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UNIVERSITY OF AUCKLAND HUMAN PARTICIPANTS ETHICS COMMITTEE

Criteria for low risk review

A low risk research project is one where there is a low risk of physical/psychological harm to the participants, of exploitation, or of other potential adverse effects.

An application will initially be processed as "low risk", if **none** of the following questions are answered "yes".

NOTE: In all cases, it is the Committee that ultimately decides whether an application is low risk.

Risk of Harm			
H2	Is the research likely to place the researcher at risk of harm?	YES	NO
H3	Is the research likely to cause any possible harm to the participants, such as physical pain beyond mild discomfort, embarrassment, psychological or spiritual harm?	YES	NO
B8	Does the research involve processes that are potentially disadvantageous to a person or group (for example, the collection of information which may expose the person/group to discrimination)?	YES	NO
H4	Does the research involve collection of information about illegal behaviour(s) which could place the research or participants at risk of criminal or civil liability or be damaging to their financial standing, employability, professional or personal relationships?	YES	NO

B7	Does the research involve processes that involve EEG, ECG, MRI, TMS, FMRI, EMG, radiation, invasive or surface recordings?	YES	NO
J1	Is this project a Clinical Trial?	YES	NO
B22a	Is this an intervention study?	YES	NO
H5	Is the research likely to give rise to incidental findings?	YES	NO
Informed and Voluntary Consent			
D4	Does the research involve participants giving oral consent rather than written consent?	YES	NO
C1c	Persons aged less than 16 years old where parental consent is NOT being sought	YES	NO
C1b	Persons who are in a dependent situation, such as people with a disability, residents of a hospital, nursing home or prison, or patients highly dependent on medical care	YES	NO
C1d	Persons aged less than 16 years old where parental consent is sought	YES	NO
C1a	Persons whose capacity to give informed consent (other than children) is compromised	YES	NO
C9	Does the research involve participants who are being asked to comment on employers?	YES	NO
D5	Does the research use previously collected information or biological samples for which there was no explicit consent (excluding already de-identified or anonymous data)?	YES	NO
B22b	Does this research involve potentially hazardous substances?	YES	NO
Research conducted overseas			
B10b	Will the researcher be travelling overseas to conduct this research?	YES	NO
Privacy and confidentiality issues			
C8	Does the research involve participants in the same organisation as the researcher, where information of a personal nature may be collected and where participants may be identified?	YES	NO
B17	Does the research involve matters of commercial sensitivity?	YES	NO
Use of Human Tissue			
I1	Does the research involve the use, collection or storage of human tissue, as defined by the Human Tissue Act 2008?	YES	NO

Deception			
B20	Does the research involve deception of the participants, including concealment or covert observations?	YES	NO
Conflict of interest			
B16	Does the research involve a conflict of interest or the appearance of a conflict of interest for the researcher, particularly in a power relationship? Please see the help box for examples.	YES	NO
Cultural sensitivity			
G1	Are there any aspects of the research that might raise any specific cultural issues?	YES	NO
Requirements imposed from outside The University of Auckland			
B18	Has the study design or the use of the data been influenced by an organisation outside the University of Auckland (excluding questionnaires developed at other research institutions)?	YES	NO