

Audit and Risk Committee MINUTES | PART A
02 March 2023
HYBRID | Council Meeting Room and via Zoom
08.00am to 10.00am



Present: Jonathan Mason (Chair), Dr Rajen Prasad, Cecilia Tarrant and Jan Dawson

In Attendance: Professor Dawn Freshwater, Adrienne Cleland, Tim Bluett, Rachelle Miller, Brent Penrose, Isti Hudaya, Lisa Muller, Bineeta Nand, Jacqui Metcalf, Angus Clark, Jason Mangan, James Harper and Julian Michael

1. Apologies	Rachael Newsome was noted as an apology.	
2. Disclosures of Interest	No further disclosures were made.	
3. Minutes of Audit and Risk Committee of 22 November 2022	<p>Item No 3.1: Minutes, Part A</p> <p>Item No 3.2: Matters Arising from the Minutes, Part A, not elsewhere on the agenda.</p>	RESOLVED (Chair Cecilia Tarrant) that the Minutes, Part A, of the Audit and Risk Committee held on 22 November 2022 be taken as read and confirmed.
4. Other Matters for Decision or Noting	<p>4.1 Committee Responsibilities and Processes</p> <p>This paper was noted.</p>	
5. Leave of Absence	No leave of absence was requested for the next meeting.	
6. Public Exclusions	<p>The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:</p> <p>General subject of each matter to be considered:</p> <p>Item No 7.1: Audit and Risk Committee Meeting 22 November 2022, Minutes Part B</p> <p>Item No 7.2: Audit and Risk Committee Meeting 22 November 2022, Matters Arising from Minutes, Part B</p> <p>Item No 8.0: 2022 Annual Report (Draft)</p> <p>Item No 9.0: 2022 EY Closing Report</p> <p>Item No 10.0: 2022 Management Judgements</p>	<p>RESOLVED (Jan Dawson Cecilia Tarrant) that the public be excluded from Part B of this meeting AND THAT Professor Freshwater, Mrs Cleland, Mr Bluett, Mrs Miller, Ms Nand, Mr Penrose, Ms Muller, Ms Hudaya, Mr Mangan, Mr Harper, Mr Clark, Mr Michael, and Mrs Metcalf be permitted to remain for this part of the meeting, after the public be excluded, because of their knowledge of the matters to be discussed.</p> <p>This knowledge, which will be of assistance in relation to the matters to be discussed, is relevant to those matters because they relate to aspects of the administration of the University of Auckland for which those persons are responsible.</p>

<p> Item No 11.0: Combined Assurance Report Item No 12.0: Health & Safety Programme Item No 13.0: Digital Programme Item No 14.0: Enterprise Risk Programme Item No 15.0: Independent Reviews Item No 16.0: Pastoral Care Code of Practice (PCCP) Attestation </p> <p>Reason for passing this resolution in relation to each matter:</p> <p>The protection of the interests mentioned below.</p> <p>Grounds under section 48(1) for the passing of this resolution:</p> <p>Those in Section 9 of the Official Information Act 1982 namely:</p> <ul style="list-style-type: none"> i) To protect the privacy of the persons referred to in the recommendations and to maintain the confidentiality of those recommendations; and ii) To enable the University to carry on without prejudice or disadvantage negotiations; and iii) To prevent the disclosure or use of Official Information for improper gain or advantage. 	
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Date: