration of Giving event was held on 26 July to acknowledge the contributions of volunteers and donors to the University.

The launch of the late Olive Malienafau Nelson Scholarship for Pasifika Excellence was held on 2 August, led by OPVC Pacific, and in partnership with the Faculty of Law.

So'ofau, a collaboration between OPVC Pacific and student clubs designed to support and empower Pacific students to thrive academically, coupled with extracurricular activities to promote cultural awareness and community involvement, has fostered mentoring relationships among students by providing a platform for Pacific students to discuss their academic progress, challenges, and opportunities.

**Priority 5: Diverse student body reflecting our communities**

The University's Future Student Evenings, totalling 10 regional events across New Zealand, are underway; registrations currently total 410. These events see current UoA students travelling to their home regions and engaging with future students and their families as part of the Student Ambassador Programme. This approach takes advantage of the important role that representation plays in community engagement.

UoA has welcomed 17 new Manaaki Scholars (funded through the New Zealand Aid Programme to build potential leaders and sustainable development in partner countries), bringing our 2023 total to 120.

**Priority 6: Recognised and valued by our communities for the contributions we make towards a more sustainable future for all.**

Implementation of Te Rautaki Aronga Toitū, the University's Sustainability Strategy, is underway with the establishment of the Sustainability Hub and appointment of Professor Gillian Lewis as its Director. A Sustainability Management Board has also been convened to oversee the implementation and coordination of sustainability activities.

## 4. Enabling our People and Culture

### Overview

Operational plan activities continue to be scoped and prioritised. Progress across each Priority area is progressing well, including the roll-out of the Senior leader's programme, the activation of the Future Academic Workforce implementation plan, and the progress across the Pacific staffing plan and Waipapa Tangata Rau.

### Progress against priorities:

#### Priority 1: Live our values and purpose

The Values Led Culture programme is focusing on four key areas:

1. The development of a Senior Executive Leadership Programme;
2. Cascading values-led culture practices — tools and facilitated sessions for leaders and teams;
3. Integrating our values and Te Ao Māori principles in systems, processes, policies, and decision-making to deliver an improved employee experience; and
4. Deciding on an Employee Engagement Survey Tool and communication approach.

All workstreams continue to engage with key stakeholders around further refining the “Leadership Principles” and aligning the activities related to our “People Experience and Policies.”

The Senior Executive Leadership Programme is progressing well. Coaching circles have been established and are underway. The next 2-day workshop for the leadership team is in early September.

The “Values in Action” workshops for our senior leaders are nearing completion. We are on track to deliver a toolkit that will support leaders in bringing the values to life for their teams.

The Leadership Principles workstream is completing the co-creation of the draft leadership’s principles. This process has been slow but steady over the last quarter.

The People Experience and Policies workstream has recently completed its initial experience stream focused on the onboarding experience.

The Staff Experience survey was conducted as planned. The leadership teams have completed their feedback presentations to staff and have started working with their staff to work on key areas for their respective area.

## **Priority 2: Develop a future-ready workforce**

Projects within this strategic priority aim to identify and define a comprehensive and cohesive set of workforce initiatives (a Workforce implementation roadmap). This implementation roadmap identifies, builds, and supports the Academic Workforce to enable us to deliver the outcomes from *Taumata Teitei*. Related initiatives will focus on the Future Academic Workforce, including new roles (academics, community engagement), new capabilities (digital, Mātauranga Māori, transdisciplinary), new ways of working, and new recognition and reward structures. Close alignment with the Curriculum Transformation project and the Ranking Strategy is vital in delivering against this priority area.

*Future Academic Workforce:* Initiation of the implementation roadmap is underway. One of the deliverables is to develop an employee value proposition. A business case has been developed to progress this work.

*Academic Standards Review:* Consultation has concluded, and the Academic Standards are being updated with the feedback that has been received; these should be approved by the end of Q3. This initial review has had a narrow scope so recommendations can be implemented for 2024. A more extensive review of the Standards will be undertaken in 2024.

*Workload Analysis:* University-level principles have been drafted and consulted on. The current work is to finalise the proposed policy and guidelines for submission for approval by the end of Q3 2023. An implementation plan is being developed for BAU delivery in line with the business case deliverables.

*Academic Development:* Learning & Teaching continues to deliver its project plan and finalise the development of a sustainable model that supports centrally led learning and teaching support for staff. During the second half of 2023, the team will focus on completing the development of the model to clarify the roles and responsibilities of departments and staff who support learning and teaching quality across the university. They will also finalise the current pilots, due for the end of 2023.

## **Priority 3: Build a high-performing, diverse, inclusive and equitable community**

The OPVC Pacific is concluding its initial consultations around the Pacific Staffing Plan. The Plan seeks to increase leadership and decision-making roles for Pacific staff; support a pipeline of initiatives and early career and leadership pathways across Waipapa Taumata Rau; prioritise key workforce areas; and invest in Pacific cultural competencies.

People and Culture are collaborating with Pro Vice-Chancellor (Māori) to co-sponsor developing a Māori staffing plan (Waipapa Tangāta Rau). The project team has spent the last quarter engaging with several projects (including HONO and Values-Led Culture) and senior leadership groups to finalise their implementation plan approach and timing.

## **Priority 4: Activate manaakitanga, whanaungatanga and kaitiakitanga across our People and Culture practices**

*Te Akoranga Kairangi:* A cultural and Tiriti competency programme within the Taumata Ngaio Project has launched, with the first staff cohorts enrolled and the programme delivered by two teams.

Planning for Kaimahi Māori Taumata Ngaio offerings in 2023 is underway; 2023 governance refresh and transition to BAU. Current enrolment is progressing well: M131 Te Taumata Ngaio is now full for Semester One 2023, with a waitlist of 15.

## 5. Our Enabling Environment

### Overview

Indicators	Last Year	Current Year			
		To Date (30 June 2023)		End of Year	
	Actual	Target	Actual	Target	Forecast
Total revenue (\$M)	1,390.4	1,082.6	1,113.6	1,449.8	1,469.4
Revenue achieved as a % of budget	103.4%	100%	102.9%	100%	101.3%

### Progress against priorities:

#### Priority 1: Mana-enhancing services and practices

Implementation of **Whakamana Tangata, the Student Services strategy** continues to be an area of focus:

- The **new operating model for academic and student services** (SSFR1) has now supported more than a full cycle of activity, including the peak load of applications, admissions, and enrolments for Semester 1 and Semester 2. The Semester 2 peak period this year has seen significant improvements on last year (when the model was in its early stages), and reflects improved staff capability and collaboration, processes, and turnaround times. Service division and faculty staff are currently implementing an action plan focused on further improvements.
- The **Student Support Services Function Improvement Plan** has been developed through a collaborative process and is being shared across the University through a series of presentations to service divisions and faculties. Approved action items are focused on improvements to student support and full compliance with the Pastoral Care Code of Practice. Other key updates include:
  - A data dashboard is being developed with the Planning Information Office to share ongoing updates and insight into how students are engaging with the system of care at the University.
  - A Student Voice working group, comprising staff and students, has developed a draft Policy and Guidelines which outline the University's commitment to engaging in dialogue with students and providing opportunities for students to become active participants in all aspects of student experience.
  - The new role of "Student Support and Workflow Specialist" will connect Campus Care, service division and faculty-based pastoral support teams throughout this semester.

- Symplicity Access, the University's disability case management system for students with disabilities, has been implemented in Campus Life and is being rolled out to faculty-based teams. This work supports delivery of UoA's Disability Action Plan.

**Priorities 2 and 3: Efficient, effective, prudent, transparent, and informed operations AND Seamless, effective, and equitable user experiences across social, physical, and digital environments**

The HR Ecosystem project, now called HONO, finalised critical project planning workshops with the system integrator partners in July. The team has now begun the development of the replacement systems, and the programme is on track for Phase 1 – Architecture and Configure – to go live in July 2024.

The purchase of a Curriculum Management System is near completion with implementation phases being planned. This system will enable effective curriculum mapping (mapping the alignment between programme graduate profiles, course learning outcomes and assessment) and more efficient approval processes, using inbuilt workflow.

Function Leaders have provided an initial view of their **Function Plans**, outlining priorities for improvement that consider the efficiency and effectiveness and customer experiences of each Function. Performance benchmarking shows the University already operates its transactional functions efficiently, and across-the-board gains are therefore being sought from process optimisation, staff skills and capabilities, and strengthened role clarity. Significant cross-functional partnerships between Organisational Performance & Improvement, Finance, and Human Resources are helping bring these Function Plans into effect and to update them fully for November.

Alongside insights gained from the Staff Survey and the Service Effectiveness Survey, our **Customer Journey Mapping** work continues to help identify processes and transactions that contribute inefficiencies and cause friction for our people; an Experience Improvement Plan (EIP) has been developed to address these.

**Priority 4: A distinctive, capable, and flexible built environment that celebrates our place in Aotearoa New Zealand and the Pacific.**

The priorities of **Te Rautaki Tūāpapa | Estate Strategy 2021-2030**, continue to be progressed including:

- Establishing projects with a focus on **Student-Centred Spaces** in Sector 300 and a significant refurbishment of Level 1 and the Mezzanine of the General Library.
- Planning for next phase of **capital priorities**, including: new facilities for Law and Performing Arts; progressing the exit of poor-quality leased accommodation; projects on the Grafton and Newmarket Campuses to support Medtech-iQ, an Innovation Centre, and to address major life-expired infrastructure.
- Delivery of Capital Programme Priorities including:
  - Construction works for **Building B201**. The CAI Dance space opened 6 July, with the balance of the facility to be handed over in stages with occupation and moves of Arts and EDSW complete ahead of Semester 1, 2024.
  - Construction of the **Recreation and Wellness Centre**. Completion of the façade is progressing well and remains the most important current activity to enable the fitout to continue. Forecast completion date remains June 2024.
  - Demolition/investigation portion of the works for Building 104 **Old Choral Hall**, which is almost complete.

- o Construction of **Building 113**. Fitout works as part of the EDSW move to the City Campus has commenced.

Te Papa Ako o Tai Tonga | South Auckland Campus is undertaking a Stakeholder and Relationships Review. Through relationships with Manutahi, Eke Panuku Auckland Development, and Auckland Council, there is continued support and augmented opportunities of educational offerings in the South Auckland region, which are made possible by the flexibility and robust learning environment that is offered at the South Auckland Campus. Currently, a total of 32 classes are taught on-site by the Faculty of Business, Faculty of Education and Social Work, and the Tertiary Foundation Certificate.

**Priority 5: A commitment to achieve net-zero carbon status and to publish meaningful metrics of the University's progress towards overall sustainability**

The Estate Strategy 2021-2030 highlights the theme of Environmental Performance. A University-sector expert advisory group will identify sector-based climate related risks and opportunities; its initial workshop has been scheduled for August 2023. The **Sustainable Estate and Operations Working Group** continues to mature the implementation plan:

- o Decarbonisation studies are complete, and there is now a pipeline in place for replacement of gas-fired equipment (building tuning including ventilation control and lighting upgrades are the focus).
- o Draft Waste prevention and management review report is being assessed.
- o Draft sustainability criteria for design guidelines are under review.
- o The Travel Policy currently out for consultation includes Net Zero considerations.
- o Sustainability requirements for the Social Sciences building (B201) food outlets are being built into contracts (co-led by Campus Life and Property Services) to showcase new practices beyond GreenStar6 design and construction requirements.
- o A proposal is being developed for consolidation of fleet management across the University.

Dawn Freshwater  
Vice-Chancellor

**Appendix 1: University Gifts and Pledges [May-June 2023]**

The following major new gifts and pledges were received by Alumni Relations and Development in May and June 2023, totalling \$6.8M:

- \$1,122,500 from Beate Schuler for STEM Online NZ, supporting teaching of senior Chemistry, Physics and Mathematics within the new NCEA framework
- \$1,022,500 from the Woolf Fisher Trust to support the further development of STEM Online NZ
- \$1,000,000 from The Chartwell Trust to support the Centre for Arts and Social Transformation (CAST) at Education and Social Work
- \$950,000 from The Dines Family Charitable Trust for a COPD (chronic obstructive pulmonary disease) joint project between the Liggins Institute and the Auckland Bioengineering Institute, and for the Maori & Pacific Pathways programme at Engineering
- \$750,000 from Cure Kids for the Cure Kids Chair in Child & Youth Mental Health at Medical and Health Sciences
- \$461,919 from Fondation Leducq to support the Acute Rheumatic Fever Diagnosis Collaborative Network (ARC Network) at Medical and Health Sciences
- \$280,000 from Leukaemia & Blood Cancer New Zealand for the Leukaemia & Blood Cancer Research Unit and for the Auckland Regional Biobank, both at Medical and Health Sciences
- \$150,000 from the Norman F B Barry Foundation to support education-related activities at Koi Tū: the Centre for Informed Futures
- \$110,000 from the Keystone Trust for Keystone Trust Scholarships at the Business School and across various faculties
- \$100,000 from Margaret Edwards for olive oil research at Science
- \$100,000 from the Rockfield Trust for Manaaki Manawa Centre for Heart Research at Medical and Health Sciences
- \$80,000 from Breast Cancer Cure for the 'Not a One-Size-Fits-All Service: Investigating Breast Cancer Detection Disparities and the Cost-effectiveness of an Expanded Screening Programme in Aotearoa' study at Medical and Health Sciences
- \$75,000 from Felicity Barnes and Michael Whitehead for the Fatu Feu'u Award, established to honour Fatu Feu'u for his leadership in the field of the Pacific arts community and to reward a Pacific student each year in Fine Art, Drama, Film, Dance, Music, or Creative Writing
- \$70,000 from the Rise Group Trust Board to support the Centre for Informed Futures at Arts

- \$60,000 from the Auckland Medical Research Foundation for the 'Physiologic Reserve and Emergency Laparotomy' study and for 'The importance of the lens and vitreous humour in maintaining oxygen levels in the eye' study, both at Medical and Health Sciences
- \$50,000 from Potentia for the Potentia Undergraduate Scholarship in Computer Science
- \$48,000 from the Raye Blumenthal Freedman Trust for the Raye Blumenthal Freedman Undergraduate Scholarship
- \$46,000 from an anonymous donor for The Jackson Family Foundation Scholarship, which supports women students of Cook Island descent
- \$41,933 from the Auckland District Health Board Charitable Trust for the 'Antibiotic over prescribing and the contributions it makes to childhood obesity' study at Medical and Health Sciences
- \$40,500 from the William Chick Trust for William Chick Scholarships in Architecture at Creative Arts and Industries
- \$40,000 from the Secretariat of The Pacific Community for the 'Pacific Climate Futures: Impacts of Climate Change for Surgery and Healthcare Infrastructure' study at Science
- \$36,000 from the Green Lane Research and Educational Fund Board for the 'Surface-modified grafts for cardiac applications' study at Medical and Health Sciences
- \$35,000 from the Chisholm Whitney Family Charitable Trust for Marine Science research
- \$33,852 from the Medical Research Institute of New Zealand for the 'ARISE FLUIDS Study' at Medical and Health Sciences
- \$28,470 from the Neuromuscular Research Foundation Trust for the Centre for Brain Research Neurogenetic Clinic for patients with Inherited Ataxia and Hereditary Spastic Paraparesis
- \$26,087 from AGMARDT for the 'Economic Evaluation of the Bio-Forest Products Economy' study at Engineering
- \$25,000 from the Kauri Rescue Trust for the Kauri Rescue Scholarship at Science
- \$25,000 from an anonymous donor for Alumni Scholarships
- \$25,000 from Krukziener Properties for the Krukziener Properties Scholarship at the Business School

CHAIR APPROVED BUT NOT CONFIRMED BY THE COMMITTEE

**Finance Committee MINUTES | PART A**

**02 August 2023**

**HYBRID | Council Meeting Room and via Zoom**

**08.00am to 10.00am**



<p><b>Present:</b> Rob McDonald (Chair), Cathy Quinn, Professor Dawn Freshwater, John Paitai and Hala Barakat</p> <p><b>In Attendance:</b> Adrienne Cleland, Tim Bluett, Mudasir Matto, Helen Cattanach, Dr Erik Lithander, Pamela Moss, Martin Hookham-Simms, Jeremy Greenbrook-Held, Professor Frank Bloomfield, Alex Thomas, David Jordan and Jacqui Metcalf</p>			
<p><b>1. Apologies</b></p>	<p>Cecilia Tarrant, Julia Arnott-Neenee and Catherine Dunphy were noted as apologies.</p>		
<p><b>2. Disclosures of Interest</b></p>	<p>No further disclosures were made.</p>		
<p><b>3. Minutes of Finance Committee of 24 May 2023</b></p>	<table border="1"> <tr> <td> <p><b>Item No 3.1: Minutes, Part A</b></p> <p><b>Item No 3.2: Matters Arising</b> from the Minutes, Part A, not elsewhere on the agenda.</p> </td> <td> <p><b>RESOLVED (Chair   Cathy Quinn)</b> that the Minutes, Part A, of the Finance Committee held on 24 May 2023 be taken as read and confirmed.</p> </td> </tr> </table>	<p><b>Item No 3.1: Minutes, Part A</b></p> <p><b>Item No 3.2: Matters Arising</b> from the Minutes, Part A, not elsewhere on the agenda.</p>	<p><b>RESOLVED (Chair   Cathy Quinn)</b> that the Minutes, Part A, of the Finance Committee held on 24 May 2023 be taken as read and confirmed.</p>
<p><b>Item No 3.1: Minutes, Part A</b></p> <p><b>Item No 3.2: Matters Arising</b> from the Minutes, Part A, not elsewhere on the agenda.</p>	<p><b>RESOLVED (Chair   Cathy Quinn)</b> that the Minutes, Part A, of the Finance Committee held on 24 May 2023 be taken as read and confirmed.</p>		
<p><b>4. Other Matters for Decision or Noting</b></p>	<p>The following section has been approved for insertion in Part A of the minutes.</p> <p>It contains the 2023 Forecast Performance highlights to the Finance Committee.</p>		

7.1

CHAIR APPROVED BUT NOT CONFIRMED BY THE COMMITTEE

**PART A - PUBLIC  
2023 FORECAST PERFORMANCE SUMMARY**



University and UniServices	2021 Actual	2022 Actual	2023 Forecast	2023 Budget	var
<b>EFTS</b>					
Domestic	30,828	30,159	28,971	30,484	(1,513)
International	5,391	4,999	5,687	5,172	515
Other	528	669	807	603	204
	<b>36,748</b>	<b>35,827</b>	<b>35,465</b>	<b>36,259</b>	<b>(794)</b>
<b>Income &amp; Expenditure (\$m)</b>					
TEC funding	379.2	380.8	374.2	392.6	(18.4)
Domestic Fees	209.9	206.8	206.1	218.2	(12.2)
International Fees	167.4	175.4	209.7	192.4	17.3
Other T&R	115.1	118.8	123.9	114.0	9.9
	871.6	881.8	913.9	917.3	(3.3)
People Costs	319.2	327.4	349.9	358.3	8.5
Opex & depreciation	62.3	67.2	72.5	68.3	(4.2)
T&R Contribution	490.1	487.1	491.6	490.6	1.0
Research Revenue	311.5	347.2	368.1	355.0	13.2
Research Expenditure	281.0	305.1	332.4	326.0	(6.3)
Research Contribution	30.5	42.1	35.8	29.0	6.8
Other revenue	138.0	161.5	187.3	177.6	9.7
People Costs & Opex	398.6	422.4	496.2	499.1	2.9
Lease & Depreciation	184.4	176.8	183.1	188.3	5.2
Other contribution	(445.0)	(437.7)	(491.9)	(509.8)	17.8
Operating Surplus Loss	75.5	91.5	35.5	9.8	25.6
Interest CIP Loan Fair Value	12.0	31.5	28.2	25.3	2.9
Net Surplus	87.6	123.0	63.7	35.1	28.5

The forecast reflects actual enrolments and results at 31 May. Forecasts and comparatives in the table to the left show the consolidation of the University and UniServices excluding the Foundation.

Overall, total 2023 enrolments are forecast to be a net 794 EFTS (equivalent full-time students) lower than budget. Compared to 2022 EFTS enrolments, the forecast reflects a 4% reduction in domestic, and a 14% increase in international students.

Despite high application rates for new undergraduate and postgraduate taught domestic places conversion rates to enrolment have been low, local economic conditions and lower University Entrance pass rates contributing. A spike in international enrolments partially offsets, limiting the revenue impact though these have fallen between forecast.

The net reduction in tuition related revenues is expected to be offset by increased research and other revenues, and lower levels of expenditures compared to budget. The forecast operating surplus of \$35.5m, exceeds budget by \$25.6m but is below the surpluses of the past two years. This excludes the non-cash accounting gain associated with the Crown Infrastructure Partners interest free loan.

The Balance Sheet remains strong, with the CIP Government interest-free loan (repayable in 2033) the only debt. TEC risk ratios continue maintain low risk levels.

7.1

<p><b>5. Leave of Absence</b></p>	<p>No leave of absence was requested for the next meeting.</p>	
<p><b>6. Public Exclusions</b></p>	<p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p> <p>General subject of each matter to be considered:</p> <p><b>Item No 7.1: Finance Committee Meeting 24 May 2023, Minutes Part B</b></p> <p><b>Item No 7.2: Finance Committee Meeting 24 May 2023, Matters Arising from Minutes, Part B</b></p>	<p><b>RESOLVED (Chair   Hala Barakat)</b> that the public be excluded from Part B of this meeting AND THAT Mrs Cleland, Mr Bluett, Mr Matto, Mrs Cattanaach, Professor Bloomfield, Ms Moss, Dr Lithander, Mr Hookham-Simms, Ms Thomas, Mr Jordan, Mr Jeremy Greenbrook-Held and Mrs Metcalf be permitted to remain for this part of the meeting,</p>



**THE UNIVERSITY OF AUCKLAND**  
**MATERIAL FOR COUNCIL FROM**  
**THE MEETING OF SENATE 24.07.2023**

**PART A:****1. RECOMMENDATIONS FROM SENATE REQUIRING TO BE CONSIDERED/RECEIVED BY COUNCIL****a) Senate Review**

Professor Mathew, Chair of the Senate Review Reference Group, advised Senate that the surveys for Senate members and non-members had been finalised and she thanked Katja Rangšivek and Professor Daley for their help with this. It was anticipated that, following the survey, a report with the results would be submitted to Senate in November.

**b) Freedom of Expression**

The Chair gave a verbal update regarding the plans for Freedom of Expression (FoE). She advised Senate that, after the robust debate about FoE at the last Senate meeting, the recommendations were being considered in the context of the Code of Conduct (CoC), Academic Freedom (AF), the Education and Training Act 2020 in addition to some of the work taking place through collective bargaining and contractual obligations through Human Resources. The Terms of Reference for this work were currently being drafted and, when finalised, Senate would be represented in the consideration of FoE together with AF and the Professional CoC. The work on this would continue throughout the remainder of this year.

**c) REVIEWS****1. Review of Stage 1 Assessment (Bachelor of Arts, Bachelor of Commerce, & Bachelor of Science)**

**Senate 24.07.2023 RECOMMENDS to Council that it receive the Review of Stage 1 Assessment (Bachelor of Arts, Bachelor of Commerce, & Bachelor of Science)**

**2. Review of the the School of Environment – Year-on Progress Report**

**Senate 24.07.2023 RECOMMENDS to Council that it receive the Review of the School of Environment – Year-on Progress Report as a final response of the 2021 Review.**

**3. Review of English & Drama – Year-on Progress Report**

**Senate 24.07.2023 RECOMMENDS to Council that it receive the Review of English & Drama – Year-on Progress Report**

**4. Review of Applied Linguistics & Language Teaching, Linguistics, and Academic English Studies – Year-on Progress Report**

**Senate 24.07.2023 RECOMMENDS to Council that it receive the Review of Applied Linguistics & Language Teaching, Linguistics, and Academic English Studies – Year-on Progress Report**

**2. RECOMMENDATIONS FROM SENATE REQUIRING COUNCIL CONSIDERATION/APPROVAL**

**ACADEMIC MATTERS AND REGULATIONS**

**1. REGULATION AMENDMENTS**

**Senate, 24.07.2023 RECOMMENDS that Council approve the following Regulation Amendments:**

**a) Regulation Amendment 2023-906: Academic Statutes and Regulations General Statutes and Regulations – Conferment of Academic Qualifications and Academic Dress Statute and Availability of Academic Dress**

To add or remove the following qualifications and regalia specifications listed in the Conferment of Academic Qualifications and Academic Dress Statute:

ADD:

1. For Arts: Bachelor of Communication, Master of Communication
2. For Creative Arts and Industries: Bachelor of Design, Master of Design
3. For Engineering: Master of Infrastructure Asset Management, Master of Materials Engineering, Master of Medical Engineering
4. Interfaculty: Master of Intellectual Property, Master of Mathematical Modelling
5. For Law: Juris Doctor, Master of Intellectual Property
6. For Science: Master of Biotechnology, Master of Chemistry, Master of Environmental Science, Master of Food Science

REMOVE:

1. For Creative Arts and Industries (remove): Master of Housing Studies, Master of Architecture (Professional) and Housing Studies, Master of Urban Planning (Professional) and Housing Studies

**b) Regulation Amendment 2023-907: Academic Statutes and Regulations/General Statutes and Regulations – Degrees and Diplomas Statute 1991**

To add or remove degrees and diplomas listed in the Statute.

**c) Regulation Amendment 2023-908: General Statutes and Regulations - Posthumous and Incomplete Academic Qualification Regulations**

To introduce new regulations for the award of a qualification that is incomplete and for the award of a posthumous qualification.

**Senate 24.07.2023 RECOMMENDS that Council approve the updated 2024 Programme Limitations Faculty Medical and Health Sciences (Clinical Programmes)**

**2. 2024 Programme Limitations**

For all faculties

**Senate 24.07.2023 RECOMMENDS that Council approve the 2024 Programme Limitations**

**3. Proposed changes to School Leaver Requirements and UTAS Criteria for 2025 Admission**

**Senate 24.07.2023 RECOMMENDS that Council approve the proposed changes to School Leaver Requirements and UTAS Criteria for 2025 Admission**

**PART B: ITEMS FROM SENATE FOR NOTING BY COUNCIL**

Senate, 24.07.2023 advises Council that it noted the following:

**1. The approved 2024 Council, Senate and Committee meeting schedule**

**2. Updated 2024 Programme Limitations Faculty Medical and Health Sciences (Clinical Programmes)**

The update was based on the Government’s recent announcement to increase the number of domestic MBChB places at Waipapa Taumata Rau by 30.

**This update was submitted to Senate on 24.07.2023 but, as further approval was required before 21.08.2024, the Provost exercised her sub-delegation of powers, as explained in the attached memorandum,, 07.08.2023.**

**PART C: MATTERS RECEIVED AND APPROVED UNDER DELEGATED AUTHORITY**

**1. CUAP AMENDMENTS**

Senate, 24.07.2023 advises Council that the following CUAP AMENDMENTS approved for submission to CUAP:

**Business & Economics**

2023/105	MBA	1. To restructure the Master of Business Administration (MBA) and amend its schedule. 2. To amend the Admission clause for MBA
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**Creative Arts and Industries**

2023/205	MHousSt, March(Prof)HousSt, MUrbPlan(Prof)HousSt	Deletion
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**Education and Social Work**

2023/300	PGCertEd, PGDipEd, MEd	1. To introduce an Early Childhood specialisation in the following programmes: a. Postgraduate Certificate in Education b. Postgraduate Diploma in Education c. Master of Education (research) 2. To introduce a 180-point taught Master of Education (Early Childhood)
2023/301	MCouns	1. To introduce a 120-point and 240-point Taught Masters pathway, Master of Counselling. 2. To align the first 120 points of the 240-point Master of Counselling with the recent amendments to the Postgraduate Diploma in Counselling Theory (PGDipCounsTh) 3. To update and clarify the Research Masters pathway, Master of Counselling 4. To re-classify PROFCOUN 730 Advanced Counselling Practicum as a Level 9 course 5. To introduce a course: PROFCOUN 732 Professional Counselling Capstone Project (Level 9, 30 points)

8.1

2023/309	MSCL	1. To introduce an additional Master of Social and Community Leadership (MSCL) pathway that provides for a taught 180-point masters programme. 2. To introduce a new course: SOCCLEAD 708: Enacting Social Change
2023/316	BECSt	To amend the admission regulations for the Bachelor of Early Childhood Studies

**Medical and Health Sciences**

2023/602	PGDipObstMedGyn	To add a transfer clause to the Postgraduate Diploma in Obstetrics and Medical Gynaecology.
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**Interfaculty**

2023/803	MAI, PGDipAI, PGCertAI	1. To introduce a Master of Artificial Intelligence (180) 2. To introduce a Postgraduate Diploma in Artificial Intelligence 3. To introduce a Postgraduate Certificate in Artificial Intelligence 4. To introduce COMPSCI 712 AI Agency, Ethics and Society, COMPSCI 713 AI Fundamentals, COMPSCI 714 AI Architecture, COMPSCI 764 Deep Learning, COMPSCI 769 Natural Language Processing, COMPSCI 779 Internship and COMPSCI 792 AI Research Project A/B 5. To amend the NZQF level of COMPSCI 703 to level 9, and to amend the title and prescription.
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8.1

**Senate, 24.07.2023 also advises Council that it also approved the following:**

**2. 2027 Academic Dates**

**3. English Language Requirements**

**4. Governance of the CF Hallmark areas, and approval of two interim governance bodies for the Waipapa Taumata Rau Courses and the Transdisciplinarity elements.**

**5. NEW, AMENDED AND REVISED SCHOLARSHIP & AWARD REGULATIONS**

**New Scholarship & Award Regulations:**

- Auckland Library Heritage Trust John Stacpoole Summer Scholarship
- Faculty of Education and Social Work Doctoral Fees Bursary
- PMA Knowledge Hub Summer Research Scholarship

**Amended scholarship regulations:**

- Warren and Mahoney Masters Award

**Revised UoADS regulations**

**6. Changes to the Terms of Reference of Research Committee**



## Internal Memorandum

Date: 08.08.2023

To: Council

From: Deputy Vice-Chancellor (Operations) and Registrar

CC:

Subject: Changing the length of the term of office for the student representative on Council

Council, at its meeting of 12.12.2022 asked University management to consult with students about the term of office for the elected student representative on Council and specifically whether the current one year term should be extended.

This matter was considered by the Student Consultative Group (SCG) at its meeting on Monday 17.07.2023. After discussion, the recommendation from this group is that the term be extended to two years, for the following reasons;

- it takes up to 6 months to understand the role;
- a longer time would allow the student representative to be more effective;
- less frequent induction required; and
- allows time towards the end of the 2 years for a decent hand-over/shadowing period with a new representative.

The recommendation of the two-year term was subject to the student representative remaining enrolled.

The AUSA provided written advice on this matter as attached. The AUSA likewise support the two-year term provided this does not exclude students from being a candidate in instances where they have less than two years remaining in their academic programme.

I confirm that, consistent with the requirements of the Education and Training Act (2020), any enrolled student is eligible to be a candidate irrespective of their expected programme completion date. Clause 3f(ii) in the University's Council Appointments Statute (UCAS) specifies that in the event a student ceases to be an enrolled student they will likewise cease to be the student representative on Council. Clause (4) covers the procedures to be followed in the event a casual vacancy arises.

**Recommendations:**

1. Consider the advice from the AUSA and SCG to extend the term of office for the student representative on Council from 1 to 2 years,
2. Consider the maximum term of the student representative on Council. This is currently 3 terms of 1 year each (UCAS 3g(ii)) .
3. In the event Council resolves to extend the elected term to 2 years then options for the maximum term include 2 or 3 terms of 2 years each.
  - a. In the event the Council resolves to so extend the term, then it is recommended that Council: Approve the revised Council Appointments Statute 2023 as attached.

# Council Appointments Statute 2023

## Title and commencement

- a) The **Council** of the **University** makes the following statute under [section 284 of the Education and Training Act 2020](#).
- b) This statute may be cited as the Council Appointments Statute 2023.
- c) This statute comes into force on 13 December 2022.
- d) The Council Appointments Statute 2019 is repealed from the date this statute comes into force.

## Application

This statute applies to Council members and those eligible for appointment or election to Council.

10.2

## Purpose

This statute governs the election and appointment of those members of the Council appointed to the Council by resolution, in accordance with the Amendment to the Constitution of the University of Auckland Council Notice 2019 and [section 276 of the Education and Training Act 2020](#) (Act).

## Contents

- Appointments by virtue of office
- Appointments by expressions of interest
- Appointments by election

## Statute

### (1) Appointments by virtue of office

- a) The Council is to resolve to appoint the Vice-Chancellor as a member of Council, by virtue of their holding office as the chief executive officer of the University of Auckland.
- b) The Vice-Chancellor is to be a member of Council for as long as they hold that office.

### (2) Appointments by expressions of interest

- a) The Council is to resolve to appoint:
  - (i) One person, being Māori and able to advise Council on issues relevant to Māori, following a call for expressions of interest from iwi and other Māori individuals or groups

- (ii) One person being an **alumnus** of the University of Auckland, following a call or expressions of interest from alumni
  - (iii) Two persons able to provide skills specified by Council, following a call for expressions of interest
- b) a) A person must not be disqualified from appointment under [section 277 of the Act](#).
- c) Expressions of interest will be sought, and recommendations made to Council for appointment by resolution, in accordance with the Council Appointments Procedures approved by Council.
- d) When appointing Council members, as far as is reasonably practicable, Council must have regard to the requirement that Council should reflect:
- (i) the ethnic and socio-economic diversity of the communities served by the University; and
  - (ii) fact that approximately half the population of New Zealand is male and half the population is female.
- However, Council must ensure that at least 1 Council member is Māori, and appoint people who (in Council's opinion):
- (i) have relevant knowledge, skills, or experience; and
  - (ii) are likely to be able to fulfil their individual duties to Council; and
  - (iii) together with the other members of Council, are capable of undertaking its responsibilities, duties, and functions.
- e) Each person selected in accordance with the provisions of this section is to be appointed to Council by resolution for a period of not more than four years.
- f) A person must not be appointed to Council for more than three periods of four years (except where a person was a member of Council on 31 December 2015, and has not completed their current term, they may serve up to four periods of no more than four years each).

### **(3) Appointments by election**

- a) The Council is to resolve to appoint one **permanent** member of the **academic staff** elected by the permanent members of that staff, one permanent member of the **professional staff** elected by the permanent members of that staff and one **student** elected by the students of the University.
- b) Except as otherwise provided by this statute, the elections are to be conducted in accordance with the Council Appointments Procedures and the provisions of the Election Procedures.

c) To be a candidate in an election:

(i) academic or professional staff must:

- be a permanent member of the academic staff or the professional staff;
- provide a Notice of Candidacy in the format and within the timeframe specified by the Returning Officer; and
- not be disqualified from appointment under [Section 277 of the Act](#)

(ii) a student must:

- provide a Notice of Candidacy in the format and within the timeframe specified by the Returning Officer; and
- not be disqualified from appointment under [Section 277 of the Act](#)

d) Any candidate statement submitted with the Notice of Candidacy is to address the skills and experience sought by Council, and must not exceed 500 words.

e) The Returning Officer is to compile a list of electors who are eligible to vote in each election, which is to include each permanent member of academic or professional staff, and each student at a date to be determined by the Returning Officer.

f) Each person elected in accordance with the provisions of this statute:

(i) as academic or professional staff representative - is to be appointed to Council by resolution for a term of four years or until they cease to be a member of the permanent academic or professional staff of the University of Auckland, whichever is the earlier event:

(ii) as representative of the students of the University - is to be appointed to Council by resolution for a term of ~~one-two~~ years from 1 November ~~of the current year until 31 October of the following year~~ or until they cease to be an enrolled student of the University, whichever is the earlier event.

g) A person must not be appointed to Council:

(i) as academic or professional staff representative for more than three periods of four years (except where a person was a member of Council on 31 December 2015, and has not completed their current term, they may serve up to four periods of no more than four years each);

(ii) as representative of the students of the University for more than [two or three] periods of ~~one-two~~ years.

h) If they are not already members of Senate, the academic and professional staff members elected to Council will become members of Senate for the duration of their respective term on Council.

#### **(4) Casual vacancies**

a) If the office of a Council member becomes vacant in accordance with [clause 7 of Schedule 11](#) of the Act before the end of the member’s term of office, another person must be appointed to the office by the procedure by which the Council member became a member (subject to sections 4(b) and (c) below).

b) If the appointment procedure is no longer available or applicable to the vacant position, Council must consider whether it is necessary to appoint another person under its constitution and, if so, both:

- i. determine the appropriate procedure for appointment of another person; and
- ii. appoint another person using it.

c) If the office becomes vacant within 6 months before the end of the member’s term of office, the Minister or Council (as applicable) can decide that the vacancy does not need to be filled.

## Definitions

The following definitions apply to this document:

**Academic staff** includes persons employed as a professor, associate dean, associate professor, senior lecturer, lecturer, senior tutor, tutor, professional teaching fellow, senior research fellow or research fellow, and such other positions as may from time to time be designated ‘academic staff’ by the Vice-Chancellor.

**Act** means the Education and Training Act 2020.

**Alumnus** means a person who has been awarded a qualification by:

- The University of Auckland
- The Auckland College of Education, or
- The Auckland College of the University of New Zealand.

**Council** refers to the Council, the governing body of the University of Auckland.

**Permanent member** is, for the purpose of this statute, as defined in section 10(1) of the Act and means, in relation to the academic staff or professional staff of the University, a member of the staff who:

(a) is employed, on a full-time or part-time basis, for a period ending, unless sooner terminated, on the member’s resignation or retirement; or

(b) has been employed, on a full-time or part-time basis, whether under an employment agreement for a fixed term or otherwise, for at least 3 months; or

(c) has been employed, on a full-time or part-time basis, whether under an employment agreement for a fixed term or otherwise, for less than 3 months and whose employment is, in the opinion of the Vice-Chancellor, likely to continue for at least 3 months from the date of commencement of that employment.

\*Note - The University uses the terms “academic staff” and “professional staff” instead of the wording “teaching” and “general” staff used in the definition of “permanent member” in section 10(1) of the Act.

**Professional staff** includes persons employed in administrative, technical and library positions, and such other positions as may from time to time be designated ‘professional staff’ by the Vice-Chancellor.

**Student** means an enrolled student of the University of Auckland.

**University** means Waipapa Taumata Rau | University of Auckland and includes all subsidiaries.

To: University of Auckland Council  
CC: Adrienne Cleland (Deputy Vice-Chancellor Operations)  
Anne-Marie Parsons (Associate Director Campus Life)

From: Alan Shaker, AUSA President

Re: AUSA Advice on Student Representation on Council  
Date: 27 July 2023



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Dear University of Auckland Council Members,

Thank you for giving AUSA the opportunity to provide some feedback on the proposed changes to the term of the student representative on Council. As you may be aware, this is something we are incredibly passionate about.

Our AUSA Executive has discussed this, and the topic was also raised at the Student Consultative Group in the most recent meeting. Overall, we are in support of a decision to increase the term of the student representative on Council to a two-year term. Given the importance of the role and the amount of time it takes to settle in, we believe that such a decision would help with continuity.

However, we would like to remind the Council that provisions must be in place so that final-year students are not excluded from being able to run. In this event, Council must be prepared to run a by-election should a student only wish to serve one out of their two year term.

While the term of the student representative on Council is being discussed, we would like to use this opportunity to also discuss the make-up of Council and how we can enhance effective student voice to ensure both the students and Council are benefiting. We would invite the opportunity to be able to discuss this with you, including the possibility of two student representatives on Council, and like many of the other institutions, having representation for the student association on Council. As the University is heading into a new phase with a new student voice framework, we believe it is worth a conversation. While we understand that the current issue on hand is not discussing that, we would encourage a separate and thorough conversation about the matter.

If you have any questions or queries, please do not hesitate to contact me

Thanks,

Alan Shaker  
**AUSA President 2023**