

Audit and Risk Committee MINUTES | PART A

17 September 2021

Via Zoom

08.00am to 10.30am



Present: Jan Dawson (Chair), Rachael Newsome, Cecilia Tarrant and Rob McDonald		
In Attendance: Professor Dawn Freshwater, Adrienne Cleland, Tim Bluett, Rachelle Miller, Andrew Phipps, Angus Clark, James Harper, Jacqui Metcalf, Brent Penrose, Michelle Chaloner, Anthony Steele and YoonYoung Lee		
1. Apologies	Dr Rajen Prasad was noted as an apology.	
2. Disclosures of Interest	Jan Dawson (Chair) reminded the Committee of her conflict as a Director with AIG regarding Part B – Item 12.0: Insurance Programme. The Committee determined that she could participate in the discussion.	RESOLVED (Rachael Newsome Cecilia Tarrant) that the disclosures, if any, be noted and the action taken be endorsed.
3. Minutes of Audit and Risk Committee of 21 May 2021	<p>Item No 3.1: Minutes, Part A</p> <p>Item No 3.2: Matters Arising from the Minutes, Part A, not elsewhere on the agenda.</p>	RESOLVED (Chair Cecilia Tarrant) that the Minutes, Part A, of the Audit and Risk Committee held on 21 May 2021 be taken as read and confirmed.
4. Other Matters for Decision or Noting	No other matters for decision were noted.	
5. Leave of Absence	Cecilia Tarrant and Rob McDonald were noted as possible Leave of Absence for the next meeting on 24 November 2021.	
6. Public Exclusions	<p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p> <p>General subject of each matter to be considered:</p> <p>Item No 7.1: Audit and Risk Committee Meeting 21 May 2021, Minutes Part B</p> <p>Item No 7.2: Audit and Risk Committee Meeting 21 May 2021, Matters Arising from Minutes, Part B</p> <p>Item No 8.0: Combined Assurance Report</p> <p>Item No 9.0: Health & Safety Programme</p>	RESOLVED (Chair Rachael Newsome) that the public be excluded from Part B of this meeting AND THAT Professor Freshwater, Mrs Cleland, Mr Bluett, Mrs Miller, Mr Steele, Ms Lee, Mr Penrose, Ms Chaloner, Mr Harper, Mr Clarke, Mr Phipps, and Mrs Metcalf be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed.

Chair Approved but not confirmed by the Committee

	<p>Item No 10.0: Student Complaints Report Item No 11.0: CyberSecurity Programme Item No 12.0: Insurance Programme Item No 13.0: Enterprise Risk Programme Item No 14.0: Internal Audit Programme 2022-2024 (Draft) Item No 15.0: Independent Review Item No 16.0: Tax Governance Update Item No 17.0: External Audit Programme 2021 (Draft)</p> <p>Reason for passing this resolution in relation to each matter: The protection of the interests mentioned below. Grounds under section 48(1) for the passing of this resolution: Those in Section 9 of the Official Information Act 1982 namely:</p> <ul style="list-style-type: none">i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations;ii) To enable the University to carry on without prejudice or disadvantage negotiations; andiii) To prevent the disclosure or use of Official Information for improper gain or advantage.	<p>This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.</p>
<p>The meeting moved into a Public Excluded Session at 8.05 am. The meeting closed at 10.04 am. Approved as a true and correct record.</p> <p>_____</p> <p>Chair, Jan Dawson Date:</p>		