

**Audit and Risk Committee MINUTES | PART A**  
**16 November 2023**  
**HYBRID | Council Meeting Room and via Zoom**  
**08.00am to 10.30am**



<b>Present:</b> Jonathna Mason (Chair), Cecilia Tarrant, Jan Dawson and Dr Rajen Prasad		
<b>In Attendance:</b> Adrienne Cleland, Tim Bluett, Rachelle Miller, Brent Penrose, Isti Hudaya, Bineeta Nand, Murtaza Ali, Philip Whitmore, Angus Clark, James Harper, Julian Michael, Simon Neale and Jacqui Metcalf		
<b>1. Apologies</b>	No apologies were noted.	
<b>2. Disclosures of Interest</b>	No further disclosures were made.	
<b>3. Minutes of Audit and Risk Committee of 27 September 2023</b>	<p><b>Item No 3.1: Minutes, Part A</b></p> <p><b>Item No 3.2: Matters Arising</b> from the Minutes, Part A, not elsewhere on the agenda.</p>	<b>RESOLVED (Chair   Dr Rajen Prasad)</b> that the Minutes, Part A, of the Audit and Risk Committee held on 27 September 2023 be taken as read and confirmed.
<b>4. Other Matters for Decision or Noting</b>	There were no matters for decision or noting.	
<b>5. Leave of Absence</b>	No leave of absence was requested for the next meeting.	
<b>6. Public Exclusions</b>	<p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p> <p>General subject of each matter to be considered:</p> <p><b>Item No 7.1: Audit and Risk Committee Meeting 27 September 2023, Minutes Part B</b></p> <p><b>Item No 7.2: Audit and Risk Committee Meeting 27 September 2023, Matters Arising from Minutes, Part B</b></p>	<b>RESOLVED (Chair   Jan Dawson)</b> the public be excluded from Part B of this meeting AND THAT Mrs Cleland, Mr Bluett, Mrs Miller, Mr Ali, Ms Nand, Mr Whitmore, Mr Penrose, Ms Hudaya, Mr Harper, Mr Clark, Mr Michael, Mr Neale and Mrs Metcalf be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed.

	<p><b>Item No 8.0: Draft Annual Reporting</b></p> <p><b>Item No 9.0: Combined Assurance Report</b></p> <p><b>Item No 10.0: Internal Audit Programme</b></p> <p><b>Item No 11.0: Insurance Renewal Programme</b></p> <p><b>Item No 12.0: Tax Governance Programme</b></p> <p><b>Item No 13.0: Health &amp; Safety Programme</b></p> <p><b>Item No 14.0: Digital Programme</b></p> <p><b>Item No 15.0: Enterprise Risk Programme</b></p> <p><b>Item No 16.0: Audit &amp; Risk 2024 Annual Plan</b></p> <p>Reason for passing this resolution in relation to each matter:</p> <p>The protection of the interests mentioned below.</p> <p>Grounds under section 48(1) for the passing of this resolution:</p> <p>Those in Section 9 of the Official Information Act 1982 namely:</p> <ul style="list-style-type: none"><li>i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations; and</li><li>ii) To enable the University to carry on without prejudice or disadvantage negotiations; and</li><li>iii) To prevent the disclosure or use of Official Information for improper gain or advantage.</li></ul>	<p>This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.</p>
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**The meeting moved into a Public Excluded session at 08.06 am.**

**The meeting closed at 10.50 am.**

**Approved as a true and correct record.**

**Jonathan Mason, Chair**

**Date:**