

<p>Council Agenda Part A (Open Agenda)</p> <p>11.03.2024 Council Room – Level 2 ClockTower, Princes Street 22, Auckland 4:00pm</p>		 <p>UNIVERSITY OF AUCKLAND Waipapa Taumata Rau NEW ZEALAND</p>	<p>Page #</p>
<p>COUNCIL BRIEFINGS</p>	<p>These will take place prior to the meeting</p>		
<p>KARAKIA</p>	<p>The meeting will be opened with a karakia at the beginning of the briefings</p>		
<p>1. APOLOGIES</p>	<p>The Chancellor moves that the apologies, if any, be noted.</p>		
<p>2. WELCOME</p>	<p>The Chancellor and Council welcome Professor Julia Tolmie as representative of the academic staff and Gemma Skipper as representative of the professional staff on Council.</p>		
<p>3. DISCLOSURES OF INTEREST BY MEMBERS</p>	<p>The attention of Members is drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020.</p>	<p>The Chancellor moves that the disclosures, if any, be noted and the action taken be endorsed.</p>	
<p>4. HONOURS/AWARDS - NEW YEAR HONOURS</p>	<p>Knights Companion: Dr Sir Scott Macfarlane KNZM The Right Honourable Sir Trevor Mallard KNZM</p> <p>Companions Professor Brian Anderson CNZM - For services to paediatrics and anaesthesia Dr Vanessa Beavis CNZM - For services to anaesthesia Mr David Beeche CNZM - For services to sports administration Associate Professor Tim Bell CNZM - For services to computer science education Mr Pip Cheshire CNZM - For services to architecture Dr Kevin Trenberth CNZM - For services to geophysics Ms Jo-Anne Wilkinson MNZM - For services to youth</p> <p>Members Margaret Barrell MNZM - For services as a hymn writer</p>		

	<p>Mx Aych McArdle MNZM - For services to the rainbow community Miss Jane Sinclair MNZM - For services to art and education Honourable Maryan Street MNZM - For services as a MP & to human & democratic rights Dr Tamasailau Suaalii MNZM - For services to education Professor Yvonne Underhill-Sem MNZM - For services to tertiary education & Pacific devpt Mr Tama Waipara MNZM - For services to Maori music Mr Lindsay Wood MNZM - For services to environmental sustainability</p> <p>Officers</p> <p>Associate Professor Greg Anson ONZM - For services to exercise sciences and neuroscience Professor Frank Bloomfield ONZM - For services to neonatology Dr Rosemary Cathcart ONZM, QSM - For services to gifted children Miss Barbara Dreaver ONZM - For services to investigative journalism</p> <p>King's Service Medal</p> <p>Ms Susan Jordan QSM - For services to seniors and dance Mr Michael Miller QSM - For services to rural health</p> <p>New Zealand Distinguished Service Decoration</p> <p>Mr Louis Munden Hooper DSD – for services to the New Zealand Defence Force</p>		
5. COUNCIL MEETINGS	5.1 Council, Draft Minutes (Part A), 11.12.2023	The Chancellor moves that the Minutes (Part A),11.12.2023 be taken as read and confirmed .	8
	5.2 Matters arising from the Minutes (Part A), 11.12.2023 not elsewhere on the Agenda		
6. VICE-CHANCELLOR'S REPORT		The Chancellor moves that the Vice-Chancellor's Report be noted .	16
7. REPORTS OF COUNCIL COMMITTEES	7.1 AUDIT AND RISK COMMITTEE		
	7.1.1 Minutes, (Part A), 29.02.2024	The Chancellor moves that the Audit and Risk Committee Minutes (Part A) 16.11.2023 be received .	30

	<p>7.1.2 Terms of Reference</p>	<p>The Chancellor moves that the amended terms of reference be adopted</p>	<p>33</p>
	<p>7.2 FINANCE COMMITTEE</p> <p>7.2.1 Minutes, (Part A), 28.02.2024</p> <p>7.2.2 Terms of Reference</p>	<p>The Chancellor moves that: the Finance Committee Minutes (Part A) 22.11.2023 be received; and</p> <p>The Chancellor moves that the amended terms of reference be adopted</p>	<p>38</p> <p>41</p>
	<p>7.3 STUDENT APPEALS COMMITTEE (SAC)</p> <p>7.3.1 Student Appeals Committee – report for 2023</p>	<p>The Chancellor moves that the Student Appeals Committee Report 2023 be received</p>	<p>45</p>
	<p>7.4 NAMING COMMITTEE</p> <p>7.4.1 Naming Committee Report to Council, 19.02.2024</p>	<p>The Chancellor moves that Council note that Naming Committee has approved the naming of the new sport, aquatic, recreation and wellness centre as “Hiwa Recreation Centre”.</p> <p> </p>	<p>47</p>
<p>8. SENATE MATTERS</p>	<p>8.1 REPORT OF SENATE, 26.02.2024</p> <p>Part A1 Policy and other matters requiring to be considered/received by Council</p> <p>Part A2 1-2: Policy and other matters requiring Council approval</p> <p>Part B 1 No matters for noting</p> <p>Part C 1-4: Matters handled under Delegated Authority</p>	<p>The Chancellor moves that the recommendations in Part A of the Report of Senate, 26.02.2024 be adopted and C be noted</p>	<p>48</p>

<p>9. CORRESPONDENCE REFERRED BY THE CHANCELLOR</p>	<p>No items received</p>		
<p>10. OTHER MATTERS FOR DECISION OR NOTING</p>	<p>10.1 SEAL</p> <p>Since the Council meeting on 11.12.2023 the seal has been applied to the following documents in accordance with the Council resolution of 18.02.1991:</p> <ul style="list-style-type: none"> • Deed of Renewal of Lease – 49 Symonds Street, Ground and Levels 1 & 2 car parks and the rooftop – University of Auckland (the Landlord) & Vodafone New Zealand Ltd (the Tenant) • Deed of Renewal and Rent Review of Lease – Part L3 & L5, 93 Grafton Road, Grafton – Littlewonz Ltd (The Landlord) & the University of Auckland (the Tenant) • Deed of Lease – Units 2 & 3, 85 The Terrace, Wellington Central, Wellington – Terrafarm Investments Ltd (the Landlord) and the University of Auckland (the Tenant) 	<p>The Chancellor moves that the affixing of the seal to the listed document be noted.</p>	
<p>11. ELECTIONS - APPOINTMENTS</p>	<p>No elections</p>		
<p>12. GENERAL BUSINESS</p>			
<p>13. LEAVE OF ABSENCE</p>	<p>(for the meeting of 29.04.2024)</p>		

PUBLIC EXCLUSIONS

The Chancellor moves that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

Item No. 1.1	Council Minutes (Part B 11.12.2023)
Item No. 2.1.1	Auckland Health research Ethics Committee – Annual Report
Item No. 2.2.1	Animal Health Committee Code of Ethical Conduct
Item No. 2.3.1	University of Auckland Biological safety Committee – Membership and Annual Report
Item No. 2.4.1	University of Auckland Human Participants Ethics Committee – Membership and Annual Report
Item no. 2.5.1	Audit and Risk Committee Minutes (Part B 29.02.2024)
Item no. 2.5.2	Annual Report
Item No. 2.6.1	Finance Committee Minutes (Part B 28.02.2024)
Item no. 2.6.2	Financial Performance for 2023
Item No. 2.6.3	Proposed Treasury Policy summary
Item No. 2.6.4	Treasury Policy revised March 2024
Item No. 2.6.5	Memorandum regarding a refinancing
Item No. 5.1	Council committee membership for 2024

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Adrienne Cleland, Professors Linton and Bloomfield F, Andrew Phipps, Tim Bluett, Simon Neale, Brian Ten Eyck, Pamela Moss, Helen Cattanach, Julian Michael, Anthony Brandon, and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

Council Minutes Part A (Open Minutes) 11.12.2023 4:00pm		
PRESENT:	Ms Tarrant (Chair), Dr Prasad, Ms Dunphy, Ms Dawson, Mr McDonald, Mr Paitai, Ms Quinn and Mr Mason Via Zoom: Professor Freshwater (Vice-Chancellor), Ms Arnott-Neenee	
IN ATTENDANCE:	Mrs Cleland, Professors Kool and Stinear, Associate Professor Hoskins, Dr Ten Eyck; Dr Lithander, Mr Bluett, Ms Cattnach, Ms Moss, Mr Brandon and Ms Verschaeren	
COUNCIL BRIEFINGS	These took place prior to the meeting	
KARAKIA	The briefings started with a karakia	
1. APOLOGIES	Ms Hala Barakat	
2. DISCLOSURES OF INTEREST BY MEMBERS	The attention of Members was drawn to the Conflicts of Interest Policy and the need to disclose any interest in an item on the Agenda of the meeting as set out in Schedule 11, Clause 8 of the Education and Training Act 2020. No further disclosures were made.	
3. COUNCIL MEETINGS	3.1 Council, Draft Minutes (Part A), 16.10.2023	RESOLVED (Chancellor/Vice-Chancellor): that the Minutes (Part A), 16.10.2023 be taken as read and confirmed .
	3.2 Matters arising from the Minutes (Part A), 16.10.2023	
4. VICE-CHANCELLOR'S REPORT	The Report was taken as read. The Vice-Chancellor, Professor Freshwater, presented this item. She highlighted the following: <ul style="list-style-type: none"> She congratulated the University on its achievements in 2023. She acknowledged all staff, students and community supporters who contributed to this. 	RESOLVED (Chancellor/Dr Prasad): that the Vice-Chancellor's Report be noted .

	<ul style="list-style-type: none"> • Global challenges in 2023 include the issue of Freedom of Expression (FOE) and Academic Freedom (AF). Staff and students were concerned that, with international conflict, it was difficult to have conversations, debate and disagree agreeably on campus. The University continued to support its staff and students across multiple jurisdictions with regard to safety concerns for family and friends overseas. • The University had been in the Employment Court. The process at the Court completed on 28 November, the final ruling could take several months. In the meantime, the University was continuing its work on ways to best protect and keep its staff healthy and as safe as practically possible. • The Vice-Chancellor thanked the members of the University Executive on the amount of work they had undertaken this year. <p>In the discussion that followed, the Chancellor drew Council’s attention to p. 2 of the Report and she congratulated Council member Arnott-Neenee who had recently won a Pacific Enterprise Award.</p> <p>It was noted that the Green House Gas inventory 2022 had been published on the University website.</p>	
<p>5. REPORTS OF COUNCIL COMMITTEES</p>	<p>5.1 AUDIT AND RISK COMMITTEE</p> <p>5.1.1 Minutes, (Part A), 16.11.2023</p>	<p>RESOLVED (Chancellor/Ms Dawson): that the Audit and Risk Committee Minutes (Part A) 16.11.2023 be received.</p>
	<p>5.2 FINANCE COMMITTEE</p> <p>5.2.1 Minutes, (Part A), 22.11.2023</p>	<p>RESOLVED (Chancellor/Mr McDonald): that the Finance Committee Minutes (Part A) 22.11.2023 be received;</p>
	<p>5.3 UNIVERSITY EQUITY LEADERSHIP COMMITTEE (UELC)</p> <p>5.3.1 December 2023 report including the 2023 Gender Pay Gap report in Appendix 1</p> <p>The Vice-Chancellor advised Council that, although this Committee had only existed for a very short time, it had, under the leadership of Professor Stinear, undertaken a significant amount of work, including, the Gender Pay Gap Report and the Disability Action Plan.</p> <p>Possible topics for future Council briefings could include the work undertaken on the Gender Pay Gap, the Disability Action Plan and the Knowledge Equity Network (KEN). This committee had collaborated successfully with the Pro</p>	<p>RESOLVED (Chancellor/Ms Quinn): that the Equity leadership Report – December 2023 be received and that Council note the 2023 Gender Pay Gap Report.</p>

	<p>Vice-Chancellor Māori, Associate Professor Hoskins, the Pro Vice-Chancellor Pacific, Associate Professor Tiatia and the Associate Deputy Vice-Chancellor Research, Professor Holdaway and PVC Education Professor Kool.</p> <p>The Pro Vice-Chancellor Equity, Professor Stinear acknowledged the support she received from her colleagues who made the work possible.</p> <p>The Chancellor congratulated the Pro Vice-Chancellor Equity on her work undertaken on the Gender Pay Gap Report. The Pro Vice-Chancellor Equity advised that next year UELC would be working on the intersection between the gender pay gap and ethnicity. In 2025, UELC would be considering change over time and the proactive closing of the gender pay gaps.</p> <p>5.3.2 Knowledge Equity Network (KEN) The Vice-Chancellor advised that further work was being undertaken by the Pro Vice-Chancellor Equity and an update about KEN would be given to Council in due time.</p>	<p>RESOLVED (Chancellor/Mr Paitai): that Council note the further update about KEN.</p>
<p>6. SENATE MATTERS</p>	<p>6.1 REPORT OF SENATE, 27.11.2023</p> <p>Part A1 Policy and other matters requiring to be considered/received by Council Part A2 1-10: Policy and other matters requiring Council approval Part B 1 No matters for noting Part C 1-5: Matters handled under Delegated Authority</p> <p>This item was introduced by the Vice-Chancellor. She advised Council of the following:</p> <ul style="list-style-type: none"> The Senate Review would be on-going in 2024 and Associate Professor Richard Clarke, the incoming Dean of Engineering, would continue the work undertaken by Professor Pene Mattew who had recently left the University, as Chair of the Senate Review Reference Group. The Vice-Chancellor’s Advisory Group on FOE and AF was compiling a report on FOE, AF and the connection with the Code of Conduct to be submitted to the University Executive Committee. <p>The Pro Vice-Chancellor Education, Professor Kool, drew Council’s attention to the following Senate papers:</p> <ul style="list-style-type: none"> The ‘Assessment of Taught Courses Policy’ and ‘Procedures’ and the ‘Omnibus Amendment Statute’: 	<p>RESOLVED (Chancellor/Ms Dunphy): recommendations in Part A of the Report of Senate, 25.09.2023 be adopted and C be noted</p>

	<ul style="list-style-type: none"> ○ Earlier this year, an Assessment Futures Working Group was established by the Teaching and Learning Quality Committee to review the 'Assessment (Coursework, Tests and Examinations) Policy' and accompanying 'procedures'. Following an extensive period of informal consultation, followed by a period of formal consultation, the key changes made included: <ul style="list-style-type: none"> ➢ alignment of the policy to Taumata Teitei; ➢ alignment to future directions in assessment practices; ➢ restructuring of the content of the 'policy' to focus on assessment principles and the 'procedures' to focus on mandatory processes. <p>The revised policy and procedures would not come into effect until 1 July 2024.</p> ○ The Omnibus Amendment Statute was introduced in 2022 to make interim changes to a number of Teaching & Learning related Regulations & Policies in order to preserve the highest standards of excellence in education and to prevent undue hardship to students and staff in the context of the ongoing impacts of the emergency created by the COVID-19 pandemic. The majority of the changes made at that time have been retained as business as usual. However, until the revised Assessment Policy would come into effect in July of next year, an extension of the provisions of the Omnibus Amendment Statute 2023 in respect to items 19 (relating to the invigilation of tests) and 20 (stage one assessment invigilation) of the Assessment Policy for the period 1 January 2024 through 30 June 2024 was still required. ● 'Student Voice Policy and Guidelines': in late 2022 a working group was formed to develop a Student Voice Policy and accompanying guidelines in response to Taumata Teitei's commitment to a strong and meaningful engagement with students, and the Pastoral Care Code of Practice's requirements to respond to diverse learner voices and put students at the centre of decision-making. <p>Through a strong partnership with student and professional and academic staff, the policy and guidelines were developed. An extensive informal and formal consultation process took place, and feedback was incorporated accordingly. The Policy and Guidelines were endorsed by Education Committee and would take effect on 1 July 2024.</p> ● 'Update of the Lecture Recording Capture and Release Policy and Procedures: this update was necessary to align it with the 	
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	changes to the technical capabilities of the in-class recording system and the provision of automatically generated captions.	
7. CORRESPONDENCE REFERRED BY THE CHANCELLOR	None	
8. OTHER MATTERS FOR DECISION OR NOTING	<p>8.1 SEAL Since the Council meeting on 16.10.2023 the seal has been applied to the following documents in accordance with the Council resolution of 18.02.1991: - Deed of Rent Review – 19A Princes St, Auckland – Grange Road Holdings Ltd (Sublandlord) & The University of Auckland (Subtenant) -Deed of Renewal of Lease – L3 Brougham House, Devon Street, New Plymouth – Taradise Holdings 2004 Ltd (Landlord) & The University of Auckland (Tenant)</p>	RESOLVED (Chancellor/Ms Dawson): that the affixing of the seal to the listed document be noted .
	<p>8.2 DELEGATION</p> <p>8.2.1 Memorandum, 30.11.2023 regarding delegation to update selected academic Policies and Procedures</p> <p>Council noted that work was being undertaken on the general delegations and this would be submitted to the Audit and Risk Committee and Council.</p>	<p>RESOLVED (Chancellor/Dr Prasad): that</p> <ol style="list-style-type: none"> 1. Pursuant to section 285(1) of the Education and Training Act 2020 (Act), Council DELEGATES to the Vice-Chancellor its function and power to approve changes to the Assessment of Courses Procedures, the Recording of Taught Content Policy and Procedures, the Grade Descriptors Policy, and the Examination of Sub-doctoral Postgraduate Research Components of 30 Points or Above Procedures when required and following appropriate consultation. 2. Pursuant to section 286(5) of the Act, Council AUTHORISES the Vice-Chancellor to subdelegate the function and power to approve changes to the Assessment of Courses Procedures, the Recording of Taught Content Policy and Procedures, the

		Grade Descriptors Policy, and the Examination of Sub-doctoral Postgraduate Research Components of 30 Points or Above Procedures when required and following appropriate consultation.
9. ELECTIONS - APPOINTMENTS	9.1 ELECTIONS OF STAFF REPRESENTATIVES ON COUNCIL	RESOLVED (Chancellor/Ms Dunphy): Professor Julia Tolmie be appointed as permanent member of the academic staff and Gemma Skipper as permanent member of the professional staff on Council for a four-year term (01.01.2024-31.12.2027).
	9.1.1 Returning Officer’s Report, 30.11.2023 to Council Council noted that the induction of the new members would be organised before the first meeting in 2024.	
	9.2 ELECTION OF THE STUDENT REPRESENTATIVE ON COUNCIL	RESOLVED (Chancellor/Ms Arnott-Neene): that Ms Hala Barakat be appointed as the student representative on Council for a two-year term (8 November 2023 – 31 October 2025).
	9.2.1 Memorandum, 30.11.2022 from the Returning Officer, Mrs Adrienne Cleland	
10. GENERAL BUSINESS	10.1 MEETING AND GRADUATION DATES 2024	RESOLVED (Chancellor/Ms Quinn): that Council note the meeting and Graduation dates for 2024
	<p>Council meeting dates: 11.03.2024, 29.04.2024, 12.06.2024, 26.08.2024, 09.10.2024 & 9.12.2024</p> <p>Finance Committee dates: 28.02.2024, 22.05.2024, 07.08.2024, 18.09.2024 & 20.11.2024</p> <p>Audit and Risk Committee dates: 29.02.2024, 17.05.2024, 13.09.2024 & 15.11.2024</p> <p>Capital Expenditure: 10.04.2024, 16.08.2024 & 06.11.2024</p> <p>Graduation: Autumn: 06, 07, 08 (at Spark) & 14.05.2024 (at Auckland Town Hall) Spring: TBC FMHS: TBC Tai Tokerau: 18.03.2024</p> <p>The Chancellor advised Council that Graduations would take place on Thursday 14.12.2023 and she invited the Council members to attend.</p>	

<p>11. FAREWELLS</p>	<p>The Chancellor and Council farewelled Ms Jan Dawson, skills-based appointee, and Ms Catherine Dunphy, elected professional staff member, and thanked them for their years of service to Council. The Vice-Chancellor added the thanks and gratitude of the University Executive to the departing members.</p>	<p>RESOLVED (Chancellor/Mr Mason): that Council thank the skills-based appointee, Ms Jan Dawson and the elected professional staff member Ms Catherine Dunphy and for their contribution to the work of Council.</p>
<p>12. LEAVE OF ABSENCE</p>	<p>(for the meeting of 11.03.2023) No leave of absence was requested.</p>	

PUBLIC EXCLUSIONS

RESOLVED (Chancellor/...): that the public be excluded from Part B of this meeting.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:

- Item No. 1.1 Council Minutes (Part B 16.10.2023)
- Item No. 2.1.1 Audit and Risk Committee Minutes (Part B 16.11.2023)
- Item No. 2.2.1 Capital Expenditure Committee Minutes (Part B 27.11.2023)
- Item No. 2.3.1 Finance Committee Minutes (Part B 02.08.2023)
- Item No. 2.3.2 Budget Report 2024
- Item No. 2.4.1 Reports from Honours Committee
- Item No. 4.1 Key performance Targets aligned with Taumata Teitei
- Item No. 5 Appointment
- Item No. 6.1.1 Domestic Fee changes

Reason for passing this resolution in relation to each matter:

The protection of the interests mentioned below.

Grounds under section 48(1) for the passing of this resolution:

Those in Section 9 of the Official Information Act 1982 namely:

- i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;
- ii) To enable the University to carry on without prejudice or disadvantage negotiations; and
- iii) To prevent the disclosure or use of Official Information for improper gain or advantage.

AND THAT Adrienne Cleland, Professors Linton and Bloomfield F, Andrew Phipps, Tim Bluett, Simon Neale, Brian Ten Eyck, Pamela Moss, Helen Cattanach, Anthony Brandon, and Wendy Verschaeren be permitted to remain for this part of the meeting, after the public has been excluded, because of their knowledge of, or need to be briefed about, the matters to be discussed. This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of The University of Auckland for which those persons are responsible.

The meeting closed at 6.40pm

The meeting went into Public Excluded session at 5.05pm

Approved as a true and correct record.

Cecilia Tarrant, Chancellor

Date

Vice-Chancellor's Report to Council | 11 March 2024

External, Policy and Government Update

Sector Outlook

In Briefings to Incoming Ministers (BIMs) and separate written and select committee briefings, officials have painted a grim financial outlook for the tertiary education sector taking in universities and Te Pūkenga. A Tertiary Education Commission document said the sector was facing its biggest financial challenge in a generation, and it claimed that some universities were facing a liquidity crisis. This was rejected by universities. The additional \$128 million previously announced for universities for 2024 and 2025 has been presented as an unfunded "fiscal cliff". Meanwhile, Science, Innovation and Technology Minister Hon Judith Collins has confirmed that the Government will not continue with the major science reform plan, Te Area Paerangi, Future Pathways, including the \$450 million Wellington Science City. There are also indications that the Minister will not proceed with the upcoming Performance-Based Research Fund (PBRF) review.

Medical Education

The University continues to present its case that it can educate significantly more medical doctors without the need for a third medical school. The Government is, however, proceeding with its election promise and has signed a Memorandum of Understanding with the University of Waikato. The coalition agreement with ACT requires a Cost Benefit Analysis. This is being advanced by the Ministry of Health, which has indicated that it will involve the University in this work.

Efeso Collins

Former AUSA President, staff member and newly elected Green MP Efeso Collins died suddenly at a charity event on 21 February. The University recognised his contributions to our communities and expressed its condolences through its communications channels.

Eden Park Partnership

The University has entered a three-year strategic partnership with Eden Park, New Zealand's national stadium. This will provide significant opportunities for student experience enhancements, work integrated learning and internships, aligned with the University's values. In addition, it includes collaborative research opportunities in Faculties of Science, Engineering, and Business and Economics, alongside venue-related experiences and aligned community and cultural initiatives.

New Years Honours

University staff and alumni were among those whose achievements were acknowledged in the New Year's honours. These included alumnus and former staff member Dr Scott Macfarlane who became a Knight Companion of the New Zealand Order of Merit, and Deputy Vice-Chancellor Research Professor Frank Bloomfield, who was made an Officer of the New Zealand Order of Merit for services to neonatology.

Internal Update***Vice-Chancellor's Awards for Values and Te Ao Māori Principles***

A successful VC excellence awards event was run on 15 February, where the contributions of our staff were recognised and celebrated.

Vice-Chancellor's AllStaff Reception

The annual reception for staff was held in the Owen Glenn Foyer on 5 March to celebrate the start of the academic year and the return of the students of Waipapa Taumata Rau, University of Auckland.

New role for Dean Robert Greenberg

Professor Robert Greenberg, Dean of the Faculty of Arts, has been appointed the new Pro Vice-Chancellor of the College of Human and Social Futures, University of Newcastle, Australia. Robert's last day with the University will be 20 May. I would like to acknowledge and thank Robert for his outstanding leadership of the Faculty of Arts over the last 11 years.

1. Education and Student Experience**Overview**

The University has had a positive start to the year, with overall EFTS around 1% above budget relative to the start of 2023. Domestic enrolments and EFTS are in a better position than international full fee EFTS. Total domestic EFTS are 3% above budget relative to 2023, and International Full-Fee EFTS are 7% under budget, relatively.

Considerable effort has been made to recover our share of domestic school leavers. Although the proportion of school leavers gaining university entrance is declining to pre-Covid levels, we have been able to increase our domestic school leaver EFTS by 7%. Support plans are in place to ensure the different preparation of those we have enrolled will not limit their opportunities to succeed.

Lower than average university entrance achievement has contributed to growth in the University's foundation programmes, with significant increases expected in both domestic funded programmes.

Māori student enrolments increased relative to 2023. Increased enrolment from Māori have been achieved in both postgraduate segments (Postgraduate Pathways and Working Professionals) relative to 2023.

Pacific student enrolments are also higher than in 2023. Enrolments are at or above 2022 levels for the postgraduate segments but are not for the school leavers. The impact of lower university entrance rates is higher for Pacific school leavers.

Disabled learner enrolments are higher relative to the start of 2023 across all segments, undergraduate and postgraduate, as well as domestic and international.

International EFTS have grown strongly at the postgraduate level; we anticipate our largest cohort of International postgraduate students this year. A reduced intake of International students from New Zealand schools was expected, but the intake has been lower than anticipated and our retention of undergraduate International students from 2023 has also been much lower than expected. To help reduce this shortfall, our recruitment and admission focus has shifted to Semester 2 and beyond.

Indicators	Last Year	Current Year			
		To Date (12 February 2024)		End of Year	
	Actual	Target	Actual	Target	Forecast
Total EFTS	35,337	25,355	25,845	35,443	35,443
Domestic Funded	29,072	21,189	21,901	29,112	29,112
International Full Fee	5,607	4,072	3,777	5,782	5,782
% Postgraduate EFTS	25.5%	25.0%	25.4%	27.2%	27.2%

	2023 (13 Feb)	2024 (12 Feb)	% Change
Total Māori EFTS	1,662	1,743	4.9%
Māori School leavers	221	306	38.2%
Total Pacific EFTS	2,149	2,244	4.4%
Pacific School leavers	309	355	14.7%
Total Postgraduate	5,948	6,552	10.2%
Domestic	4,137	4,438	7.3%
International	1,811	2,114	16.8%

Note: EFTS information does not include enrolments in the ELA and other sources

Progress against priorities

Priority 1: Accessible, equitable lifelong higher education opportunities

Priority 2: Student-centric learning, co-curricular and extra-curricular cultures

Priority 3: Education that is research-informed, transdisciplinary, relevant and with impact for the world

Priority 4: Graduates who make the world better tomorrow than it is today

Priorities 1 & 2: Learner Success Plan (LSP) and Disability Action Plan (DAP)

As part of the University's Learner Success Plan, the Curated Pathways initiative (supporting students predicted to transition into first year better via taking a specially curated set of Semester 1 courses) is being piloted across three programmes (BA, BCom, BSc), with a review planned for later in 2024. Additionally, a new initiative to support early student engagement with this cohort, focused on an Arts

course that is common to all three pathways, is planned. A first version of the 'Good Practice' Guide to support teaching practice in courses with high Māori or Pacific student enrolments has been developed.

An application has been submitted to the TEC's Accelerating Student Success Fund for a multiyear project working closely with high schools to assist students with preparation for university and set them up for success.

Priorities 1-4: The Curriculum Framework Transformation (CFT) Project

2024 sees the CFT move from planning and regulation development, towards implementation. Formal consultation on the new Admissions and Enrolment Student Experience Policy and accompanying Procedures has ended, and the agreed changes are anticipated to streamline admissions processes, improve student experience, and reduce staff workload.

Work continues on two pilot Waipapa Taumata Rau courses (Arts and Science) and the centrally developed digital content for these, including the Ngāti Whātua module, are nearly complete. Work also continues on two pilot transdisciplinary courses for 2024 delivery. Feedback will inform development of WTR courses to be delivered at scale in 2025, and the transdisciplinary courses in 2026.

The Learning Design team has identified and is supporting faculty-based priority areas for teaching and assessment enhancements.

Priority 2: Student-centric learning, co-curricular and extra-curricular cultures

UniBound Pacific completed its 5-week summer programme on 9 February; 75 Pacific students were enrolled. Of this cohort, 32 have enrolled in full-time study, 3 in part-time study, and 27 in the Tertiary Foundation Certificate pathway. In order of preference, these students have enrolled in degrees for Health Sciences, Science, Engineering, Commerce, Arts and Law.

Tōia ki Waipapa, a similar on-campus experience with culturally appropriate learning support for Māori students, has now seen 21 students complete the transition programme. These students have stayed enrolled within the University in varying programmes.

Priority 3: Education that is research-informed, transdisciplinary, relevant and with impact for the world

The 2024 'Change One Thing' challenge, designed to support teaching innovation, is live, having rewarded 18 successful bids in 2023.

2. Research and Innovation

Indicators	Last Year	Current Year			
		To Date (31 January 2024)		End of Year	
	Actual	Target	Actual	Target	Forecast
Research revenue (\$M)	281.6	35.3	20.0	294.5	294.5

Note: The revenue includes University of Auckland revenue only

Overview

The primary focus on this quarter has been the consultation of the new Research Service Design improvements to the research services ecosystem. Consultation on a proposed model for integrating UniServices functions (Contracts and Growth, Funds Advisory, and Proposal and Partnership Development) into the University has concluded. A project Review Panel will recommend the final structure, which includes further consideration of Māori capability and capacity in the research service design.

The new government has outlined their interest in Space, Biotechnology, AI and entrepreneurship/innovation, areas to which the university is well placed to respond; further co-ordination on these interest areas is underway.

Internally, the Research and Innovation operating plan is being refreshed to focus new priority areas including Research Ethics, Research Integrity and post-award support for complex multi-partner programmes.

Progress against priorities

Priorities 1 and 4: World-class research inspired by our place in Aotearoa and the Pacific AND Ambitious research confronting humanity's greatest challenges

New Zealand's first government-funded space mission, MethaneSAT is due for launch in early March. The University of Auckland's Te Pūnaha Ātea Space Institute will host MethaneSAT's ongoing mission operations, following an initial period of operations by Rocket Lab. The Institute's Mission Operations Control Centre (MOCC), based in the Faculty of Engineering, aims to be an enduring piece of national infrastructure available to all New Zealand research organisations, government and industry to support future missions and enable the enhancement of New Zealand's space sector capability.

One of the seven transdisciplinary University Research Centres, Ngā Ara Whetū | Centre for Climate, Biodiversity and Society, had a formal launch in February attended by Her Excellency, The Rt Hon Dame Cindy Kiro GNZM, QSO, Governor-General of New Zealand.

Priority 2: A global powerhouse of innovation, creativity and entrepreneurship

Phase 1 of Medtech-iQ Tāmaki Makaurau is considered now to have transitioned into BAU, with the initiative's operations hosted by the university's Auckland Bioengineering Institute. Further connections with Te Whatu Ora Health New Zealand are continuing with a MedTech Innovation Tiki Tour to Te Whatu Ora Counties Manukau in Waikato and the appointment of a senior member of the Te Whatu Ora Research and Innovation Directorate as the Clinical Director of Medtech-iQ.

Priority 3: Relevant, purposeful, impactful research for our communities

The Research Impact team have held several Research Impact workshops and talks including for the Aotearoa Brain Project retreat and a Research Translation workshop for university research centre Ngā Ara Whetū.

The team has also co-hosted the Aotearoa Research Impact Community of Practice Hui in Wellington, to discuss better coordination of impact infrastructure in the country to enable the greatest benefit from our research. The hui had representation from Crown Research Institutes, universities, the Ministry for Business, Innovation and Employment and other government departments.

Priority 5: Nurturing, recruiting and retaining outstanding research talent

Reallocation of the Researcher Skills and Development Fund has enabled more Researcher Development initiatives to be funded in 2024. Projects funded through this initiative in 2024 include a Māori and Pacific cohort building Research Fellowship Programme (Faculty of Science), the Researcher Entrepreneurship and Commercialisation Hatchery and a Horizon Europe Funding Proposal Mentoring Support Programme.

3. Partnerships and Engagement**Overview**

The University continues to rebuild strong connections with local and regional and partners in the wake of disruption caused by the Covid-19 pandemic. Recent highlights include establishing a strategic partnership with Eden Park and the public launch of Ngā Ara Whetū: The Centre for Climate, Biodiversity and Society and the QS India Summit.

Progress against priorities**Priority 1: Strengthen and deepen our relationships with tangata whenua**

The University's newly-appointed Poutaki Māori Taura - Māori Relationship Manager is leading on the development of a Māori school leaver engagement plan, which will expand our Student Ambassador initiative and includes a distinct taura Māori programme.

The University conducted its first fluent te reo student engagement calls in the lead up to Semester 1. The calls were delivered by a member of the Student Experience Centre as part of a wider cross-functional Māori Engagement working group.

In collaboration with the University of Melbourne, the University of Auckland is developing a communications programme to promote the work of the Atlantic Fellows for Social Equity programme, which represents a 20-year investment in Indigenous-led social change in Australia, Aotearoa and the Pacific region. The office of Pro Vice Chancellor Māori leads our involvement in the programme, which includes representation on the board, curriculum development, fellow selection, design and delivery of one of the programme modules in New Zealand, and a New Zealand senior fellows programme.

Priority 2: An ambitious and relevant partner that is globally networked

In January, nine University of Auckland students, including four Māori taura, participated in a four-week Prime Minister's Scholarship for Latin America group programme. Titled Intercultural Approaches to Public Health, it was hosted by Pontifical Universidad de Catolica Chile. Participants engaged in an immersive learning experience, making connections between indigenous rights and histories, as well as intercultural approaches to health care.

Priority 3: Deep engagement with diverse Aotearoa and Asia-Pacific communities

The Vice-Chancellor led a delegation to the QS India Summit 2024 in Chennai from 8-11 February, which the University also sponsored. Two MoUs with leading universities in India (UPES and Vellore Institute of Technology) were signed at the event. A NZ Universities delegation to India was organised by Education New Zealand to coincide with the Summit, and the University of Auckland was represented by its new International Director.

Priority 4: Enduring relationships with prospective students, students, alumni and donors

The University's annual Legacy Lunch was held on 7 December, to acknowledge the generosity of intended bequestors to the University and to showcase some of the research being undertaken with philanthropic support. Speakers included Professor Mark Dickson of the School of Environment, a specialist in coastal erosion and geomorphology.

Between December and January, the International Office awarded 75 international scholarships and awards to undergraduate and postgraduate students from across the world, including region-specific scholarships aimed at attracting excellence. Another 21 Manaaki New Zealand Scholarships students from developing countries, sponsored by the Ministry of Foreign Affairs and Trade, will be starting in Semester One.

The first UoA Vietnam Open Day was held in Ho Chi Minh City on 2 December. Agent Appreciation Day, a joint event with Education New Zealand and other New Zealand universities, was held in Hanoi on 11 January. This is a new initiative to build positive working relationships with our key partner agents in Vietnam.

Priority 5: Diverse student body reflecting our communities

A formal MoU between the University and 11 Auckland high schools will see partner schools commit to University Entrance parity for their Māori and Pacific learners by 2030. An agreement of this nature is the first of its kind in New Zealand.

Priority 6: Recognised and valued by our communities for the contributions we make towards a more sustainable future for all

In January, the University received special accreditation to participate in the 4th UN Small Island Developing States conference, which takes place in Antigua and Barbuda in May 2024.

In February, the University participated in the annual Aotearoa Bike Challenge, which promotes cycling and aims to increase sustainable modes of commuting. A total of 167 University of Auckland colleagues registered to take part, and the University reached a top 10 position on the national leaderboards, as well as top 2 of New Zealand universities.

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4. Enabling our People and Culture

Overview

Progress across each Priority area is progressing well, including planning of Leadership activities and tools for 2024. Progress continues across the Pacific staffing plan and Waipapa Tangata Rau.

Progress against priorities

Priority 1: Live our values and purpose

The Values Led Culture programme is focusing on four key areas:

1. The development of a Senior Executive Leadership Programme;
2. Cascading values-led culture practices — tools and facilitated sessions for leaders and teams;
3. Integrating our values and Te Ao Māori principles in systems, processes, policies, and decision-making to deliver an improved employee experience; and
4. Deciding on an Employee Engagement Survey Tool and communication approach.

The project team is finalising the next level Leadership Programme with testing to start in the next Quarter of 2024. This is following the rollout and lessons learnt of the Senior Executive Leadership programme. This is alongside the work being done to pilot the “Values in Action” workshops and the supporting toolkit across three areas of the University.

Hei Iti Khaurangi (previously known as Leadership Principles) workstream was approved in the last UEC for 2023, and the rollout (and inclusion into other parts of the leadership programmes) is being planned for the 2024 (and provisionally for 2025).

The People Experience and Policy workstream has refined the action plans and content related to the “Accessing Learning” experience. This workstream continues the development materials and media to support HONO phase 1: onboarding.

Priority 2: Develop a future-ready workforce

Workload Analysis: The report was approved in December 2023 by UEC. The actions are now moving into Business-As-Usual activities.

Academic Standards review (light): The report was approved in December 2023 by UEC. The actions are now moving into Business-As-Usual processes and activities.

Academic Development: All pilots were successfully concluded in 2023, and the team is finalising additional support materials for the Academic Onboarding element of the project. These materials are expected to form part of the planned 2nd orientation sessions onwards of 2024.

Priority 3: Build a high-performing, diverse, inclusive and equitable community

People and Culture are collaborating with the Pro Vice-Chancellor (Māori) to co-sponsor refining the Māori staffing plan (Waipapa Tangāta Rau). The project team has finalised the initial implementation initial plan and is concluding key leadership teams as preparation to activate the strategy. This is being done with support from the Chief of Staff's office.

The OPVC Pacific is in consultation with Pacific staff on the draft of the Pacific Staffing Strategy. A talanoa (open consultation) was held 19 February with Pacific academic staff. Once feedback from this fonohui has been incorporated, the OPVC Pacific will endeavour to engage in wider-university consultation between March-May with a full draft published in June. This will be followed by implementation planning in July of this year.

People and Culture are collaborating with the Pro Vice-Chancellor Equity to plan the 2024 Gender Pay Gap report, which will build on our first report published last year by including gender pay gaps analysed by ethnicity. Additional analyses are planned to explore drivers of these gaps. Data collection will occur in March and the report will be published in September.

5. Our Enabling Environment

Overview

Indicators	Last Year	Current Year			
		To Date (31 January 2024)		End of Year	
	Actual	Target	Actual	Target	Forecast
Total revenue (\$M)	1,458.3	355.3	354.3	1,465.7	1,465.7
Revenue achieved as a % of budget	108.5%	100%	99.7%	100%	100.0%

Progress against priorities

Priority 1: Mana-enhancing services and practices

A progress review of Whakamana Tangata, the Student Services strategy, was undertaken in 2023. The comprehensive feedback from students and staff has guided the selection of priority initiatives for this next implementation phase: improvements to online self-service information; staff capability and development programme including an accreditation framework for advisors; effective management of peak inquiry periods of the academic calendar; simplification and greater student-centricity of academic regulations and better spaces on campus for students.

The redevelopment of Levels 1 and M in the University library is nearing completion and will provide more student-oriented spaces and assist with peak inquiry volumes. Landscaping and pedestrianisation of Wynyard Street has commenced and will provide better outdoor experiences and connections between teaching spaces. Landscaping and cafe development has commenced at the Newmarket campus.

Priorities 2 and 3: Efficient, effective, prudent, transparent, and informed operations AND Seamless, effective, and equitable user experiences across social, physical, and digital environments

The Hono programme continues with implementation of Workday and Ceridian aPay systems. Our outsource and delivery partners, Accenture and Ceridian continue to support the project team. Key areas under pressure include data conversion, reporting and testing.

Implementation of a curriculum management system has commenced. The phase one launch of limited core functionality is due this year with Phase 2, expected in early 2025, to deliver additional functionality including a university calendar, accreditation, class and governance workflows as well as integrations into the wider student administration ecosystem.

UniServices shifted onto the University PeopleSoft Finance system in January. Work continues to enable UniServices to access University shared services and simplify its reporting and accounting procedures.

The University is transitioning to the full suite of advanced Microsoft security products to enhance the IT security posture. Additionally, significant new network capabilities are scheduled to be implemented by the end of March which will bring further security and resilience enhancements.

The University is upgrading the Adobe platform on which our internet and intranet sites are provisioned (AEM). The upgrade and transition to a cloud-based platform will provide significant functional and non-functional capability improvements, enabling the university to move forward with our future vision for our internet presence.

Priority 4: A distinctive, capable, and flexible built environment that celebrates our place in Aotearoa New Zealand and the Pacific

The priorities of Te Rautaki Tūāpapa | Estate Strategy 2021-2030 continue to be progressed, including delivery of the approved Capital Programme:

- B104 Old Choral Hall. Exterior works are proceeding. Completion anticipated mid-2025.

- Construction of the Recreation and Wellness Centre continues. Soft opening of the facility is projected for late Semester 2 2024 with full opening programmed for Semester 1 2025. Digital systems are being implemented in parallel to ensure effective operations and personalized experiences for student and staff users.
- Building B201 is now fully occupied including a cafe in the new Atrium space. Teaching in the building has commenced.
- Buildings 113 and 114 are complete and occupied by EDSW staff. Tūtahi Tonu wharenuī will be reopened within B113 later in the year.

Planning activities include:

- B230 (Law and Performing Arts) Investment objectives established with project sponsors and early-stage design and stakeholder engagement about to commence to inform business case development.
- B421 (CAI Architecture, Urban Design and Planning) Staff engagement and technical investigations are underway to develop the scope for maintenance and renewal of the building.
- Masterplanning: Terms of reference for the City Campus and Newmarket Masterplans have been approved and work is about to commence.

Priority 5: A commitment to achieve net-zero carbon status and to publish meaningful metrics of the University's progress towards overall sustainability

- Carbon and Sustainability KPIs are included in the UoA Annual report (source: Verified GHG inventory 2022) and a summary of [carbon emission trends 2019-2022](#) can be found there.
- Green House Gasses 2023 inventory collation is near completion with the external audit and certification scheduled for Q3 2024.
- A Carbon Neutral Government Programme progress dashboard has been developed with University data currently being checked prior to release. Once finalised, this dashboard will form part of the briefing for CNGP lead Ministers (Minister of Climate Change & Minister for Economic Development).
- Sustainable Estate and Operations Implementation plan continues to progress under Working Group and Governance Group.

Dawn Freshwater
Vice-Chancellor

Appendix 1: University Gifts and Pledges [November 2023 – January 2024]

The following major new gifts and pledges were received by ARD from November 2023 to January 2024, totalling \$11,492,289, plus US\$250,000 and GBP41,450:

- \$2 million from Peter Gibson for liver, kidney and pancreas modelling and for the Virtual Pregnancy Project at the Auckland Bioengineering Institute and for the Newborn Genomics Project at the Liggins Institute
- \$1,186,534 from the Auckland Medical Research Foundation for the 'Gestational diabetes mellitus in New Zealand' study at the Liggins Institute; for the 'Developing a digital mental health intervention for young people awaiting psychological treatment' study, the 'Paracetamol and Ibuprofen in Kids Intervention (PIKI) Study', the 'Mifepristone versus placebo to increase the rate of spontaneous labour in people with a prior caesarean: A double blind randomised controlled trial' study, the 'Harnessing Sodium Nitrite to enhance Collateral Perfusion During Ischemic Stroke' study and the 'A promising combination therapy for Alzheimer's disease' study, all at Medical and Health Sciences
- \$850,000 from Kenneth Cambie for the Professor R C Cambie Scholarship at Science
- \$755,040 from Cancer Society Auckland Northland to support the Auckland Cancer Society Research Centre
- \$750,000 from John Burton for the Manaaki Manawa Centre for Heart Research at Medical and Health Sciences
- \$650,000 from the Falkenstein UoA Business School Charitable Trust for Vanguard Scholarships at the Business School
- \$483,351 from The Garnett Passe and Rodney Williams Memorial Foundation for an Academic Surgeon-Scientist Fellowship at Medical and Health Sciences
- \$480,000 from Waste Management NZ Ltd to establish the Whatumoana Paki - Waste Management NZ Engineering Scholarship and the Waste Management NZ - Taumata Hangarau Technology Scholarship at Engineering
- \$375,000 from the Waiwetū Trust for Centre for Brain Research support at Medical and Health Sciences
- \$354,800 from Beate Schuler for the Beate Schuler PhD Scholarship at Science
- \$331,125 from the Alzheimer's Disease Research Foundation for the 'Characterisation and validation of two recently created sheep models of Alzheimer's Disease in preparation for use as a preclinical pharmaceutical test' study at Science
- \$318,471 from Fight MND for the 'ASO-mediated reduction of UBQLN2 for X-linked MND' study at Science
- \$250,000 from Jeremy Egerton for Pharmacy research at Medical and Health Sciences
- \$229,178 from the Cancer Society of New Zealand for the 'Expiratory Muscle Strength Training in People with following Intubation' study at Science

- \$228,106 from the Neurological Foundation of New Zealand for the 'Endothelial progenitors in the skull bone marrow: Improving vascular repair following mild traumatic brain injury' study at Medical and Health Sciences
- \$222,413 from the Heart Foundation for the Aortic dissection – Foundation 100 Fellowship and for 'The carotid body as a novel therapeutic target for treating cardiometabolic disease' study, both at Medical and Health Sciences
- \$200,000 from The McCall MacBain Foundation for Kupe Leadership Scholarships
- \$194,000 from the Ralph and Eve Seelye Charitable Trust for Ralph and Eve Seelye Scholarships
- \$160,000 from the George Mason Charitable Trust for a southern right whale PhD project at Science
- \$150,632 from the Cancer Research Trust New Zealand for the 'Hospice care delivery for patients with life-limiting illness inclusive of advanced cancer: Post-Covid-19 Gaps and Steps Forward' study at Medical and Health Sciences; and for the 'Development of a novel growth hormone receptor antagonist and its application in hepatocellular carcinoma' study at the Liggins Institute
- \$149,421 from the Gut Cancer Foundation for the 'Feasibility study of ambulatory Holter monitoring while receiving infusional 5-FU chemotherapy' study at Medical and Health Sciences
- \$137,000 from The Kate Edger Educational Charitable Trust for Kate Edger Charitable Trust Honours and Masters Awards and PhD scholarships
- \$130,000 from the Asia-Pacific Network for Global Change Research for the 'Moving to Remain in Place: Micro-Mobilities and Circular Migration as Adaptive Strategies to Gendered Climate Risks in Fiji and Samoa' study at Arts
- \$88,135 from the Education Development Center Inc for a research project at Education and Social Work
- \$80,000 from Anna Mowbray for the Kupe Leadership Scholarships programme
- \$79,160 from The Nature Conservancy Trust for the 'SFFF TNC: Advancing mussel restoration for the top of the South Island - Te Tau Ihu' study at Science
- \$70,582 from an anonymous donor for research in Otorhinolaryngology at Medical and Health Sciences
- \$70,000 from the Rotary Club of Newmarket Charitable Trust for the Manaaki Manawa Centre for Heart Research
- \$53,571 from City Space Architecture for the 'Safe and Sound Cities Programme (S2Cities)' at Creative Arts and Industries
- \$50,000 from Live Ocean Charitable Trust to support a postdoctoral researcher for a Hector's and Maui dolphin project at Science
- \$50,000 from Susan Chen for the Chen & co:grow Scholarship in Management or Human Resource Management courses at the Business School
- \$45,000 from Perpetual Guardian for Obstetrics and Gynaecology research at Medical and Health Sciences

- \$42,500 from the New Zealand Superannuation Fund for the Nicola Crowley Memorial Scholarship for Women in Computer Science
- \$42,000 from Jacobs Engineering for the SKM Civil Engineering Scholarship
- \$38,642 from the Mussel Reef Restoration Trust for the 'ROV-based benthic imaging' study at Engineering
- \$35,691 from Fraser Thomas Ltd for the Fraser Thomas Scholarship at Engineering
- \$30,000 from Bona Lee for student projects in Biological Sciences
- \$30,000 from The Hope Foundation for Research on Ageing for the Hope Foundation Scholarship in Ageing Research
- \$26,937 from the Estate of David Newlands Jenkins for research into Cancer Genomics and Cardiac Genomics at Medical and Health Sciences
- \$25,000 from Te Moananui O Toi Restoration Trust for the 'Controlling invasive seaweed species' study at Science
- \$25,000 from Andrew Faulkner for the Dean's Engineering Leadership Programme
- \$25,000 from Riley Consultants Limited for the Riley Civil & Environmental Engineering Scholarship
- US\$250,000 from the Alan Maxwell Revocable Trust for the Alan Maxwell Science Scholarships
- GBP41,450 from Rosemary Buchanan for the UK Buchanan Dance Research Residency at Creative Arts and Industries

CHAIR APPROVED BUT NOT CONFIRMED BY THE COMMITTEE

Audit and Risk Committee MINUTES | PART A
29 February 2024
HYBRID | Council Meeting Room and via Zoom
08.00am to 11.00am



<p>Present: Jonathan Mason (Chair), Cecilia Tarrant, Jan Dawson</p> <p>In Attendance: Professor Dawn Freshwater, Adrienne Cleland, Tim Bluett, Rachele Miller. Brent Penrose, Isti Hudaya, Bineeta Nand, Murtaza Ali, Andrew Phipps, Angus Clark, James Harper, Julian Michael and Jacqui Metcalf</p>		
1. Apologies	Dr Rajen Prasad was noted as an apology.	
2. Disclosures of Interest	No further disclosures were made.	
3. Minutes of Audit and Risk Committee of 16 November 2023	<p>Item No 3.1: Minutes, Part A Noted.</p> <p>Item No 3.2: Matters Arising from the Minutes, Part A, not elsewhere on the agenda.</p>	RESOLVED (Chair Cecilia Tarrant) that the Minutes, Part A, of the Audit and Risk Committee held on 16 November 2023 be taken as read and confirmed.
4. Other Matters for Decision or Noting	<p>4.1 Committee Responsibilities and Processes This paper was noted.</p> <p>4.2 Committee Terms of Reference The Committee Terms of Reference were reviewed, and the amendments were noted.</p>	<p>RESOLVED (Chair Jan Dawson) that</p> <ul style="list-style-type: none"> i) The report be received and noted; and ii) Audit & Risk Committee recommend to Council that the amended Committee Terms of Reference be adopted.
5. Leave of Absence	No leave of absence was requested for the next meeting.	
6. Public Exclusions	<p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p> <p>General subject of each matter to be considered:</p>	RESOLVED (Chair Jan Dawson) that the public be excluded from Part B of this meeting AND THAT Professor Freshwater, Mrs Cleland, Mr Bluett, Mrs Miller, Mr Ali, Ms Nand, Mr Penrose, Ms Hudaya, Mr Harper, Mr Clark, Mr Phipps, Mr Michael, and Mrs Metcalf be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed.

CHAIR APPROVED BUT NOT CONFIRMED BY THE COMMITTEE

	<p>Item No 7.1: Audit and Risk Committee Meeting 16 November 2024, Minutes Part B</p> <p>Item No 7.2: Audit and Risk Committee Meeting 16 November 2024, Matters Arising from Minutes, Part B</p> <p>Item No 8.0: 2023 Management Judgements</p> <p>Item No 9.0: 2023 EY Closing Report</p> <p>Item No 10.0: 2023 Draft Annual Report</p> <p>Item No 11.0: Combined Assurance Report</p> <p>Item No 12.0: University Policy Framework and Status</p> <p>Item No 13.0: Health & Safety Programme</p> <p>Item No 14.0: Digital Programme</p> <p>Item No 15.0: Legislative Change Programme</p> <p>Item No 16.0: Enterprise Risk Programme</p> <p>Reason for passing this resolution in relation to each matter:</p> <p>The protection of the interests mentioned below.</p> <p>Grounds under section 48(1) for the passing of this resolution:</p> <p>Those in Section 9 of the Official Information Act 1982 namely:</p> <ul style="list-style-type: none"> i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations; and ii) To enable the University to carry on without prejudice or disadvantage negotiations; and iii) To prevent the disclosure or use of Official Information for improper gain or advantage. 	<p>This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.</p>
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7.1

CHAIR APPROVED BUT NOT CONFIRMED BY THE COMMITTEE

The meeting moved into a Public Excluded Session at 08.05 am.

The meeting closed at 11.15 am.

Approved as a true and correct record.

Jonathan Mason, Chair

Date:

Audit and Risk Committee

Terms of Reference

The Audit and Risk Committee is a committee of the University of Auckland Council, with the delegated responsibilities, authorities and accountabilities set out in this Terms of Reference approved by Council on ~~14.03.2022~~ [11 March 2024](#).

1. Purpose

The purpose of the Audit and Risk Committee is to provide Council with assurance that:

- All financial statements released to the public, stakeholders, lenders or any regulatory body comply with accounting standards, fairly represent the financial position and performance, and
- An appropriate system of internal controls and an appropriate legal compliance framework operates throughout the University, and
- The University Risk Appetite is confirmed, and all material risks are identified and appropriately managed

2. Responsibilities

The Committee is responsible for:

Appointment of external auditors

The Committee shall liaise with the Auditor General in relation to the appointment of an Audit Service Provider in accordance with the Public Audit Act 1989.

Annual report and financial statements

The Committee is responsible for making a recommendation to Council concerning the adoption of the University's Annual Report and Financial Statements.

Accounting policies and standards

The Committee will review significant proposed accounting policy changes and discuss the nature and impact of such changes. Where appropriate the Committee will discuss such changes with Finance Committee.

Audit and Risk Committee_29 February 2024_Terms of Reference (To be reviewed by Committee)

The [Chief Financial Officer](#) ~~Deputy Vice-Chancellor (Operations)~~ ~~Director of Administration and Registrar~~ will keep Committee members up to date with current accounting standards and, in particular, any changes which could affect the University's financial statements.

Internal controls

The Committee will review systems of internal control throughout the University with internal and external audit to determine the extent to which the systems and audits of them can be relied upon to detect and control weaknesses or fraud.

Internal audit

The Committee is responsible for appointing the Internal Auditors, reviewing and approving the Internal Audit Programme, considering Internal Audit Reports and monitoring follow-up actions.

Insurance

Each year the Committee will review and approve the University's insurance cover and any policy changes which may be recommended.

Risk

The Committee is responsible for confirming the University Risk Appetite monitoring the management of all material business ~~risks, and risks and~~ ensuring that appropriate procedures and conducts are in place to mitigate or manage those risks.

Legislative compliance

The Committee is responsible for overseeing compliance with legislation and policies relating to legislative compliance.

Litigation

The Committee will monitor any legal proceedings involving potential liability or contingent liability of the University.

Subsidiary companies

The Committee's Terms of Reference include all subsidiary companies. However, where a subsidiary such as Auckland UniServices has its own audit committee, then the subsidiary's audit committee shall have delegated to it the above responsibilities. Annually each such subsidiary audit committee shall, through its Board, provide a written report to the Committee identifying any accounting, internal control or risk matters that are material at a group level, and any matters on which the subsidiary audit committee has taken a different position to that advocated by either external or internal auditors or legal counsel. Audit Committee minutes will be provided in a timely manner to the University Audit and Risk Committee.

Audit and Risk Committee_29 February 2024_Terms of Reference (To be reviewed by Committee)

3. Review of Terms of Reference

The Committee shall review its Terms of Reference every two years and report to Council the outcome of that review. These Terms of Reference replace those in force.

4. Membership

The Committee shall comprise three lay members of Council, appointed by Council, and the Chancellor (ex-officio). At least two members of Audit Committee should not be members of Finance Committee.

The Committee shall have the power to co-opt an additional member to provide specialist skills.

5. Term of Appointment

The term of office of each member shall be one year.

6. Meetings

The Committee shall normally meet four times a year, on an approximately quarterly basis.

7. Attendance by Management and Advisors

The Vice-Chancellor, or nominee, ~~and~~ the Deputy Vice-Chancellor (Operations) ~~and Registrar~~ [and the Chief Financial Officer](#) will normally attend committee meetings but at least twice a year and always including the meeting considering the Annual Report and Financial Statements the Committee shall meet with the internal and external auditors without management being present. Additional members of management may be invited to attend for particular items by either the Chairperson or the Vice-Chancellor.

The external and internal auditors may be invited to attend Committee meetings. The internal and external auditors will have access to the Chair of the Committee at any time. If the University is engaged in any litigation or defence of an action by any regulatory agency the Committee may invite the University's legal advisor to attend its meeting and advise on any liability or contingent liability.

The Committee may request management to procure external professional advice where the Committee considers this necessary to meet its responsibilities.

Audit and Risk Committee_29 February 2024_Terms of Reference (To be reviewed by Committee)

8. Secretarial Support

The ~~Deputy Vice-Chancellor (Operations) and~~Registrar, or nominee, will act as secretary of committee meetings and will circulate minutes of the meetings to committee members, the Vice-Chancellor, the external auditors and to the secretary of Council. The ~~Deputy Vice-Chancellor (Operations) and~~Registrar, or nominee, will ensure that a complete record of the proceedings of the Committee including agendas, minutes and papers, proposals, advice and reports provided to the Committee is maintained in permanent form.

9. Authority

The Committee has the following delegated authorities:

- appointment of the Internal Auditors
- approval of the University's significant accounting policies and changes to them

10. Reporting

When the Committee is considering a recommendation to Council concerning adoption of the University's Annual Report and Financial Statements, reports presented to the Committee at that meeting shall include:

- the draft Annual Report and Financial Statements
- the external auditors' Management Letter
- a report from the external auditors on any matters they believe the Committee needs to be aware of
- a report from the ~~Chief Financial Officer~~~~Deputy Vice-Chancellor (Operations) and Registrar~~ on judgments made by management in the course of preparing the Financial Statements which have a material impact on the Financial Statements

At other meetings during the year, according to a schedule approved by the Committee at the beginning of each year, reports presented to the Committee shall include:

- Internal Audit reports, including management responses to the recommendations
- annual reviews of the rolling Internal Audit programme
- reports on compliance monitoring of internal controls
- reports on each insurance renewal round
- half-yearly updates of the University's risk assessment
- Reports of any significant changes to legislation or regulations

The Committee will provide the minutes of each meeting to Council.

11. Conflicts of Interest

Committee members must declare any real or perceived conflicts of interest in line with the requirements of the University's Conflicts of Interest Policy.

12. Confidentiality

Committee members have a responsibility to treat all information with appropriate confidentiality. This includes matters tabled or discussed at the Committee meetings, as well as any additional issues that are raised outside meetings.

CHAIR APPROVED BUT NOT CONFIRMED BY THE COMMITTEE

Finance Committee MINUTES | PART A

28 February 2024

HYBRID | Council Meeting Room and via Zoom

08.00am to 10.00am



Present: Rob McDonald (Chair), Cecilia Tarrant, Professor Dawn Freshwater, John Paitai, Gemma Skipper, Professor Julia Tolmie and Hala Barakat

In Attendance: Adrienne Cleland, Tim Bluett, Mudasir Matto and Jacqui Metcalf

1. Apologies	Julia Arnott-Neenee and Cathy Quinn were noted as an apology.	
2. Disclosures of Interest	No further disclosures were made.	
3. Minutes of Finance Committee of 22 November 2023	<p>Item No 3.1: Minutes, Part A Noted.</p> <p>Item No 3.2: Matters Arising from the Minutes, Part A, not elsewhere on the agenda.</p>	RESOLVED (Chair Cecilia Tarrant) that the Minutes, Part A, of the Finance Committee held on 22 November 2023 be taken as read and confirmed.
4. Other Matters for Decision or Noting	<p>The Chair welcomed Professor Julia Tolmie and Gemma Skipper to the Finance Committee.</p> <p>4.1 Committee Responsibilities and Processes This paper was noted.</p> <p>4.2 Committee Terms of Reference The Committee's Terms of Reference were reviewed, and no amendments were made.</p>	RESOLVED (Chair Prof. Julia Tolmie) that <ul style="list-style-type: none"> i) The report be received and noted; and ii) Finance Committee recommend to Council that the amended Committee Terms of Reference be adopted.
Other Matters for Decision or Noting	The following section has been approved for insertion into Part A of the minutes. It contains the 2023 Financial Performance highlights for the Finance Committee.	

**PART A
2023 FINANCIAL PERFORMANCE – UNIVERSITY ONLY**



	2021	2022	2023		
	Actual	Actual	Actual	Budget	var
EFTS					
Domestic	30,828	30,159	28,936	30,484	(1,548)
International	5,391	4,999	5,607	5,172	435
Other	528	669	794	603	191
	36,748	35,827	35,337	36,259	(922)
International %	14.7%	14.0%	15.9%	14.3%	1.6%
Postgraduate %	24.2%	23.4%	24.8%	24.5%	0.3%
TEACHING & RESEARCH					
TEC funding	379.2	380.8	375.2	392.6	(17.4)
Fees	377.4	382.2	411.8	410.6	1.2
PBRF/Other	115.3	118.8	123.8	114.0	9.8
	871.8	881.8	910.8	917.3	(6.4)
Academic salaries	220.2	228.1	241.9	249.1	7.2
Other costs	142.3	147.3	157.9	157.2	(0.7)
Depreciation	19.0	18.6	19.4	20.2	0.8
Contribution	490.3	487.7	491.6	490.7	0.9
Contribution %	56.2%	55.3%	54.0%	53.5%	0.5%
RESEARCH & CONTRACTS					
Research revenue	255.2	276.5	290.6	288.0	2.6
Research costs	218.4	230.0	250.3	256.5	6.2
Contribution	36.8	46.5	40.3	31.5	8.8
Contribution %	14.4%	16.8%	13.9%	10.9%	2.9%
OTHER OPERATING ACTIVITIES					
Revenue	145.3	161.9	196.4	177.7	18.8
People costs and opex	399.1	434.0	538.3	503.3	(35.0)
Depreciation	184.4	176.8	178.3	188.3	10.0
Other contribution	(438.3)	(448.9)	(520.2)	(514.0)	(6.3)
Operating surplus	88.9	85.3	11.7	8.3	3.4
% revenue	7.0%	6.5%	0.8%	0.6%	(0.2%)
SBITDA % revenue (excl	19.5%	18.7%	12.0%	12.7%	(0.7%)

- The 2023 financial result for the core University teaching and research related operations continued to reflect several residual post pandemic impacts.
- Domestic enrolments fell below expectations as high youth employment, lower University entrance attainment combined with student preparedness related higher attrition rates.
- International students returned in greater numbers than expected, especially in the postgraduate offerings of Science and Business.
- Anticipated salary and operating cost inflation combined with the lifting of border restrictions and supply-chain problems to see costs accelerate faster than revenues. Latent demand for staff, rising activity levels and salary inflation has resulted in teaching-related people costs rising at twice the rate of core teaching revenues in 2023.
- Similarly, significant increases to overheads and operating expenditure affected utilities, travel, and asset related costs.
- The significant drop in surplus from the previous year drives lower operating cashflows although a favourable year end net cash position remains. TEC risk ratios remain sound.

Note: Surplus and revenue in 2021 to 2023 exclude non-cash fair value gain accounting adjustments linked to Crown Investment Partners Interest Free Loan and an asset donation.

7.2

<p>5. Leave of Absence</p>	<p>No leave of absence was requested for the next meeting.</p>	
<p>6. Public Exclusions</p>	<p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows: General subject of each matter to be considered:</p>	<p>RESOLVED (Chair Prof. Dawn Freshwater) that the public be excluded from Part B of this meeting AND THAT Mrs Cleland, Mr Bluett, Mr Matto and Mrs Metcalf be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed.</p>

CHAIR APPROVED BUT NOT CONFIRMED BY THE COMMITTEE

	<p>Item No 7.1: Finance Committee Meeting 22 November 2023, Minutes Part B</p> <p>Item No 7.2: Finance Committee Meeting 22 November 2023, Matters Arising from Minutes, Part B</p> <p>Item No 8.0: Financial Performance for 2023</p> <p>Item No 9.0: Treasury Reports</p> <p>Reason for passing this resolution in relation to each matter: The protection of the interests mentioned below.</p> <p>Grounds under section 48(1) for the passing of this resolution: Those in Section 9 of the Official Information Act 1982 namely:</p> <ul style="list-style-type: none"> i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations; and ii) To enable the University to carry on without prejudice or disadvantage negotiations; and iii) To prevent the disclosure or use of Official Information for improper gain or advantage. 	<p>This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.</p>
<p>The meeting moved into a Public Excluded Session at 08.04 am.</p> <p>The meeting closed at 09.18 am.</p> <p>Approved as a true and correct record.</p> <p>_____</p> <p>Rob McDonald, Chair</p> <p>Date:</p>		

Finance Committee

Terms of Reference

The Finance Committee is a committee of the University of Auckland Council, with the delegated responsibilities, authorities and accountabilities set out in this Terms of Reference approved by Council on ~~15.03.2021~~ [11 March 2024](#).

1. Purpose

The purpose of the Finance Committee is to monitor the finances of the University so as to satisfy Council that they are managed in a way that:

- maintains solvency at all times, and
- minimises the risk of external intervention in the affairs of the University, and
- promotes achievement of the University's annual budget, mission and strategic objectives

The Committee supports the Council in carrying out its duty under s.281(e) of the Education and Training Act 2020 to 'ensure that the institution operates in a financially responsible manner that ensures the efficient use of resources and maintains the institution's long-term viability'.

2. Responsibilities

The Committee is responsible for reporting and recommending to Council concerning:

- approval of major financial decisions, and
- financial policy and regulatory matters, and
- financial strategy and planning, and
- the financial position and performance of the University, and
- any other matter that Council or the Vice-Chancellor may refer to the Committee

Finance Committee_28 February 2024_Terms of Reference (To be reviewed by Committee)

2.1 Approvals

The Committee is to make recommendations to Council concerning approval of:

- the University's annual budget (capital and operating)
- reviews of the Capital Plan and Financial Projections
- student fees
- financial delegations to and by the Vice-Chancellor

2.2 Policy and Regulation

The Committee is to make recommendations to Council concerning the adoption and review of financial policies, including policies relating to:

- investment
- borrowing
- financial management

2.3 Strategy and Planning

The Committee is to report and recommend to Council concerning:

- Strategic Plan objectives relating to financial and resource management
- major and sensitive assumptions in the University's financial projections
- consistency of the University's financial projections with the Strategic Plan
- major areas of financial risk and related risk management strategies

2.4 Financial Position and Performance

The Committee is to monitor and report to Council on the University's performance against:

- budget
- TEC financial risk criteria
- the University's own financial management parameters
- Strategic Plan objectives related to financial and resource management
- debt-related or any other external covenants

The Committee is also to report to Council and make recommendations where, as a result of its monitoring activity, it believes that corrective action or any changes to policy, practice or financial parameters are appropriate.

3. Review of Terms of Reference

The Committee shall review its Terms of Reference and report to Council the outcome of that review every three years.

4. Membership

The Committee shall comprise the Chancellor, Pro Chancellor, Vice-Chancellor, up to three lay members of Council at least one of whom has financial expertise, the professional staff member of Council, the academic member of Council and the student member of Council.

The Council will appoint as the Committee's Chairperson a lay member of Council who has financial expertise, and the Committee may, if it sees fit, appoint a Deputy Chairperson.

5. Term of Appointment

The term of office of each member (other than the Vice-Chancellor) shall be one year.

6. Meetings

The Committee shall normally meet five times a year.

7. Management Attendance

The following members of management will normally attend meetings of the Committee:

- Deputy Vice-Chancellor (Operations) and Registrar
- Chief Financial Officer

Additional members of management may be invited to attend for particular items by either the Chairperson or the Vice-Chancellor.

8. Secretarial Support

The Chief Financial Officer will designate a person in Financial Services to provide secretarial support for the Committee who is to ensure that a complete record of the proceedings of the Committee including agendas, minutes and papers, proposals, advice and reports provided to the Committee is maintained in permanent form with the Registrar.

9. Authority

The Committee has no delegated authority.

10. Reporting

Minutes of each meeting of the Committee shall be provided to the following meeting of Council.

Where a recommendation is made to Council and the papers before the Committee are not sent to Council, the minutes shall summarise the major issues considered by the Committee in coming to its recommendation.

11. Conflicts of Interest

Committee members must declare any real or perceived conflicts of interest in line with the requirements of the University's Conflicts of Interest Policy.

12. Confidentiality

Committee members have a responsibility to treat all information with appropriate confidentiality. This includes matters tabled or discussed at the Committee meetings, as well as any additional issues that are raised outside meetings.

STUDENT APPEALS COMMITTEE
REPORT FOR 2023

This Student Appeals Committee report covers cases heard from 1 January 2023 to 31 December 2023. During this period, the following members served on the Student Appeals Committee:

Chair: Dr Rajen Prasad

Members (or acting members): Ms Cecilia Tarrant
Professor Jennifer Curtin
Ms Rachael Newsome
Ms Julia Arnott-Neenee
Ms Hala Barakat
Mr John Paitai

7.3

In 2022, the Committee received 4 appeal requests, but in 2023, there was a notable increase, with the number rising to 19.

Out of the 19 appeal requests, 2 were withdrawn.

In 2023, the Committee met 10 times and heard 16 cases. For one student, the Committee reconvened following the advice of the Legal Counsel.

Due to the Committee’s unavailability, one case will be heard in 2024. Hence, it has not been included in this report.

- *The Committee upheld the Discipline Committee’s Decision and Penalty against students in 11 cases.*
- *The Committee upheld the Discipline Committee’s Decision but modified the Penalty against students in 4 cases. Two cases were non-academic offences, and two cases were academic offences.*
- *The Committee overturned the Discipline Committee’s Decision and Penalty against one student.*

Most cases took 2 hours for the Committee to hear.

Summary of Cases Heard by the Student Appeals Committee in 2023			
Type	Subtype	Discipline Committee decision on penalty	Student Appeals Committee decision
Academic misconduct in coursework	Plagiarism	Fail for the course; letter of reprimand	Decision upheld in full
Academic misconduct in coursework	Unauthorised collaboration/collusion	Suspension for two semesters; Fail for the course; fine of \$1000; letter of reprimand	Decision upheld in full
Academic misconduct in coursework	Plagiarism	Fail for the course; fine of \$250; letter of reprimand	Decision upheld in full
Academic misconduct in examinations	Contract cheating	Expulsion; fail for the course	Decision upheld in full
Academic misconduct in examinations	Contract cheating	Suspension for one semester; fail for the course; fine of \$1000; letter of reprimand	Decision upheld in full
Academic misconduct in examinations	Plagiarism	Fail for the course; fine of \$500; letter of reprimand	Decision overturned in full
Academic misconduct in examinations	Plagiarism	Fail for the course; fine of \$250; letter of reprimand	Decision upheld in full
Academic misconduct in coursework	Plagiarism	Fail for the course; letter of reprimand	Decision upheld in full
Academic misconduct in examinations	Unauthorised collaboration/ collusion	Suspension for two semesters; fail for the course; letter of reprimand	Decision upheld in full
Academic misconduct in examinations	Contract cheating, Other, Seeking unauthorised third party assistance, Using unauthorised materials or resources	Grade of fail for the courses; expulsion from the University effective immediately; letter of reprimand	<u>Decision modified:</u> Contract cheating allegations and expulsion penalty were overturned in full. <u>New penalties:</u> Fine of \$500; fail for the course; letter of reprimand.

COUNCIL PART A OPEN AGENDA - 7. REPORTS OF COUNCIL COMMITTEES

Academic misconduct in examinations	Contract cheating	Grade of fail for the course; expulsion from the University effective immediately	Decision upheld in full
Academic misconduct in examinations	Contract cheating	Suspension from the University from the end of Semester Two 2023 until the end of Semester One 2024; fail for the course; letter of reprimand	Decision upheld in full
Academic misconduct in examinations	Contract cheating, Using unauthorised materials or resources	Fail for the course; expulsion from the University effective immediately	Decision upheld in full
Academic misconduct in coursework	Unauthorised collaboration/ collusion	A formal reprimand; fail for the course; suspension from the University from 13 October 2023 until the end of Semester One 2024	<u>Decision modified:</u> The suspension period begins after the end of Semester Two, 2023. <u>New penalties:</u> Fail for the course; letter of reprimand; suspension from the end of Semester Two 2023 until the end of Semester One 2024.
General misconduct	Cyberbullying	Suspension for 6 months; fine of \$5000; letter of reprimand	Penalty varied - Fine amount lowered to \$2500
General misconduct	Cyberbullying	Suspension for one year; letter of reprimand	Penalty varied – suspension period lowered to one semester

The SAC is concerned about the significant increase in the number of appeals for academic misconduct decisions. In particular, the SAC has heard appeals from students with a low level of proficiency in English, to the point where it was concerned about their ability to understand and engage with courses delivered in English. Although international applicants whose first language is not English must meet English language proficiency requirements as a condition of entry to the University, we considered that there should be a process to ensure that, where a staff member has concerns that a current student's English language proficiency may fall below that standard, the student's English language proficiency is assessed and appropriate action taken to remedy this if it falls below our entry standards.

The SAC notes its concerns about overseas-based contract cheating providers targeting our international students and asks whether more can be done to emphasise to students the serious risks of engaging in contract cheating. The SAC has upheld Discipline Committee decisions to suspend and expel students found to have engaged in contract cheating owing to the high severity of this form of academic misconduct. Apart from the risks of detection from University invigilation, students engaging in contract cheating also run the risk of their contract cheating provider reporting them to the University if they do not make a further payment.

The committee is grateful to the Chancellor for stepping in to replace committee members who for various reasons were not available for committee meetings. Committee numbers have been boosted by two recent appointments (Professor Julia Tolmie and Gemma Skipper) and we welcome them to the SAC.



REPORT TO COUNCIL: NAMING COMMITTEE

Proposal to name the new Sport, Aquatic, Recreation and Wellness Centre as "Hiwa | Recreation Centre".

The Naming Committee unanimously approved the naming of the new Sport, Aquatic, Recreation and Wellness Centre as "Hiwa | Recreation Centre".

Hiwa is the ninth star of Matariki, signifying vigorous growth, an invitation to challenge and elevate our well-being and to realise our individual and collective potential.

"Hiwa" has been proposed by Campus Life Kaiārahi Grace Latimer and Kaiairataki Michael Steedman after extensive work with Haumi, a consultancy firm working on the RWC project, on the Māori narrative for the new building which in turn informs both high level design elements and pragmatic features such as signage and wayfinding.

The new Recreation facility is due to open in late 2024, to offer sports, recreation, and wellbeing opportunities for the University community. The state-of-the-art facility will provide an active hub in the central 300 sector of campus. The facility offers diverse recreation facilities, programmes, and services for our stakeholders.

THE CHANCELLOR MOVES THAT COUNCIL NOTE THAT NAMING COMMITTEE HAS APPROVED THE NAMING OF THE NEW SPORT, AQUATIC, RECREATION AND WELLNESS CENTRE AS "HIWA | RECREATION CENTRE".

19.02.2024

THE UNIVERSITY OF AUCKLAND
MATERIAL FOR COUNCIL FROM
THE MEETING OF SENATE 26.02.2024

PART A:**1. RECOMMENDATIONS FROM SENATE REQUIRING TO BE CONSIDERED/RECEIVED BY COUNCIL****a) Senate Review**

A verbal update was given by Associate Professor Clarke, the new Dean of Engineering who became the Chair of the Senate Review Reference Group after the departure of Professor Pene Mathew. He commented that last year's survey about Senate delivered interesting results that could be used in the Senate review. However, there had been Senate reviews prior to this one and it was important that the result of this review would be resilient. The review did not only have to focus on a new Senate structure but also had to take the changes to the tertiary sector into account.

The Reference Group had first considered what Senate or Academic Committees looked like overseas. To further explore this, the Group was currently collaborating with an external group who was experienced in the tertiary sector and would provide additional useful data that could be used in the review. The aim was to finalise the review by the end of 2024.

2. RECOMMENDATIONS FROM SENATE REQUIRING COUNCIL CONSIDERATION/APPROVAL**ACADEMIC MATTERS AND REGULATIONS****1. REGULATION AMENDMENTS**

Senate, 26.02.2024 RECOMMENDS that Council approve the following Regulation Amendments:

- a) Regulation Amendment 2024-900: Academic and General Statutes and Regulations – Closing Dates for Admission**
To amend the application closing date for BNurs for international students.
- b) Regulation Amendment 2024-904: Academic and General Statutes and Regulations - Closing Dates for Admission for the Master of Physiotherapy Practice, Master of Science in Clinical Exercise Physiology and Postgraduate Diploma in Science in in Clinical Exercise Physiology;** (the amendment of the closing dates for the other programmes listed on the Amendment was deferred to the next meeting of Education Committee).

2. Doctoral Policy and Procedures Update

Senate 26.02.2024 RECOMMENDS that Council approve the Doctoral Policy and Procedures Update

PART B: ITEMS FROM SENATE FOR NOTING BY COUNCIL

No items to note

PART C: MATTERS RECEIVED AND APPROVED UNDER DELEGATED AUTHORITY

1. MEMBERSHIP OF ACADEMIC PROGRAMMES COMMITTEE (APC)

Senate 26.02.2024 advises Council that it approved the following CHANGES to the APC membership:

- The inclusion in the membership of APC of the possibility for the Dean of Graduate Studies to nominate a delegate to provide School of Graduate Studies input into APC meetings they are not available to attend.
- The addition of Professor Peng Cao to fill a casual vacancy on APC for a three-year term starting 01.02.2024 and ending 31 January 2027.

2. EDUCATION COMMITTEE (EC) TERMS OF REFERENCE

Senate 26.02.2024 advises Council that it approved the following CHANGES to the TERMS OF Reference of EC:

To remove "To recommend on public lecture series", as these are now directly reported to Senate.

3. CUAP AMENDMENTS

Senate 26.02.2024 advises Council that the following CUAP amendments were approved for submission to CUAP:

Business and Economics

2024-102	Master of Professional Accounting	To restructure from a 240-point to a 180-point degree and amend its schedule.
2024-111	Master of Supply Chain Management, Postgraduate Diploma in Supply Chain Management, and Postgraduate Certificate in Supply Chain Management	Deletion
2024-113	Master of Commercialisation and Entrepreneurship, Postgraduate Certificate in Commercialisation and Entrepreneurship	Deletion

Medical and Health Sciences

2024-626	Bachelor of Pharmacy (Honours)	To amend the admissions clause for the BPharm(Hons) programme by removing the selection interview requirement.
2024-627	Postgraduate Certificate in Health Sciences, Postgraduate Diploma in Health Sciences	To add a new clause to the admission regulations which recognises applicants with substantial previous work experience in the New Zealand health and social sector.

8.1

Senate, 26.02.2024 also advises Council that it also approved the following:

4. Eight NEW, AMENDED AND REVISED PRIZE & AWARD REGULATIONS

1. Dr Christopher R. Bennett Award in Transportation Engineering
2. Faculty of Creative Arts and Industries Doctoral Bridging Programme Scholarship
3. Garth Maxwell Creative Project Prize
4. Waste Management NZ -Taumata Hangarau Technology Scholarship
5. Whatumoana Paki – Waste Management NZ Engineering Scholarship
6. Faculty of Creative Arts and Industries International Student Masters Award
7. John and Rose Dunn Scholarship for Pacific Island Medical Students
8. Nicola Crowley Memorial Scholarship for Women in Computer Science